

*Executive Offices*  
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November 20, 2001

Honorable Rick Perry, Governor  
Honorable Carole Keeton Rylander, State Comptroller  
John Keel, Director, Legislative Budget Board  
Lawrence F. Alwin, CPA, State Auditor

Lady and Gentlemen:

We are pleased to submit the Annual Financial Report of the Texas Department of Licensing and Regulation for the year ended August 31, 2001, in compliance with TEX GOV'T CODE ANN §2101.011 and in accordance with the requirements established by the Comptroller of Public Accounts.

The accompanying annual financial report will be considered for audit by the State Auditor as part of the audit of the State of Texas Comprehensive Annual Financial Report; therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

If you have any questions, please contact Patrice Nance at 463-3100.

Sincerely,

William H. Kuntz, Jr.  
Executive Director

WHK/klm

Enclosure

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**EXHIBIT I - COMBINED BALANCE SHEET: ALL FUND TYPES AND ACCOUNT GROUPS**  
 For the Year Ended August 31, 2001 (With comparative totals for August 31, 2000)

	<b>GOVERNMENTAL FUND TYPES</b>	<b>FIDUCIARY FUND TYPE</b>
	<b>GENERAL REVENUE FUND 0001 U/F (0001,9000,9001)</b>	<b>TRUST AND AGENCY (EXH G-1)</b>
<b>ASSETS</b>		
<b>Cash:</b>		
Cash on Hand	\$ 101.00	\$ 28,197.90
Cash in State Treasury		1,421,657.88
Legislative Appropriations	3,221,785.87	
<b>Receivables:</b>		
Interfund Receivables (Note 7)	5,975.00	8,180.00
Due From Other Funds	125.00	72,178.55
<b>Inventories:</b>		
Consumable Inventories	40,104.92	
<b>Fixed Assets (Note 2):</b>		
Furniture and Equipment		
Library Books		
Vehicles, Boats, and Aircraft		
<b>Amounts To Be Provided in Future Years:</b>		
Other Obligations	_____	_____
<b>TOTAL ASSETS</b>	<b>\$ <u>3,268,091.79</u></b>	<b>\$ <u>1,530,214.33</u></b>
<b>LIABILITIES</b>		
<b>Payables:</b>		
Accounts Payable	\$ 230,826.35	\$ _____
Other Payables (Payroll Payable)	519,119.10	
Interfund Payables (Note 7)	450.00	13,705.00
Due to Other Agencies	519.76	
Due to Other Funds	72,178.55	125.00
Employees Compensable Leave		
Funds Held for Others	_____	1,203,106.02
<b>TOTAL LIABILITIES</b>	<b>\$ <u>823,093.76</u></b>	<b>\$ <u>1,216,936.02</u></b>
<b>FUND EQUITY</b>		
Investment in General Fixed Assets	\$ _____	\$ _____
<b>Fund Balances (Deficits):</b>		
<b>Reserved For:</b>		
Encumbrances	89,756.65	
Inventories	40,104.92	
Imprest Accounts	101.00	
Other		51,602.50
<b>Unreserved:</b>		
Undesignated:		
Other	2,315,035.46	261,675.81
<b>TOTAL FUND EQUITY</b>	<b>\$ <u>2,444,998.03</u></b>	<b>\$ <u>313,278.31</u></b>
<b>TOTAL LIABILITIES AND FUND EQUITY</b>	<b>\$ <u>3,268,091.79</u></b>	<b>\$ <u>1,530,214.33</u></b>

The accompanying notes to the financial statements are an integral part of this financial statement.

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ACCOUNT GROUPS			
GENERAL FIXED ASSETS	GENERAL LONG-TERM DEBT	TOTALS (Memorandum Only)	
		2001	2000
\$	\$	\$ 28,298.90	\$ 80,632.40
		1,421,657.88	1,734,224.81
		3,221,785.87	1,967,168.95
		14,155.00	0.00
		72,303.55	0.00
		40,104.92	29,794.89
1,077,086.01		1,077,086.01	949,837.53
7,150.00		7,150.00	0.00
		0.00	57,730.00
	550,693.43	550,693.43	454,044.10
<u>\$ 1,084,236.01</u>	<u>\$ 550,693.43</u>	<u>\$ 6,433,235.56</u>	<u>\$ 5,273,432.68</u>
\$	\$	\$ 230,826.35	\$ 166,844.61
		519,119.10	469,472.31
		14,155.00	0.00
		519.76	0.00
		72,303.55	1,466.24
	550,693.43	550,693.43	454,044.10
		1,203,106.02	1,455,618.59
<u>\$ 0.00</u>	<u>\$ 550,693.43</u>	<u>\$ 2,590,723.21</u>	<u>\$ 2,547,445.85</u>
\$ 1,084,236.01	\$	\$ 1,084,236.01	\$ 1,007,567.53
		89,756.65	29,019.17
		40,104.92	29,794.89
		101.00	101.00
		51,602.50	25,148.26
		2,576,711.27	1,634,355.98
<u>\$ 1,084,236.01</u>	<u>\$ 0.00</u>	<u>\$ 3,842,512.35</u>	<u>\$ 2,725,986.83</u>
<u>\$ 1,084,236.01</u>	<u>\$ 550,693.43</u>	<u>\$ 6,433,235.56</u>	<u>\$ 5,273,432.68</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

EXHIBIT II - COMBINED STATEMENT OF REVENUES, EXPENDITURES & CHANGES IN FUND BALANCES:

ALL GOVERNMENTAL FUND TYPES AND EXPENDABLE TRUST FUNDS

For the Year Ended August 31, 2001 (With comparative totals for August 31, 2000)

	GOVERNMENTAL FUND TYPE	FIDUCIARY FUND TYPES
	GENERAL REVENUE FUND 0001 U/F (0001/0001)	EXPENDABLE TRUST FUND 0898 U/F (0898/8898)
REVENUES:		
Legislative Appropriations:		
Original Appropriations	\$ 6,132,430.00	\$
Additional Appropriations	1,549,735.43	
Licenses, Fees and Permits	2,462,282.62	43,400.00
Interest and Investment Income		18,122.55
Sales of Goods and Services	39,937.42	
Other Revenues	116,575.32	15,500.00
Total Revenues	<u>\$ 10,300,960.79</u>	<u>\$ 77,022.55</u>
EXPENDITURES:		
Salaries and Wages	\$ 5,231,876.66	
Payroll Related Costs	1,303,126.86	
Professional Fees & Services	36,633.12	96,500.00
Travel	276,614.46	214.65
Materials and Supplies	283,216.78	15,000.00
Communication and Utilities	182,799.80	
Repairs and Maintenance	73,799.08	
Rentals and Leases	91,258.83	
Printing and Reproduction	44,854.42	
Claims and Judgments	6,422.61	37,621.45
Other Operating Expenditures	262,564.98	
Debt Service - Principal (Vehicle Leases)	18,915.00	
Capital Outlay	260,295.22	
Total Expenditures	<u>\$ 8,072,377.82</u>	<u>\$ 149,336.10</u>
EXCESS OF REVENUES OVER EXPENDITURES	<u>\$ 2,228,582.97</u>	<u>\$ (72,313.55)</u>
OTHER FINANCING SOURCES (USES):		
Operating Transfer In (Agy 902, Fund 0001)		
Operating Transfer Out (Agy 902, Fund 0001)	(985,787.40)	
Total Other Financing Sources (Uses)	<u>\$ (985,787.40)</u>	<u>\$ 0.00</u>
EXCESS OF REVENUE & OTHER FINANCING SOURCES OVER EXPENDITURES & OTHER FINANCING USES	<u>\$ 1,242,795.57</u>	<u>\$ (72,313.55)</u>
FUND BALANCES - Beginning	<u>1,359,281.68</u>	<u>333,989.36</u>
Appropriations Lapsed	(167,389.25)	
Net Change in Reserve for Inventories	10,310.03	
FUND BALANCES - Ending	<u><u>\$ 2,444,998.03</u></u>	<u><u>\$ 261,675.81</u></u>

The accompanying notes to the financial statements are an integral part of this financial statement.

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TOTALS	
(Memorandum Only)	
<u>2001</u>	<u>2000</u>
\$ 6,132,430.00	\$ 6,075,301.00
1,549,735.43	1,434,965.33
2,505,682.62	1,094,568.91
18,122.55	19,939.08
39,937.42	24,807.14
132,075.32	22,925.75
<u>\$ 10,377,983.34</u>	<u>\$ 8,672,507.21</u>
\$ 5,231,876.66	\$ 4,814,739.95
1,303,126.86	1,183,637.48
133,133.12	(4,367.46)
276,829.11	267,413.60
298,216.78	229,985.35
182,799.80	167,645.34
73,799.08	97,803.80
91,258.83	109,459.85
44,854.42	31,632.53
44,044.06	127,146.73
262,564.98	254,382.34
18,915.00	0.00
260,295.22	122,970.09
<u>\$ 8,221,713.92</u>	<u>\$ 7,402,449.60</u>
\$ 2,156,269.42	\$ 1,270,057.61
0.00	1,522.06
(985,787.40)	(1,627,414.67)
<u>\$ (985,787.40)</u>	<u>\$ (1,625,892.61)</u>
\$ 1,170,482.02	\$ (355,835.00)
<u>1,693,271.04</u>	<u>2,300,571.48</u>
(167,389.25)	(256,172.29)
10,310.03	4,706.85
<u>\$ 2,706,673.84</u>	<u>\$ 1,693,271.04</u>

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**EXHIBIT IV - COMBINED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN FUND EQUITY:**  
**ALL NONEXPENDABLE TRUST FUNDS**  
 For the Year Ended August 31, 2001

	NONEXPENDABLE TRUST FUND 0846 <u>U/F (0846)</u>
<b>OPERATING REVENUES:</b>	
Licenses, Fees and Permits	\$ <u>25,000.00</u>
<b>Total Operating Revenues</b>	<b>\$ <u>25,000.00</u></b>
<b>OPERATING EXPENSES:</b>	
(None)	\$ <u>0.00</u>
<b>Total Operating Expenses</b>	<b>\$ <u>0.00</u></b>
<b>OPERATING INCOME (LOSS)</b>	<b>\$ <u>25,000.00</u></b>
<b>NONOPERATING REVENUES (EXPENSES):</b>	
Interest Revenue	\$ <u>1,454.24</u>
<b>Total Nonoperating Revenues (Expenses)</b>	<b>\$ <u>1,454.24</u></b>
<b>INCOME (LOSS) BEFORE OPERATING TRANSFERS IN (OUT)</b>	<b>\$ <u>26,454.24</u></b>
<b>NET INCOME (LOSS)</b>	<b>\$ <u>26,454.24</u></b>
<b>FUND EQUITY, September 1, 2000</b>	<b>\$ <u>25,148.26</u></b>
<b>FUND EQUITY, August 31, 2001</b>	<b>\$ <u><u>51,602.50</u></u></b>

The accompanying notes to the financial statements are an integral part of this financial statement.

