
Foreword

In July 2002 the President approved the *National Strategy for Homeland Security*, establishing a road map for the national effort to prevent and respond to acts of terrorism in the United States. The *National Strategy* recognizes the vital role of state and local public safety agencies in providing for the security of our homeland. In February 2003 the President signed into law the Consolidated Appropriations Resolution, 2003, Public Law 108-7 which provides state and local governments with the vital funding they require to participate in the national effort to combat terrorism. In April 2003 the Emergency Wartime Supplemental Appropriations Act, 2003 provided additional funds to expand and continue these efforts.

The U.S. Department of Homeland Security (DHS), Office for Domestic Preparedness (ODP) FY 2003 Urban Areas Security Initiative (UASI) reflects the intent of Congress and the Administration to enhance and quantify the preparedness of the nation to combat terrorism. Whereas most states and municipalities have strengthened their overall capability to respond to acts of terrorism involving chemical, biological, radiological, nuclear or explosive (CBRNE) weapons, there continues to be room for improvement in meeting our national priorities of preventing and responding to terrorist attacks.

The Office for Domestic Preparedness is providing financial assistance to selected urban areas, port authorities, and transit systems through the FY 2003 Urban Areas Security Initiative. Seven selected urban areas will be provided direct funding from the Consolidated Appropriations Resolution, 2003, and thirty selected urban areas will be provided funding through their respective States from the Emergency Wartime Supplemental Appropriations Act, 2003. The UASI Grant Programs I and II are being provided to address the unique equipment, training, planning, and exercise needs of large high threat urban areas, and program activities must involve coordination by the identified core city, core county/counties, and the respective State Administrative Agency. The funding will provide assistance to build an enhanced and sustainable capacity to prevent, respond to, and recover from threats or acts of terrorism for the selected urban areas. The funding identified for ports and transit systems will allow selected agencies to enhance security at these critical infrastructure sites.

The Department of Homeland Security looks forward to working with all of you in this critical national effort to secure our homeland.

Tom Ridge
Secretary
Department of Homeland Security

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UASI PORT SECURITY GRANT PROGRAM APPLICATION
*****Actual application must be made online through GMS***

- ❑ Standard Form 424
- ❑ Assurances
- ❑ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements
- ❑ Non-supplanting Certification
- ❑ Program Narrative
- ❑ Budget Narrative

I. Background

Initial funding for the Fiscal Year (FY) 2003 Urban Areas Security Initiative (UASI) Program was authorized by the Consolidated Appropriations Resolution, 2003 and was made available by the U.S. Department of Homeland Security (DHS), through the Office for Domestic Preparedness (ODP), directly to seven selected urban areas through the FY 2003 UASI Grant Program I application.

The Emergency Wartime Supplemental Appropriations Act, 2003 provided follow-on funding for the UASI Program. The FY 2003 UASI Grant Program II provided financial assistance to States for subsequent distribution to 30 selected urban areas, including the original seven urban areas funded under UASI I. This financial assistance has been provided to address the unique equipment, training, planning, exercise and operational needs of large urban areas, and to assist them in building an enhanced and sustainable capacity to prevent, respond to, and recover from threats or acts of terrorism. Urban areas selected for funding must take a regional metropolitan area approach to the development and implementation of the UASI Grant Program and involve core cities, core counties, contiguous jurisdictions, mutual aid partners, and State agencies.

The Supplemental Appropriations Act also allowed the Secretary of Homeland Security to provide funding for protection of critical infrastructure. Fourteen port authorities have subsequently been identified to receive funding through the UASI Port Security Grant Program. Although administered by the ODP, the UASI Port Security Grant Program has been coordinated with the Transportation Security Administration (TSA), which originally received, reviewed, and ranked the project proposals identified for funding under this initiative.

