


NIST Research Update

John M. Butler

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July 12, 2004
SWGDAM

NIST Human Identity Project Team



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Funding:
Interagency Agreement between NIJ and NIST Office of Law Enforcement Standards

Presentation Outline

- *Forensic DNA Typing, 2nd Edition*
 - to be available in Jan 2005
- NIST Research Projects
 - Y-chromosome information, kits, and standards
 - New loci under development
 - miniSTRs
 - Autosomal SNPs
 - Performance with degraded DNA samples including hair shafts
 - DNA quantitation interlaboratory performance across 80 labs (NIST QS04)
 - STRBase updates and other tools to aid state and local labs


Forensic DNA Typing, 2nd Edition: **John Butler (not NIST)**
Biology, Technology, and Genetics of STR Markers

Chapter 1	Overview & History of DNA Typing	<p>New Material: 10 additional chapters Statistics (basics with examples) Real-time PCR Serology tests Y-STRs and mtDNA ABI 3100 Expert systems Mass disasters including WTC Example cases for training purposes</p> <p>>500 new reference citations 50 new figures and 45 new tables Manuscript is ~950 pages Approximately double the size of the first edition</p> <p>Academic Press plans to have available by January 2005</p>
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Current Areas of NIST Research Effort

- **Y-Chromosome** Information, Assays, and Standards
- Resources for **"Challenging Samples"** (SNPs and miniSTRs)
- **DNA Quantitation** (Interlab study, Real-time PCR comparisons)
- **Tools to Aid State and Local Laboratories** (e.g., STRBase)
- **Aid to or Completion of Other NIJ Projects** (e.g., LSBs)



Y-Chromosome
Information, Assays, and Standards

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Forensic Science Communications July 2004 – Volume 6 – Number 3
Standards and Guidelines

Report on the Current Activities of the Scientific Working Group on DNA Analysis Methods Y-STR Subcommittee

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Selection of U.S. Core Loci:
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DYS391,
DYS392,
DYS393,
DYS438,
DYS439

Scientific Working Group on DNA Analysis Methods Y-STR Subcommittee

Introduction
Detecting DNA from a male perpetrator is the goal in the forensic investigation of most sexual assault cases. Y-chromosome-specific STR typing targets the male DNA and is a useful additional tool in cases that often involve a mixture of male and female DNA. Although many technical aspects of Y-STR testing are parallel to autosomal STR testing, the unilateral (patrilateral) inheritance of the Y-chromosome alleles creates a haplotype of linked loci, and the statistical evaluation and reporting of the results differ significantly. Therefore, the SWGDAM Y-STR Subcommittee was established to deal with all aspects of Y-chromosome-specific testing in forensic casework.

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Commercial Y-STR Kits

(Minimal/extended haplotype)

(White et al.) A7.1 (DYS460) A7.2 (DYS461) A10 C4 H4	(Ayub et al.) DYS434 DYS435 DYS436	(Iida et al.) DYS441 DYS442 DYS443 DYS444 DYS445	(Redd et al.) DYS446 DYS447 DYS448 DYS449 DYS450 DYS452 DYS453 DYS454 DYS455 DYS456 DYS458 DYS459 a/b DYS463 DYS464 a/b/c/d
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(Bosch et al.)
G09411 (DYS462)

43 (51) Y-STRs
(217 with Manfred's)

Y-STR Kits:
Y-PLEX 6 (ReliaGene)
Y-PLEX 5 (ReliaGene)
Y-PLEX 12 (ReliaGene)
PowerPlex Y (Promega)
Yfiler (Applied Biosystems)

DYS468-DYS645
166 new Y STRs
(Manfred Kayser GDB entries)

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New Y-STR paper

June 2004 issue of American Journal of Human Genetics

Am. J. Hum. Genet. 74:1183-1197, 2004

A Comprehensive Survey of Human Y-Chromosomal Microsatellites

Manfred Kayser,^{1,2} Ralf Kittler,^{1,3} Axel Erler,^{1,4} Minttu Hedman,² Andrew C. Lee,³ Aisha Mohyuddin,^{4,5} S. Qasim Mehdi,¹ Zoi Rosser,³ Mark Stoneking,¹ Mark A. Jobling,¹ Antti Sajantila,² and Chris Tyler-Smith^{4,6}

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- Searched for all regions with ≥8 consecutive repeats and 2,3,4,5, or 6 bp repeat units
- Discovered 139 new polymorphic Y-STR loci (166 male-specific)
- Only studied so far in 8 different samples