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**EXHIBIT V - COMBINED STATEMENT OF CASH FLOWS: NONEXPENDABLE TRUST FUNDS**  
 For the Year Ended August 31, 2001

	NONEXPENDABLE TRUST FUND 0846 U/F (0846)
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>	
Proceeds received from service contract provider registrant	\$ <u>25,000.00</u>
<b>Net Cash Provided By Operating Activities:</b>	\$ <u>25,000.00</u>
<b>CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES</b>	
Proceeds from advances from other funds	\$ <u>13,665.00</u>
<b>Net Cash Provided By Noncapital Financing Activities:</b>	\$ <u>0.00</u>
<b>CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES</b>	
(None)	\$ <u>0.00</u>
<b>Net Cash Provided For Capital And Related Financing Activities:</b>	\$ <u>0.00</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES:</b>	
Proceeds from interest and investment income	\$ <u>1,454.24</u>
<b>Net Cash Provided From Investing Activities:</b>	\$ <u>1,454.24</u>
Increase (decrease) in cash	\$ <u>40,119.24</u>
Cash & Cash equivalents 2000	<u>25,148.26</u>
Cash and cash equivalents 2001	\$ <u><u>65,267.50</u></u>
<b>RECONCILIATION OF OPERATING INCOME TO NET CASH PROVIDED BY OPERATING ACTIVITIES</b>	
Operating income (loss)	\$ <u>25,000.00</u>
<b>Net Cash Provided by Operating Activities</b>	\$ <u><u>25,000.00</u></u>

The accompanying notes to the financial statements are an integral part of this financial statement.



**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**NOTES TO THE FINANCIAL STATEMENTS**

**NOTE 1: Summary of Significant Accounting Policies**

**Entity**

The Texas Department of Licensing and Regulation is an agency of the State of Texas and its financial records comply with state statutes and regulations. This includes compliance with the Texas Comptroller of Public Accounts' Reporting Requirements for State Agencies.

The Texas Department of Licensing and Regulation serves the state by administering seventeen different statutes, which are outlined in Addendum I of this report.

No component units have been identified which require disclosure.

The accompanying financial statements have been prepared to conform with Generally Accepted Accounting Principles (GAAP) as prescribed by the Governmental Accounting Standards Board (GASB).

**Fund Structure**

The accompanying financial statements are presented on the basis of fund types and account groups, each of which is considered a separate accounting entity.

Governmental Fund Types

General Fund

The general fund is used to account for all financial resources of the state except those required to be accounted for in another fund.

Fiduciary Fund Types

Expendable Trust Funds

Expendable trust funds are used to account for trust funds whose principal and interest may be expended in the course of their designated operations.

Nonexpendable Trust Funds

Nonexpendable trust funds are funds whose principal must be held in perpetuity. The earnings of the fund may be spent to achieve the fund's objective but the principal must remain intact.

Agency Funds

Agency funds are used to account for assets held as an agent for individuals, other government entities, and/or other funds. These funds are custodial in nature and do not involve measurement of results of operations.

Account Groups

General Fixed Assets Account Group

Fixed assets used by the Agency are accounted for in the General Fixed Assets Account Group, with the exception of those acquired by and accounted for in proprietary, non-expendable trust and pension trust funds.

General Long-Term Debt Account Group

This account group is used to account for long-term debt to be financed in future periods from governmental funds.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**

**Memorandum Totals**

The total columns on the financial statements are captioned "Memorandum Only" to indicate they are for informational purposes only. Data in these columns does not present the financial position, results of operations, or changes in financial position in conformity with GAAP, nor is such data comparable to a consolidation.

**Basis of Accounting**

The basis of accounting determines when revenues and expenditures or expenses are recognized in the accounts reported in the financial statements. All governmental fund types, expendable trust funds and agency funds are accounted for using the modified accrual basis of accounting. Revenues are recognized when they become both measurable and available. Expenditures are generally recognized when the related fund liability is incurred. Exceptions are unpaid employee compensable leave, the unmatured debt service (principal and interest) on general long-term debt, long-term capital leases, and long-term claims and judgments, which are not recognized until actual payment is made. The principal of these long-term liabilities is reported in the General Long-Term Debt Account Group.

Nonexpendable trust funds are accounted for on the accrual basis of accounting. Revenues are recognized when earned and expenses are recognized when the liability is incurred regardless of the timing of the related cash flow.

**Budgets and Budgetary Accounting**

The budget is prepared biennially and represents appropriations authorized by the legislature and approved by the Governor (the General Appropriations Act). Encumbrance accounting is employed for budgetary control purposes.

Unencumbered appropriations are generally subject to lapse 60 days after the end of the fiscal year for which they were appropriated.

**Assets, Liabilities, and Fund Equity**

ASSETS

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Inventories

Consumable inventories include supplies and postage on hand at year-end.

Fixed Assets

Purchases of fixed assets by governmental or similar trust funds are reported as expenditures. They are capitalized at cost or, if not purchased, at appraised value on the date of acquisition. There is no provision for depreciation or obsolescence of these fixed assets since replacements are financed from current resources.

LIABILITIES

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Accounts Payable

Accounts Payable represents the liability for the value of assets or services received at the balance sheet date for which payment is pending.

Employees' Compensable Leave

Employees' Compensable Leave Balances represent the liability that the Agency will be required to liquidate in the future for employee vacation time.

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**

Reservations/Designations of Fund Equity

Reservations of fund balance represent fund balances of governmental and fiduciary fund types that are legally restricted to a specific future use.

Reserved for Encumbrances

This represents commitments of the value of contracts awarded or assets ordered prior to year-end but not received as of that date. Encumbrances are not included with expenditures or liabilities. They represent current resources designated for specific expenditures in subsequent operating periods.

Reserved for Consumable Inventories

This represents the amount of supplies, postage and prepaid assets to be used in the next fiscal year.

Reserved for Imprest Accounts

This represents the funds held in reserve for imprest cash (change fund).

Unreserved/Undesignated:

*Other* represents the unappropriated balance at year-end.

**INTERFUND TRANSACTIONS AND BALANCES**

The agency has the following types of transactions among funds:

- (1) Operating Transfers: Legally required transfers that are reported when incurred as 'Operating Transfers In' by the recipient fund and as 'Operating Transfers Out' by the disbursing fund.
- (2) Quasi-External Transactions: Charges or collections for services rendered by one fund to another that are recorded as revenues of the recipient fund and expenditures or expenses of the disbursing fund. The composition of the agency's interfund receivables and payables at August 31, 2001 is presented in Note 7.

**NOTE 2: Fixed Assets**

A summary of changes in General Fixed Assets for the year ended August 31, 2001, is presented below:

Asset Type	Balance 09-01-00	Adjustments	Additions	Deletions	(Exh. I) Balance 08-31-01
Furniture and Equipment*	\$949,837.53	(\$3,234.71)	\$253,139.39	(\$122,656.20)	\$1,077,086.01
Library Books*		\$7,150.00			\$7,150.00
Vehicles, Boats and Aircraft	\$57,730.00			(\$57,730.00)	\$0
<b>TOTAL</b>	<b>\$1,007,567.53</b>	<b>\$3,915.29</b>	<b>\$253,139.39</b>	<b>(\$180,386.20)</b>	<b>\$1,084,236.01</b>

\*Revised 12/14/01

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**

Reconciliation of Additions to Capital Outlay, Exhibit II:

Total Capital Outlay, Exhibit II	\$ 260,295.22
Less Remodeling of State Office Building – not in SPA	( 7,155.83)
Total Additions to Fixed Assets	<u>\$ 253,139.39</u>

**NOTE 3: Deposits, Investments, & Repurchase Agreements**

Not applicable to this agency.

**NOTE 4: General Long Term Debt**

**Changes In General Long-Term Debt**

During the year ended August 31, 2001, the following changes occurred in liabilities reported in the general long-term debt account.

	Balance 09-01-00	Additions	Deductions	Balance 08-31-01
Compensable Leave	\$454,044.10	\$495,686.54	(\$399,037.21)	\$550,693.43
Total	\$454,044.10	\$495,686.54	(\$399,037.21)	\$550,693.43

Note: The deduction column includes an adjustment required to the 09/01/00 balance. The discrepancy is due to calculations being based on different wages.

**Employees' Compensable Leave**

A state employee is entitled to be paid for all unused vacation time accrued, in the event of the employee's resignation, dismissal, or separation from State employment, provided the employee has had continuous employment with the State for six months. Expenditures for accumulated annual leave balances are recognized in the period paid or taken in governmental and/or Expendable Trust fund types. For these fund types, the liability for unpaid benefits is recorded in the General Long-Term Debt Account Group with a corresponding account designated "Amounts To Be Provided in Future Years". An expense and liability for proprietary fund types are recorded in the proprietary funds as the benefits accrue to employees. No liability is recorded for non-vesting accumulating rights to receive sick pay benefits.

**Claims & Judgments**

There were no outstanding claims and judgments at August 31, 2001.

**NOTE 5: Capital Leases**

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**

Not applicable to this agency.