The Office for Domestic Preparedness transferred from the U.S. Department of Justice, Office of Justice Programs (OJP), to the U.S. Department of Homeland Security effective March 1, 2003. The OJP Office of the Comptroller (OC) will continue to provide fiscal support and oversight to the UASI Grant Program for the effective period of performance for the grant.

II. The Fiscal Year 2003 Urban Areas Security Initiative Port Security Grant Program

A. Authorized Program Purpose

Funding for the FY 2003 UASI Port Security Grant Program is authorized by Public Law 108–11, the Emergency Wartime Supplemental Appropriations Act, 2003, and augments efforts begun with the FY 2003 UASI Program to address the unique needs of large urban areas.

ODP will provide support services to assist grantees in completing the application. Please consult your respective Program Manager using ODP's toll-free number (1-800-368-6498).

B. Program Requirements

The identified agencies representing state and local government entities and commercial companies will be responsible for the administration of the UASI Port Security Grant Program. In administering the program the agency must comply with the following requirements:

1. **Identification of Points of Contact.** The agency must designate a specific point(s) of contact (POC) to work with ODP on the implementation of the UASI Port Security Grant Program.
2. **Adherence to TSA Project Proposal.** Applicants selected to apply for funding under this program have previously applied to TSA for funding for port security projects. These application materials have been reviewed and ranked by TSA, and forwarded to ODP for consideration for funding. In making application for ODP funding under the UASI Grant Program, applicants must adhere to their previously submitted project proposals as long as those projects are allowable under ODP authorized uses. Any deviation from the originally submitted application must be requested in writing to ODP with sufficient justification as to why the proposal is being changed.
3. **Fees and Profits.** Commercial organizations must agree not to make a profit as a result of an award and not to charge a management fee for the performance of an award.

Applications for funding under this program must be submitted by August 14, 2003, or within 45 days of June 30, 2003, the date the solicitation is being posted in OJP's web-based Grants Management System (GMS).

C. Authorized Program Expenditures

All projects MUST be for the purpose of enhancing port security. In accordance with TSA published guidelines and ODP policies, funding is authorized for projects that support efforts to enhance port security in the following ways:

1. **Enhanced Facility and Operational Security:** Proposed enhancements that include, but are not limited to, facility/terminal/vessel (commuter or ferry service) access control, physical security, cargo security and passenger security.

Funds may be used to enhance security through the acquisition of the following types of equipment:

1. Personal protective equipment (PPE)
2. Explosive device mitigation and remediation equipment
3. CBRNE search and rescue equipment
4. Interoperable communications equipment
5. Detection equipment
6. Decontamination equipment
7. Physical security enhancement equipment

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8. Terrorism incident prevention equipment
 9. CBRNE logistical support equipment
 10. CBRNE incident response vehicles
 11. Medical supplies and limited types of pharmaceuticals
 12. CBRNE reference materials.
 13. Patrol vehicles, including watercraft
 14. Employee identification cards/badge systems must be compliant with TSA's Transportation Worker Identification Card (TWIC) Program. The magnetic stripe used on the credential must have high coercivity and be compliant with ISO/IEC 7810/11/12 and 8916 where applicable. The data model used to store collected information must be GSC-IS compliant.

Grant funds may also be used to share critical information such as

1. Systems to disseminate and safeguard threat information
2. Alert notification systems

2. Operational Activities: Grantees may use up to 10% of the gross amount of their award to reimburse for operational expenses, including overtime costs for personnel and costs associated with increased security measures at critical infrastructure sites, incurred during the ORANGE threat alert level beginning on February 7, 2003, and ending on February 27, 2003, beginning again on March 17, 2003, and ending on April 16, 2003, and beginning again on May 20, 2003, and ending on May 30, 2003. Reimbursement is available only for costs incurred during these time periods.