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U.S. Population Data on 22 Y-STRs

Available online at www.sciencedirect.com

SCIENCE @ DIRECT®

Forensic Science International 139 (2004) 107-121

www.elsevier.com/locate/foresint

High-throughput Y-STR typing of U.S. populations with 27 regions of the Y chromosome using two multiplex PCR assays

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pdf file available at <http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

US haplotype (ReliaGene kits)

Yfiler (ABI)

PowerPlex Y (Promega)

+C4

Y-STR	Pooled Population STR diversity (N=647) Rank	African American STR diversity (N=260) Rank	Caucasian STR diversity (N=244) Rank	Hispanic STR diversity (N=143) Rank
DYS464 a/b/c/d	0.956 1	0.954 1	0.934 1	0.937 1
DYS385 a/b	0.912 2	0.942 2	0.838 2	0.901 2
YCAII a/b	0.790 3	0.797 3	0.701 5	0.772 4
DYS458	0.765 4	0.738 5	0.743 3	0.793 3
DYS390	0.764 5	0.664 10	0.701 5	0.665 13
DYS447	0.747 6	0.767 4	0.683 7	0.748 5
DYS389II	0.736 7	0.722 6	0.675 8	0.734 6
DYS448	0.721 8	0.722 6	0.595 11	0.704 8
DYS456	0.700 9	0.671 9	0.731 4	0.695 9
DYS393	0.691 10	0.560 15	0.594 12	0.690 10
DYS19	0.676 11	0.722 6	0.498 19	0.672 12
DYS439	0.656 12	0.636 11	0.639 9	0.717 7
DYS437	0.637 13	0.499 17	0.583 13	0.624 14
H4	0.611 14	0.612 12	0.562 14	0.609 15
DYS392	0.609 15	0.434 20	0.596 10	0.673 11
DYS460	0.570 16	0.568 14	0.555 15	0.556 18
DYS389I	0.549 17	0.531 16	0.538 17	0.596 16
DYS391	0.534 18	0.447 19	0.552 16	0.577 17
DYS426	0.519 19	0.375 21	0.482 20	0.522 19
DYS450	0.489 20	0.487 18	0.177 22	0.414 21
DYS393	0.485 21	0.586 13	0.363 21	0.448 20
DYS388	0.365 22	0.246 22	0.501 18	0.312 22

Schoske et al. (2004) High-throughput Y-STR typing of U.S. populations... Forensic Sci. Int., 139:107-121

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Y-Chromosome Standard NIST SRM 2395

STANDARD REFERENCE MATERIAL®
2395
Human Y Chromosome DNA Components A - F
Store at -20°C
www.nist.gov/srm

Human Y-Chromosome DNA Profiling Standard

- 5 male samples + 1 female sample (neg. control)
- 100 ng of each (50 µL at ~2 ng/µL) **\$248**
- 22 Y STR markers sequenced
- 9 additional Y STR markers typed
- 42 Y SNPs typed with Marigen kit

Certified for all loci in commercial Y-STR kits:
Y-PLEX 6
Y-PLEX 5
Y-PLEX 12
PowerPlex Y

SWGDAM recommended loci:
DYS19, DYS385 a/b, DYS389II/III,
DYS390, DYS391, DYS392,
DYS393, DYS438, DYS439

Y-filer - adds DYS635 (C4); now sequenced

Helps meet DAB Standard 9.5 (and ISO 17025)...traceability to a national standard

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Y-STRs in Casework

July 2004 issue of Journal of Forensic Sciences *J Forensic Sci. July 2004, Vol. 49, No. 4
Paper ID JFS2003246
Available online at www.sagepub.com*

Sudhir K. Sinha,¹ Ph.D.; Bruce Budowle,² Ph.D.; Ranajit Chakraborty,³ Ph.D.; Ana Paunovic,¹ B.S.; Robin DeVille Gaidry,³ B.S.; Chris Larsen,¹ M.S.; Amrita Lal,³ M.S.F.S.; Megan Shaffer,¹ Ph.D.; Gina Pineda,¹ M.S.; Siddhartha K. Sinha,¹ B.S.; Elaine Schneida,¹ B.S.; Huma Nasir,¹ B.S.; and Jaiprakash G. Shewale,¹ Ph.D.

Utility of the Y-STR Typing Systems Y-PLEX™ 6 and Y-PLEX™ 5 in Forensic Casework and 11 Y-STR Haplotype Database for Three Major Population Groups in the United States*

TABLE 1—Y-STR cases using the Y-PLEX™ 6 and Y-PLEX™ 5 kits that have been accepted in U.S. courts.