**NOTE 6: Operating Lease Obligations**

Included in the expenditures or expenses reported in the financial statements are the following amounts of rent paid or due under operating leases:

Fund Type	
General Fund	\$33,315
Totals-Memorandum Only	\$33,315

Future minimum lease rental payments under non-cancelable operating leases having an initial term in excess of one year are as follows:

Year Ended August 31,	
2002	\$20,628
2003	20,628
2004	3,438
Total Minimum Future Lease Payments	\$44,694

**NOTE 7: Interfund Balances / Activities**

As explained in Note 1 on Interfund Transactions and Balances there are numerous transactions between funds and agencies. At year-end, amounts to be received or paid are reported as Interfund Receivables or Interfund Payables or Due From or Due To Other Funds. The Due From and Due To transactions are reported on DAFR 8910, INTERFUND / INTERAGENCY ACTIVITY REPORT, included with this financial statement.

The Interfund Receivable and Interfund Payable balances at August 31, 2001 are as follows:

	Interfund Receivable	Interfund Payable
<b>GENERAL REVENUE FUND (01)</b>		
Appd Fund 0001, D23 Fund 0001		
(Agency 452, D23 Fund 0846)	\$ 5,935.00	
(Agency 452, D23 Fund 1000)	\$ 40.00	
(Agency 452, D23 Fund 1000)		\$ 450.00
<b>TOTAL GENERAL REVENUE (01)</b>	<b>\$ 5,975.00</b>	<b>\$ 450.00</b>
<b>NON-EXPENDABLE TRUST FUND (08)</b>		
Appd Fund 0846, D23 Fund 0846		
(Agency 452, D23 Fund 0001)		\$ 5,935.00
(Agency 452, D23 Fund 1000)		\$ 7,730.00
<b>TOTAL NON-EXPENDABLE TRUST (08)</b>		<b>\$ 13,665.00</b>
<b>AGENCY FUNDS (09)</b>		
Appd Fund 0001, D23 Fund 1000		
(Agency 452, D23 Fund 0846)	\$ 7,730.00	

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**

(Agency 452, D23 Fund 0001)	\$ 450.00	
(Agency 452, D23 Fund 0001)		\$ 40.00
<b>TOTAL AGENCY FUNDS (09)</b>	<b>\$ 8,180.00</b>	<b>\$ 40.00</b>

**NOTE 8: Employees Retirement Plans**

The State has joint contributory retirement plans for substantially all its employees. The Agency participates in the plans administered by the Employees Retirement System of Texas. Future pension costs are the liabilities of the Retirement System. The System does not account for each State agency separately. Annual financial reports prepared by the System include audited financial statements and actuarial assumptions and conclusions.

**NOTE 9: Deferred Compensation**

State employees may elect to defer a portion of their earnings for income tax and investment purposes pursuant to authority granted in the TEX. GOV'T. CODE ANN., sec 609.001. Two plans are available for employees' deferred compensation plan. Both plans are administered by the Employees Retirement System.

**NOTE 10: Contingent Liabilities**

There are no contingent liabilities for this agency.

**NOTE 11: Continuance Subject to Review**

Under the Texas Sunset Act, the Agency will be abolished effective September 1, 2003 unless continued in existence by the 78th legislature as provided by the Act. If abolished, the agency may continue until September 1, 2004 to close out its operations.

**NOTE 12: Risk Financing and Related Insurance**

The Agency is exposed to a variety of civil claims resulting from the performance of its duties. It is agency policy to periodically assess the proper combination of commercial insurance and retention of risk to cover losses to which it may be exposed.

The Agency assumes substantially all risks associated with tort and liability claims due to the performance of its duties. Currently there is no purchase of commercial insurance, nor is the agency involved in any risk pools with other government entities.

**NOTE 13: Segment Information**

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**

Not applicable to this agency.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**

**NOTE 14: Bonded Indebtedness**

Not applicable to this agency.

**NOTE 15: Subsequent Events**

Not applicable to this agency.

**NOTE 16: Related Parties – Relationship with Agency Entity Authority**

Not applicable to this agency.

**NOTE 17: Stewardship, Compliance and Accountability**

Not applicable to this agency.

**NOTE 18: The Financial Reporting Entity and Joint Ventures**

Not applicable to this agency.

**NOTE 19: Restatement Of Fund Balances /Retained Earnings**

Not applicable to this agency.



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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**EXHIBIT G-1 - COMBINING BALANCE SHEET: FIDUCIARY FUND TYPES**  
 For the Year Ended August 31, 2001 (With comparative totals for August 31, 2000)

	EXPENDABLE TRUST FUND FUND 0898 U/F (0898)	NONEXPENDABLE TRUST FUND FUND 0846 U/F (0846)
	<u>                    </u>	<u>                    </u>
<b>ASSETS</b>		
Cash:		
Cash on Hand	\$	\$
Cash in State Treasury	261,675.81	65,267.50
Interfund Receivables (Note 7)		
Due From Other Funds	<u>                    </u>	<u>                    </u>
<b>TOTAL ASSETS</b>	<b>\$ <u>261,675.81</u></b>	<b>\$ <u>65,267.50</u></b>
<b>LIABILITIES</b>		
Interfund Payables (Note 7)	\$	\$ 13,665.00
Due To Other Funds		
Funds Held for Others	<u>                    </u>	<u>                    </u>
<b>TOTAL LIABILITIES</b>	<b>\$ <u>0.00</u></b>	<b>\$ <u>13,665.00</u></b>
<b>FUND EQUITY</b>		
<b>FUND BALANCES (DEFICITS):</b>		
Reserved For:	\$	\$
Other		51,602.50
Unreserved:		
Undesignated:		
Other	<u>261,675.81</u>	<u>                    </u>
<b>TOTAL FUND EQUITY</b>	<b>\$ <u>261,675.81</u></b>	<b>\$ <u>51,602.50</u></b>
<b>TOTAL LIABILITIES AND FUND EQUITY</b>	<b>\$ <u>261,675.81</u></b>	<b>\$ <u>65,267.50</u></b>

The accompanying notes to the financial statements are an integral part of this financial statement.

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AGENCY FUNDS (EXH K-1)	2001 (EXH I)	2000
<u>                    </u>	<u>                    </u>	<u>                    </u>
\$ 28,197.90	\$ 28,197.90	\$ 80,531.40
1,094,714.57	1,421,657.88	1,734,224.81
8,180.00	8,180.00	0.00
<u>72,178.55</u>	<u>72,178.55</u>	<u>0.00</u>
\$ <u>1,203,271.02</u>	\$ <u>1,530,214.33</u>	\$ <u>1,814,756.21</u>
\$ 40.00	\$ 13,705.00	\$ 0.00
125.00	125.00	0.00
\$ <u>1,203,106.02</u>	\$ <u>1,203,106.02</u>	\$ <u>1,455,618.59</u>
\$ <u>1,203,271.02</u>	\$ <u>1,216,936.02</u>	\$ <u>1,455,618.59</u>
\$	\$ 51,602.50	\$ 25,148.26
<u>                    </u>	<u>261,675.81</u>	<u>333,989.36</u>
\$ <u>0.00</u>	\$ <u>313,278.31</u>	\$ <u>359,137.62</u>
\$ <u>1,203,271.02</u>	\$ <u>1,530,214.33</u>	\$ <u>1,814,756.21</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

EXHIBIT K-1 - COMBINING STATEMENT OF CHANGES IN ASSETS AND LIABILITIES: ALL AGENCY FUNDS

For the Year Ended August 31, 2001

	Balances September 1, 2000	Additions	Deductions	Balances August 31, 2001
<b>OTHER AGENCY FUNDS</b>				
<b>Unappropriated General Revenue</b>				
<b>Fund (0001) U/F (1000)</b>				
<b>Assets:</b>				
Interfund Receivable (Note 7)	\$ 0.00	8,180.00	0.00	\$ 8,180.00
<b>Total Assets</b>	<b>\$ 0.00</b>	<b>\$ 8,180.00</b>	<b>\$ 0.00</b>	<b>\$ 8,180.00</b>
<b>Liabilities:</b>				
Interfund Payable (Note 7)	0.00	40.00	0.00	40.00
Funds Held for Others	\$ 0.00	8,140.00	0.00	\$ 8,140.00
<b>Total Liabilities</b>	<b>\$ 0.00</b>	<b>\$ 8,180.00</b>	<b>\$ 0.00</b>	<b>\$ 8,180.00</b>
<b>Suspense Fund (0900) U/F (0900)</b>				
<b>Assets:</b>				
Cash on Hand	\$ 80,531.40	\$ 28,197.90	\$ (80,531.40)	\$ 28,197.90
Cash in State Treasury	1,372,052.87	10,973,339.35	(11,253,946.89)	1,091,445.33
Due From Other Funds	0.00	72,178.55	0.00	72,178.55
<b>Total Assets</b>	<b>\$ 1,452,584.27</b>	<b>\$ 11,073,715.80</b>	<b>\$ (11,334,478.29)</b>	<b>\$ 1,191,821.78</b>
<b>Liabilities:</b>				
Due To Other Funds	0.00	125.00	0.00	125.00
Funds Held for Others	\$ 1,452,584.27	\$ 11,073,590.80	\$ (11,334,478.29)	\$ 1,191,696.78
<b>Total Liabilities</b>	<b>\$ 1,452,584.27</b>	<b>\$ 11,073,715.80</b>	<b>\$ (11,334,478.29)</b>	<b>\$ 1,191,821.78</b>
<b>Employees' Savings Bond</b>				
<b>Account (0901) U/F (0901)</b>				
<b>Assets:</b>				
Cash in State Treasury	\$ 625.02	\$ 2,400.00	\$ (2,400.00)	\$ 625.02
<b>Total Assets</b>	<b>\$ 625.02</b>	<b>\$ 2,400.00</b>	<b>\$ (2,400.00)</b>	<b>\$ 625.02</b>
<b>Liabilities:</b>				
Funds Held for Others	\$ 625.02	\$ 2,400.00	\$ (2,400.00)	\$ 625.02
<b>Total Liabilities</b>	<b>\$ 625.02</b>	<b>\$ 2,400.00</b>	<b>\$ (2,400.00)</b>	<b>\$ 625.02</b>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION

EXHIBIT K-1 (continued)