D. Unauthorized Program Expenditures

Unauthorized program expenditures include: 1) Projects receiving funding on a national level, such as transportation worker credentialing, vessel traffic system (VTS), automatic identification system (AIS) and the development of risk/vulnerability assessment models; 2) Projects/items involving funding requirements for personnel, maintenance, and operating expenses (Other than those costs identified during ORANGE threat level periods described in section C. 2.); 3) Projects involving bridge infrastructure, roads, and nuclear power plants; 4) Projects that do not involve a compelling security benefit (primarily economic or safety versus security); 5) Construction projects.

III. Eligible Applicants and Funding Availability

Funding for the FY 2003 UASI Port Security Grant Program will be provided to state and local government entities and to commercial companies to enhance security at the following selected high threat ports in the country and certain Liberty Shield port areas. A complete list of eligible applicants and proposed projects is at Appendix A.

FISCAL YEAR 2003 UASI PORT SECURITY GRANT
PROGRAM FUNDING ALLOCATIONS

Port	Amount
New York/New Jersey	\$9,371,218
Los Angeles/Long Beach	\$9,076,700
Seattle	\$6,765,724
Hampton Roads	\$6,600,000
Miami	\$6,595,000
Houston	\$6,546,492
Philadelphia	\$6,450,211
New Orleans	\$6,400,200
Beaumont	\$5,611,565
Charleston	\$5,124,554
Port Canaveral, FL	\$4,352,378
San Juan, PR	\$1,605,958
Valdez	\$250,000
LA LOOP	\$250,000
TOTAL:	\$75,000,000

IV. Application Guidance

Applications need to be prepared according to the directions contained in Section IV and Section V of this booklet.

ODP only accepts applications electronically through the Grant Management System (GMS) located on the Office of Justice Programs (OJP) web site. Instructions regarding electronic submissions through GMS are provided on the OJP web site at www.ojp.usdoj.gov/fundopps.htm. Assistance with GMS may also be obtained by contacting ODP at: 1-800-368-6498.

Questions regarding the application process, programmatic matters, and other administrative inquiries relating to the FY 2003 UASI Port Security Grant Program can be directed to the ODP Helpline at 1-800-368-6498.

V. Application Requirements

A. On-Line Application: The on-line application must be completed and submitted by the applicant using the OJP GMS system described above. This on-line application replaces the following previously required paper forms:

- Standard Form 424, Application for Federal Assistance
- Standard Form LLL, Disclosure of Lobbying Activities

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- OJP Form 4000/3, Assurances
 - OJP Form 4061/6, Certifications
 - Non-Supplanting Certification
 - Program Narrative
 - Budget Narrative

B. Supplemental Documents:

1. Point of Contact: In its application package the grantee must also provide the name and contact information for the point of contact (POC) for the grant application.

2. Program Narrative: Material previously submitted by applicants to TSA in response to that agency's FY 2002 solicitation for grant funding for proposed port security projects has been provided to ODP. This information will serve as the program narrative information normally required for ODP grant programs, except in those instances where changes are being requested to the original application, or are necessitated by the ODP's allowable use of program funds. In those instances, program narrative information must be provided to include the following:

- Proposed project details
- Description of how the project will address the critical security vulnerabilities identified in previous risk assessments.
- Impact of not implementing the proposed project.

3. Budget Narrative: Applicants must provide itemized budget information including budget detail worksheets indicating how all funds will be expended in accordance with the authorized program uses. Any proposed projects outside the authorized program expenditures will be disallowed.

When completing the on-line application, applicants should identify their submissions as new, non-construction applications. These grants are offered by the U.S. Department of Homeland Security. The program title listed in the Catalog of Federal Domestic Assistance (CFDA) is "Office for Domestic Preparedness Fiscal Year 2003 Urban Areas Security Initiative." When referring to this title, please use the following CFDA number: 16.011. ***The project period will be for a period not to exceed 12 months.***

C. Freedom of Information Act (FOIA): ODP recognizes that much of the information submitted in the course of applying for funding under this program, or provided in the course of its grant management activities, may be considered law enforcement sensitive or otherwise important to national security interests. This may include threat, risk, and needs assessment information, and discussions of demographics, transportation, public works, and industrial and public health

infrastructures. While this information is subject to requests made pursuant to the Freedom of Information Act, 5. U.S.C. §552 (FOIA), all determinations concerning the release of information of this nature will be made on a case-by-case basis by the DHS Departmental Disclosure Officer, and may well likely fall within one or more of the available exemptions under the Act.

