



**3.A. STRATEGY REQUEST**  
 79th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/7/2004  
 TIME: 10:25:35AM

Agency code: **539** Agency name: **AGING AND DISABILITY SERVICES**

GOAL: 1 Long-term Care Continuum Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 4 Community Care - State Service Categories:  
 STRATEGY: 3 Mental Retardation Community Services Service: 26 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>555 FEDERAL FUNDS</b>						
93.667.000	Social Svcs Block Grants	\$100,000	\$0	\$0	\$0	\$0
93.778.003	XIX 50%	\$1,182,606	\$1,341,051	\$1,341,051	\$1,341,051	\$1,341,051
94.011.000	Foster Grandparent Progra	\$11,274	\$0	\$0	\$0	\$0
CFDA Subtotal, Fund	555	\$1,293,880	\$1,341,051	\$1,341,051	\$1,341,051	\$1,341,051
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$1,293,880</b>	<b>\$1,341,051</b>	<b>\$1,341,051</b>	<b>\$1,341,051</b>	<b>\$1,341,051</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$101,911,061</b>	<b>\$87,005,524</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$140,893,450</b>	<b>\$105,266,108</b>	<b>\$87,412,666</b>	<b>\$101,911,061</b>	<b>\$87,005,524</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This strategy includes all services provided for persons with mental retardation who reside in the community other than services provided through ICF/MR and the Medicaid waiver programs. These services include community support which are services provided to assist an individual to participate in age-appropriate community activities and services; employment services are support services to assist individuals in securing community employment and maintaining that employment; day training services are provided away from an individual's home to help the individual develop and refine skills necessary to live and work in the community; therapies are support services provided by licensed or certified professionals, including psychology, nursing, social work, occupational therapy, speech therapy, physical therapy, dietary services and behavioral health services; and respite services which can be provided either in or out of the consumer's home to temporarily relieve the individual's family members or other primary care providers of their responsibilities for providing care to the individual. Authority for the activities within this strategy is found in Section 591 et seq., Health and Safety Code.

Dollars in this strategy are allocated to the local mental retardation authorities via annual performance contracts.

Statutory Authority: Health & Safety Code, §533.035(a); HB 2292, §1.20 (a)(3), 78th Legislature, Regular Session, 2003; Health & Safety Code, §534.054; HB 2292, §§119(a)(2) and 1.20(a)(3), 78th Legislature, Regular Session, 2003.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**0000091**



3.D. Sub-Strategy Request

Strategy Code: 01-04-03-01

Agency Code	539	Department of Aging and Disability Services
Goal:	01	Long-Term Care
Objective:	04	Community Care - State
Strategy:	03	MR Community Services
Substrategy:	01	Employment Services

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Output Measures:</b>						
1.	Average monthly number of consumers with MR receiving community services	13,305	11,891	10,137	10,137	10,137
<b>Efficiency Measures:</b>						
1.	Average monthly cost per customer with MR receiving community services	\$989.55	\$706.48	\$735.00	\$735.00	\$735.00
<b>Explanatory Measures:</b>						
1.	Number of consumers with MR receiving community services per year	21,245	19,014	18,498	18,498	18,498
Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
4000	Grants	22,446,737	16,368,574	13,371,449	15,803,602	13,382,168
<b>Total, Objects of Expense</b>		<b>22,446,737</b>	<b>16,368,574</b>	<b>13,371,449</b>	<b>15,803,602</b>	<b>13,382,168</b>
<b>Method of Finance:</b>						
0001	General Revenue	22,446,737	16,368,574	13,371,449	15,803,602	13,382,168
<b>Subtotal, General Revenue Funds</b>		<b>22,446,737</b>	<b>16,368,574</b>	<b>13,371,449</b>	<b>15,803,602</b>	<b>13,382,168</b>
<b>Total, Method of Financing</b>		<b>22,446,737</b>	<b>16,368,574</b>	<b>13,371,449</b>	<b>15,803,602</b>	<b>13,382,168</b>

**Sub-strategy Description and Justification:**

Employment Services are support services to assist individuals in securing community employment and maintaining that employment. Employment Assistance Services are provided temporarily to an individual who is seeking employment in the community as part of the competitively employed work force. Individualized Competitive Employment Services are provided to enable an individual to maintain employment with an employer that directly compensates the individual. Statutory Authority: Health and Safety Code, §§533.035(a) and 534.054; HB 2292, 78<sup>th</sup> Legislature, Regular Session, 2003, §§1.20 (a)(3) and 119(a)(2).