COMBINING STATEMENT OF CHANGES IN ASSETS AND LIABILITIES: All Agency Funds

For the Year Ended August 31, 2001

	Balances September 1, 2000	Additions	Deductions	Balances August 31, 2001
<b>TEXSAVER Account (0942) U/F (0942)</b>				
Assets:				
Accounts Receivable	\$ 0.00	\$ 93,748.73	\$ (93,748.73)	\$ 0.00
Total Assets	<u>\$ 0.00</u>	<u>\$ 93,748.73</u>	<u>\$ (93,748.73)</u>	<u>\$ 0.00</u>
Liabilities:				
Funds Held for Others	\$ 0.00	\$ 93,748.73	\$ (93,748.73)	\$ 0.00
Total Liabilities	<u>\$ 0.00</u>	<u>\$ 93,748.73</u>	<u>\$ (93,748.73)</u>	<u>\$ 0.00</u>
<b>Direct Deposits Corrections (0980), U/F (0980)</b>				
Assets:				
Cash in State Treasury	\$ 2,409.30	\$ 234.92	\$ 0.00	\$ 2,644.22
Total Assets	<u>\$ 2,409.30</u>	<u>\$ 234.92</u>	<u>\$ 0.00</u>	<u>\$ 2,644.22</u>
Liabilities:				
Funds Held for Others	\$ 2,409.30	\$ 234.92	\$ 0.00	\$ 2,644.22
Total Liabilities	<u>\$ 2,409.30</u>	<u>\$ 234.92</u>	<u>\$ 0.00</u>	<u>\$ 2,644.22</u>
<b>Totals - All Agency Funds</b>				
Assets:				
Cash on Hand	\$ 80,531.40	\$ 28,197.90	\$ (80,531.40)	\$ 28,197.90
Cash in State Treasury	1,375,087.19	10,975,974.27	(11,256,346.89)	1,094,714.57
Accounts Receivable	0.00	93,748.73	(93,748.73)	0.00
Interfund Receivables	0.00	8,180.00	0.00	8,180.00
Due From Other Funds	0.00	72,178.55	0.00	72,178.55
Total Assets	<u>\$ 1,455,618.59</u>	<u>\$ 11,178,279.45</u>	<u>\$ (11,430,627.02)</u>	<u>\$ 1,203,271.02</u>
Liabilities:				
Interfund Payables	0.00	40.00	0.00	40.00
Due to Other Funds	0.00	125.00	0.00	125.00
Funds Held for Others	\$ 1,455,618.59	\$ 11,178,114.45	\$ (11,430,627.02)	\$ 1,203,106.02
Total Liabilities	<u>\$ 1,455,618.59</u>	<u>\$ 11,178,279.45</u>	<u>\$ (11,430,627.02)</u>	<u>\$ 1,203,271.02</u>

The accompanying notes to the financial statements are an integral part of this financial statement.

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
SCHEDULES 1 & 2**

For the Fiscal Year Ended August 31, 2001

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**SCHEDULE 1A - Schedule of Expenditures of Federal Awards**

This schedule does not apply for this agency

**SCHEDULE 1B - Schedule of State Grant Pass-Throughs From/To State Agencies**

This schedule does not apply for this agency

**SCHEDULE 2A - Miscellaneous Bond Information**

This schedule does not apply for this agency

**SCHEDULE 2B - Analysis of Funds Available for Debt Service: General Obligation Bonds**

This schedule does not apply for this agency

**SCHEDULE 2C - Defeased Bonds Outstanding**

This schedule does not apply for this agency

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**SCHEDULE 3 - REVENUES AND UNAPPROPRIATED RECEIPTS CLEARED**  
**BY STATUTE**

Fiscal Year Ended August 31, 2001 With Comparative Totals for August 31, 2000

Statute	Reappropriated Revenues	Unappropriated Revenues	Totals	
			2001	2000
Air Conditioning & Refrigeration				
Contractors Law	\$ 283.50	2,054,314.41	\$ 2,054,597.91	\$ 1,463,531.00
Architectural Barriers Law	1,985,158.93	1,547,203.00	3,532,361.93	2,050,002.00
Auctioneer Act		329,977.00	329,977.00	331,819.00
Auctioneer Education & Recovery				
Fund (Fund 0898)	77,022.55		77,022.55	55,857.00
Boiler Inspection Law		1,913,872.93	1,913,872.93	1,730,757.00
Combative Sports Act	16.60	288,587.82	288,604.42	156,984.00
Career Counseling Act	99.70	27,700.00	27,799.70	14,825.00
Elevators, Escalators & Related				
Equipment Act	368,787.43	516,712.00	885,499.43	911,107.00
Employers of Certain Temporary				
Common Workers Act		63,775.00	63,775.00	64,675.00
Industrialized Housing & Buildings Act	1,629.30	591,593.30	593,222.60	448,920.00
Personnel Employment Services Act		29,575.00	29,575.00	31,700.00
Property Tax Consultants Act	46.10	114,835.00	114,881.10	90,360.00
Service Contract Providers Act	181,414.40	189,463.00	370,877.40	179,550.00
Service Contract Providers Security				
Trust Fund		26,454.24	26,454.24	25,148.00
Staff Leasing Services Act	43,837.10	714,750.00	758,587.10	401,987.00
Talent Agency Act	24.30	38,825.00	38,849.30	42,150.00
Transportation Service Providers Act		7,680.00	7,680.00	29,585.00
Water Well Drillers & Pump				
Installers Act	81.16	525,167.56	525,248.72	469,690.00
Administration Division	237.50	131.69	369.19	5,254.00
Information Resources Division	37,179.34		37,179.34	22,635.00
Less Prior Year Adjustments			-	-1,855.00
<b>TOTALS:</b>	<b>\$ 2,695,817.91</b>	<b>\$ 8,980,616.95</b>	<b>\$ 11,676,434.86</b>	<b>\$ 8,524,681.00</b>

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**SCHEDULE 4 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION**  
 Years Ended August 31, 2001 and 2000

	Year Ended August 31, 2001 For State Treasury Funds		Total
	Reappropriated Revenue	Unappropriated Revenue	
<b>Air Conditioning &amp; Refrigeration Contractors Law</b>			
Air Conditioning Exam		\$126,201.80	\$126,201.80
Air Conditioning License Fees		\$1,670,237.75	\$1,670,237.75
Air Conditioning Penalty		\$240,979.86	\$240,979.86
Air Conditioning Cert. of Regis.		\$16,395.00	\$16,395.00
Air Conditioning Exam Reschedule		\$0.00	\$0.00
Air Conditioning Translation Fee		\$250.00	\$250.00
Credit Card Convenience Fee	\$97.00		\$97.00
Copies	\$86.00		\$86.00
Returned Check Charge		\$225.00	\$225.00
Other Misc. Gov't. Revenue		\$25.00	\$25.00
3rd Party Reimbursement	\$100.50		\$100.50
<b>Totals, Air Conditioning &amp; Refrigeration Contractors Law</b>	<u>\$283.50</u>	<u>\$2,054,314.41</u>	<u>\$2,054,597.91</u>
<b>Architectural Barriers Act</b>			
AB Inspection	\$505,472.67	\$319,680.00	\$825,152.67
AB Inspection Filing Fee	\$279,900.00	\$178,590.00	\$458,490.00
AB Plan Review	\$285,265.00	\$295,267.25	\$580,532.25
AB Variance	\$108,260.00	\$112,825.00	\$221,085.00
AB Penalty	\$174,050.00	\$120,700.00	\$294,750.00
AB Variance Appeal	\$16,450.00	\$6,850.00	\$23,300.00
AB Contract Provider Project	\$582,509.25	\$513,190.75	\$1,095,700.00
Sale of Copies	\$328.33		\$328.33
Sale of Publications	\$2,688.08		\$2,688.08
Returned Check Charge		\$100.00	\$100.00
Other Misc. Governmental Revenue			\$0.00
3rd Party Reimbursement	\$655.60		\$655.60
3rd Party Reimb - TAA Tuition	\$29,580.00		\$29,580.00
<b>Totals, Architectural Barriers Act</b>	<u>\$1,985,158.93</u>	<u>\$1,547,203.00</u>	<u>\$3,532,361.93</u>
<b>Auctioneer Act</b>			
Auctioneer License Fee		\$286,875.00	\$286,875.00
Associate Auct. License Fee		\$8,000.00	\$8,000.00
Auctioneer Exam Fee		\$9,150.00	\$9,150.00
Auctioneer Penalty		\$25,927.00	\$25,927.00
Auctioneer Filing Fee	\$15,500.00		\$15,500.00
Auctioneer Education & Recovery Fund	\$43,400.00		\$43,400.00
Auctioneer & Recovery Fund Interest	\$18,122.55		\$18,122.55
Copies			\$0.00
Returned Check Charges		\$25.00	\$25.00
3rd Party Reimbursement			\$0.00
<b>Totals, Auctioneer Act</b>	<u>\$77,022.55</u>	<u>\$329,977.00</u>	<u>\$406,999.55</u>

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**SCHEDULE 4 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION**  
 Years Ended August 31, 2001 and 2000