Applicants are also encouraged to consult their own state and local laws and regulations regarding the release of information, which should be considered when reporting sensitive matters in the grant application, needs assessment and strategic planning process. At the same time, applicants should be aware that any information created exclusively for the purpose of applying for and monitoring grants hereunder is the property of the U.S. Government, and shall not otherwise be disclosed or released pursuant to state or local law or regulation.

VI. Administrative Requirements

A. Single Point of Contact (SPOC) Review: Executive Order 12372 requires applicants from state and local units of government or other organizations providing services within a state to submit a copy of the application to the state SPOC, if one exists, and to provide notice if this program has been selected for review by the state. Applicants must contact their state SPOC to determine if the program has been selected for state review. The date that the application was sent to the SPOC or the reason such submission is not required should be provided.

B. Financial Requirements

1. Non-Supplanting Certification: This certification, which is a required component of the on-line application, affirms that federal funds will be used to supplement existing funds, and will not replace (supplant) funds that have been appropriated for the same purpose. Potential supplanting will be addressed in the application review as well as in the pre award review, post award monitoring, and the audit. Applicants or grantees may be required to supply documentation certifying that a reduction in non-federal resources occurred for reasons other than the receipt or expected receipt of federal funds.

2. Match Requirement: None

3. Assurances: The on-line application includes a list of assurances that the applicant must comply with in order to receive federal funds under this program. It is the responsibility of the recipient of the federal funds to fully understand and comply with these requirements. Failure to comply may result in the withholding of funds, termination of the award, or other sanctions. Applicants will be agreeing to these assurances when submitting an application on-line through GMS.

4. Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirement: This

certification, which is a required component of the on-line application, commits the applicant to compliance with the certification requirements under 28 CFR part 69, New Restrictions on Lobbying, and 28 CFR part 67, Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants). The certification will be treated as a material representation of the fact upon which reliance will be placed by the U.S. Department of Homeland Security in awarding grants.

5. Suspension or Termination of Funding: DHS may suspend or terminate funding, in whole or in part, or other measures may be imposed for any of the following reasons:

- Failing to comply with the requirements or statutory objectives of federal law.
- Failing to make satisfactory progress toward the goals or objectives set forth in this application.
- Failing to follow grant agreement requirements or special conditions.
- Proposing or implementing substantial plan changes to the extent that, if originally submitted, the application would not have been selected for funding.
- Failing to submit required reports.
- Filing a false certification in this application or other report or document.

Before taking action, ODP will provide the grantee reasonable notice of intent to impose measures and will make efforts to resolve the problem informally.

VII. Reporting Requirements

The following reports are required of all program participants:

- **Financial Status Reports (Standard Form 269A):** Financial Status Reports are due within 45 days of the end of each calendar quarter. A report must be submitted for every quarter the award is active, including partial calendar quarters, as well as for periods where no grant activity occurs (see Appendix B). The OJP OC will provide a copy of this form in the initial award package. Future awards and fund drawdowns will be withheld if these reports are delinquent. The final financial report is due 120 days after the end date of the award period.
- **Program Progress Reports:** Program Progress Reports are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. ***Guidance on specific topics to be addressed is included in Appendix B.*** The OJP OC will provide a copy of this form in the initial award package. Future awards and fund

drawdowns will be withheld if these reports are delinquent. The final programmatic progress report is due 120 days after the end date of the award period.

