

Procedure for Certifying Renewable Energy Credit Generators

NOTE: Do not use this form if you intend to file for REC offsets or in association with a REC aggregation company. Contact the Commission to obtain the appropriate certification form.

- A. A completed application shall consist of the following.
1. A completed Certification Form for Renewable Energy Credit Generators.
 2. A map showing the location of the facility and, if applicable, its boundary (for example, the boundary of the wind farm area metered at the point specified in Item 10). The map must also show the facility's interconnection point(s) with the local distribution or transmission system, and the location of all generation units listed under Item 13 of the application.
 3. If one or more of the metering points specified in Item 10 are not part of the transmission or distribution system of ERCOT, an Independent System Operator, a Regional Transmission Organization, or an Independent Organization as defined in PURA Section 39.151(b), a narrative explaining where and how the output of the facility may be physically metered and verified in Texas by the Program Administrator.
 4. For fossil fuels listed under Item 8, a narrative describing the role of such fuels in the generation technology. The narrative should explicitly state the heat input value of the fossil fuels relative to the heat input value of the renewable fuels specified in Item 7, and must include references to industry standards.
 5. For previously existing renewable energy units that were upgraded and repowered at a greater capacity after Sept. 1, 1999, a narrative specifying the shutdown date, restart date, previous rated nameplate capacity, and new rated nameplate capacity, including references to industry standards.
- B. Each certification shall pertain to a single facility. A facility may have multiple metering points, which shall be designated under Item 10. The metering points listed must represent the only locations through which generation from units included in the certification may enter an ISO grid.
- C. If a facility includes units that separately would be ineligible to produce RECs the application must include a number or formula approved by the Commission that permits the Program Administrator to subtract the output of such units from the aggregated output recorded at the metering point in Item 10.
- D. If an existing renewable energy unit is upgraded and repowered after Sept. 1, 1999, the unit must be included **twice** under Item 13. One entry shall designate the pre-upgrade rated nameplate capacity. The other shall show the **difference** between the new capacity and the pre-upgrade capacity and shall show the repower date as the date commercial operation begins / began.

- E. Item 11 shall be the generation of all units listed under Item 13 that have been included in a nomination for REC offsets.
- F. Eligible units are those which
1. Are not fossil fuel units that have been repowered to use a renewable fuel,
 2. Were not developed as part of an emissions reduction project described in Health and Safety Code §382.05193, that is being used to satisfy the permit requirements in Health and Safety Code §382.0519,
 3. Are not included in the rates of any utility, municipally owned utility or distribution cooperative through base rates, a power cost recovery factor, stranded cost recovery mechanism or any other fixed or variable rate element charged to end users, and
 4. Are not capacity that was in operation before Sept. 1, 1999 unless the nameplate capacity is less than 2 MW.
- G. The owner's designated representative and alternate representative must be based in Texas.
- H. The owner of a facility certified to produce RECs may amend an existing application package if the facility's output is metered by an ISO. Amendment may be made by certified letter to the Commission describing the changes to be reflected in the facility's REC certification. If the amendment results in material change to the facts represented in any narrative or map submitted with the original application, updated narratives and maps must be included with the letter requesting the amendment. Narratives and maps that do not require revisions need not be resubmitted.
- If the capacity of the facility changes at a later date, the owner of the facility shall file with the Commission any updated information on the facility by the 15th of the month following the end of the calendar quarter. The information filed shall reflect the change in nameplate capacity of the facility during the quarter just completed and the total capacity of the facility as of the last business day of the calendar quarter.
- J. The owner of the facility shall provide the annual historical output of the facility (in MWh) from the start of commercial operations up to the date of filing this application. The annual period for historical output shall be from October 1 through September 30.

Certification Form for Renewable Energy Credit Generators

Information about Generating Unit(s)

1.	Facility Name or Description	
2.	Street Address or Legal Geographical Location	
3.	Name of Owner	
4.	Owner PUC Registration <i>(for Subst. Rule §25.109)</i>	
5.	On-site Contact Person <i>(if applicable)</i>	
6.	On-site Telephone Number <i>(if applicable)</i>	
7.	Type of Renewable Generating Technology	<input type="checkbox"/> Biomass <input type="checkbox"/> Hydroelectric <input type="checkbox"/> Solar <input type="checkbox"/> Wind <input type="checkbox"/> Other (specify):
8.	Fossil Fuels Used <i>(if any)</i>	
9.	TNRCC Air Permit Number <i>(if any)</i>	
10.	Meters <i>(ISO Numbers or Other Identifiers)</i>	
11.	Percentage to be Subtracted from Annual Metered Generation	
12.	Metered Generation Eligible for Renewable Energy Credits (in MW)	

13.	Please complete the following for each generating unit operating at this facility. Include additional pages as necessary. For sites with large numbers of individual units, complete the attachment entitled “List of Generating Units at Facility” and enter “See attached list” in the first three blanks of this section. For older units upgraded and repowered after Sept. 1999, include one page describing the unit before the upgrade, and another page describing the incremental addition to capacity resulting from the upgrade.	
	Manufacturer	
	Serial Number(s)	
	Date Commercial Operation Began / Will Begin	
	Total Rated Nameplate Capacity	
	Is this a fossil fuel unit that has been or will be repowered to use a renewable fuel?	Yes _____ No _____
	Is this unit developed as part of an emissions reduction project described in Health and Safety Code §382.05193, that is being used to satisfy the permit requirements in Health and Safety Code §382.0519?	Yes _____ No _____
	<p>If the generating unit is owned by or under contract to a utility, an electric cooperative, municipally-owned utility, competitive retailer, or river authority, is any portion of this unit’s above-market costs included in the rates of any utility, municipally owned utility or distribution cooperative through base rates, a power cost recovery factor, stranded cost recovery mechanism or any other fixed or variable rate element charged to end users?</p> <p>If the answer is “yes” at the date this application is filed, state the date when the answer would become “no.” Provide documentation to support this change of status.</p>	<p>Yes_____ No_____</p> <p>Date_____</p>
	Does this unit qualify for Renewable Energy Credit Offsets?	Yes _____ No _____

Name, Mailing Address and Telephone of Generating Facility Owner

Name, Mailing Address and Telephone of Owner's Designated Representative

Name, Mailing Address and Telephone of Alternate Representative

I certify that I have reviewed and will comply with the provisions in Section 14, "Renewable Energy Credit Trading Program" of the ERCOT Protocols. I certify that the information presented in this Certification Form is correct. I further certify that the generating facility owner (or designated representative) shall inform the Project Administrator of any change that renders the information contained in this certification obsolete, and that such notification will be provided in writing no later than 30 days after the change is discovered by the owner.

Owner of Generating Facility or Designated Representative

Date

List of Generating Units at Facility

Manufacturer and Make	Serial Number(s)	Date Commercial Operation Began/Begins	Capacity per Unit (in MW)	Number of Units	Capacity (in MW)