

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
 79th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/7/2004  
 TIME: 10:33:11AM

Agency code: 539

Agency name: AGING AND DISABILITY SERVICES

CODE	DESCRIPTION		Excp 2006	Excp 2007
	<b>Item Name:</b>	Infrastructure Refresh		
	<b>Item Priority:</b>	9		
	<b>Includes Funding for the Following Strategy or Strategies:</b>	01-08-01 MR State Schools Services		
		01-09-01 Capital Repairs and Renovations		
		03-01-02 Information Technology Program Support		
<b>OBJECTS OF EXPENSE:</b>				
2001	PROFESSIONAL FEES AND SERVICES		2,914,233	448,458
2007	RENT - MACHINE AND OTHER		1,411,001	2,822,002
2009	OTHER OPERATING EXPENSE		16,203,355	33,870,627
5000	CAPITAL EXPENDITURES		11,302,995	16,481,672
	<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$31,831,584</b>	<b>\$53,622,759</b>
<b>METHOD OF FINANCING:</b>				
1	GENERAL REVENUE FUND		15,195,090	5,684,675
543	TEXAS CAPITAL TRUST ACCT		280,198	280,197
555	FEDERAL FUNDS			
93.778.003	XIX 50%		705,501	1,411,002
758	GR MATCH FOR MEDICAID		705,500	1,411,000
780	BOND PROCEED-GEN OBLIGAT		14,945,295	44,835,885
	<b>TOTAL, METHOD OF FINANCING</b>		<b>\$31,831,584</b>	<b>\$53,622,759</b>

**DESCRIPTION / JUSTIFICATION:**

This item aggregates the capital budget item request for the department. It includes requests for the following categories:

Information Technology Refresh - this item consists of the replacement of the departments 6,450 personal computers with on ongoing lease contract, replacement of network switches, and upgrade of obsolete facility telecommunication equipment. Total cost for this item is \$7.5 million.

Minimum Data Sets - this item contains the IT programming costs associated with transitioning the States case-mix reimbursement methodology for nursing facilities from a data set of 11 levels to 44 levels. The total biennial cost for this item is \$6.6 million.

Direct Client Transportation Replacement - this item requests the purchase of 223 client transportation vehicles. If this item is not funded, the department will have gone 8 years without a vehicle replacement appropriation. The total cost for this item is \$5.6 million.

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**CODE DESCRIPTION**

**Excp 2006**

**Excp 2007**

Direct Client Furnishings and Equipment Replacement - this item requests funds to replace the minimal amount of aged and worn out equipment that is used for the direct care of the individuals in the departments MR facilities. If this item is not funded, the department will have gone 8 years without a furnishings and equipment replacement appropriation. The total cost for this item is \$5.4 million.

Renovations/Repairs of State Buildings - this item requests the most critical repair and renovation needs at the state MR facilities and state owned bond homes. This request will be used to make critical repairs on aging client sleep and use buildings and to address deficiencies related to federal and state mandated Life Safety Code Regulations. The total cost of this item is \$59.8 million in GO bonds and \$0.6 million in Texas Capital Trust Fund.

**EXTERNAL/INTERNAL FACTORS:**

The following factors are related to the following category:

Information Technology Refresh - the large percentage of the departments personal computers are less than the minimum 800 MHz designated by DIR in their Statewide Information Technology Asset Report. These machines are incapable of meeting the demands of the current applications being deployed and considered critical to the delivery of service to clients at DADS.

Minimum Data Sets - the Nursing Facility case-mix reimbursement methodology that Texas currently uses is specific to Texas. There is increasing concern that its eleven different case-mix levels do not appropriately reflect legitimate cost differences related to client case mix. By moving from the state-specific system to a national system, Texas could better benchmark its rates with other states.

Direct Client Transportation Replacement - the MR facilities currently have 512 client transportation vehicles of which 488 or 95% exceed the States replacement criteria of 6 years or 100,000 miles. All of the 223 vehicles replaced by this request are at least 12 years old and have between 120,000 to 160,000 miles. This item addresses a client safety issue.

Direct Client Furnishings and Equipment Replacement - examples of items requested in this item are medical, food service, residential, bathing and lifting equipment. Failure to keep furniture and equipment in good working condition not only compromises the safety of the clients, but may result in a cited ICF/MR deficiency and could result in lost or delayed federal funds.