**External/Internal Factors Impacting Sub-strategy:**

DADS will continue to enhance the process of coordinating its strategic planning process with that of the statewide network of local mental retardation authorities (MRA). As a result of those planning efforts, which include broad-based community participation, each MRA can identify local service needs and priorities.

0000093

## 3.D. Sub-Strategy Request

Strategy Code: 01-04-03-02

<b>Agency Code</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	04	Community Care - State
<b>Strategy:</b>	03	MR Community Services
<b>Substrategy:</b>	02	Day Training Services

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
3001	Direct Client Services	550,522	624,281	624,281	624,281	624,281
4000	Grants	64,322,495	47,529,428	38,940,984	45,910,465	38,971,699
<b>Total, Objects of Expense</b>		<b>64,873,017</b>	<b>48,153,709</b>	<b>39,565,265</b>	<b>46,534,746</b>	<b>39,595,980</b>
<b>Method of Finance:</b>						
0001	General Revenue	63,771,973	46,905,147	38,316,703	45,286,184	38,347,418
8032	GR Certified as Match for Medicaid	550,522	624,281	624,281	624,281	624,281
<b>Subtotal, General Revenue Funds</b>		<b>64,322,495</b>	<b>47,529,428</b>	<b>38,940,984</b>	<b>45,910,465</b>	<b>38,971,699</b>
555	Federal Funds					
	93.778.000 Medical Assistance Program	550,522	624,281	624,281	624,281	624,281
<b>Subtotal, Federal Funds</b>		<b>550,522</b>	<b>624,281</b>	<b>624,281</b>	<b>624,281</b>	<b>624,281</b>
<b>Total, Method of Financing</b>		<b>64,873,017</b>	<b>48,153,709</b>	<b>39,565,265</b>	<b>46,534,746</b>	<b>39,595,980</b>

**Sub-strategy Description and Justification:**

Day training services are provided away from an individual's home to help the individual develop and refine skills necessary to live and work in the community. Vocational Training is day training services provided to an individual in an industrial enclave, a work crew, a sheltered workshop, or an affirmative industry, to enable the individual to obtain employment, including job development and job placement assistance. Site Based Habilitation Services are day training services provided at a location away from the consumer's home on a regular basis, typically in a group setting, designed to assist in acquisition, retention or improvement of adaptive skills. Statutory Authority: Health & Safety Code, §§533.035(a) and 534.054; HB 2292, 78<sup>th</sup> Legislature, Regular Session, 2003, §§1.20 (a)(3) and 119(a)(2).

**External/Internal Factors Impacting Sub-strategy:**

DADS will continue to enhance the process of coordinating its strategic planning process with that of the statewide network of local mental retardation authorities (MRA). As a result of those planning efforts, which include broad-based community participation, each MRA can identify local service needs and priorities.

0000094

3.D. Sub-Strategy Request      Strategy Code: 01-04-03-03

<b>Agency Code</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	04	Community Care - State
<b>Strategy:</b>	03	MR Community Services
<b>Substrategy:</b>	03	Therapies

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
3001	Direct Client Services	341,219	386,935	386,935	386,935	386,935
4000	Grants	17,420,960	12,872,764	10,713,066	12,652,144	10,772,864
<b>Total, Objects of Expense</b>		<b>17,762,179</b>	<b>13,259,699</b>	<b>11,100,001</b>	<b>13,039,079</b>	<b>11,159,799</b>
<b>Method of Finance:</b>						
0001	General Revenue	17,079,741	12,485,829	10,326,131	12,265,209	10,385,929
8032	GR Certified as Match for Medicaid	341,219	386,935	386,935	386,935	386,935
<b>Subtotal, General Revenue Funds</b>		<b>17,420,960</b>	<b>12,872,764</b>	<b>10,713,066</b>	<b>12,652,144</b>	<b>10,772,864</b>
555	Federal Funds					
	93.778.000 Medical Assistance Program	341,219	386,935	386,935	386,935	386,935
<b>Subtotal, Federal Funds</b>		<b>341,219</b>	<b>386,935</b>	<b>386,935</b>	<b>386,935</b>	<b>386,935</b>
<b>Total, Method of Financing</b>		<b>17,762,179</b>	<b>13,259,699</b>	<b>11,100,001</b>	<b>13,039,079</b>	<b>11,159,799</b>

**Sub-strategy Description and Justification:**

Specialized therapies are support services provided by licensed or certified professionals, including psychology, nursing, social work, occupational therapy, speech therapy, physical therapy, dietary services and behavioral health services. These services assist the individual to achieve quality of life and community participation acceptable to the individual. Statutory Authority: Health and Safety Code, §533.035(a) and 534.054; and HB 2292, 78<sup>th</sup> Legislature, Regular Session, 2003, §§1.20 (a)(3) and 119(a)(2).