	Year Ended August 31, 2001 For State Treasury Funds		Total
	Reappropriated Revenue	Unappropriated Revenue	
Boiler Inspection Law			
State Sales Tax			\$0.00
Boiler Inspection Fees		\$1,747,548.08	\$1,747,548.08
Boiler Penalty		\$18,790.00	\$18,790.00
Boiler Installer Registration		\$5,580.00	\$5,580.00
Special Inspection Fees		\$136,684.55	\$136,684.55
Commission Exam Fee		\$350.00	\$350.00
Commission Fee		\$5,397.00	\$5,397.00
Boiler Travel		-\$501.70	-\$501.70
Sale of Copies			\$0.00
Sale of Publications			\$0.00
Returned Check Charge		\$25.00	\$25.00
3rd Party Reimbursement			\$0.00
<b>Totals, Boiler Inspection Law</b>	<b>\$0.00</b>	<b>\$1,913,872.93</b>	<b>\$1,913,872.93</b>
Boxing & Wrestling Act			
Boxing Gross Receipts Tax		\$141,617.82	\$141,617.82
Combative Sports Per Event Fee		\$39,500.00	\$39,500.00
Boxing Promoters License		\$26,040.00	\$26,040.00
Boxing License Fee		\$36,865.00	\$36,865.00
Manager License Fee		\$4,750.00	\$4,750.00
Matchmaker License Fee		\$675.00	\$675.00
Combative Sports Federal ID Card		\$4,030.00	\$4,030.00
Judge & Referee License Fee		\$16,850.00	\$16,850.00
Seconds License Fee		\$16,470.00	\$16,470.00
Time Keeper License Fee		\$1,140.00	\$1,140.00
Boxing Penalty		\$625.00	\$625.00
Returned Check Charge		\$25.00	\$25.00
Copies	\$5.60		\$5.60
3rd Party Reimbursement	\$11.00		\$11.00
<b>Totals, Boxing &amp; Wrestling Act</b>	<b>\$16.60</b>	<b>\$288,587.82</b>	<b>\$288,604.42</b>
Career Counseling Act			
Career Counseling License		\$16,175.00	\$16,175.00
Career Counseling Penalty		\$11,500.00	\$11,500.00
Copies	\$32.70		\$32.70
Returned Check Charge		\$25.00	\$25.00
3rd Party Reimbursement	\$67.00		\$67.00
<b>Totals, Career Counseling Act</b>	<b>\$99.70</b>	<b>\$27,700.00</b>	<b>\$27,799.70</b>



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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**SCHEDULE 4 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION**  
 Years Ended August 31, 2001 and 2000

	Year Ended August 31, 2001 For State Treasury Funds		Total
	Reappropriated Revenue	Unappropriated Revenue	
<b>Elevators, Escalators &amp; Related Equipment Act</b>			
Elevator Inspector Registration	\$225.00	\$16,390.00	\$16,615.00
Elevator Filing Fee	\$104,605.19	\$191,685.00	\$296,290.19
Elevator Penalty	\$106,075.00	\$143,825.00	\$249,900.00
Elevator License & Renewal	\$540.00	\$120.00	\$660.00
Elevator Waiver/Delay	\$55,348.38	\$87,116.62	\$142,465.00
Late Fee	\$60,585.00	\$77,540.38	\$138,125.38
Copies	\$329.30		\$329.30
Returned Check			\$0.00
Other Misc. Gov't. Revenue		\$35.00	\$35.00
3rd Party Reimbursement	\$570.10		\$570.10
3rd Party Reimb-Seal Tool	\$39,240.00		\$39,240.00
3rd Party Reimb-Elevator Kit	\$1,269.46		\$1,269.46
<b>Totals, Elevators, Escalators &amp; Related Equipment Act</b>	<b>\$368,787.43</b>	<b>\$516,712.00</b>	<b>\$885,499.43</b>
<b>Employers of Certain Temporary Common Workers Act</b>			
License Fee		\$63,275.00	\$63,275.00
Penalty		\$500.00	\$500.00
<b>Totals, Employers of Certain Temporary Common Workers Act</b>	<b>\$0.00</b>	<b>\$63,775.00</b>	<b>\$63,775.00</b>
<b>Industrialized Housing &amp; Buildings Act</b>			
IHB Manu. Reg. Fee		\$64,786.20	\$64,786.20
IHB 3rd Party Inspection Agy		\$1,425.00	\$1,425.00
IHB Builder Regis. Fee		\$77,975.00	\$77,975.00
IHB Design Review Agy. Reg. Fee		\$2,100.00	\$2,100.00
IHB 3rd Party Inspector		\$7,575.00	\$7,575.00
IHB Design Rev. Agy. Monitor		\$110.00	\$110.00
IHB 3rd Party Inspector Monitor		\$6,984.00	\$6,984.00
IHB Decals/Insignia		\$383,525.70	\$383,525.70
IHB Certification Inspection		\$19,812.40	\$19,812.40
IHB Penalty		\$20,100.00	\$20,100.00
IHB Installation Permits		\$7,125.00	\$7,125.00
Copies	\$582.80		\$582.80
Returned Check Charge		\$75.00	\$75.00
3rd Party Reimbursement	\$1,046.50		\$1,046.50
<b>Totals, Industrialized Housing &amp; Buildings Act</b>	<b>\$1,629.30</b>	<b>\$591,593.30</b>	<b>\$593,222.60</b>
<b>Personnel Employment Services Act</b>			
Employment Agency License		\$29,550.00	\$29,550.00
Employment Agency Penalty			\$0.00
Returned Check Charge		\$25.00	\$25.00
<b>Totals, Personnel Emp. Sers. Act</b>	<b>\$0.00</b>	<b>\$29,575.00</b>	<b>\$29,575.00</b>

UNAUDITED

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**SCHEDULE 4 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION**  
 Years Ended August 31, 2001 and 2000

	Year Ended August 31, 2001 For State Treasury Funds		Total
	Reappropriated Revenue	Unappropriated Revenue	
Property Tax Consultants Act			
PTC Private Provider Fee			\$0.00
PTC Private Provider Course		\$825.00	\$825.00
PTC Exam		\$3,375.00	\$3,375.00
PTC License		\$101,110.00	\$101,110.00
PTC Penalty		\$9,500.00	\$9,500.00
Copies	\$32.90		\$32.90
Returned Check Charge		\$25.00	\$25.00
Other Misc.Gov't. Revenue			\$0.00
3rd Party Reimbursement	\$13.20		\$13.20
<b>Totals, Property Tax Consultants Act</b>	<b>\$46.10</b>	<b>\$114,835.00</b>	<b>\$114,881.10</b>
Service Contract Providers			
SCP Registration	\$162,887.00	\$128,713.00	\$291,600.00
SCP Penalty	\$18,500.00	\$60,750.00	\$79,250.00
Copies	\$6.40		\$6.40
3rd Party Reimbursement	\$21.00		\$21.00
SCP Trust Fund (Security Deposit)		\$25,000.00	\$25,000.00
SCP Interest		\$1,454.24	\$1,454.24
<b>Totals, Career Counseling Act</b>	<b>\$181,414.40</b>	<b>\$215,917.24</b>	<b>\$397,331.64</b>
Staff Leasing Services Act			
Staff Leasing Application Fee		\$46,200.00	\$46,200.00
Staff Leasing License		\$618,550.00	\$618,550.00
Staff Leasing Penalty		\$50,000.00	\$50,000.00
Copies	\$29.60		\$29.60
Returned Check Charge			\$0.00
3rd Party Reimbursement	\$907.50		\$907.50
3rd Party Reimb-Fingerprints	\$42,900.00		\$42,900.00
<b>Totals, Staff Leasing Services Act</b>	<b>\$43,837.10</b>	<b>\$714,750.00</b>	<b>\$758,587.10</b>
Talent Agency Act			
Talent Agents License Fee		\$36,375.00	\$36,375.00
Talent Agent Penalty		\$2,400.00	\$2,400.00
Copies	\$4.30		\$4.30
Returned Check Charge		\$50.00	\$50.00
3rd Party Reimbursement	\$20.00		\$20.00
<b>Totals, Talent Agency Act</b>	<b>\$24.30</b>	<b>\$38,825.00</b>	<b>\$38,849.30</b>
Transportation Service Providers			
Application Fee		\$3,325.00	\$3,325.00
Certificate Fee		\$4,355.00	\$4,355.00
<b>Totals, Transportation Service Providers</b>	<b>\$0.00</b>	<b>\$7,680.00</b>	<b>\$7,680.00</b>

UNAUDITED

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)**  
**SCHEDULE 4 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION**  
 Years Ended August 31, 2001 and 2000

	Year Ended August 31, 2001 For State Treasury Funds		
	<u>Reappropriated Revenue</u>	<u>Unappropriated Revenue</u>	<u>Total</u>
Water Well Drillers			
Application/Exam Fee		\$38,275.00	\$38,275.00
License Fee		\$38,237.76	\$38,237.76
Renewal Fee		\$376,598.53	\$376,598.53
Late Fee		\$23,651.27	\$23,651.27
Penalty		\$42,780.00	\$42,780.00
Variance		\$5,600.00	\$5,600.00
Copies	\$10.20		\$10.20
Returned Check Charge		\$25.00	\$25.00
Other Misc. Gov't. Revenue			\$0.00
3rd Party Reimbursement	\$70.96		\$70.96
Totals, Water Well Drillers	<u>\$81.16</u>	<u>\$525,167.56</u>	<u>\$525,248.72</u>
Administration Division			
Sale of Copies	\$65.00		\$65.00
3rd Party Reimbursements	\$102.50		\$102.50
Returned Check Charge		\$125.00	\$125.00
Other Misc. Gov't. Revenue		\$6.69	\$6.69
Sale of Equipment	\$70.00		\$70.00
Totals, Administration Division	<u>\$237.50</u>	<u>\$131.69</u>	<u>\$369.19</u>
Data Processing Division			
Sale of Lists	\$2,340.00		\$2,340.00
Interagency Services	\$34,839.34		\$34,839.34
Totals, Data Processing Division	<u>\$37,179.34</u>	<u>\$0.00</u>	<u>\$37,179.34</u>
Less Prior Year Adjustments			
TOTAL REVENUES GENERATED	<u>\$2,695,817.91</u>	<u>\$8,980,616.95</u>	<u>\$11,676,434.86</u>
Distribution of Revenues Generated:			
Deposited into General Revenue Fund	\$2,618,795.36	\$8,954,162.71	\$11,572,958.07
Deposited into Fund 0846		\$26,454.24	\$26,454.24
Deposited into Fund 0898	\$77,022.55		\$77,022.55
Total Revenue Generated, as above	<u>\$2,695,817.91</u>	<u>\$8,980,616.95</u>	<u>\$11,676,434.86</u>

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Year Ended August 31, 2000  
For State Treasury Funds

