



# Department of Defense INSTRUCTION

NUMBER 5000.57  
February 8, 2006

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USD(AT&L)

SUBJECT: Defense Acquisition University

- References:
- (a) Chapter 87 of title 10, United States Code
  - (b) Deputy Secretary of Defense Memorandum, "DoD Directives Review – Phase II," July 13, 2005
  - (c) DoD Directive 5134.1, "Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L))," December 9, 2005
  - (d) DoD Directive 5000.57, "Defense Acquisition University," October 22, 1991 (hereby canceled)
  - (e) through (k), see Enclosure 1

## 1. REISSUANCE AND PURPOSE

This Instruction:

- 1.1. Implements section 1746 of Reference (a).
- 1.2. Consistent with References (b) and (c), reissues Reference (d) as a DoD Instruction to update the mission, responsibilities, functions, relationships, and authorities of the Defense Acquisition University (DAU).
- 1.3. Supersedes DoD Directive 5160.55 (Reference (e)).

## 2. APPLICABILITY

This Instruction applies to the Office of the Secretary of Defense (OSD), the Military Departments, the Chairman of the Joint Chiefs of Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities in the Department of Defense (hereafter referred to collectively as the "DoD Components").

### 3. DEFINITIONS

Terms used in this Instruction are defined in Enclosure 2.

### 4. MISSION

The DAU shall provide practitioner training, career management, and services to enable the acquisition, technology, and logistics (AT&L) community to make smart business decisions and deliver timely and affordable capabilities to the warfighter.

### 5. ORGANIZATION AND MANAGEMENT

5.1. The DAU is established under the authority, direction, and control of the Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)), in accordance with Reference (c).

5.2. The DAU shall be managed and directed by a President who shall be the Chief Executive Officer of the DAU and the Chief Learning Officer of the AT&L community.

5.3. The DAU shall be structured to serve the DoD AT&L community. The President shall establish subordinate organizational elements as needed to execute the DAU mission within resources assigned by the Secretary of Defense.

5.3.1. The DAU shall include the Defense Systems Management College.

5.3.2. The DAU shall include an AT&L workforce program office, which shall be responsible for DoD-wide execution of and policy support for the Defense AT&L Workforce Education, Training, and Career Development Program, as established in DoD Directive 5000.52 (Reference (f)). In addition, this office shall provide centralized AT&L workforce career management as required by Reference (a), to assist directly the Component Acquisition Executives (CAE) in the DoD Components outside the Military Departments in managing their workforces, to serve as a single point of contact and coordination for these CAEs, and to issue such coordinated guidelines as will assist these CAEs in uniformly, effectively, and equitably administering their workforce programs.

### 6. RESPONSIBILITIES AND FUNCTIONS

6.1. The Under Secretary of Defense for Acquisition, Technology, and Logistics shall:

6.1.1. Prescribe policies and requirements for the workforce programs of the DAU, as required by section 1702 of Reference (a).

6.1.2. Establish objectives to achieve the efficient and effective use of available acquisition resources by coordinating DoD acquisition education and training programs and tailoring them to support the careers of civilian and military personnel in AT&L workforce positions.

6.1.3. Provide guidance and direction to the President of the DAU on policies and procedures related to the development and operation of the DAU.

6.1.4. Chair a policy guidance council, composed of selected DoD officials, which shall recommend DAU policy and perform other advisory functions as requested by the USD(AT&L). The USD(AT&L) may use a standing body for this purpose or may charter and identify individuals to constitute this council, in accordance with DoD Directive 5105.18 (Reference (g)).

6.1.5. Establish and maintain a Board of Visitors composed of persons selected for their preeminence in academia, corporate universities, business, and the defense industry, consistent with DoD Directive 5105.4 (Reference (h)). The Board shall advise the President of the DAU and the USD(AT&L) on organization, management, curricula, methods of instruction, facilities, and other matters of interest to the DAU.

6.1.6. Provide centralized funding (including funding for students to attend DAU courses of instruction), manpower, and other resources to support the DAU and its mission objectives. Approve any proposed adjustments within the Planning, Programming, Budgeting, and Execution process.