- **Financial and Compliance Audit Report:** Recipients that expend \$300,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the U.S. General Accounting Office Government Auditing Standards and OMB Circular A-133. Audit reports are currently due to the Federal Audit Clearinghouse no later than 9 months after the end of the recipient's fiscal year. In addition, the Secretary of Homeland Security and the Comptroller General of the United States shall have access to any books, documents, and records of recipients of the FY 2003 UASI Port Security Grant Program for audit and examination purposes, provided that, in the opinion of the Secretary of Homeland Security or the Comptroller General, these documents are related to the receipt or use of such assistance. The grantee will also give the sponsoring agency or the Comptroller General, through any authorized representative, access to and the right to examine all records, books, papers or documents related to the grant.

Appendix A

Funding Allocations

FISCAL YEAR 2003 UASI PORT SECURITY GRANT PROGRAM FUNDING ALLOCATIONS

Port Authority	Applicant	Project Title	Federal Funding
New York/New Jersey Total Amount: \$9,371,218	ConocoPhillips Company	Bayway Refinery Security Upgrade Program	\$2,827,000
	The Port Authority of New York & New Jersey	Wide Area Monitoring	\$1,184,000
		Security Fencing & Gates	\$936,000
		Mobile Command Center	\$320,000
		Fire Call Boxes	\$158,768
		Truck Inspection Facility	\$1,164,000
	New York City Fire Department	HazMat Response, Identification and Containment	\$715,000
	FAPS, Inc.	PLIN-001 - Fortified Crash Beams	\$1,062,450
APM Terminals North America, Inc.	OCR / Appointments / Trucker ID - Elizabeth	\$1,004,000	
Seattle Total Amount: \$6,765,724	Washington State Ferries	WSF Terminal Security Cameras	\$2,527,536
	Port of Seattle	Seattle - Securing Passenger Terminals (Waterside)	\$1,817,146
		Tacoma - Network Infrastructure Upgrade	\$1,758,624
		Seattle - Security Force Equipment Upgrades	\$315,000
		Tacoma - Security Force Equipment Upgrades	\$233,301
	Clipper Navigation, Inc.	Clipper Navigation Physical Access Controls	\$104,500
	Pacific Cruises Northwest, Inc.	Enhanced Video Surveillance of Ferry Passengers	\$9,617

Port Authority	Applicant	Project Title	Federal Funding
Port Canaveral, FL Total Amount: \$4,352,378	Jacksonville Seaport Authority aka JAXPORT	JAXPORT Talleyrand Terminal Gates	\$1,607,400
		JAXPORT Dames Point Terminal Gates & CCTV	\$1,229,437
		JAXPORT Blount Island Terminal Gates	\$542,925
	APM Terminals North America, Inc.	CCTV - Closed Circuit Television - Jacksonville	\$112,500
	Sea Star Line, LLC	Jacksonville Terminal Security Enhancement	\$210,116
	Coastal Fuels Marketing, Inc.	Coastal Fuels Terminal, Port Canaveral	\$565,100
		Coastal Fuels Terminal, JaxPort	\$89,400
San Juan, PR Total Amount: \$1,605,958	Virgin Islands Port Authority	Lighting	\$793,500
		Integrated Command Station	\$400,000
		Perimeter Surveillance	\$184,496
		Automotive Patrol Vehicles	\$116,000
	Sea Star Line, LLC	Charlotte Amalie Terminal Security Enhancement	\$64,804
	The West Indian Company Limited	Communication Equipment	\$10,200
		Access Control Badging	\$13,468
	Peerless Oil & Chemicals, Inc.	Emergency Communication	\$8,490
Perimeter Protection		\$15,000	
Los Angeles/Long Beach Total Amount: \$9,076,700	Harbor Dept. of the City of Long Beach	Port Incident Management System	\$3,011,250
		Security Fencing & Lighting	\$1,146,000
	Seaside Transportation Services, Port of L.A.	STS, Berth 226-236, Port of L.A. Perimeter Control	\$2,419,450
	City of Los Angeles, Los Angeles Harbor Department	High Risk Container Inspection Facility	\$2,500,000