<u>Reappropriated Revenue</u>	<u>Unappropriated Revenue</u>	<u>Total</u>
	\$196,091.00	\$196,091.00
	\$1,136,428.75	\$1,136,428.75
	\$106,377.00	\$106,377.00
	\$22,136.50	\$22,136.50
	\$1,930.00	\$1,930.00
	\$50.00	\$50.00
		\$0.00
\$153.70		\$153.70
	\$100.00	\$100.00
		\$0.00
<u>\$263.00</u>		<u>\$263.00</u>
<u>\$416.70</u>	<u>\$1,463,114.25</u>	<u>\$1,463,530.95</u>
\$191,555.00	\$315,822.00	\$507,377.00
\$49,750.00	\$85,350.00	\$135,100.00
\$108,529.00	\$391,109.00	\$499,638.00
\$20,050.00	\$98,120.00	\$118,170.00
\$48,072.00	\$226,622.70	\$274,694.70
\$2,000.00	\$10,100.00	\$12,100.00
\$73,751.00	\$419,539.00	\$493,290.00
\$192.40		\$192.40
\$1,635.22		\$1,635.22
	\$75.00	\$75.00
	\$14.95	\$14.95
\$215.28		\$215.28
<u>\$7,500.00</u>		<u>\$7,500.00</u>
<u>\$503,248.90</u>	<u>\$1,546,752.65</u>	<u>\$2,050,001.55</u>
	\$298,025.00	\$298,025.00
	\$8,800.00	\$8,800.00
	\$10,900.00	\$10,900.00
	\$13,750.00	\$13,750.00
\$14,518.75		\$14,518.75
\$26,450.00		\$26,450.00
\$14,888.48		\$14,888.48
\$100.10		\$100.10
	\$100.00	\$100.00
<u>\$143.50</u>		<u>\$143.50</u>
<u>\$56,100.83</u>	<u>\$331,575.00</u>	<u>\$387,675.83</u>

UNAUDITED

Year Ended August 31, 2000  
For State Treasury Funds

<u>Reappropriated Revenue</u>	<u>Unappropriated Revenue</u>	<u>Total</u>
	\$34.09	\$34.09
	\$1,571,242.41	\$1,571,242.41
	\$5,200.00	\$5,200.00
	\$13,620.00	\$13,620.00
	\$130,650.00	\$130,650.00
	\$4,550.00	\$4,550.00
	\$4,707.50	\$4,707.50
		\$0.00
\$27.90		\$27.90
\$537.02		\$537.02
	\$75.00	\$75.00
\$112.60		\$112.60
<u>\$677.52</u>	<u>\$1,730,079.00</u>	<u>\$1,730,756.52</u>
	\$94,358.58	\$94,358.58
		\$0.00
	\$20,000.00	\$20,000.00
	\$25,230.00	\$25,230.00
	\$4,950.00	\$4,950.00
	\$300.00	\$300.00
		\$0.00
	\$2,780.00	\$2,780.00
	\$8,735.00	\$8,735.00
	\$480.00	\$480.00
	\$125.00	\$125.00
	\$25.00	\$25.00
		\$0.00
		\$0.00
<u>\$0.00</u>	<u>\$156,983.58</u>	<u>\$156,983.58</u>
	\$13,775.00	\$13,775.00
	\$1,050.00	\$1,050.00
		\$0.00
		\$0.00
		\$0.00
<u>\$0.00</u>	<u>\$14,825.00</u>	<u>\$14,825.00</u>

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Year Ended August 31, 2000  
For State Treasury Funds

<u>Reappropriated Revenue</u>	<u>Unappropriated Revenue</u>	<u>Total</u>
\$305.00	\$2,680.00	\$2,985.00
\$66,207.00	\$358,599.50	\$424,806.50
\$253,575.00	\$27,750.00	\$281,325.00
		\$0.00
\$38,470.00	\$63,930.00	\$102,400.00
\$35,357.00	\$63,717.50	\$99,074.50
\$202.50		\$202.50
	\$75.00	\$75.00
		\$0.00
\$237.00		\$237.00
		\$0.00
		\$0.00
<u>\$394,354.50</u>	<u>\$516,753.00</u>	<u>\$911,107.50</u>
	\$64,425.00	\$64,425.00
	\$250.00	\$250.00
<u>\$0.00</u>	<u>\$64,675.00</u>	<u>\$64,675.00</u>
	\$63,200.00	\$63,200.00
	\$750.00	\$750.00
	\$44,575.00	\$44,575.00
	\$2,850.00	\$2,850.00
	\$6,650.00	\$6,650.00
	\$5,915.20	\$5,915.20
		\$0.00
	\$284,686.66	\$284,686.66
	\$30,018.00	\$30,018.00
	\$3,900.00	\$3,900.00
	\$6,375.00	\$6,375.00
		\$0.00
		\$0.00
		\$0.00
<u>\$0.00</u>	<u>\$448,919.86</u>	<u>\$448,919.86</u>
	\$31,325.00	\$31,325.00
	\$375.00	\$375.00
		\$0.00
<u>\$0.00</u>	<u>\$31,700.00</u>	<u>\$31,700.00</u>

UNAUDITED

Year Ended August 31, 2000  
For State Treasury Funds

<u>Reappropriated Revenue</u>	<u>Unappropriated Revenue</u>	<u>Total</u>
	\$325.00	\$325.00
	\$1,275.00	\$1,275.00
	\$1,950.00	\$1,950.00
	\$85,250.00	\$85,250.00
	\$1,500.00	\$1,500.00
\$5.10		\$5.10
	\$25.00	\$25.00
	\$10.00	\$10.00
<u>\$19.50</u>		<u>\$19.50</u>
<u>\$24.60</u>	<u>\$90,335.00</u>	<u>\$90,359.60</u>
\$168,950.00		\$168,950.00
\$10,600.00		\$10,600.00
	\$25,000.00	\$25,000.00
	\$148.46	\$148.46
<u>\$179,550.00</u>	<u>\$25,148.46</u>	<u>\$204,698.46</u>
	\$44,400.00	\$44,400.00
	\$334,900.00	\$334,900.00
	\$22,500.00	\$22,500.00
\$93.40		\$93.40
	\$25.00	\$25.00
\$69.00		\$69.00
		\$0.00
<u>\$161.40</u>	<u>\$401,825.00</u>	<u>\$401,986.40</u>
	\$41,050.00	\$41,050.00
	\$1,100.00	\$1,100.00
		\$0.00
		\$0.00
		\$0.00
<u>\$0.00</u>	<u>\$42,150.00</u>	<u>\$42,150.00</u>
	\$19,830.00	\$19,830.00
	\$9,755.00	\$9,755.00
<u>\$0.00</u>	<u>\$29,585.00</u>	<u>\$29,585.00</u>

UNAUDITED

Year Ended August 31, 2000  
For State Treasury Funds

<u>Reappropriated Revenue</u>	<u>Unappropriated Revenue</u>	<u>Total</u>
	\$15,275.00	\$15,275.00
	\$36,866.67	\$36,866.67
	\$360,591.39	\$360,591.39
	\$16,575.56	\$16,575.56
	\$35,700.00	\$35,700.00
	\$4,400.00	\$4,400.00
\$84.70		\$84.70
	\$25.00	\$25.00
	\$30.00	\$30.00
<u>\$140.50</u>		<u>\$140.50</u>
<u>\$226.20</u>	<u>\$469,463.62</u>	<u>\$469,689.82</u>
\$87.36		\$87.36
\$1,561.49		\$1,561.49
	\$125.00	\$125.00
	\$3,480.68	\$3,480.68
		\$0.00
<u>\$1,647.85</u>	<u>\$3,605.68</u>	<u>\$5,253.53</u>
\$6,346.20		\$6,346.20
<u>\$16,288.70</u>		<u>\$16,288.70</u>
<u>\$22,634.90</u>	<u>\$0.00</u>	<u>\$22,634.90</u>
-\$1,855.00		-\$1,855.00
<u>\$1,157,190.40</u>	<u>\$7,367,491.10</u>	<u>\$8,524,681.50</u>
\$1,101,333.17	\$7,342,343.10	\$8,443,676.27
	\$25,148.00	\$25,148.00
<u>\$55,857.23</u>		<u>\$55,857.23</u>
<u>\$1,157,190.40</u>	<u>\$7,367,491.10</u>	<u>\$8,524,681.50</u>



**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM I  
ORGANIZATIONAL AND GENERAL COMMENTS**

The Texas Department of Licensing and Regulation’s (TDLR) current enabling statute makes it an umbrella regulatory agency to oversee a variety of businesses, industries, trades, and occupations in Texas. In fiscal year 2000 these regulatory areas included:

- Air Conditioning and Refrigeration Contractors
- Architectural Barriers
- Auctioneers
- Boilers
- Combative Sports (Boxing)
- Career Counselors
- Elevators, Escalators, and Related Equipment
- Employers of Temporary Common Workers
- Industrialized Housing and Buildings
- Licensed Court Interpreters
- Personnel Employment Services
- Property Tax Consultants
- Service Contract Providers
- Staff Leasing Services
- Talent Agents
- Transportation Service Providers
- Vehicle Protection Products
- Water Well Drillers
- Water Well Pump Installers
- Weather Modification

Texas Commission of Licensing and Regulation

TDLR’s governing body, the Texas Commission of Licensing and Regulation, is comprised of six public members appointed by the Governor with the advice and consent of the Senate. Commission members serve six-year terms, meeting at least quarterly each year. The primary duties of the Commission are to:

- Formulate policy objectives;
- Approve the operating budget;
- Approve legislative appropriations requests;
- Set fees;
- Adopt rules;
- Assess monetary penalties;
- Adopt personnel policies; and
- Supervise the Executive Director’s administration of the Department.

Commission members serving as of August 31, 2001

<u>Name</u>	<u>Home Town</u>	<u>Term Expires February 1,</u>
William Fowler (Chairman)	Buda	2007
Mickey Christakos (Vice Chairman)	Allen	2003
Elliott B. McConnell	Rockport	2003
Gina Parker	Waco	2007
Patricia P. Stout	San Antonio	2005
Leo R. Vasquez, III	Houston	2005

The Commission has twelve advisory boards to assist in rule making and various other program decisions:

- Air Conditioning and Refrigeration Contractors Advisory Board
- Architectural Barriers Advisory Committee
- Auctioneer Education Advisory Board
- Board of Boiler Rules
- Elevator Advisory Board
- Licensed Court Interpreter Advisory Board

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM I  
ORGANIZATIONAL AND GENERAL COMMENTS**

- Property Tax Consultants Advisory Council
- Service Contract Providers Advisory Board
- Texas Industrialized Building Code Council
- Vehicle Protection Product Warrantor Advisory Board
- Water Well Drillers Advisory Council
- Weather Modification Advisory Committee

Agency Organization in Fiscal Year 2001

TDLR has eight divisions organized along functional lines: Administration, Code Review and Inspections, Enforcement, Executive, General Counsel, Information Services, Human Resources, and Licensing and e-Commerce.