Case	Date	Jurisdiction	Docket No.	Notes
State of LA vs. Samuel Williams	10/23/01	Orleans Parish	416-355	Criminal paternity case
State of MS vs. Leon Felder	6/26/01	Pike County	00-557-KA	Sexual assault case—also had other STRs, Y-STR produced no result
State of GA vs. Ali R. Shabazz	7/31/02	DeKalb County	01-CR-4002	Sexual assault case
United States vs. Spc. Michael Kelly	10/16/02	Fl. Knox	...	Sexual assault case
State of OH vs. Chuckie Unsworth	4/16/03	Lucas County	G-4801-CR-20001510	Daubert Hearing

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Thoughts on Y-Chromosome Issues

- Core loci are selected, commercial kits are now available
- *Y-STRs need to be put into greater use with forensic casework to demonstrate their value*

Research Issues

- Nomenclature for Y-STR alleles in new loci
- Impact of additional loci to resolve most-common types
- Publicly available databases for additional loci
- Statistical issues with combining autosomal and Y-STR information

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Resources for “Challenging Samples” (degraded DNA or mixtures)

- **miniSTRs**
 - CODIS loci (*JFS 2003, 48, 1054-1064*) – “BodePlexes”; WTC IDs; McCord collaboration
 - New loci (Coble, AAFS Feb 2004) – non-CODIS loci; unlinked; optimal for small amplicons and size ranges; <120 bp
- **Autosomal SNPs**
 - Validated Orchid 70 SNP markers (60-80 bp); population typing
- **Mitochondrial DNA SNP Assays**
 - Improve ease of use – Roche LINEAR ARRAY testing
 - Improve power of discrimination – AFDIL coding region SNPs
- **Y-STRs**
 - Improve evaluation of some extreme female-male mixtures?

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Why go beyond CODIS loci

“STRs have proven to be highly successful [for mass disasters] in the past e.g. Waco disaster and various air disasters. However, even if the DNA is high quality there are occasions when there are insufficient family members available to achieve a high level of confidence with an association.”

Gill, P., Werrett, D.J., Budowle, B. and Guerrieri, R. (2004) An assessment of whether SNPs will replace STRs in national DNA databases—Joint considerations of the DNA working group of the European Network of Forensic Science Institutes (ENFSI) and the Scientific Working Group on DNA Analysis Methods (SWGDAM). *Science&Justice*, 44(1): 51-53.

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Why go beyond CODIS loci

“To achieve this purpose, either new STRs could be developed, or alternatively, existing STRs could be supplemented with a SNP panel.”

“There also efforts for modifying existing STR panels by decreasing the size amplicons by designing new primers.”

Gill, P., Werrett, D.J., Budowle, B. and Guerrieri, R. (2004) An assessment of whether SNPs will replace STRs in national DNA databases—Joint considerations of the DNA working group of the European Network of Forensic Science Institutes (ENFSI) and the Scientific Working Group on DNA Analysis Methods (SWGDAM). *Science&Justice*, 44(1): 51-53.

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Why go beyond CODIS loci

- Desirable to have markers unlinked from CODIS loci (different chromosomes) for some applications
- Small size ranges to aid amplification from degraded DNA samples

Why evaluate new markers?

- Highly Degraded samples (fragmented, questionable DNA quantity, inhibitors?)
- Telogenic/shed hairs (few copies)
- Low copy number cases (few copies)
- Siblings/Closely related individuals (paternity)

The primary characteristic of the assays for typing these new markers is their short PCR amplicon size (60 –150 base pairs)

STR Size Reduction Through Moving Primer Positions Closer to Repeat

Focus on previously characterized STR markers with:

- High Heterozygosity
- Relatively small allele range
- “Clean” flanking regions for primer design adjacent to target repeat

Characterization of New miniSTR Loci

- Candidate STR marker selection
- Chromosomal locations and marker characteristics
- PCR primer design
- Initial testing results
- Population testing
- Allelic ladder construction
- Miniplex assay performance

