



Texas
Department of
Licensing &
Regulation



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Texas Department of Licensing and Regulation

ANNUAL FINANCIAL REPORT

For the Year Ended August 31, 2000



William H. Kuntz, Jr.
Executive Director

Executive Offices
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November 20, 2000

Honorable George W. Bush, Governor
Honorable Carole Keeton Rylander, State Comptroller
John Keel, Director, Legislative Budget Board
Lawrence F. Alwin, CPA, State Auditor

Lady and Gentlemen:

We are pleased to submit the Annual Financial Report of the Texas Department of Licensing and Regulation for the year ended August 31, 2000, in compliance with TEX GOV'T CODE ANN §2101.011 and in accordance with the requirements established by the Comptroller of Public Accounts.

The accompanying annual financial report will be considered for audit by the State Auditor as part of the audit of the State of Texas Comprehensive Annual Financial Report; therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

If you have any questions, please contact Patrice Nance at 463-3100.

Sincerely,

William H. Kuntz, Jr.
Executive Director

WHK/cg

Enclosure

TABLE OF CONTENTS

I.	LETTER OF TRANSMITTAL	
II.	GENERAL PURPOSE FINANCIAL STATEMENTS	
	1. Combined Balance Sheet – All Fund Types and Account Groups	1
	2. Combined Statement of Revenues, Expenditures & Changes in Fund Balances – All Governmental Fund Types and Expendable Trust Funds	3
	3. Combined Statement of Revenues, Expenses and Changes in Fund Equity: All Nonexpendable Trust Funds	5
	4. Combined Statement of Cash Flows: Nonexpendable Trust Funds	6
III.	NOTES TO THE FINANCIAL STATEMENTS	
	1. Summary of Significant Accounting Policies	7
	2. Fixed Assets	9
	3. Deposits, Investments, and Repurchase Agreements	10
	4. General Long Term Debt	10
	5. Capital Leases	10
	6. Operating Lease Obligations	11
	7. Interfund Balances/Activity	11
	8. Employees Retirement Plans	11
	9. Deferred Compensation	11
	10. Contingent Liabilities	11
	11. Continuance Subject to Review	12
	12. Risk Financing and Related Insurance	12
	13. Segment Information	12
	14. Bonded Indebtedness	12
	15. Subsequent Events	12
	16. Related Parties	12
	17. Stewardship, Compliance and Accountability	12
	18. The Financial Reporting Entity and Joint Ventures	13
	19. Restatement of Fund Balances/Retained Earnings	13
IV.	COMBINING STATEMENTS	
	1. Exhibit G-1 – Combining Balance Sheet	14
	2. Exhibit K-1 – Combining Statement of Changes in Assets and Liabilities: All Agency Funds	16
V.	SCHEDULES	
	1. Schedules 1 & 2 – Federal Awards, State Grant Pass-Throughs, Bonds, Debt Service	18
	2. Schedule 3 – Appropriation Item Transfers	19
	3. Schedule 4 – HUB Strategic Plan Progress Report	20
	4. Schedule 5 – Indirect Costs	21
	5. Schedule 6 - Professional/Consulting and Legal Service Fees	22
	6. Schedule 7 - Schedule of Space Occupied	23
	7. Schedule 8 - Revenues and Unappropriated Receipts Cleared	25
	8. Schedule 9 - Comparative Statement of Revenue Generated by Division	26
VI.	ADDENDUM	
	1. Addendum I – Organizational and General Comments	36
	2. Addendum II – Fees That Generate Cash Receipts	40
	3. Addendum III - Organizational Chart	46

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
EXHIBIT I - COMBINED BALANCE SHEET: ALL FUND TYPES AND ACCOUNT GROUPS
 For the Year Ended August 31, 2000 (With comparative totals for August 31, 1999)

	<u>GOVERNMENTAL FUND TYPES</u>	<u>FIDUCIARY FUND TYPE</u>
	<u>GENERAL REVENUE FUND 0001 U/F (0001)</u>	<u>TRUST AND AGENCY (EXH G-1)</u>
ASSETS		
Cash:		
Cash on Hand	\$ 101.00	\$ 80,531.40
Cash in State Treasury		1,734,224.81
Legislative Appropriations	1,967,168.95	
Inventories:		
Consumable Inventories	29,794.89	
Fixed Assets (Note 2):		
Furniture and Equipment		
Vehicles, Boats, and Aircraft		
Amounts To Be Provided in Future Years:		
Other Obligations		
TOTAL ASSETS	\$ <u>1,997,064.84</u>	\$ <u>1,814,756.21</u>
LIABILITIES		
Payables:		
Accounts Payable	\$ 166,844.61	\$
Other Payables (Payroll Payable)	469,472.31	
Due to Other Agencies (Note 7)	1,466.24	
Employees Compensable Leave		
Funds Held for Others		<u>1,455,618.59</u>
TOTAL LIABILITIES	\$ <u>637,783.16</u>	\$ <u>1,455,618.59</u>
FUND EQUITY		
Investment in General Fixed Assets	\$	\$
Fund Balances (Deficits):		
Reserved For:		
Encumbrances	29,019.17	
Imprest Accounts	101.00	
Consumable Inventories	29,794.89	
Other		25,148.26
Unreserved:		
Undesignated:		
Other	<u>1,300,366.62</u>	<u>333,989.36</u>
TOTAL FUND EQUITY	\$ <u>1,359,281.68</u>	\$ <u>359,137.62</u>
TOTAL LIABILITIES AND FUND EQUITY	\$ <u>1,997,064.84</u>	\$ <u>1,814,756.21</u>

The accompanying notes to the financial statements are an integral part of this financial statement.