TDLR believes that organizing along functional lines is the most effective way of achieving its mission, delivering services, and adapting to change. During Fiscal Year 2001 TDLR transferred its Combative Sports (Boxing) program activities from the Enforcement Division to the Code Review and Inspections Division. Through this realignment of duties, TDLR will achieve the inherent economies-of-scale of organizing functionally.

TDLR has incorporated an e-Commerce and process review component in its organizational structure. By leveraging the Agency's limited resources, this e-Commerce component will reduce the time and per unit cost of issuing a license.

Process review provides TDLR a structure through which it can continuously evaluate the viability of existing processes and systems and seamlessly merge new programs and responsibilities into the Agency. It is key to the Agency's ability to quickly adapt to meet the changing needs of its service populations.

**The Agency is made up of the following functional areas:**

**Administration** – The Administration Division is comprised of the Accounting, Mail Services, and Purchasing. The Division manages the Agency's fiscal resources, complies with state and federal laws regulating these three areas and provides outstanding customer service to our internal and external customers. The Administration Division prepares and monitors TDLR's \$7.6 million budget, emphasizing compliance with the Appropriations Act and applicable Government Code provisions.

**Code Review and Inspections Division** – The primary goals of the Code Review and Inspections Division are to ensure accessibility of buildings and facilities and the safe operation of boilers, elevators and escalators. Architectural Barrier and Boiler inspectors and support staff are strategically located throughout the state in Dallas, Houston, Lubbock, San Antonio, and Midland-Odessa. Field staff also assist in inspection and investigation functions for other Agency programs.

**Enforcement Division** – The Enforcement Division investigates and resolves complaints, conducts hearings, and may impose administrative penalties and sanctions if a violation has occurred. The Department also initiates restraining orders through the Office of the Attorney General to prevent unlicensed activity. Additionally, the Division provides support in reviewing and drafting of rules, as well as, being the Department's liaison with trade associations and advisory boards.

**Executive Director's Office** – The Office of the Executive Director manages the day-to-day operations of the Agency, implements Commission objectives and insures agency compliance with statewide goals and initiatives. Strengthening communication is the dominant plank in the Executive Director's platform to safeguard the health and safety of Texans, and to do so in a fair and consistent manner.

**General Counsel's Office** – The Office of the General Counsel serves as General Counsel to the Executive Director and Commission, and incorporates administrative rules, advisory board support, public information coordination and prosecutes cases referred from the Enforcement Division.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM I  
ORGANIZATIONAL AND GENERAL COMMENTS**

**Information Services Division** – The primary goal of the Information Services Division is to provide TDLR with a progressive and dynamic technology platform. The Division also provides programming support for TDLR's license and registration, inspections, enforcement and cash receipt administration functions.

**Licensing and e-Commerce Division** – The core responsibility of the Licensing and e-Commerce Division is to screen applicants for licensure so that only qualified persons obtain entry into the regulated industry. This screening process may include one or more of the following:

- examination;
- background check;
- education/credential verification;
- financial requirements; and
- experience assessment.

Key Personnel as of August 31, 2001

<u>Name</u>	<u>Position</u>
William H. Kuntz, Jr.	Executive Director
Brian E. Francis	Deputy Executive Director
Michael Chisum	General Counsel
Carla Barron	Director of Administration
Jenny Cordell	Director of Human Resources
Don Dudley	Director of Licensing and e-Commerce
George Ferrie	Director of Code Review & Inspections
Jimmy G. Martin	Director of Enforcement
Steven Wilkins	Manager of Information Services

Agency Make-up

TDLR is authorized 149.0 full-time equivalent (FTE) positions, of that number, 118.0 are located in Austin and 31.0 are assigned to locations throughout the state.

TDLR's Most Significant Accomplishments

TDLR has implemented a comprehensive strategic planning process. As part of this process, TDLR hosted five strategic planning focus groups during February and March 2000. In attendance were 180 persons, including advisory board members, consumer advocacy groups, accessibility contract providers, industry associations, licensees, representatives of state agencies, legislative staff, Legislative Budget Board and Governor's Budget Office analysts, TDLR Commissioners and employees. The focus groups provided a unique opportunity for the Agency and interested parties to exchange ideas and suggest changes and improvements. The following accomplishments are the result of initiatives developed by the Department and recommendations made by the focus groups:

**1. Staffing and Personnel Initiative** - to retain more staff and thereby reduce training costs.

**Accomplishment** - The employee turnover rate for FY2000 was 30.40%. As a result of personnel initiatives, including offering competitive salaries, the turnover rate has been reduced to approximately 12% in FY2001.

**2. Technology Initiatives** - to modernize our computer and records management systems.

**Accomplishment** - TDLR successfully obtained appropriations for the purchase of new licensing software and document imaging systems. The Department has begun imaging documents into electronic form and will begin implementation of the new licensing system in September 2001.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM I  
ORGANIZATIONAL AND GENERAL COMMENTS**

- 3. Licensing/Enforcement Initiatives** - to better utilize agency resources and improve efficiency of the Architectural Barriers program.

**Accomplishment** - TDLR worked with legislative staff and other interested parties to develop and enact SB 484, creating a program for the registration of persons performing architectural barrier plan review and inspection functions. Consequently, TDLR's contractual authority with providers has been replaced with regulatory authority.

- 4. Communications Initiatives** - to provide the public improved access to agency processes, databases, laws, rules and forms through the agency's web site.

**Accomplishments** - TDLR has implemented:

- online Air Conditioning Contractor license renewal using credit card payment through Texas Online;
- online AB project registration;
- online submittal of air conditioning contractor insurance certificates;
- email notification of pending legislation, rules published for comment and other information;
- searchable databases for license registration and enforcement actions; and
- a fax-back system to provide information to constituents via fax machine.

- 5. Complaint Resolution Initiative** - to increase administrative staff to expedite the complaint resolution process and to identify and register more elevators.

**Accomplishment** - 3,072 complaints have been resolved through June 2001. This is a 47% increase over the total number of complaints in FY2000 and 53% greater than the number projected for FY2001. During FY2001, 1,400 buildings with unregistered elevators were identified and brought into compliance with the elevator safety standards.

- 6. Focus Group Recommendation** - to focus enforcement resources on identifying and penalizing persons who operate without a license or fail to comply with the regulatory requirements.

**Accomplishment** - In FY2001, through cyber enforcement (comparison of databases to uncover unlicensed activity), TDLR identified and implemented enforcement actions on 124 uninspected Architectural Barrier projects; 128 unlicensed air conditioning contractors; and 196 buildings with uninspected elevators.

- 7. Focus Group Recommendation** - to require proof of submittal of building plans prior to receiving a Certificate of Occupancy from a municipality.

**Accomplishment** - SB 484 amended the Architectural Barriers Act to prohibit municipalities from issuing a building permit without first verifying that a project has been registered with TDLR.

- 8. Focus Group Recommendation** - to increase the maximum administrative penalty from \$1,000 to \$5,000.

**Accomplishment** - HB 1214 increased the maximum administrative penalty to \$5,000 per violation per day.

- 9. Focus Group Recommendation** - to improve the collection process.

**Accomplishment** - The Office of the Attorney General has approved TDLR's contract for third-party collection of delinquent fees and penalties.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM II  
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
<b><u>Air Conditioning and Refrigeration Contractors Law</u></b>		
Contractors Licenses:		
Class A or B Initial License or Renewal	\$350.00	3 Years, Staggered Renewal
Late Renewal	\$50.00	Not Applicable
Class A or B Exam Application Fee	\$50.00	Not Applicable
Class A or B Exam and Re-Examination	\$50.00	Not Applicable
Exam Reschedule Fee	\$30.00	Not Applicable
Revisions:		
Lost, Revised or Duplicate License or Wallet Card	\$25.00	Not Applicable
Addition of Endorsement	\$25.00	Not Applicable
Certificate of Registration	\$25.00	No Expiration
<b><u>Architectural Barriers Act</u></b>		
Project Cost Under \$50,000 (optional)		
Review Fee	\$200.00	Not applicable
Inspection Fee	\$200.00	Not applicable
Project Cost:		
\$50,000-\$200,000		
Review Fee	\$250.00	Not Applicable
Late Review Fee	\$350.00	Not Applicable
Inspection Fee	\$350.00	Not Applicable
\$200,001 - \$500,000		
Review Fee	\$315.00	Not Applicable
Late Review Fee	\$480.00	Not Applicable
Inspection Fee	\$375.00	
\$500,001 - \$1,000,000		
Review Fee	\$380.00	Not Applicable
Late Review Fee	\$610.00	Not Applicable
Inspection Fee	\$400.00	Not Applicable
\$1,000,001 - \$5,000,000		
Review Fee	\$445.00	Not Applicable
Late Review Fee	\$740.00	Not Applicable
Inspection Fee	\$445.00	Not Applicable
\$5,000,001 - \$10,000,000		
Review Fee	\$575.00	Not Applicable
Late Review Fee	\$1,000.00	Not Applicable
Inspection Fee	\$575.00	Not Applicable
\$10,000,001 - \$15,000,000		
Review Fee	\$620.00	Not Applicable
Late Review Fee	\$1,090.00	Not Applicable
Inspection Fee	\$620.00	Not Applicable
\$15,000,001 - \$25,000,000		
Review Fee	\$785.00	Not Applicable
Late Review Fee	\$1,420.00	Not Applicable
Inspection Fee	\$785.00	Not Applicable