Initial Testing Results

>900 potential markers

↓

61 markers with “clean” flanking regions

↓

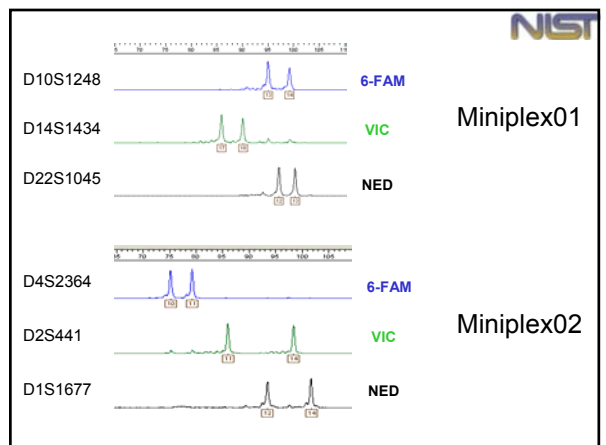
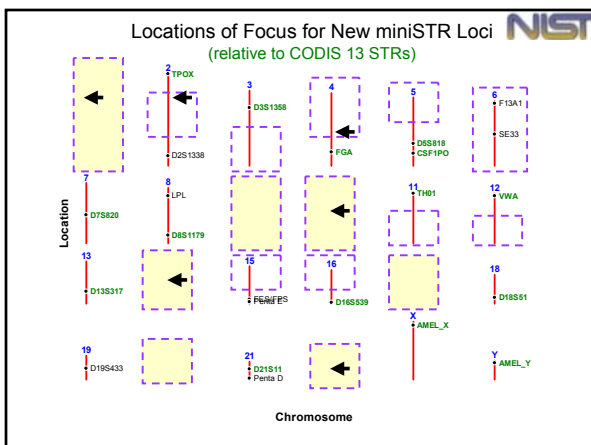
43 markers with amplicon size < 125bp

↓

18 markers for initial testing

↓

2 three loci miniplexes



miniSTR characteristics

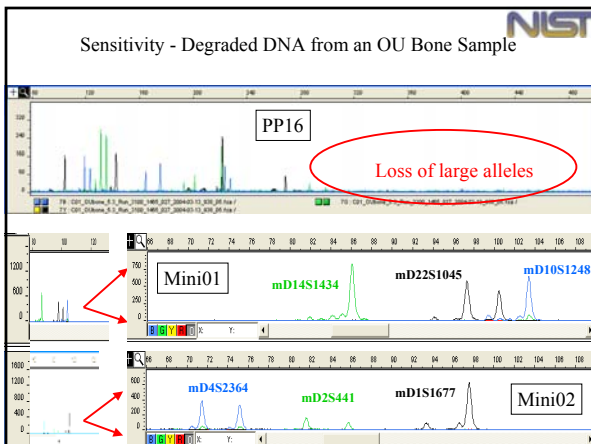
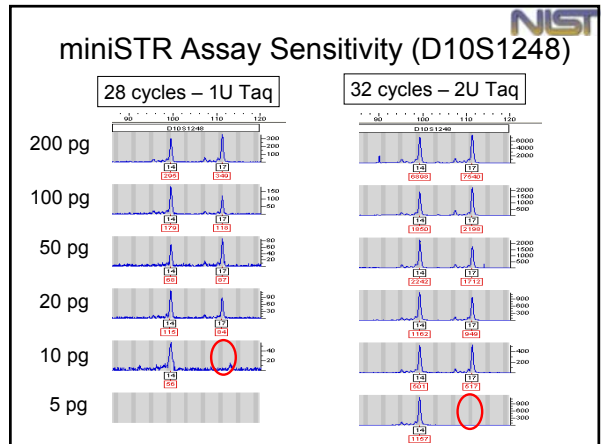
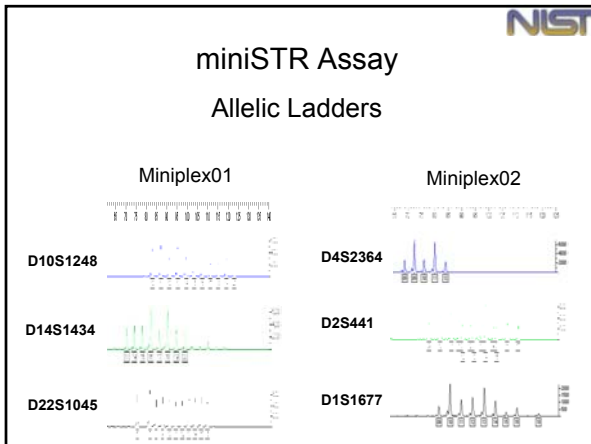
STR Locus	Sequence Motif	Allele Range	Size Range (bp)	Observed Heterozygosity
D1S1677	(GGAA) _n	9-18	81-117	0.75
D2S441	(TCTA) _n	9-17	78-110	0.76
D4S2364	(GAAT)(GGAT)(GAAT) _n	8-12	67-83	0.53
D10S1248	(GGAA) _n	10-20	83-123	0.78
D14S1434	(GATA) _n (GACA) _n	13-20	70-98	0.68
D22S1045	(TAA) _n	5-16	76-109	0.77

