

**3.A. STRATEGY REQUEST**  
 79th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/7/2004  
 TIME: 10:25:23AM

Agency code: **539** Agency name: **AGING AND DISABILITY SERVICES**

GOAL: 1 Long-term Care Continuum Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 1 Intake, Access, and Eligibility Service Categories:  
 STRATEGY: 1 Intake and Access to Services and Support Service: 30 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Output Measures:</b>						
1	Number of Certified Ombudsmen	1,108.00	1,112.00	1,125.00	1,146.00	1,169.00
2	Number of Persons Receiving Care Coordination	10,614.00	11,774.00	12,138.00	12,296.00	12,230.00
3	Number of Information, Referral and Assistance Inquiries	99,320.00	109,728.00	111,384.00	116,305.00	121,746.00
4	Number of Persons Receiving Legal Assistance	9,520.00	9,687.00	10,256.00	11,713.00	12,033.00
5	Avg Mthly # Consumers w/MR Receiving Assessment & Service Coordination	13,498.00	11,951.00	11,490.00	10,252.00	10,252.00
<b>Efficiency Measures:</b>						
1	Statewide Average Cost Per Care Coordination Client	267.00	252.00	252.00	263.00	267.00
2	Statewide Average Cost Per Person Receiving Legal Assistance	125.00	137.00	131.00	111.00	110.00
3	Avg Mthly Cost Per Consumer MR Receiving Assessment & Svc Coordination	126.03	75.85	96.56	107.89	108.90
<b>Explanatory/Input Measures:</b>						
1	# of Visits to Assisted Living Facilities by a Certified Ombudsman	0.00	0.00	0.00	5,016.00	5,267.00
2	Total Expenditures for the Ombudsman Program	0.00	0.00	0.00	3,566,010.00	3,630,197.00
<b>Objects of Expense:</b>						
3001	CLIENT SERVICES	\$15,107,748	\$9,750,886	\$11,030,579	\$11,107,215	\$11,176,946
4000	GRANTS	\$28,933,041	\$26,766,066	\$29,961,649	\$27,430,455	\$27,485,066
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$44,040,789</b>	<b>\$36,516,952</b>	<b>\$40,992,228</b>	<b>\$38,537,670</b>	<b>\$38,662,012</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$1,188,722	\$663,774	\$544,344	\$703,343	\$703,343
8004	GR MATCH FOR FEDERAL FUND	\$745,337	\$835,147	\$934,846	\$774,697	\$774,697
8032	GR CERTIFIED AS MATCH FOR MEDICAID	\$10,565,226	\$6,992,668	\$8,149,404	\$8,348,992	\$8,403,603

**0000040**

**3.A. STRATEGY REQUEST**  
 79th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/7/2004  
 TIME: 10:25:35AM

Agency code: **539** Agency name: **AGING AND DISABILITY SERVICES**

GOAL: 1 Long-term Care Continuum Statewide Goal/Benchmark: 3 3  
 OBJECTIVE: 1 Intake, Access, and Eligibility Service Categories:  
 STRATEGY: 1 Intake and Access to Services and Support Service: 30 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$12,499,285</b>	<b>\$8,491,589</b>	<b>\$9,628,594</b>	<b>\$9,827,032</b>	<b>\$9,881,643</b>
<b>Method of Financing:</b>						
555 FEDERAL FUNDS						
	93.041.000 Special Programs for the	\$234,806	\$244,297	\$239,913	\$229,464	\$229,464
	93.042.000 Special Programs for the	\$765,031	\$797,138	\$791,657	\$879,811	\$879,811
	93.044.000 SPECIAL PROGRAMS FOR THE	\$13,549,205	\$10,422,614	\$14,160,997	\$11,327,509	\$11,327,509
	93.045.000 Special Programs for the	\$183,239	\$3,531,222	\$3,363,850	\$2,923,779	\$2,923,779
	93.048.000 Special Programs for the	\$2,475	\$0	\$42,750	\$183	\$183
	93.052.000 NATL FAMILY CAREGIVER SUPPORT PGM	\$1,174,291	\$2,749,098	\$1,172,081	\$1,242,677	\$1,242,677
	93.778.003 XIX 50%	\$2,499,649	\$2,919,886	\$2,919,886	\$2,980,806	\$2,996,197
	93.778.005 XIX FMAP	\$12,608,099	\$6,831,000	\$8,110,693	\$8,126,409	\$8,180,749
	93.779.000 Health Care Financing Res	\$524,709	\$530,108	\$561,807	\$1,000,000	\$1,000,000
CFDA Subtotal, Fund	555	\$31,541,504	\$28,025,363	\$31,363,634	\$28,710,638	\$28,780,369
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$31,541,504</b>	<b>\$28,025,363</b>	<b>\$31,363,634</b>	<b>\$28,710,638</b>	<b>\$28,780,369</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$38,537,670</b>	<b>\$38,662,012</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>					<b>\$44,040,789</b>	<b>\$36,516,952</b>