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<u>ACCOUNT GROUPS</u>			
<u>GENERAL FIXED ASSETS</u>	<u>GENERAL LONG-TERM DEBT</u>	<u>TOTALS (Memorandum Only)</u>	
		<u>2000</u>	<u>1999</u>
\$	\$	\$ 80,632.40	\$ 18,957.32
		1,734,224.81	1,005,335.29
		1,967,168.95	2,475,491.79
		29,794.89	25,088.04
949,837.53		949,837.53	1,024,036.00
57,730.00		57,730.00	57,730.00
	<u>454,044.10</u>	<u>454,044.10</u>	<u>268,680.26</u>
<u>\$ 1,007,567.53</u>	<u>\$ 454,044.10</u>	<u>\$ 5,273,432.68</u>	<u>\$ 4,875,318.70</u>
\$	\$	\$ 166,844.61	\$ 167,372.79
		469,472.31	392,641.04
		1,466.24	1,559.77
	454,044.10	454,044.10	268,680.26
		<u>1,455,618.59</u>	<u>662,727.36</u>
<u>\$ 0.00</u>	<u>\$ 454,044.10</u>	<u>\$ 2,547,445.85</u>	<u>\$ 1,492,981.22</u>
\$ 1,007,567.53	\$	\$ 1,007,567.53	\$ 1,081,766.00
		29,019.17	47,358.33
		101.00	101.00
		29,794.89	25,088.04
		25,148.26	0.00
		<u>1,634,355.98</u>	<u>2,228,024.11</u>
<u>\$ 1,007,567.53</u>	<u>\$ 0.00</u>	<u>\$ 2,725,986.83</u>	<u>\$ 3,382,337.48</u>
<u>\$ 1,007,567.53</u>	<u>\$ 454,044.10</u>	<u>\$ 5,273,432.68</u>	<u>\$ 4,875,318.70</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
EXHIBIT II - COMBINED STATEMENT OF REVENUES, EXPENDITURES & CHANGES IN FUND BALANCES:
ALL GOVERNMENTAL FUND TYPES AND EXPENDABLE TRUST FUNDS
 For the Year Ended August 31, 2000 (With comparative totals for August 31, 1999)

	GOVERNMENTAL FUND TYPE	FIDUCIARY FUND TYPES
	GENERAL REVENUE FUND 0001 U/F (0001/0001)	EXPENDABLE TRUST FUND 0898 U/F (0898/8898)
REVENUES:		
Legislative Appropriations:		
Original Appropriations Revenue	\$	\$
Additional Appropriations Revenue	6,075,301.00	
Licenses, Fees and Permits	1,434,965.33	
Interest and Investment Income	1,068,118.91	26,450.00
Sales of Goods and Services		19,939.08
Other Revenues	24,807.14	
	<u>8,407.00</u>	<u>14,518.75</u>
Total Revenues	<u>\$ 8,611,599.38</u>	<u>\$ 60,907.83</u>
EXPENDITURES:		
Salaries and Wages		
Payroll Related Costs	\$ 4,814,739.95	\$
Professional Fees & Services	1,183,637.48	
Travel	20,095.54	(24,463.00)
Materials and Supplies	267,413.60	
Communication and Utilities	214,985.35	15,000.00
Repairs and Maintenance	167,645.34	
Rentals and Leases	97,803.80	
Printing and Reproduction	109,459.85	
Claims and Judgments	31,632.53	
Other Operating Expenditures	29,301.01	97,845.72
Debt Service - Interest	254,382.34	
Capital Outlay		
	<u>122,970.09</u>	<u></u>
Total Expenditures	<u>\$ 7,314,066.88</u>	<u>\$ 88,382.72</u>
EXCESS OF REVENUES OVER EXPENDITURES	<u>\$ 1,297,532.50</u>	<u>\$ (27,474.89)</u>
OTHER FINANCING SOURCES (USES):		
Legislative Transfer In (Agy 582, Fund 4680)	\$	\$
Operating Transfer In (Agy 902, Fund 0001)	1,522.06	
Operating Transfer Out (Agy 902, Fund 0001)	(1,627,414.67)	
Sale of General Fixed Assets		
Total Other Financing Sources (Uses)	<u>(1,625,892.61)</u>	<u>0.00</u>
EXCESS OF REVENUE & OTHER FINANCING SOURCES OVER EXPENDITURES & OTHER FINANCING USES	<u>\$ (328,360.11)</u>	<u>\$ (27,474.89)</u>
FUND BALANCES - Beginning		
Appropriations Lapsed	1,939,107.23	361,464.25
Net Change in Reserve for Inventories	(256,172.29)	
	<u>4,706.85</u>	<u></u>
FUND BALANCES - Ending	<u>\$ 1,359,281.68</u>	<u>\$ 333,989.36</u>

The accompanying notes to the financial statements are an integral part of this financial statement.