Coble and Butler, *JFS*, manuscript submitted

Population Testing –Miniplexes vs. Identifier

Heterozygosity	Marker
0.8784	D2S1338
0.8753	D18S51
0.8710	FGA
0.8393	D21S11
0.8245	vWA
0.8076	D7S820
0.7970	D19S433
0.7759	mD10S1248 - mini01
0.7759	D16S539
0.7674	mD22S1045 - mini01
0.7674	D6S1179
0.7590	mD25441 - mini02
0.7548	D3S1358
0.7526	D13S317
0.7463	mD1S1677 - mini02
0.7378	CSF1PO
0.7378	TH01
0.7294	D5S818
0.7146	TPOX
0.6765	mD14S1434 - mini01
0.5307	mD4S2364 - mini02

N = 474 Individuals
164 African Americans
170 Caucasians
140 Hispanics



- ### SNP Typing at NIST
- STRBase is the official ISFG/EDNAP/ENFSI repository of forensic SNP information
 - Gill *et al. Science & Justice* 2004, 44, 51-53
 - <http://www.cstl.nist.gov/biotech/strbase/SNP.htm>
 - We are cataloging SNP information with the goal to standardize assays and speed validation of markers
 - We will continue to explore various SNP typing technologies to provide information to the forensic DNA typing community – primary focus on SNaPshot
 - We are beginning to evaluate SNP performance directly against miniSTRs for analysis of degraded DNA - collaborative study planned with EDNAP

SNP characteristics

- 70 Loci – sites from Orchid – C/T bi-allelic
- Present on 20 of 22 autosomal chromosomes (all but 3,16, and X,Y)
- Amplicon size range 59 - 108 bp (average 69 bp)
- Markers are typed by allele-specific primer extension assays (ABI SNaPshot)
- Level of multiplexing (6-12-plexes)
- Web page for SNP site info
<http://www.cstl.nist.gov/biotech/strbase/SNPs/OrchidSNPinfo.htm>

Allele-Specific Primer Extension

SNP Primer is extended by one base unit

“tail” used to vary electrophoretic mobility

Oligonucleotide primer 18-28 bases

5' → 3'

PCR Amplified DNA Template

ABI PRISM® SNaPshot™ Multiplex System

Fluorescently labeled ddNTPs + polymerase

6-plex PCR was used to amplify 2 ng of gDNA (0.5 U Taq Gold)

6-plex primer extension was used to type the loci

6-plex SNP Assay

Extension primers for 6-plex

```

(1) TTTTTAGCTCCTAATTTCTTGATGGG
(2) TTTTTCATCTGATGCCATGAGAAAGC
(3) TTTTGTTCGCTTTAATAACAAAACAG
(4) TTTTATAAAGGGCAGAATGAGGATTA
(5) TTTTAGAAAGTATCTTGCAAAGGTCCA
(6) TTTTCATAATCACAGCTTTTCTCCCAA
    
```

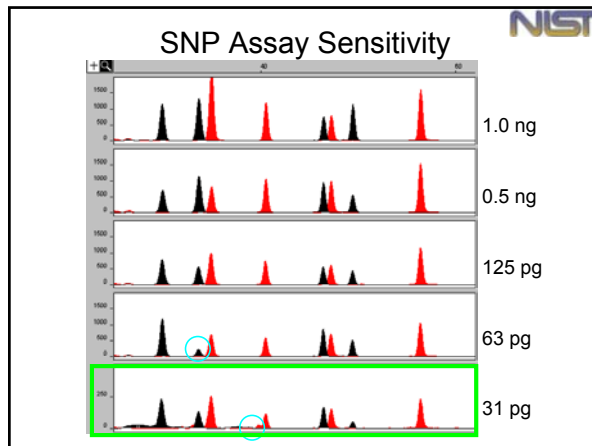
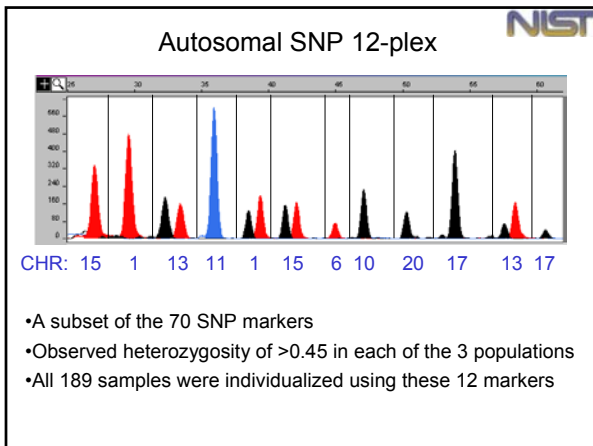
SNP typing results for a single individual