**External/Internal Factors Impacting Sub-strategy:**

DADS will continue to enhance the process of coordinating its strategic planning process with that of the statewide network of local mental retardation authorities (MRA). As a result of those planning efforts, which include broad-based community participation, each MRA can identify local service needs and priorities.

0000095

3.D. Sub-Strategy Request      Strategy Code: 01-04-03-04

<b>Agency Code</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	04	Community Care - State
<b>Strategy:</b>	03	MR Community Services
<b>Substrategy:</b>	04	Respite

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
3001	Direct Client Services	87,678	99,425	99,425	99,425	99,425
4000	Grants	10,244,215	7,787,552	6,253,350	7,311,854	6,206,763
<b>Total, Objects of Expense</b>		<b>10,331,893</b>	<b>7,886,977</b>	<b>6,352,775</b>	<b>7,411,279</b>	<b>6,306,188</b>
<b>Method of Finance:</b>						
0001	General Revenue	10,156,537	7,688,127	6,153,925	7,212,429	6,107,338
8032	GR Certified as Match for Medicaid	87,678	99,425	99,425	99,425	99,425
<b>Subtotal, General Revenue Funds</b>		<b>10,244,215</b>	<b>7,787,552</b>	<b>6,253,350</b>	<b>7,311,854</b>	<b>6,206,763</b>
555	Federal Funds					
93.778.000	Medical Assistance Program	87,678	99,425	99,425	99,425	99,425
<b>Subtotal, Federal Funds</b>		<b>87,678</b>	<b>99,425</b>	<b>99,425</b>	<b>99,425</b>	<b>99,425</b>
<b>Total, Method of Financing</b>		<b>10,331,893</b>	<b>7,886,977</b>	<b>6,352,775</b>	<b>7,411,279</b>	<b>6,306,188</b>

**Sub-strategy Description and Justification:**

Respite Services are provided either in or out of the consumer's home. Out of home respite is support services provided to an individual away from the individual's home to temporarily relieve family members or other primary care providers of their responsibilities for providing care to the individual. In home respite is support services provided to an individual in the individual's home to temporarily relieve the individual's family members or other primary care providers of their responsibilities for providing care to the individual for short periods of time. Statutory Authority: Health & Safety Code, §§533.035(a) and 534.054; HB 2292, 78<sup>th</sup> Legislature, Regular Session, 2003, §§1.20 (a)(3) and 119(a)(2).

**External/Internal Factors Impacting Sub-strategy:**

DADS will continue to enhance the process of coordinating its strategic planning process with that of the statewide network of local mental retardation authorities (MRA). As a result of those planning efforts, which include broad-based community participation, each MRA can identify local service needs and priorities.

3.D. Sub-Strategy Request      Strategy Code: 01-04-03-05

<b>Agency Code</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	04	Community Care - State
<b>Strategy:</b>	03	MR Community Services
<b>Substrategy:</b>	05	Independent Living

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
3001	Direct Client Services	203,187	230,410	230,410	230,410	230,410
4000	Grants	23,740,200	17,542,201	14,372,370	16,944,673	14,383,707
<b>Total, Objects of Expense</b>		<b>23,943,387</b>	<b>17,772,611</b>	<b>14,602,780</b>	<b>17,175,083</b>	<b>14,614,117</b>
<b>Method of Finance:</b>						
0001	General Revenue	23,525,739	17,311,791	14,141,960	16,714,263	14,153,297
8032	GR Certified as Match for Medicaid	203,187	230,410	230,410	230,410	230,410
<b>Subtotal, General Revenue Funds</b>		<b>23,728,926</b>	<b>17,542,201</b>	<b>14,372,370</b>	<b>16,944,673</b>	<b>14,383,707</b>
<b>Federal Funds</b>						
555	Federal Funds					
	93.778.000 Medical Assistance Program	203,187	230,410	230,410	230,410	230,410
	94.011.000 Foster Grandparent Program	11,274	0	0	0	0
<b>Subtotal, Federal Funds</b>		<b>214,461</b>	<b>230,410</b>	<b>230,410</b>	<b>230,410</b>	<b>230,410</b>
<b>Total, Method of Financing</b>		<b>23,943,387</b>	<b>17,772,611</b>	<b>14,602,780</b>	<b>17,175,083</b>	<b>14,614,117</b>