Port Authority	Applicant	Project Title	Federal Funding
Charleston Total Amount: \$5,124,554	Charleston County	Enhancing Harbor Security	\$3,790,247
	South Carolina State Ports Authority	Port of Georgetown CCTV	\$499,051
		Union Pier/Passenger Terminal Fencing	\$274,263
		Port of Georgetown Access Control	\$91,493
	APM Terminals North America, Inc.	CCTV - Closed Circuit Television - Charleston	\$219,500
	The Maritime Association of the Port of Charleston	Charleston Marine Firefighting Program	\$250,000
Hampton Roads Total Amount: \$6,600,000	Hampton Roads Planning District Commission	Regional Communications Interoperability Equipment	\$6,000,000
	City of Portsmouth Fire Department	Maritime Emergency Response Vessel-- Portsmouth, VA	\$600,000
Houston Total Amount: \$6,546,492	Port of Texas City	Hazardous Chemicals Site	\$1,135,773
		Critical Crude Oil Docks	\$1,039,792
	Port of Houston Authority Harris County Texas	POHA - Emergency Operations Center Expansion	\$1,161,190
		POHA Mobile Command Trailer	\$1,136,045
		POHA Turning Basin Terminal - CCTV	\$989,539
		POHA Woodhouse Terminal - CCTV	\$758,340
POHA - Galveston Terminal - CCTV	\$325,813		
New Orleans Total Amount: \$6,400,200	Board of Commissioners of the Port of New Orleans	Upriver Terminals Perimeter Enhancements	\$3,400,200
	Plaquemines Port Harbor & Terminal District	Security Vessel	\$3,000,000

Port Authority	Applicant	Project Title	Federal Funding
Valdez Total Amount: \$250,000	City of Valdez	Critical Communications Infrastructure Project	\$250,000
LA LOOP Total Amount: \$250,000	LOOP LLC Deepwater Port Complex	LOCAP St. James Surveillance System	\$250,000
Philadelphia Total Amount: \$6,450,211	Diamond State Port Corporation	Port Security Project	\$1,650,000
	Marcus Hook Police Department	River Front Security	\$1,500,000
	South Jersey Port Corporation	Project 1 - Level 1 Construction and Enhancements	\$1,261,500
		Project 2 - Level 2 Construction and Enhancements	\$427,500
		Project 3 - Level 3 Policy/Procedure/Communication	\$150,000
	Camden Police Department	Camden City Public Safety Marine Response Grant	\$600,000
	Delaware River Port Authority	Security Enhancements to Passenger Ferry System	\$250,000
	Philadelphia Police Department	PACKCAT	\$249,000
	Sunoco, Inc.	Pipeline Video Surveillance Project	\$139,833
	Philadelphia Regional Port Authority	Video Surveillance/Alarms - Piers 98 Annex	\$114,120
		Facility Lighting-Piers 38-98	\$33,696
	Delaware Avenue Enterprise	Access Control	\$30,000
		Communications	\$13,906
	Gloucester Terminals LLC	Badge Printing System	\$16,750
Communications		\$13,906	

Port Authority	Applicant	Project Title	Federal Funding
Beaumont Total Amount: \$5,611,565	Lake Charles Harbor and Terminal District	Advanced Warning: Threat Detection Solution	\$3,429,445
		Physical Security Enhancements	\$816,000
	Port of Port Arthur Navigation District	Strategic Central Command Center	\$356,960
		Strategic Landside Access Site	\$155,120
		Strategic Waterside Access Site	\$195,040
	Port of Beaumont Navigation District	Interior Fixed Cameras and Monitors	\$334,000
	Orange County Navigation & Port District	Command Center	\$172,500
		Strategic Channel Site	\$152,500
Miami Total Amount: \$6,595,000	Dante B. Fascell Port of Miami-Dade	Combined Federal Inspection Services (FIS)	\$2,749,000
		Waterside Surveillance	\$657,000
	Miami River Marine Group	Miami River Port Cooperative Terrorist Identification	\$1,066,500
	Broward County Board of County Commissioners	Waterside gates	\$1,860,000
	APM Terminals North America, Inc.	CCTV - Closed Circuit Television - Miami	\$142,500
		CCTV- Closed Circuit Television - Port Everglades	\$120,000