The assays still require signal balancing, but the genotypes were unambiguous for our databasing

12 assays/70SNPs

SNP Assay Results

- 70 were typed for 189 U.S. samples (self identified ethnicities)
- 74 Caucasians + 71 African Americans AA + 44 Hispanics
- Total of 13,230 possible genotypes
- One marker failed across all samples (13,041-98.6%)
- 42 Samples were re-injected to confirm ambiguous results (99.7 % success rate on first pass)
- Results described in manuscript (*Forensic Sci. Int.*)
- We are in the process of optimizing a 12-plex panel of SNPs



Can we get nuclear DNA from hair shafts?

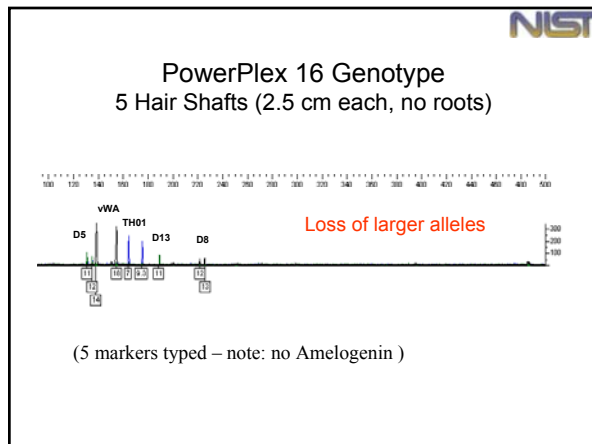
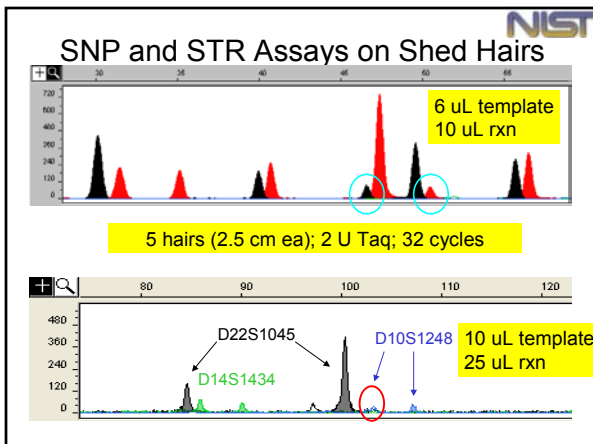
Yes...

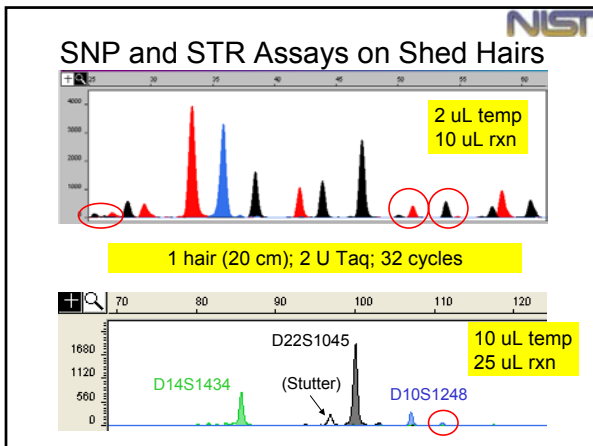
But depends on the extraction method and assay used

TN_{Ca} Buffer
Tris
NaCl
CaCl₂
2%SDS
ProK
DTT

Complete digestion of hair in about 1 hour based on method by

Hellmann A, Rohleder U, Schmitter H, Wittig M. (2001) STR typing of human telogen hairs—a new approach. *Int J Legal Med* 114(4-5): 269-273.