Renovations/Repairs of State Buildings - Proposition 8, passed by the voters in November, 2001, provided for \$180 million in GO Bond Proceeds for repairs to the MHMR system over a six year period ending with FY 2007. This item requests the MR facilities remaining bond allotment of \$59.8 million, as well as \$0.6 million for bond home rent revenue requested as Texas Capital Trust fund.

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**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**

79th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/6/2004

TIME: 1:28:51PM

Agency code: 539

Agency name: AGING AND DISABILITY SERVICES

		Excp 2006	Excp 2007
<b>Item Name:</b>	Infrastructure Refresh		
<b>Allocation to Strategy:</b>	1-8-1 MR State Schools Services		
<b>OBJECTS OF EXPENSE:</b>			
5000 CAPITAL EXPENDITURES		5,492,675	5,492,675
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,492,675</b>	<b>\$5,492,675</b>
<b>METHOD OF FINANCING:</b>			
1 GENERAL REVENUE FUND		5,492,675	5,492,675
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$5,492,675</b>	<b>\$5,492,675</b>

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**  
 79th Regular Session, Agency Submission, Version 1  
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DATE: 10/6/2004  
 TIME: 1:28:51PM

Agency code: **539**                      Agency name: **AGING AND DISABILITY SERVICES**

		Excp 2006	Excp 2007
<b>Item Name:</b>	Infrastructure Refresh		
<b>Allocation to Strategy:</b>	1-9-1. Capital Repairs and Renovations		
<b>OBJECTS OF EXPENSE:</b>			
2001	PROFESSIONAL FEES AND SERVICES	2,914,233	448,458
2009	OTHER OPERATING EXPENSE	9,603,355	33,870,627
5000	CAPITAL EXPENDITURES	2,707,905	10,796,997
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$15,225,493</b>	<b>\$45,116,082</b>
<b>METHOD OF FINANCING:</b>			
543	TEXAS CAPITAL TRUST ACCT	280,198	280,197
780	BOND PROCEED-GEN OBLIGAT	14,945,295	44,835,885
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$15,225,493</b>	<b>\$45,116,082</b>

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**

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Agency name: AGING AND DISABILITY SERVICES

		Excp 2006	Excp 2007
<b>Item Name:</b>	Infrastructure Refresh		
<b>Allocation to Strategy:</b>	3-1-2 Information Technology Program Support		
<b>OBJECTS OF EXPENSE:</b>			
2007	RENT - MACHINE AND OTHER	1,411,001	2,822,002
2009	OTHER OPERATING EXPENSE	6,600,000	0
5000	CAPITAL EXPENDITURES	3,102,415	192,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$11,113,416</b>	<b>\$3,014,002</b>
<b>METHOD OF FINANCING:</b>			
1	GENERAL REVENUE FUND	9,702,415	192,000
555	FEDERAL FUNDS		
93.778.003	XIX 50%	705,501	1,411,002
758	GR MATCH FOR MEDICAID	705,500	1,411,000
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$11,113,416</b>	<b>\$3,014,002</b>

**FEDERAL FUNDS SUPPORTING SCHEDULE - Exceptional Items**

<b>Agency Code: 539</b>		<b>Agency Name: Department of Aging and Disability Services</b>	
<b>Item #9</b>	<b>Infrastructure Refresh</b>		
<b>CFDA No. &amp; Strategy No.</b>	<b>CFDA Description &amp; Strategy Description</b>	<b>Requested</b>	
		<b>2006</b>	<b>2007</b>
<b>93.778.003</b>	<b>Medical Assistance Program - Title XIX @ 50%</b>		
03-01-02	IT Program Support	705,501	1,411,002
	Total, All Strategies	705,501	1,411,002
	Additional Federal Funds for Employee Benefits		
	<b>TOTAL, Federal Funds</b>	<b>705,501</b>	<b>1,411,002</b>
	Additional General Revenue for Employee Benefits	0	0