Appendix B

Grant Reporting Requirements

Grant Reporting Requirements

I. Financial Status Reports (SF-269A)

A. Reporting Time Line - Financial Status Reports are due within **45** days after the end of each calendar quarter. A report must be submitted for every quarter that the award is active, including partial calendar quarters, as well as for periods where no grant activity occurs (see below).

	Report Period	Report Due By	Report Period	Report Due By	Report Period	Report Due By	Report Period	Report Due By
First Quarter	1/1 - 3/31	5/15						
Second Quarter			4/1 – 6/30	8/14				
Third Quarter					7/1 - 9/30	11/14		
Fourth Quarter							10/1 - 12/31	2/14

II. Categorical Assistance Progress Reports (OJP Form 4587/1)

A. Reporting Time Line - Categorical Assistance Progress Reports are due within **30** days after the end of the reporting periods, which are June 30 and December 31, for the life of the award.

	Report Period	Report Due By	Report Period	Report Due By
First Quarter	1/1 - 6/30	7/30		
Second Quarter				
Third Quarter			7/1 - 12/31	1/31
Fourth Quarter				

B. Additional Information - Grantees must also use Block 12 of ***each*** Categorical Assistance Progress Report to describe progress to date in implementing the grant and its impact on homeland security in the port area. Each report must provide an update on the following activities that occurred during the designated reporting period:

1) Describe progress made to date in implementing this grant for each of the areas (enhanced facility and operational security, and operational activities).

Enhanced Facility and Operational Security:

Report on the expenditure of funds used for facility/terminal/vessel (commuter or ferry service) access control; physical security; cargo security; and passenger security. Identify equipment purchases. Identify any training offered by type, and number of personnel trained.

Operational Costs:

Report on the expenditure of funds to reimburse for operational costs incurred during the Orange Alerts beginning on February 7, 2003, and ending on February 27, 2003, beginning again on March 17, 2003, and ending on April 16, 2003, and beginning again on May 20, 2003, and ending on May 30, 2003. Describe how funds were used and the activities that they are reimbursing. Indicate specifically reimbursement costs for overtime.

2) Additionally, use the Progress Report to:

- Describe progress made to date on achieving the overall security goals and objectives of the port authority.
- Identify other significant activities/initiatives the State and local jurisdictions within the area of the port are pursuing to enhance overall preparedness and responder capabilities
- Identify problems encountered regarding the implementation of any component of the project, and any steps taken to resolve these problems/ issues.
- If applicable, briefly describe any unique initiatives/ promising practices undertaken that may be applicable to other port authorities.
- If applicable, provide any feedback on the ODP grant process and ODP assistance with program implementation. Identify any other issues or concerns not addressed above.

III. Monthly Obligation and Expenditure Report

On the 15th of every month each grantee must report on the previous month's obligation and expenditure status of the funding provided through this grant. Grantees must submit this report through e-mail to their ODP Program Manager. Please note a and b from numbers 1 and 2 below should sum to the exact

amount of the total grant award. An electronic template is available upon request through ODP's toll free number at 1-800-368-6498.

1. Funds Budgeted for enhanced facility and operational security
 - a. Total dollar amount of funds obligated for facility and operational security
 - Total dollar amount of funds expended for facility and operational security
 - b. Total dollar amount of funds not yet obligated for facility and operational security

2. Funds Used for Operational Activities
 - a. Total dollar amount of funds obligated for operational activities
 - Total dollar amount of funds expended on operational activities
 - b. Total dollar amount of funds not yet obligated for operational