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TOTALS	
(Memorandum Only)	
<u>2000</u>	<u>1999</u>
\$	\$
6,075,301.00	4,614,499.00
1,434,965.33	1,242,912.48
1,094,568.91	2,230,190.00
19,939.08	18,139.16
24,807.14	42,914.30
<u>22,925.75</u>	<u>41,179.52</u>
<u>\$ 8,672,507.21</u>	<u>\$ 8,189,834.46</u>
\$	\$
4,814,739.95	4,286,590.27
1,183,637.48	1,044,774.08
(4,367.46)	25,953.48
267,413.60	289,469.48
229,985.35	300,635.20
167,645.34	191,021.81
97,803.80	77,453.92
109,459.85	125,023.39
31,632.53	45,283.97
127,146.73	32,762.32
254,382.34	648,002.07
0.00	7.54
<u>122,970.09</u>	<u>104,469.76</u>
<u>\$ 7,402,449.60</u>	<u>\$ 7,171,447.29</u>
<u>\$ 1,270,057.61</u>	<u>\$ 1,018,387.17</u>
\$	\$
	378,827.00
1,522.06	0.00
(1,627,414.67)	(1,950,083.85)
<u>0.00</u>	<u>830.02</u>
<u>\$ (1,625,892.61)</u>	<u>\$ (1,570,426.83)</u>
\$	\$
(355,835.00)	(552,039.66)
2,300,571.48	2,863,181.80
(256,172.29)	(22,607.71)
<u>4,706.85</u>	<u>12,037.05</u>
<u>\$ 1,693,271.04</u>	<u>\$ 2,300,571.48</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
EXHIBIT IV - COMBINED STATEMENT OF REVENUES, EXPENSES AND CHANGES IN FUND EQUITY:
ALL NONEXPENDABLE TRUST FUNDS
 For the Year Ended August 31, 2000

	NONEXPENDABLE TRUST FUND 0846 <u>U/F (0846)</u>
OPERATING REVENUES:	
Licenses, Fees and Permits	\$ <u>25,000.00</u>
Total Operating Revenues	\$ <u>25,000.00</u>
OPERATING EXPENSES:	
(None)	\$ <u>0.00</u>
Total Operating Expenses	\$ <u>0.00</u>
OPERATING INCOME (LOSS)	\$ <u>25,000.00</u>
NONOPERATING REVENUES (EXPENSES):	
Interest Revenue	\$ <u>148.26</u>
Total Nonoperating Revenues (Expenses)	\$ <u>148.26</u>
INCOME (LOSS) BEFORE OPERATING TRANSFERS IN (OUT)	\$ <u>25,148.26</u>
NET INCOME (LOSS)	\$ <u>25,148.26</u>
FUND EQUITY, September 1, 1999	\$ <u>0.00</u>
FUND EQUITY, August 31, 2000	\$ <u><u>25,148.26</u></u>

The accompanying notes to the financial statements are an integral part of this financial statement.

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
EXHIBIT V - COMBINED STATEMENT OF CASH FLOWS: NONEXPENDABLE TRUST FUNDS
 For the Year Ended August 31, 2000

	NONEXPENDABLE TRUST FUND 0846 U/F (0846)
CASH FLOWS FROM OPERATING ACTIVITIES	
Proceeds received from service contract provider registrant	\$ <u>25,000.00</u>
Net Cash Provided By Operating Activities:	\$ <u>25,000.00</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES	
(None)	\$ <u>0.00</u>
Net Cash Provided By Noncapital Financing Activities:	\$ <u>0.00</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES	
(None)	\$ <u>0.00</u>
Net Cash Provided For Capital And Related Financing Activities:	\$ <u>0.00</u>
CASH FLOWS FROM INVESTING ACTIVITIES:	
Proceeds from interest and investment income	\$ <u>148.26</u>
Net Cash Provided From Investing Activities:	\$ <u>148.26</u>
Increase (decrease) in cash	\$ <u>25,148.26</u>
Cash and cash equivalents 2000	\$ <u><u>25,148.26</u></u>
RECONCILIATION OF OPERATING INCOME TO NET CASH PROVIDED BY OPERATING ACTIVITIES	
Operating income (loss)	\$ <u>25,148.26</u>
Net Cash Provided by Operating Activities	\$ <u><u>25,148.26</u></u>

The accompanying notes to the financial statements are an integral part of this financial statement.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
NOTES TO THE FINANCIAL STATEMENTS**

NOTE 1: Summary of Significant Accounting Policies

Entity

The Texas Department of Licensing and Regulation is an agency of the State of Texas and its financial records comply with state statutes and regulations. This includes compliance with the Texas Comptroller of Public Accounts' Reporting Requirements for State Agencies.