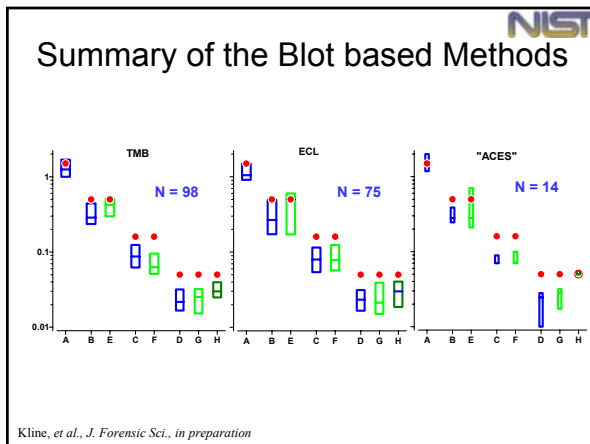
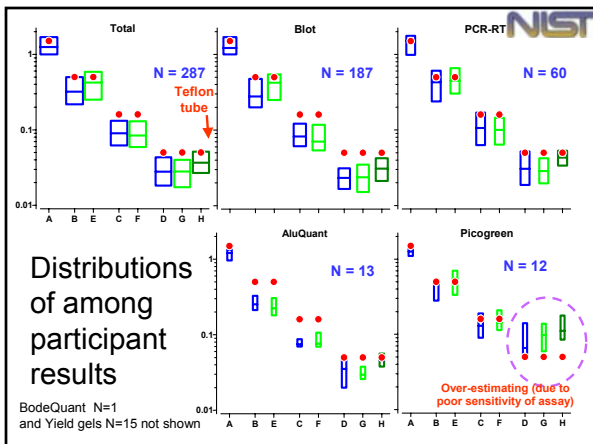


- ### Future directions with SNPs and miniSTRs
- Optimize 12-plex for SNPs
 - Determine sensitivity of assays
 - Examine data interpretation issues for LCN assays (eg allele drop out, RFU thresholds)
 - Type on a “standard” degraded sample (compare to commercial kits)
 - Mobility modifiers with miniSTRs (potential for greater multiplexing)

DNA Quantitation

Interlaboratory Study Results
SRM 2372 : Human DNA Quantitation Standard

- ### NIST Quantitation Study 2004 (QS04)
- Consisted of:
- 8 DNA extracts labeled A – H
 - Shipped Dec 2003 –Jan 2004 to 84 laboratories for quantification.
 - Labs were requested to use multiple methods / multiple analysts
 - Last day for submission extended from 15 March to 5 April 2004
- We received data from 80 Labs (95%)
Total of 287 sets of data
Participants used 19 different quantification methods (primarily variations on Quantiblot and Real-time PCR)