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

**0000041**



3.D. Sub-Strategy Request      Strategy Code: 01-01-01-01

<b>Agency Code:</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	01	Intake, Access & Eligibility
<b>Strategy:</b>	01	Intake & Access
<b>Substrategy:</b>	01	MR Assessment

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Output Measures:</b>						
5.	Average monthly number of consumers with MR receiving assessment and service coordination	13,498	11,951	11,490	10,252	10,252
<b>Efficiency Measures:</b>						
3.	Average monthly cost per consumer with MR receiving assessment and service coordination	\$331.79	\$249.04	\$251.00	\$251.00	\$251.00
Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
3001	Direct Client Services	2,499,649	2,919,886	2,919,886	2,980,806	2,996,197
4000	Grants	2,172,278	2,196,204	2,283,394	2,365,296	2,377,371
<b>Total, Objects of Expense</b>		<b>4,671,927</b>	<b>5,116,090</b>	<b>5,203,280</b>	<b>5,346,102</b>	<b>5,373,568</b>
<b>Method of Finance:</b>						
8032	GR Certified as Match for Medicaid	2,172,278	2,196,204	2,283,394	2,365,296	2,377,371
<b>Subtotal, General Revenue Funds</b>		<b>2,172,278</b>	<b>2,196,204</b>	<b>2,283,394</b>	<b>2,365,296</b>	<b>2,377,371</b>
555	Federal Funds					
93.778.003	Medical Assistance Program - Title XIX @ 50%	2,499,649	2,919,886	2,919,886	2,980,806	2,996,197
<b>Subtotal, Federal Funds</b>		<b>2,499,649</b>	<b>2,919,886</b>	<b>2,919,886</b>	<b>2,980,806</b>	<b>2,996,197</b>
<b>Total, Method of Financing</b>		<b>4,671,927</b>	<b>5,116,090</b>	<b>5,203,280</b>	<b>5,346,102</b>	<b>5,373,568</b>

**Sub-strategy Description and Justification:**

For persons with mental retardation eligibility determination is the assessment process. This assessment process is necessary to determine if an individual has mental retardation or is a member of the MR priority population. Statutory Authority: Health and Safety Code, §§534.053(a)(3), and 592.018.

**External/Internal Factors Impacting Sub-strategy:**

DADS will continue to enhance the process of coordinating its strategic planning process with that of the statewide network of local mental retardation authorities (MRA). As a result of those planning efforts, which include broad-based community participation, each MRA can identify local service needs and priorities.

0000043

3.D. Sub-Strategy Request

Strategy Code: 01-01-01-02

<b>Agency Code:</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	01	Intake, Access & Eligibility
<b>Strategy:</b>	01	Intake & Access
<b>Substrategy:</b>	02	MR Service Coordination

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
3001	Direct Client Services	12,608,099	6,831,000	8,110,693	8,126,409	8,180,749
4000	Grants	8,392,948	4,796,464	5,866,010	5,983,696	6,026,232
<b>Total, Objects of Expense</b>		<b>21,001,047</b>	<b>11,627,464</b>	<b>13,976,703</b>	<b>14,110,105</b>	<b>14,206,981</b>
<b>Method of Finance:</b>						
8032	GR Certified as Match for Medicaid	8,392,948	4,796,464	5,866,010	5,983,696	6,026,232
<b>Subtotal, General Revenue Funds</b>		<b>8,392,948</b>	<b>4,796,464</b>	<b>5,866,010</b>	<b>5,983,696</b>	<b>6,026,232</b>
555	Federal Funds					
93.778.005	Medical Assistance Program - Title XIX - FMAP	12,608,099	6,831,000	8,110,693	8,126,409	8,180,749
<b>Subtotal, Federal Funds</b>		<b>12,608,099</b>	<b>6,831,000</b>	<b>8,110,693</b>	<b>8,126,409</b>	<b>8,180,749</b>
<b>Total, Method of Financing</b>		<b>21,001,047</b>	<b>11,627,464</b>	<b>13,976,703</b>	<b>14,110,105</b>	<b>14,206,981</b>