The Texas Department of Licensing and Regulation serves the state by administering seventeen different statutes, which are outlined in Addendum I of this report.

No component units have been identified which require disclosure.

The accompanying financial statements have been prepared to conform with Generally Accepted Accounting Principles (GAAP) as prescribed by the Governmental Accounting Standards Board (GASB).

Fund Structure

The accompanying financial statements are presented on the basis of fund types and account groups, each of which is considered a separate accounting entity.

Governmental Fund Types

General Fund

The general fund is used to account for all financial resources of the state except those required to be accounted for in another fund.

Fiduciary Fund Types

Expendable Trust Funds

Expendable trust funds are used to account for trust funds whose principal and interest may be expended in the course of their designated operations.

Nonexpendable Trust Funds

Nonexpendable trust funds are funds whose principal must be held in perpetuity. The earnings of the fund may be spent to achieve the fund's objective but the principal must remain intact.

Agency Funds

Agency funds are used to account for assets held as an agent for individuals, other government entities, and/or other funds. These funds are custodial in nature and do not involve measurement of results of operations.

Account Groups

General Fixed Assets Account Group

Fixed assets used by the Agency are accounted for in the General Fixed Assets Account Group, with the exception of those acquired by and accounted for in proprietary, non-expendable trust and pension trust funds.

General Long-Term Debt Account Group

This account group is used to account for long-term debt to be financed in future periods from governmental funds.

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Memorandum Totals

The total columns on the financial statements are captioned "Memorandum Only" to indicate they are for informational purposes only. Data in these columns does not present the financial position, results of operations, or changes in financial position in conformity with GAAP, nor is such data comparable to a consolidation.

Basis of Accounting

The basis of accounting determines when revenues and expenditures or expenses are recognized in the accounts reported in the financial statements. All governmental fund types, expendable trust funds and agency funds are accounted for using the modified accrual basis of accounting. Revenues are recognized when they become both measurable and available. Expenditures are generally recognized when the related fund liability is incurred. Exceptions are unpaid employee compensable leave, the unmatured debt service (principal and interest) on general long-term debt, long-term capital leases, and long-term claims and judgments, which are not recognized until actual payment is made. The principal of these long-term liabilities is reported in the General Long-Term Debt Account Group.

Nonexpendable trust funds are accounted for on the accrual basis of accounting. Revenues are recognized when earned and expenses are recognized when the liability is incurred regardless of the timing of the related cash flow.

Budgets and Budgetary Accounting

The budget is prepared biennially and represents appropriations authorized by the legislature and approved by the Governor (the General Appropriations Act). Encumbrance accounting is employed for budgetary control purposes.

Unencumbered appropriations are generally subject to lapse 60 days after the end of the fiscal year for which they were appropriated.

Assets, Liabilities, and Fund Equity

ASSETS

Inventories

Consumable inventories include supplies and postage on hand at year-end.

Fixed Assets

Purchases of fixed assets by governmental or similar trust funds are reported as expenditures. They are capitalized at cost or, if not purchased, at appraised value on the date of acquisition. There is no provision for depreciation or obsolescence of these fixed assets since replacements are financed from current resources.

LIABILITIES

Accounts Payable

Accounts Payable represents the liability for the value of assets or services received at the balance sheet date for which payment is pending.

Employees' Compensable Leave

Employees' Compensable Leave Balances represent the liability that the Agency will be required to liquidate in the future for employee vacation time.

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RESERVATIONS/DESIGNATIONS OF FUND EQUITY

Reservations of fund balance represent fund balances of governmental and fiduciary fund types that are legally restricted to a specific future use.

Reserved for Encumbrances

This represents commitments of the value of contracts awarded or assets ordered prior to year-end but not received as of that date. Encumbrances are not included with expenditures or liabilities. They represent current resources designated for specific expenditures in subsequent operating periods.

Reserved for Consumable Inventories

This represents the amount of supplies, postage and prepaid assets to be used in the next fiscal year.

Reserved for Imprest Accounts

This represents the funds held in reserve for imprest cash (change fund).

Unreserved/Undesignated:

Other represents the unappropriated balance at year-end.

INTERFUND TRANSACTIONS AND BALANCES

The agency has the following types of transactions among funds:

- (1) Operating Transfers: Legally required transfers that are reported when incurred as 'Operating Transfers In' by the recipient fund and as 'Operating Transfers Out' by the disbursing fund.
- (2) Quasi-External Transactions: Charges or collections for services rendered by one fund to another that are recorded as revenues of the recipient fund and expenditures or expenses of the disbursing fund. The composition of the agency's interfund receivables and payables at August 31, 2000 is presented in Note 7.

