

CHAPTER 25. SUBSTANTIVE RULES APPLICABLE TO ELECTRIC SERVICE PROVIDERS.

Subchapter D. RECORDS, REPORTS, AND OTHER REQUIRED INFORMATION.

§25.73. Financial and Operating Reports.

(a) Annual reports.

(1) Each electric utility shall file with the commission the same annual report required by the Federal Energy Regulatory Commission (FERC). Such annual reports shall be filed with the commission on the same dates as required to be filed with the FERC. Major electric utilities that are not required to file such reports shall file with the commission an annual report on the form prescribed by the FERC.

(2) Each electric utility holding company subject to annual reporting to the Securities and Exchange Commission and each electric utility shall file with the commission three copies of its annual report to shareholders and customers. Unless included in the annual report to shareholders and customers, each electric utility shall file concurrently with the filing of such report three copies of any audited financial statements that may have been prepared on its behalf.

(b) Annual earnings report. Each electric utility not required to file an Annual Report pursuant to the Public Utility Regulatory Act (PURA) §39.257 shall file with the commission, on commission-prescribed forms, an earnings report providing the information required to enable the commission to properly monitor electric utilities within the state. Each transmission service provider shall file with the commission a report that will permit the commission to monitor its transmission costs and revenues pursuant to §25.193(a)(5) of this title (relating to Procedures for Modifying Transmission Rates).

(1) Each electric utility shall report information related to the most recent calendar year as specified in the instructions to the report.

(2) Each electric utility shall file three copies of the commission-prescribed earnings report and shall electronically transmit one copy of the report no later than the date prescribed in §25.71(f)(4) of this title (relating to General Procedures, Requirements and Penalties).

(c) Securities and Exchange Commission reports. Each electric utility and electric utility holding company subject to reporting requirements of the Securities and Exchange Commission shall file three copies of each required report with the commission. Three copies of each such report including 10-Ks, 10-Qs, 8-Ks, Annual Reports, and Registration Statements filed with the Securities and Exchange Commission shall be submitted to the commission no later than 15 days from the initial filing date with the Securities and Exchange Commission.

(d) Duplicate information. An electric utility shall not be required to file with the commission forms or reports which duplicate information already on file with the commission.