**Sub-strategy Description and Justification:**

Service coordination provides assistance in accessing medical, social, educational, and other appropriate services and supports to help an individual achieve quality of life and community participation acceptable to the individual. Service coordination activities also include continuity of services (for an individual residing in a state facility whose movement to the community is being planned or for an individual who formerly resided in a state facility and is on community-placement status and for an individual enrolled in the HCS or ICF/MR program to maintain the individual's placement or to develop another placement for the individual) and service authorization and monitoring (monitoring supports and services to ensure that the person's wants and needs are being addressed across services and over time, assisting the individual when changes in services are needed). Statutory Authority: Health and Safety Code, §534.053(a)(4) and (5).

**External/Internal Factors Impacting Sub-strategy:**

DADS will continue to enhance the process of coordinating its strategic planning process with that of the statewide network of local mental retardation authorities (MRA). As a result of those planning efforts, which include broad-based community participation, each MRA can identify local service needs and priorities.

0000044

3.D. Sub-Strategy Request      Strategy Code: 01-01-01-03

<b>Agency Code:</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	01	Intake, Access & Eligibility
<b>Strategy:</b>	01	Intake & Access
<b>Substrategy:</b>	03	Access and Assistance Coordination

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Output Measures:</b>						
2.	Number of persons receiving care coordination	10,614	11,774	12,138	12,296	12,230
3.	Number of information, referral, and assistance inquiries	99,320	109,728	111,384	116,305	121,746
4.	Number of persons receiving legal assistance	9,520	9,687	10,256	11,713	12,033
<b>Efficiency Measures:</b>						
1.	Statewide average cost per care coordination client	\$267.00	\$252.00	\$252.00	\$263.00	\$267.00
2.	Statewide average cost per person receiving legal assistance	\$125.00	\$137.00	\$131.00	\$111.00	\$110.00
Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
4000	Grants	9,271,215	10,307,450	9,169,190	9,857,888	9,857,888
<b>Total, Objects of Expense</b>		<b>9,271,215</b>	<b>10,307,450</b>	<b>9,169,190</b>	<b>9,857,888</b>	<b>9,857,888</b>
<b>Method of Finance:</b>						
0001	General Revenue	733,000	310,056	(63,485)	247,621	247,621
8004	GR Match for Federal Funds (TDoA)	653,807	764,738	839,797	683,167	683,167
<b>Subtotal, General Revenue Funds</b>		<b>1,386,807</b>	<b>1,074,794</b>	<b>776,312</b>	<b>930,788</b>	<b>930,788</b>
555	Federal Funds					
93.041.000	Special Services for the Aging_Title VII, Chapter 3	43,848	45,620	43,648	38,506	38,506
93.044.000	Special Services for the Aging_Title III, Part B	5,955,846	4,581,486	6,425,733	7,450,723	7,450,723
93.045.000	Special Services for the Aging_Title III, Part C	183,239	1,326,344	146,859	0	0
93.048.000	Special Services for the Aging_Title IV and Title II	2,475	0	42,750	183	183
93.052.000	National Family Caregiver Support	1,174,291	2,749,098	1,172,081	437,688	437,688
93.779.000	CMS Research, Demonstrations and Evaluations	524,709	530,108	561,807	1,000,000	1,000,000
<b>Subtotal, Federal Funds</b>		<b>7,884,408</b>	<b>9,232,656</b>	<b>8,392,878</b>	<b>8,927,100</b>	<b>8,927,100</b>
<b>Total, Method of Financing</b>		<b>9,271,215</b>	<b>10,307,450</b>	<b>9,169,190</b>	<b>9,857,888</b>	<b>9,857,888</b>

**Sub-strategy Description and Justification:**

Area Agencies on Aging support a statewide coordinated system of access and assistance that connects individuals to information and services at the local level. Comprised of four key services under the Older Americans Act (see description below), this system provides services that meet the individual needs of older persons, their family member(s) and informal caregiver(s). (Ombudsman services are a part of this system of access and assistance and are contained within a separate sub-strategy).