NOTE 2: Fixed Assets

A summary of changes in General Fixed Assets for the year ended August 31, 2000, is presented below:

Asset Type	Balance 09-1-99	Adjustments	Additions	Deletions	(Exh. I) Balance 08-31-00
Furniture and Equipment	\$1,024,036.00	\$12,309.34	\$121,465.09	-\$207,972.90	\$949,837.53
Vehicles, Boats and Aircraft	\$57,730.00				\$57,730.00
TOTAL	\$1,081,766.00	\$12,309.34	\$121,465.09	-\$207,972.90	\$1,007,567.53

Note: The adjustments column includes corrections to prior year additions. A deletion of fixed assets was reported as a result of items donated per SPA procedures.

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Reconciliation of Additions to Capital Outlay, Exhibit II:

Total Capital Outlay, Exhibit II	\$ 122,970.09
Less Capitalized Furniture & Equipment added to SPA in September, 2000	(<u>1,505.00</u>)
Total Additions to Fixed Assets	\$ <u>121,465.09</u>

NOTE 3: Deposits, Investments, & Repurchase Agreements

Not applicable to this agency.

NOTE 4: General Long Term Debt

Changes In General Long-Term Debt

During the year ended August 31, 2000, the following changes occurred in liabilities reported in the general long-term debt account.

	Balance 09-1-99	Additions	Deductions	Balance 08-31-00
Compensable Leave	\$268,680.26	\$542,675.92	\$357,312.08	\$454,044.10
Total	\$268,680.26	\$542,675.92	\$357,312.08	\$454,044.10

Note: The additions column includes \$91,666.69 required to adjust the 09/01/99 balance. The discrepancy is due to calculations being based on different wages.

Employees' Compensable Leave

A state employee is entitled to be paid for all unused vacation time accrued, in the event of the employee's resignation, dismissal, or separation from State employment, provided the employee has had continuous employment with the State for six months. Expenditures for accumulated annual leave balances are recognized in the period paid or taken in governmental and/or Expendable Trust fund types. For these fund types, the liability for unpaid benefits is recorded in the General Long-Term Debt Account Group with a corresponding account designated "Amounts To Be Provided in Future Years". An expense and liability for proprietary fund types are recorded in the proprietary funds as the benefits accrue to employees. No liability is recorded for non-vesting accumulating rights to receive sick pay benefits.

Claims & Judgments

There were no outstanding claims and judgments at August 31, 2000.

NOTE 5: Capital Leases

Not applicable to this agency.

NOTE 6: Operating Lease Obligations

Included in the expenditures or expenses reported in the financial statements are the following amounts of rent paid or due under operating leases:

Fund Type	
General Fund	\$19,548.16
Totals – Memorandum Only	\$19,548.16

The Texas Department of Licensing and Regulation has no future minimum lease rental payments under non-cancelable operating leases having an initial term in excess of one year.

NOTE 7: Interfund Balances / Activities

As explained in Note 1 on Interfund Transactions and Balances there are numerous transactions between funds and agencies. At year-end, amounts to be received or paid are reported as Interfund Receivables or Interfund Payables or Due From or Due To Other Funds. These transactions are reported on DAFR 8910, INTERFUND / INTERAGENCY ACTIVITY REPORT, included with this financial statement.

NOTE 8: Employees Retirement Plans

The State has joint contributory retirement plans for substantially all its employees. The Agency participates in the plans administered by the Employees Retirement System of Texas. Future pension costs are the liabilities of the Retirement System. The System does not account for each State agency separately. Annual financial reports prepared by the System include audited financial statements and actuarial assumptions and conclusions.

NOTE 9: Deferred Compensation

State employees may elect to defer a portion of their earnings for income tax and investment purposes pursuant to authority granted in the TEX. GOV'T. CODE ANN., sec 609.001. Two plans are available for employees' deferred compensation plan. Both plans are administered by the Employees Retirement System.

NOTE 10: Contingent Liabilities

There are no contingent liabilities for this agency.

The Coalition of Texans with Disabilities, for Its Members and Those Whom It Represents, and Terry Stellar, filed a lawsuit against the Texas Department of Licensing and Regulation; and Rachelle A. Martin, Executive Director of the Texas Department of Licensing and Regulation, in her official capacity on July 26, 1999, alleging the Department's policy of requiring 20% of fuel pump credit card readers to be compliant with the Texas Accessibility Standards (TAS) reach range requirements (54 inches) was in violation of those Standards. The suit requested injunctive, mandamus, and declaratory relief and a writ of prohibition. Industry wide alternative methods for complying with TAS were developed, i.e., lowering of credit card readers or alternative methods for installing fuel pumps, etc., therefore, the Department began to enforce the TAS requirements on control mechanisms for fuel pumps as they relate to credit card readers. This lawsuit was settled in March 2000. The Department paid \$2,000 in settlement. The Court entered an Agreed Order of Dismissal on June 22, 2000.