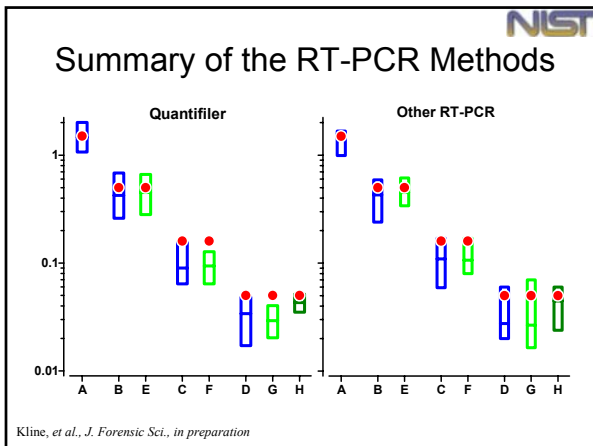
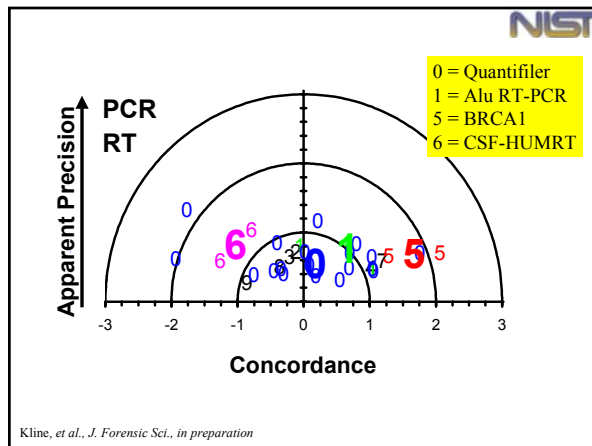
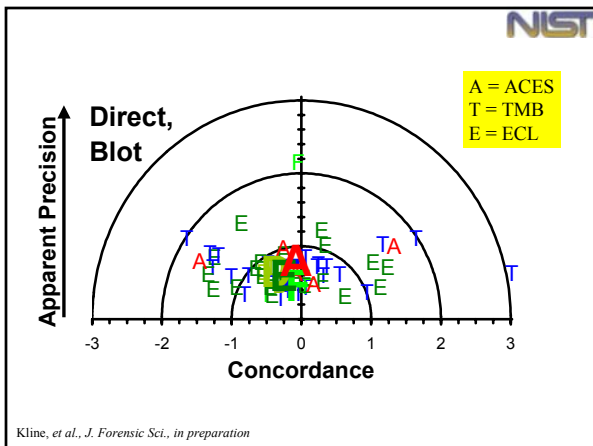
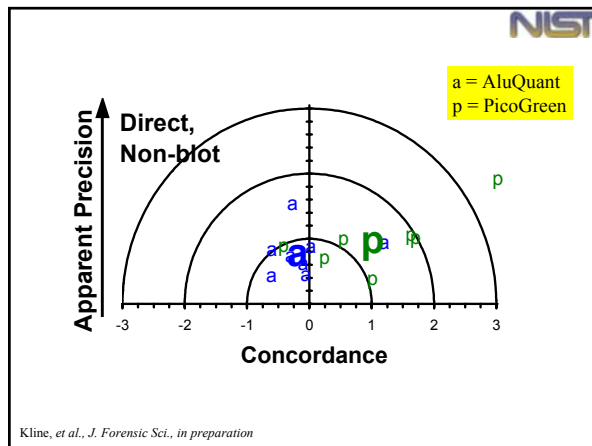
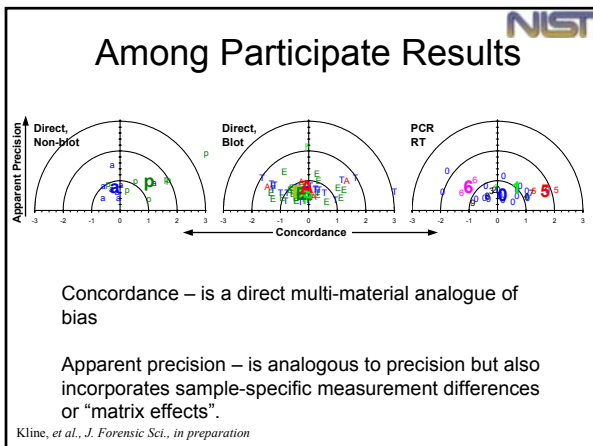


Table 2. The percent success rate reported for a sample.

Method	N _{test}	% Quantitative Results ^a							
		1.5	0.5	0.5	0.16	0.16	0.05	0.05	0.05
Target [DNA] ng/μL		A	B	E	C	F	D	G	H
Quantifier	37	100	100	100	100	100	100	100	100
Other RT-PCR	23	100	100	100	100	100	100	100	100
"ACES"	14	100	100	100	100	100	100	100	100
AluQuant	13	100	100	100	100	100	100	100	100
PicoGreen	12	100	100	92	100	100	92	83	83
ECL	75	100	99	99	93	95	84	77	87
TMB	98	100	100	99	93	94	59	62	63
Yield gel	14	57	0	0	0	0	0	0	0
	286								

^a Quantitative results are those that were reported as values, values reported as the range between contiguous calibration standards, values reported as less than the lowest calibration standard if smaller than the target [DNA], or values reported as greater than the highest calibration standard if larger than the target [DNA].

Kline, et al., *J. Forensic Sci.*, in preparation



NIST

Publication of NIST QS04 Results

- Paper describing results is complete
- Being sent out for review by all 80 contributing laboratories along with certificates of participation
- Concurrently going through NIST internal review
- Following these reviews, the manuscript will be submitted to *J. Forensic Sci.*

Results being used for SRM 2372 development

NIST

Tools to Aid State and Local Laboratories

- **STRBase** – standard information source
- **Variant Alleles** – cataloging variants and tri-allelic patterns
- **NIST U.S. Population Samples and Database**
- **Quality Assurance Tool** – resolution monitor to track analytical performance over time
- **Validation Standardization Information**
- **Training Materials**
 - Downloadable PowerPoint files from STRBase
 - *Current Protocols in Human Genetics, Electrophoresis* review article on STR analysis with ABI 310 and ABI 3100
 - *Forensic DNA Typing, 2nd Edition* (Dec 2004/Jan 2005)

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AutoDimer – primer screening software is now freely available

<http://www.cstl.nist.gov/biotech/strbase/AutoDimerHomepage/AutoDimerProgramHomepage.htm>

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 John Butler Pete Vallone
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 Mike Coble Dave Duewer