**Information, Referral and Assistance:** This service includes receiving an inquiry, assessing the service need(s), making a referral to an appropriate resource, and following up on the referral to ensure the service was received.

**Care Coordination:** An ongoing process that includes assessing the needs of a client and effectively planning, arranging, coordinating and following-up on services that most appropriately meet the identified needs.

**Legal Assistance:** Also known as Benefits Counseling, this service includes assistance with benefits and entitlements or assistance that includes advice and representation by an attorney (including assistance by a paralegal or law student under the supervision of an attorney) to older individuals with economic and social needs.

**Statutory Authority:** Human Resource Code, §§101.008, 101.022, 101.025, 101.027, 101.030, and 101.008; Older Americans Act, 42 USC Chapter 35.

0000045

**External/Internal Factors Impacting Sub-strategy:**

Through broad-based community participation, each MRA identifies local service needs and priorities. Depending on the mix of services delivered locally, expenditures in this strategy may vary from year to year while state funds allocated through performance contracts remain relatively stable.

DADS optimization efforts resulting in redesign may affect access/assistance services. Funds were allocated to provide critical support for informal caregivers. Priority service areas include Information, Referral and Assistance and Care Coordination. An increase in the demand for access/assistance service has occurred, resulting in additional AAA staff being hired to coordinate services.

AAAs are actively involved in the implementation of 211 in their communities, with several identified as the Area Information Center (AIC) in their community. The result is an increased number of inquiries for information, referral and assistance. In some regions, AAAs not designated as the AIC have worked to develop linkages between the AAA and AIC. Some AAAs provide funding for AICs and others are working to improve referrals.

Some AAAs will experience a reduction in access/assistance inquiries and expenditures to support access/assistance.

There is an increase in the number of persons in need of legal assistance. Medicare beneficiaries needed assistance following the loss of coverage by HMOs and with changes under the Medicare Modernization Act. These needs were met via AAA staff and through interagency contracts with the Texas Department of Insurance and the Texas Legal Services Center.

3.D. Sub-Strategy Request      Strategy Code: 01-01-01-04

<b>Agency Code:</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	01	Intake, Access & Eligibility
<b>Strategy:</b>	01	Intake & Access
<b>Substrategy:</b>	04	Ombudsman

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Output Measures:</b>						
1.	Number of certified Ombudsmen	1,108	1,112	1,125	1,146	1,169
<b>Explanatory Measures:</b>						
1.	Number of visits to Assisted Living Facilities by a Certified Ombudsman	New	New	New	5,016	5,267
2.	Total expenditures for the Ombudsman program	New	New	New	3,566,010	3,630,197
Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
4000	Grants	3,333,844	2,828,118	3,388,558	3,448,624	3,448,624
<b>Total, Objects of Expense</b>		<b>3,333,844</b>	<b>2,828,118</b>	<b>3,388,558</b>	<b>3,448,624</b>	<b>3,448,624</b>
<b>Method of Finance:</b>						
0001	General Revenue	455,722	353,718	404,615	455,722	455,722
8004	GR Match for Federal Funds (TDoA)	91,530	70,409	95,049	91,530	91,530
<b>Subtotal, General Revenue Funds</b>		<b>547,252</b>	<b>424,127</b>	<b>499,664</b>	<b>547,252</b>	<b>547,252</b>
555	Federal Funds					
93.041.000	Special Services for the Aging_Title VII, Chapter 3	190,958	198,677	196,265	190,958	190,958
93.042.000	Special Services for the Aging_Title VII, Chapter 2	765,031	797,138	791,657	879,811	879,811
93.044.000	Special Services for the Aging_Title III, Part B	1,830,603	1,408,176	1,900,972	1,830,603	1,830,603
<b>Subtotal, Federal Funds</b>		<b>2,786,592</b>	<b>2,403,991</b>	<b>2,888,894</b>	<b>2,901,372</b>	<b>2,901,372</b>
<b>Total, Method of Financing</b>		<b>3,333,844</b>	<b>2,828,118</b>	<b>3,388,558</b>	<b>3,448,624</b>	<b>3,448,624</b>

**Sub-strategy Description and Justification:**

Services include the identification, investigation, and resolution of complaints that are made by, or on behalf of residents of nursing facilities and assisted living facilities and which relate to action, inaction, or decisions that may adversely affect the health, safety, welfare, or rights of the residents. Statutory Authority: Health and Safety Code, Chapter 242.