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The Coalition of Texans with Disabilities, for Its Members and Those Whom It Represents filed a lawsuit against Tommy V. Smith, Commissioner of Licensing and Regulation for Department of Licensing and Regulation, in his official capacity and the Members of the Texas Commission of Licensing and Regulation, in their official capacities on July 25, 1997, asserting the Department had unlawfully interpreted a legislative amendment to the Architectural Barriers Act, Texas Revised Civil Statutes Annotated, Article 9102, (Vernon 1997), pertaining to buildings in which a religious ritual is held. The suit requested injunctive, mandamus and declaratory relief and a writ of prohibition. The Department was granted Summary Judgment on January 7, 1999, however, the Texas Court of Appeals, Third District overturned this ruling on October 14, 1999. As part of the resolution to the lawsuit regarding the interpretation, the Department proposed and adopted amendments to the Architectural Barriers administrative rules. A Release and Settlement Agreement was signed and the lawsuit was settled in March 2000. The Department paid \$17,500 in settlement.

NOTE 11: Continuance Subject to Review

Under the Texas Sunset Act, the Agency will be abolished effective September 1, 2003, unless continued in existence by the 78th Legislature as provided by the Act. If abolished, the agency may continue until September 1, 2004 to close out its operations.

NOTE 12: Risk Financing and Related Insurance

The Agency is exposed to a variety of civil claims resulting from the performance of its duties. It is agency policy to periodically assess the proper combination of commercial insurance and retention of risk to cover losses to which it may be exposed.

The Agency assumes substantially all risks associated with tort and liability claims due to the performance of its duties. Currently there is no purchase of commercial insurance, nor is the agency involved in any risk pools with other government entities.

NOTE 13: Segment Information

Not applicable to this agency.

NOTE 14: Bonded Indebtedness

Not applicable to this agency.

NOTE 15: Subsequent Events

Not applicable to this agency.

NOTE 16: Related Parties – Relationship with Agency Entity Authority

Not applicable to this agency.

NOTE 17: Stewardship, Compliance and Accountability

Not applicable to this agency.

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NOTE 18: The Financial Reporting Entity and Joint Ventures

Not applicable to this agency.

NOTE 19: Restatement Of Fund Balances /Retained Earnings

Not applicable to this agency.

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION
EXHIBIT G-1 - COMBINING BALANCE SHEET: FIDUCIARY FUND TYPES
 For the Year Ended August 31, 2000 (With comparative totals for August 31, 1999)

	<u>EXPENDABLE TRUST FUND FUND 0898 U/F (0898)</u>	<u>NONEXPENDABLE TRUST FUND FUND 0846 U/F (0846)</u>
ASSETS		
Cash:		
Cash on Hand	\$	\$
Cash in State Treasury	333,989.36	25,148.26
Receivables:		
Accounts Receivable	_____	_____
TOTAL ASSETS	\$ <u>333,989.36</u>	\$ <u>25,148.26</u>
 LIABILITIES		
Funds Held for Others	\$ _____	\$ _____
TOTAL LIABILITIES	\$ _____	\$ _____
 FUND EQUITY		
FUND BALANCES (DEFICITS):		
Reserved For:		
Other	\$	\$ 25,148.26
Unreserved:		
Undesignated:		
Available for Subsequent Years		
Other	333,989.36	_____
TOTAL FUND EQUITY	\$ <u>333,989.36</u>	\$ <u>25,148.26</u>
TOTAL LIABILITIES AND FUND EQUITY	\$ <u>333,989.36</u>	\$ <u>25,148.26</u>

The accompanying notes to the financial statements are an integral part of this financial statement.

UNAUDITED

AGENCY FUNDS (EXH K-1)	2000 (EXH I)	1999
\$ 80,531.40	\$ 80,531.40	\$ 18,856.32
1,375,087.19	1,734,224.81	1,005,335.29
<u> </u>	<u>0.00</u>	<u>0.00</u>
<u>\$ 1,455,618.59</u>	<u>\$ 1,814,756.21</u>	<u>\$ 1,024,191.61</u>
<u>\$ 1,455,618.59</u>	<u>\$ 1,455,618.59</u>	<u>\$ 662,727.36</u>
<u>\$ 1,455,618.59</u>	<u>\$ 1,455,618.59</u>	<u>\$ 662,727.36</u>
\$	\$ 25,148.26	\$ 0.00
<u> </u>	<u>333,989.36</u>	<u>361,464.25</u>
\$ <u>0.00</u>	\$ <u>359,137.62</u>	\$ <u>361,464.25</u>
<u>\$ 1,455,618.59</u>	<u>\$ 1,814,756.21</u>	<u>\$ 1,024,191.61</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION