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM II  
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
\$25,000,001 - \$50,000,000		
Review Fee	\$955.00	Not Applicable
Late Review Fee	\$1,760.00	Not Applicable
Inspection Fee	\$955.00	Not Applicable
\$50,000,001 - \$75,000,000		
Review Fee	\$1,175.00	Not Applicable
Late Review Fee	\$2,200.00	Not Applicable
Inspection Fee	\$1,175.00	Not Applicable
Over \$75,000,000		
Review Fee	Negotiated	Not Applicable
Late Review Fee	Negotiated	Not Applicable
Inspection Fee	Negotiated	Not Applicable
Inspection of State Leases		Not Applicable
No Construction Involved	\$225.00 per lease	
Preliminary Review Fee	\$145.00	Not Applicable
Special Inspection Fee	\$215.00 per hr. \$2 hr. minimum	Not Applicable
Variance Application Fee	\$150.00	Not Applicable
Variance Appeal	\$200.00	Not Applicable
Contract Provider Filing Fee	\$100.00	Not Applicable
Project Information Request	\$35.00	Not Applicable
Contract Provider Inspection Fee	\$75.00	Not Applicable
Replacement Certificate	\$25.00	Not Applicable
<b><u>Auctioneer Act</u></b>		
Auctioneer License	\$100.00	Annual, Staggered Renewal
Auctioneer License Renewal	\$100.00	Annual, Staggered Renewal
Associate Auctioneer Lic.	\$50.00	Annual, Staggered Renewal
Associate Auctioneer Lic. Renewal	\$50.00	Annual, Staggered Renewal
Auctioneer Exam Fee	\$50.00	Not Applicable
Late Fee	\$50.00	Not Applicable
Duplicate License Fee	\$25.00	Not Applicable
Education and Recovery Fee	\$100.00 (New License)	If fund below \$300,000 on 12/31 of Each Year, Prorated at \$50 or More
<b><u>Boiler Inspection Law</u></b>		
State Inspection Fee		
Other Than Heating Boiler	\$115.00	Annual, Staggered Renewal
Heating Boilers:		
With Manhole	\$115.00	2-3 Years, Staggered Renewal
Without Manhole	\$85.00	2-3 Years, Staggered Renewal
Insurance Inspection Fee	\$45.00	1-3 Years, Staggered Renewal
Special Inspection/Travel	\$650.00 plus travel & per diem	3 Years, Staggered or on Demand as Needed
Commission Fees		
New	\$25.00	Annual, December Renewal
Renewal	\$10.00	Annual, December Renewal

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM II  
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
Late Renewal	\$12.50 if late (total \$22.50)	
Reinstatement	\$25.00	Not Applicable
Replacement- Certificate Only	\$10.00	Not Applicable
Replacement - Card Only	\$10.00	Not Applicable
Replacement - Certificate & Card	\$25.00	Not Applicable
API Exam Administration	\$50.00	Not Applicable
National Board Exam Administration	\$25.00	Not Applicable
Inspector Commission Exam and Re-Examination	\$25.00	Not Applicable
Boiler Installer Registration	\$30.00	3 Years, Staggered
Renewal	\$30.00	Not Applicable
Reinstatement	\$30.00	Not Applicable
Revision	\$30.00	Not Applicable
<b><u>Combative Sports</u></b>		
Gross Receipts Tax	3%	Of Gross Receipts
Promoter License	\$1,000.00	Annual, Staggered Renewal
Contestant License	\$40.00	Annual, Staggered Renewal
Referee License	\$250.00	Annual, Staggered Renewal
Judge License	\$200.00	Annual, Staggered Renewal
Manager License	\$200.00	Annual, Staggered Renewal
Second License	\$30.00	Annual, Staggered Renewal
Timekeeper License	\$40.00	Annual, Staggered Renewal
Matchmaker License	\$175.00	Annual, Staggered Renewal
Each additional endorsement	\$50.00	Annual, Staggered Renewal
Permit Fee, per Live Event	\$500.00	Annual, Staggered Renewal
2-Year Federal ID Card	\$20.00	Annual, Staggered Renewal
<b><u>Career Counseling Act</u></b>		
Career Counselors License	\$750.00	Annual, Staggered Renewal
Renewal License Fee	\$750.00	Annual, Staggered Renewal
Late Fee	\$50.00	Not Applicable
Reprint Fee	\$25.00	Not Applicable
<b><u>Elevators, Escalators &amp; Related Equipment Act</u></b>		
Inspector Registration	\$15.00	Annual, Staggered Renewal
Inspector Reg. Renewal	\$15.00	Annual, Staggered Renewal
Filing Fee	\$20.00 per building + \$5 per elevator	Annual, Staggered Renewal
Late Filing Fee	\$100.00	Not Applicable
Seal Tool	\$90.00	Not Applicable
Tag Kit	\$200.00 per 100	Not Applicable
Waiver/Delay Application Fee	\$50.00	Not Applicable

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM II  
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
<b><u>Employers of Certain Temporary Common Workers Act</u></b>		
Employers of Temporary Common Workers	\$550.00	Annual, Staggered Renewal
Renewal License Fee	\$550.00	Annual, Staggered Renewal
<b><u>Industrialized Housing and Buildings Act</u></b>		
IHB Manufacturer Registration (1602)	\$750.00	Annual
IHB 3rd Party Inspection Agency (1603)	\$150.00 per firm	Annual
IHB Builder Registration (1607)	\$375.00	Annual
IHB Design Review Agency Registration (1608)	\$300.00	Annual
IHB 3rd Party Inspector (1609)	\$100.00 per inspector	Annual
IHB Design Review Agency Monitoring (1622)	\$40.00 per hour (plus travel & per diem)	
IHB 3rd Party Inspector Monitoring (1623)	\$40.00 per hour (plus travel & per diem)	
IHB Decal Fee (1627)	\$.070 per sq. ft.	Floor Space (\$25.00 min.)
IHB Insignia Fee (1627)	\$.070 per sq. ft.	Floor Space (\$15.00 min.) or Surface Area
	\$.020 per sq. ft.	Whichever is Less
		(Min. of \$.60)
IHB Installation Permit (1631)	\$75.00	For each building containing IHB modules or modular components
		Per Hour
IHB Certification Inspection (1629)	\$40.00 (plus travel & per diem)	
IHB Special Inspection	\$40.00/hour (plus travel & per diem)	
<b><u>Personnel Employment Services Act</u></b>		
Personnel Employment Services License	\$75.00	Annual, Staggered Renewal
Renewal License Fee	\$75.00	Annual, Staggered Renewal
Late Fee	\$50.00	Not Applicable
Duplicate License Fee	\$25.00	Not Applicable
<b><u>Property Tax Consultants Act</u></b>		
PTC Application:		
Original	\$100.00	Biennial
Renewal	\$25.00	Biennial
Senior PTC Application:		
Original	\$150.00	Biennial
Renewal	\$25.00	Biennial
Real Estate Only PTC:		
Original	\$125.00	Biennial
Renewal	\$25.00	Biennial
Refundable Registration PTC:		
Original	\$50.00	Biennial
Renewal	\$125.00	Biennial
Refundable Registration Senior PTC:		
Original	\$75.00	Biennial
Renewal	\$125.00	Biennial



**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM II  
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
Refundable Registration Real Estate Renewal	\$125.00	Biennial
Late Renewal	\$50.00	Not Applicable
Duplicate Registration	\$50.00	Not Applicable
Refundable Examination	\$150.00	Not Applicable
Registration Upgrade Application Under 1 Year	\$25.00	Not Applicable
Upgrade Registration	\$125.00	Not Applicable
Recognized Private Provider Application	\$125.00	One Time
Recognized Private Provider Education Provider	\$75.00	Annual
<b><u>Service Contract Providers Act</u></b>		
Registration Fee:		
1 – 250 Service Contracts	\$750.00	Annual
251 – 499 Service Contracts	\$1,400.00	Annual
500 or more Service Contracts	\$1,900.00	Annual
Duplicate/Amended Registration	\$50.00	Not Applicable
<b><u>Staff Leasing Services Act</u></b>		
Application Fee, Original, Renewal and Limited License Fees	\$300.00	Initial and two year annual
Initial License Fee:		
1-249 Assigned Emp.	\$3,000.00	Two years, Staggered
250-750 Assigned Emp.	\$4,000.00	Two years, Staggered
Over 750 Assigned emp.	\$5,000.00	Two years, Staggered
Limited License	\$1,000.00	N/A
Background Check Fee	\$150.00	Annual
Duplicate License Fee	\$50.00	Not Applicable
Name Change	\$50.00	Not Applicable
Trademark	\$10.00 each additional	N/A
<b><u>Talent Agency Act</u></b>		
Talent Agents License Fee	\$100.00	Annual, Staggered
Renewal License Fee	\$100.00	Annual, Staggered
Late Fee	\$50.00	Not Applicable
Duplicate License Fee	\$25.00	Not Applicable
Registration & Admin Fee	\$300.00	Annual
Registration & Admin Renewal Fee	\$300.00	Annual
Update Registration	\$100.00	Not Applicable
<b><u>Transportation Service Providers</u></b>		
Application Processing Fee	\$320.00	Biennial
Renewal Processing Fee	\$320.00	Biennial
Certificate Fee	\$50.00	Biennial, each location
Duplicate Certificate Fee	\$25.00	N/A
Late Fee	\$50.00	N/A

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)  
ADDENDUM II  
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
<b><u>Water Well Drillers and Pump Installers</u></b>		
Exam Fees:		
Driller & Installer Application Exam Fees	\$125.00	Per Exam
Re-Examination Fee	\$100.00	Each Exam
License Fees:		
Driller's License	\$170.00	Annual
Installer's License	\$170.00	Annual
Combination Driller and Installer License	\$220.00	Annual
Apprentice Registration	\$50.00	Annual
License Renewal:		
Driller Renewal	\$170.00	Annual
Installer Renewal	\$170.00	Annual
Combination Driller and Installer Renewal	\$220.00	Annual
Apprentice Renewal	\$50.00	Annual
Driller or Installer Late Renewal:		
Expired 90 days or less	\$62.50	Annual
Expired over 90 days	\$125.00	Annual
Combination Late Renewal:		
Expired 90 days or less	\$125.00	Annual
Expired over 90 days	\$250.00	Annual
Lost, Revised or Duplicate License	\$25.00	Not Applicable
Variance Request	\$100.00	Not Applicable