**External/Internal Factors Impacting Sub-strategy:**

The Ombudsman program has recently expanded its advocacy services to include assisted living facilities. Paid staff administers this program. Services are delivered primarily through volunteers. So, volunteering trends could have a direct impact upon program services.



3.D. Sub-Strategy Request      Strategy Code: 01-01-01-05

<b>Agency Code:</b>	539	Department of Aging and Disability Services
<b>Goal:</b>	01	Long-Term Care
<b>Objective:</b>	01	Intake, Access & Eligibility
<b>Strategy:</b>	01	Intake & Access
<b>Substrategy:</b>	05	Program Administration

Code	Description	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
<b>Objects of Expense:</b>						
4000	Grants	5,762,756	6,637,830	9,254,497	5,774,951	5,774,951
<b>Total, Objects of Expense</b>		<b>5,762,756</b>	<b>6,637,830</b>	<b>9,254,497</b>	<b>5,774,951</b>	<b>5,774,951</b>
<b>Method of Finance:</b>						
0001	General Revenue	0	0	203,214	0	0
<b>Subtotal, General Revenue Funds</b>		<b>0</b>	<b>0</b>	<b>203,214</b>	<b>0</b>	<b>0</b>
555	Federal Funds					
93.044.000	Special Services for the Aging_ Title III, Part B	5,762,756	4,432,952	5,834,292	2,046,183	2,046,183
93.045.000	Special Services for the Aging_ Title III, Part C	0	2,204,878	3,216,991	2,923,779	2,923,779
93.048.000	Special Services for the Aging_ Title IV and Title II	0	0	0	0	0
93.052.000	National Family Caregiver Support	0	0	0	804,989	804,989
<b>Subtotal, Federal Funds</b>		<b>5,762,756</b>	<b>6,637,830</b>	<b>9,051,283</b>	<b>5,774,951</b>	<b>5,774,951</b>
<b>Total, Method of Financing</b>		<b>5,762,756</b>	<b>6,637,830</b>	<b>9,254,497</b>	<b>5,774,951</b>	<b>5,774,951</b>

**Sub-strategy Description and Justification:**

In accordance with an approved intrastate funding formula AAAs receive funds to support administrative activities that are not supported in service delivery. These include but are not limited to such responsibilities as providing advocacy and outreach for older persons, their family members and other caregivers, developing and implementing a federally required Area Plan, procurement activities (vendor agreements and contracts with service providers), reporting, reimbursement, accounting, auditing, monitoring and quality assurance. In accordance with the Older Americans Act, administrative funds require a 25% match. Statutory Authority: Human Resource Code, §§101.008, 101.022, 101.025, 101.027, 101.030, and 101.008.

0000048

### 3. E. Sub-strategy Summary

Agency Code: 539	Agency Name: Texas Department of Aging and Disability Services	Statewide Goal Code :03-03	Strategy Code: 01-01-01			
<b>AGENCY GOAL</b>	Long Term Care					
<b>OBJECTIVE</b>	Intake, Access & Eligibility					
<b>STRATEGY</b>	Intake & Access					
<b>SUB-STRATEGY SUMMARY</b>						
Code	Sub-strategy Requests	Exp 2003	Est 2004	Bud 2005	BL 2006	BL 2007
01	MR Assessment	4,671,927	5,116,090	5,203,280	5,346,102	5,373,568
02	MR Service Coordination	21,001,047	11,627,464	13,976,703	14,110,105	14,206,981
03	Access and Assistance Coordination	9,271,215	10,307,450	9,169,190	9,857,888	9,857,888
04	Ombudsman	3,333,844	2,828,118	3,388,558	3,448,624	3,448,624
05	Program Administration	5,762,756	6,637,830	9,254,497	5,774,951	5,774,951
<b>Total, Sub-strategies</b>		<b>44,040,789</b>	<b>36,516,952</b>	<b>40,992,228</b>	<b>38,537,670</b>	<b>38,662,012</b>