6.1.7. Establish a program of education and training standards, requirements, and performance learning assets for the civilian and military AT&L workforce. The program shall promote jointness and interoperability to the greatest extent practical and be designed to provide benefit as broadly as possible to the workforces supporting the AT&L mission.

6.1.8. Establish, in coordination with the Chairman of the Joint Chiefs of Staff, the acquisition content of a senior course at the Industrial College of the Armed Forces (ICAF). The senior course shall be the preeminent course for civilian and military members of the Defense Acquisition Corps.

6.2. The President, Defense Acquisition University, under the USD(AT&L), shall:

6.2.1. Advise and support the USD(AT&L) in executing his or her responsibilities under Reference (c) and this Instruction.

6.2.2. Lead and manage those elements of the Defense AT&L Workforce Education, Training, and Career Development Program that are DoD-wide in nature, consistent with Reference (f).

6.2.3. Serve as a non-voting member of the AT&L Senior Steering Board (SSB), and charter and chair an AT&L Workforce Management Group to support the SSB, the CAEs, and the Functional Advisors in overseeing and executing the Defense AT&L Workforce Education, Training, and Career Development Program, consistent with Reference (f).

6.2.4. Organize, direct, and manage the DAU and all resources assigned to the DAU.

6.2.5. Deliver learning assets to implement the AT&L Performance Learning Model through: certification courses, assignment-specific training, executive and international courses, performance support, rapid deployment training, knowledge sharing, continuous learning, acquisition policy research, and other means as appropriate.

6.2.6. Provide access to acquisition-specific training that is designed to support effective implementation of acquisition-related duties performed by non-AT&L Workforce DoD personnel serving in fields such as financial management, science and technology, contracting officer representative, Inspector General, and support positions.

6.2.7. Maintain the uniform framework of certification standards applicable to categories of AT&L workforce positions. This framework will support career development and describe competencies required by AT&L career fields.

6.2.8. Establish a course equivalency program that identifies alternatives for the workforce to attain required training.

6.2.9. Propose the DAU's resourcing requirements for the Future Years Defense Program and other Planning, Programming, Budgeting, and Execution actions by doing the following:

6.2.9.1. Working with DoD Component workforce organizations to determine priorities for attendance at DAU courses and allocate quotas, student travel, and per diem funding to the DoD Components.

6.2.9.2. Providing an appropriate programming and budgeting mechanism to control the allocation of resources to achieve the objectives of the DAU, including funding for students to attend courses of instruction and funding to pay faculty and staff salaries as well as the expenses of operating the DAU.

6.2.10. Publish an annual catalog describing the DAU's training, continuous learning, performance support, and knowledge sharing, as well as administrative information about the DAU.

6.2.11. Develop a system for, and maintain records on, student enrollment, graduates, and related workforce data, consistent with the requirements of DoD Directive 5400.11 (Reference (i)).

6.2.12. Conduct a program of AT&L applied research and analysis to support the development of training programs, performance support, knowledge sharing, and continuous learning as well as to inform policy formulation in the AT&L community.

6.2.13. Publish periodicals, reports, training materials, other documents, and electronic materials to support the mission of the DAU and the needs of the AT&L community.

6.2.14. Perform other duties as the Secretary of Defense or the USD(AT&L) may assign.

6.3. The Under Secretary of Defense for Personnel and Readiness shall advise and assist the USD(AT&L) in establishing civilian personnel policy, programs, and rates of pay pertaining to section 1746(b) of Reference (a) regarding employment and compensation of civilian faculty members.

6.4. The Director, Defense Contract Audit Agency, under the Under Secretary of Defense (Comptroller), shall provide a program of education, training, career development and workforce management as required by Reference (a) for the Auditing career field, in coordination with the President, DAU, as appropriate.

6.5. The Chairman of the Joint Chiefs of Staff shall:

6.5.1. Provide representation on the policy guidance council described in subparagraph 6.1.4. above.

6.5.2. Ensure that the President, National Defense University, and the Commandant, ICAF, provide the senior course for AT&L personnel serving in critical positions.

6.5.3. Ensure, in coordination with the USD(AT&L), the relevance of the ICAF acquisition curriculum to the educational needs of senior AT&L professionals.