EXHIBIT K-1 - COMBINING STATEMENT OF CHANGES IN ASSETS AND LIABILITIES: ALL AGENCY FUNDS

For the Year Ended August 31, 2000

	Balances September 1, 1999	Additions	Deductions	Balances August 31, 2000
OTHER AGENCY FUNDS				
<u>Suspense Fund (0900) U/F (0900)</u>				
Assets:				
Cash on Hand	\$ 18,856.32	\$ 80,531.40	\$ (18,856.32)	\$ 80,531.40
Cash in State Treasury	<u>641,456.74</u>	<u>11,663,198.39</u>	<u>(10,932,602.26)</u>	<u>1,372,052.87</u>
Total Assets	<u>\$ 660,313.06</u>	<u>\$ 11,743,729.79</u>	<u>\$ (10,951,458.58)</u>	<u>\$ 1,452,584.27</u>
Liabilities:				
Funds Held for Others	<u>\$ 660,313.06</u>	<u>\$ 11,743,729.79</u>	<u>\$ (10,951,458.58)</u>	<u>\$ 1,452,584.27</u>
Total Liabilities	<u>\$ 660,313.06</u>	<u>\$ 11,743,729.79</u>	<u>\$ (10,951,458.58)</u>	<u>\$ 1,452,584.27</u>
<u>Employees' Savings Bond Account (0901) U/F (0901)</u>				
Assets:				
Cash in State Treasury	<u>\$ 125.02</u>	<u>\$ 6,150.04</u>	<u>\$ (5,650.04)</u>	<u>\$ 625.02</u>
Total Assets	<u>\$ 125.02</u>	<u>\$ 6,150.04</u>	<u>\$ (5,650.04)</u>	<u>\$ 625.02</u>
Liabilities:				
Funds Held for Others	<u>\$ 125.02</u>	<u>\$ 6,150.04</u>	<u>\$ (5,650.04)</u>	<u>\$ 625.02</u>
Total Liabilities	<u>\$ 125.02</u>	<u>\$ 6,150.04</u>	<u>\$ (5,650.04)</u>	<u>\$ 625.02</u>
<u>TEXSAVER Account (0942) U/F (0942)</u>				
Assets:				
Accounts Receivable	<u>\$ 0.00</u>	<u>\$ 125,763.93</u>	<u>\$ (125,763.93)</u>	<u>\$ 0.00</u>
Total Assets	<u>\$ 0.00</u>	<u>\$ 125,763.93</u>	<u>\$ (125,763.93)</u>	<u>\$ 0.00</u>
Liabilities:				
Funds Held for Others	<u>\$ 0.00</u>	<u>\$ 125,763.93</u>	<u>\$ (125,763.93)</u>	<u>\$ 0.00</u>
Total Liabilities	<u>\$ 0.00</u>	<u>\$ 125,763.93</u>	<u>\$ (125,763.93)</u>	<u>\$ 0.00</u>
<u>Direct Deposits Corrections (0980), U/F (0980)</u>				
Assets:				
Cash in State Treasury	<u>\$ 2,289.28</u>	<u>\$ 120.02</u>	<u>\$ 0.00</u>	<u>\$ 2,409.30</u>
Total Assets	<u>\$ 2,289.28</u>	<u>\$ 120.02</u>	<u>\$ 0.00</u>	<u>\$ 2,409.30</u>
Liabilities:				
Funds Held for Others	<u>\$ 2,289.28</u>	<u>\$ 120.02</u>	<u>\$ 0.00</u>	<u>\$ 2,409.30</u>
Total Liabilities	<u>\$ 2,289.28</u>	<u>\$ 120.02</u>	<u>\$ 0.00</u>	<u>\$ 2,409.30</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION

EXHIBIT K-1

COMBINING STATEMENT OF CHANGES IN ASSETS AND LIABILITIES: All Agency Funds

For the Year Ended August 31, 2000

	Balances September 1, 1999	Additions	Deductions	Balances August 31, 2000
<u>Totals - All Agency Funds</u>				
Assets:				
Cash on Hand	\$ 18,856.32	\$ 80,531.40	\$ (18,856.32)	\$ 80,531.40
Cash in State Treasury	643,871.04	11,669,468.45	(10,938,252.30)	1,375,087.19
Accounts Receivable	<u>0.00</u>	<u>125,763.93</u>	<u>(125,763.93)</u>	<u>0.00</u>
Total Assets	<u>\$ 662,727.36</u>	<u>\$ 11,875,763.78</u>	<u>\$ (11,082,872.55)</u>	<u>\$ 1,455,618.59</u>
Liabilities:				
Funds Held for Others	<u>\$ 662,727.36</u>	<u>\$ 11,875,763.78</u>	<u>\$ (11,082,872.55)</u>	<u>\$ 1,455,618.59</u>
Total Liabilities	<u>\$ 662,727.36</u>	<u>\$ 11,875,763.78</u>	<u>\$ (11,082,872.55)</u>	<u>\$ 1,455,618.59</u>

The accompanying notes to the financial statements are an integral part of this financial statement.