### 3.B. Rider Revisions and Additions Request

Agency Code:	Agency Name:	Prepared By:	Date:	Request Level:																																							
539	Department of Aging and Disability Services	James R. Hine	10/8/04	Exceptional Item #9																																							
Current Rider Number	Page Number in 2004-05 GAA	Proposed Rider Language																																									
1	II-66 [DHS]	<p><b>Capital Budget.</b> None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for "Lease Payments to the Master Lease Purchase Program" or for items with an "(MLPP)" notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code § 1232.103.</p> <table style="width: 100%; margin-left: 20px;"> <thead> <tr> <th></th> <th style="text-align: right;"><u>2006</u></th> <th style="text-align: right;"><u>2007</u></th> </tr> </thead> <tbody> <tr> <td>a. <u>Repairs or Rehabilitation of Building and Facilities</u></td> <td style="text-align: right;">15,225,493</td> <td style="text-align: right;">45,116,082</td> </tr> <tr> <td>b. <u>Acquisition of Information Resource Technologies</u></td> <td style="text-align: right;">11,113,416</td> <td style="text-align: right;">3,014,002</td> </tr> <tr> <td>d. <u>Replacement of Transportation Items</u></td> <td style="text-align: right;">2,792,675</td> <td style="text-align: right;">2,792,675</td> </tr> <tr> <td>e. <u>Replacement of Furniture &amp; Equipment</u></td> <td style="text-align: right;">2,700,000</td> <td style="text-align: right;">2,700,000</td> </tr> <tr> <td><u>Total, Capital Budget</u></td> <td style="text-align: right;"><u>31,831,584</u></td> <td style="text-align: right;"><u>53,622,759</u></td> </tr> <tr> <td colspan="3"><u>Method of Financing (Capital Budget)</u></td> </tr> <tr> <td><u>0001 General Revenue Fund</u></td> <td style="text-align: right;"><u>15,195,090</u></td> <td style="text-align: right;"><u>5,684,675</u></td> </tr> <tr> <td><u>0543 GR Dedicated – Capital Trust Fund</u></td> <td style="text-align: right;"><u>280,198</u></td> <td style="text-align: right;"><u>280,197</u></td> </tr> <tr> <td><u>0758 GR Match for Medicaid</u></td> <td style="text-align: right;"><u>705,500</u></td> <td style="text-align: right;"><u>1,411,000</u></td> </tr> <tr> <td><u>0780 Bond proceeds – General Obligation</u></td> <td style="text-align: right;"><u>17,502,113</u></td> <td style="text-align: right;"><u>44,835,885</u></td> </tr> <tr> <td><u>0555 Federal Funds</u></td> <td style="text-align: right;"><u>705,501</u></td> <td style="text-align: right;"><u>1,411,002</u></td> </tr> <tr> <td><u>Total Method of Financing</u></td> <td style="text-align: right;"><u>31,831,584</u></td> <td style="text-align: right;"><u>53,622,759</u></td> </tr> </tbody> </table> <p style="margin-left: 20px;"><i>This rider reflects the FY 2006-2007 Capital Budget Request for exceptional item #9, Infrastructure Refresh for Repairs and Rehabilitation of buildings, Information Technology, Vehicles, and Equipment and Furniture. An explanation of the requested item and the impact on the agency operations is included in the Exceptional Item Schedules and the Capital Budget Supporting Schedules.</i></p>				<u>2006</u>	<u>2007</u>	a. <u>Repairs or Rehabilitation of Building and Facilities</u>	15,225,493	45,116,082	b. <u>Acquisition of Information Resource Technologies</u>	11,113,416	3,014,002	d. <u>Replacement of Transportation Items</u>	2,792,675	2,792,675	e. <u>Replacement of Furniture &amp; Equipment</u>	2,700,000	2,700,000	<u>Total, Capital Budget</u>	<u>31,831,584</u>	<u>53,622,759</u>	<u>Method of Financing (Capital Budget)</u>			<u>0001 General Revenue Fund</u>	<u>15,195,090</u>	<u>5,684,675</u>	<u>0543 GR Dedicated – Capital Trust Fund</u>	<u>280,198</u>	<u>280,197</u>	<u>0758 GR Match for Medicaid</u>	<u>705,500</u>	<u>1,411,000</u>	<u>0780 Bond proceeds – General Obligation</u>	<u>17,502,113</u>	<u>44,835,885</u>	<u>0555 Federal Funds</u>	<u>705,501</u>	<u>1,411,002</u>	<u>Total Method of Financing</u>	<u>31,831,584</u>	<u>53,622,759</u>
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