**Sub-strategy Description and Justification:**

Independent Living Support services are provided to assist an individual who is not receiving residential services participate in age-appropriate community activities and services. Supported Home Living provides assistance, training and support necessary for an individual to complete independent living tasks in the individual's home or community. Family Support Services are provided to the family of an individual to help preserve the family unit and prevent or limit out-of-home placement of the individual. Statutory Authority: Health and Safety Code, §533.035(a) and 534.054; and HB 2292, 78<sup>th</sup> Legislature, Regular Session, 2003, §§1.20 (a)(3) and 119(a)(2).

**External/Internal Factors Impacting Sub-strategy:**

DADS will continue to enhance the process of coordinating its strategic planning process with that of the statewide network of local mental retardation authorities (MRA). As a result of those planning efforts, which include broad-based community participation, each MRA can identify local service needs and priorities.



3.D. Sub-Strategy Request

Strategy Code: 01-04-03-06

<b>Agency Code</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	04	Community Care - State
<b>Strategy:</b>	03	MR Community Services
<b>Substrategy:</b>	06	Other

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
1002	Other Personnel Cost	704,118	472,642	945,766	472,642	472,642
2001	Professional Fees and Services	66,000	0	80,739	80,739	80,739
2005	Travel	10,698	1,252	0	0	0
2009	Other Operating Expense	755,421	1,350,644	1,383,001	1,393,891	1,393,891
4000	Grants	0	0	10,890	0	0
<b>Total, Objects of Expense</b>		<b>1,536,237</b>	<b>1,824,538</b>	<b>2,420,396</b>	<b>1,947,272</b>	<b>1,947,272</b>
<b>Method of Finance:</b>						
0001	General Revenue	1,436,237	1,824,538	2,420,396	1,947,272	1,947,272
<b>Subtotal, General Revenue Funds</b>		<b>1,436,237</b>	<b>1,824,538</b>	<b>2,420,396</b>	<b>1,947,272</b>	<b>1,947,272</b>
555	Federal Funds					
	93.667.000 Title XX (Social Services Block Grant)	100,000	0	0	0	0
<b>Subtotal, Federal Funds</b>		<b>100,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total, Method of Financing</b>		<b>1,536,237</b>	<b>1,824,538</b>	<b>2,420,396</b>	<b>1,947,272</b>	<b>1,947,272</b>

**Sub-strategy Description and Justification:**

Included in this sub-strategy is the Employment Assistance Reciprocity and Natural Support (EARNs) initiative (associated with supported employment services). Also included is a dental care contract (Healthy Smile), as well as the Friendship Opportunity Contract to provide mentoring and facilitate integrated employment for students in middle school, high school, and college. Statutory Authority: Health and Safety Code, §533.035(a) and 534.054; and HB 2292, 78<sup>th</sup> Legislature, Regular Session, 2003, §§1.20 (a)(3) and 119(a)(2).

**External/Internal Factors Impacting /Sub-strategy:**

DADS will continue to enhance the process of coordinating its strategic planning process with that of the statewide network of local mental retardation authorities (MRA). As a result of those planning efforts, which include broad-based community participation, each MRA can identify local service needs and priorities.

### 3. E. Sub-strategy Summary

Agency Code: 539	Agency Name: Texas Department of Aging and Disability Services	Statewide Goal Code :03-03	Strategy Code: 01-04-03			
<b>AGENCY GOAL</b>	Long Term Care					
<b>OBJECTIVE</b>	Community Care - State					
<b>STRATEGY</b>	MR Community Services					
<b>SUB-STRATEGY SUMMARY</b>						
Code	Sub-strategy Requests	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
01	Employment Services	22,446,737	16,368,574	13,371,449	15,803,602	13,382,168
02	Day Training Services	64,873,017	48,153,709	39,565,265	46,534,746	39,595,980
03	Therapies	17,762,179	13,259,699	11,100,001	13,039,079	11,159,799
04	Respite	10,331,893	7,886,977	6,352,775	7,411,279	6,306,188
05	Independent Living	23,943,387	17,772,611	14,602,780	17,175,083	14,614,117
06	Other	1,536,237	1,824,538	2,420,396	1,947,272	1,947,272
<b>Total, Sub-strategies</b>		<b>140,893,450</b>	<b>105,266,108</b>	<b>87,412,666</b>	<b>101,911,061</b>	<b>87,005,524</b>