6.6. The Heads of the DoD Components, acting through their CAEs as appropriate, shall:

6.6.1. Provide to the DAU their annual AT&L workforce personnel training and budget requirements for student travel and per diem. Participate with the DAU in formalizing class schedules and allocating student quotas to meet requirements.

6.6.2. Select and schedule candidates for the senior course at ICAF. Work with DAU and ICAF to determine equivalencies to the senior acquisition course at ICAF and equivalencies to the DAU offerings that constitute the “program management course at the Defense Systems Management College” referred to in section 1735 of Reference (a). These determinations shall be based in part on the needs of the DoD Components and the career development requirements of personnel serving in critical acquisition positions.

## 7. RELATIONSHIPS

In the performance of assigned responsibilities and functions, the President, DAU shall:

7.1. Report to the USD(AT&L) through the Deputy Under Secretary of Defense for Acquisition and Technology.

7.2. Maintain appropriate liaison with the DoD Components, other Federal Government Agencies, and other public and private sector organizations and educational institutions for the exchange of information concerning assigned programs, activities, and responsibilities.

7.3. Use existing systems, facilities, and services of the Department of Defense and other Federal Agencies, when practicable, to avoid duplication and to achieve maximum efficiency and economy.

## 8. AUTHORITIES

The President, DAU is hereby delegated authority to:

8.1. Obtain reports and information, consistent with DoD Directive 8910.1 (Reference (j)), as necessary, to carry out assigned responsibilities and functions.

8.2. Communicate with other Federal Government officials, representatives of the Legislative Branch, State and local officers, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities and functions. Communications with representatives of the Legislative Branch shall be coordinated with the Assistant Secretary of Defense for Legislative Affairs and be consistent with the DoD Legislative Program.

8.3. Obtain mission support for the DAU, as necessary, through interservice and intragovernmental support agreements in accordance with DoD Instruction 4000.19 (Reference (k)).

## 9. ADMINISTRATION


9.1. The USD(AT&L) shall select the President, DAU.

9.2. Within assigned resources, the DAU may employ civilian professors, lecturers, and instructors in numbers sufficient to meet mission needs and may compensate such faculty on a scale that is comparable to other DoD educational institutions, in accordance with Reference (a).

9.3. The Secretaries of the Military Departments shall assign military personnel to the DAU according to approved authorizations.

10. EFFECTIVE DATE

This Instruction is effective immediately.



Kenneth J. Kiege  
Under Secretary of Defense for  
Acquisition, Technology, and Logistics

Enclosures – 2

- E1. References, continued
- E2. Definitions

E1. ENCLOSURE 1

REFERENCES, continued

- (e) DoD Directive 5160.55, "Defense Systems Management College (DSMC)," August 22, 1988 (hereby canceled)
- (f) DoD Directive 5000.52, "Defense Acquisition, Technology, and Logistics Workforce Education, Training, and Career Development Program," January 12, 2005
- (g) DoD Directive 5105.18, "DoD Committee Management Program," February 8, 1999
- (h) DoD Directive 5105.4, "Department of Defense Federal Advisory Committee Management Program," February 10, 2003
- (i) DoD Directive 5400.11, "DoD Privacy Program," November 16, 2004
- (j) DoD Directive 8910.1, "Management and Control of Information Requirements," June 11, 1993
- (k) DoD Instruction 4000.19, "Interservice and Intergovernmental Support," August 9, 1995



E2. ENCLOSURE 2

DEFINITIONS

E2.1.1. The following terms used in this Instruction are defined in DoD Directive 5000.52 (Reference (f)).

E2.1.1.1. Acquisition, Technology, and Logistics (AT&L) Performance Learning Model.

E2.1.1.2. AT&L Workforce.

E2.1.1.3. Competencies.

E2.1.1.4. Component Acquisition Executive.

E2.1.1.5. Defense Acquisition Corps.

E2.1.1.6. Functional Advisors.

E2.1.2. AT&L Community comprises the AT&L Workforce and the organizations in which they work.

E2.1.3. Chief Executive Officer is responsible for overseeing all activities of the DAU.

E2.1.4. Chief Learning Officer is responsible for integrating learning throughout the AT&L community and creating a strong career-long learning environment that supports knowledge sharing and continuous improvement.