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULES 1 & 2

For the Fiscal Year Ended August 31, 2000

SCHEDULES 1A & 1B - Federal Awards and State Grant Pass-Throughs

These schedules do not apply for this agency

SCHEDULES 2A, 2B & 2C - Bonds and Debt Service

These schedules do not apply for this agency

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 SCHEDULE 3 - APPROPRIATION ITEM TRANSFERS *

For the Fiscal Year Ended August 31, 2000

ITEM OF APPROPRIATION

			<u>Transfers-In</u>	<u>Transfers-Out</u>	<u>Net Transfers</u>
A. Goal: Licensing					
Strategies:					
A.1.1	13004	License/Register/Certify	\$	\$ (100,000.00)	\$ (100,000.00)
A.1.2	13003	Examinations		(5,000.00)	(5,000.00)
A.1.3	13008	Distribute Information			-
Total, Goal A: Licensing			<u>\$ 0.00</u>	<u>\$ (105,000.00)</u>	<u>\$ (105,000.00)</u>
B. Goal: Enforcement					
Strategies:					
B.1.1	13010	Conduct Inspections	\$	\$ (211,614.65)	\$ (211,614.65)
B.1.2	13009	Resolve Complaints	169,614.65		169,614.65
Total, Goal B: Enforcement			<u>\$ 169,614.65</u>	<u>\$ (211,614.65)</u>	<u>\$ (42,000.00)</u>
C. Goal: Indirect Administration					
Strategies:					
C.1.1	13800	Central Administration	\$ 122,000.00	\$	\$ 122,000.00
C.2.1	13801	Information Resources	75,100.00		75,100.00
C.3.1	13802	Other Support Services		(50,100.00)	(50,100.00)
Total, Goal C: Indirect Administration			<u>\$ 197,100.00</u>	<u>\$ (50,100.00)</u>	<u>\$ 147,000.00</u>
D. Goal: Riders					
Rider 1	56001	Capital Budget - Computers			\$ 0.00
Rider 1	56002	Capital Budget - Imaging System			0.00
Rider 1	56003	Capital Budget - Vehicles			0.00
Rider 4	26004	Elim. Of Architectural Barriers			0.00
Rider 6	26006	Elevators, Esc., & Related Equip.			0.00
Rider 13	26013	Service Contract Providers			0.00
Total, Riders			<u>\$ 0.00</u>	<u>\$ 0.00</u>	<u>\$ 0.00</u>
NET APPROPRIATION ITEM TRANSFERS***			<u>\$ 366,714.65</u>	<u>\$ (366,714.65)</u>	<u>\$ 0.00</u>

* This schedule does not include Benefit Replacement Pay Transfers or Rider Reduction Transfers.

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 4 - HUB STRATEGIC PLAN PROGRESS REPORT

For the Fiscal Year Ended August 31, 2000

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

Goal = Strategic Plan HUB Goal Actual = % Spent with HUBs from HUB Report	Actual for FY '99	Actual for FY '00	Goal for FY 2001
Heavy Construction other than building contracts	N/A	N/A	N/A
Building construction, including general contractors and operative builders contracts	N/A	N/A	N/A
Special trade construction contracts	100%	0%	35%
Professional services contracts	0%	0%	35%
Other services contracts	44.0%	31.1%	35%
Commodities contracts	39.5%	41.7%	35%

Prepared by: _____

Approved: _____

Printed Name: _____

(Signature Required)

Phone Number: _____

Printed Name: _____

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION
SCHEDULE 5 - INDIRECT COSTS
 For the Fiscal Year Ended August 31, 2000

a. Payroll Related Costs (does not include \$4,900.52 of unemployment comp paid from direct appn)		
FICA Employer Matching Contribution	\$360,869.68	
Group Health Insurance	528,332.84	
Retirement	284,545.41	
Unemployment	4,989.03	
Total Payroll Related Costs		\$ 1,178,736.96
Workers' Compensation	\$ 7,328.63	
Benefit Replacement Pay (BRP)	86,224.74	
Total workers' compensation and BRP		\$ 93,553.37
b. Indirect Costs (not reported on operating statements)		
Bond Debt Service Payments		
GSC	\$ 26,735.00	
Total Indirect Costs (not reported on operating statements)		\$ 26,735.00
c. Indirect Costs - Statewide Full Cost Allocation Plan (based on FY 99 data)		
Building Use Charge	\$ 59,803.00	
USAS Depreciation	17,317.00	
Comptroller	77,755.00	
Worker's Comp	61.00	
TX State Library & Archives Commission	3,243.00	
Dept. of Information Resources	4,835.00	
Budget	320.00	
Security	133,261.00	
GSC Purchasing	399.00	
GSC IA Services	3,418.00	
GSC Bldg. & Prop	293,857.00	
GSC Asbestos	3,817.00	
GSC Dsn, Cnst, Lse	5,155.00	
State Senate	317.00	
House of Representatives	318.00	
Legislative Council	336.00	
Legislative Budget Board	1,550.00	
Legislative Reference Library	211.00	
Sunset Advisory Commission	387.00	
Total Indirect Costs - Statewide Full Cost Allocation Plan		\$ 606,360.00
TOTAL INDIRECT COSTS		\$ 1,905,385.33

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 6 - PROFESSIONAL/CONSULTING AND LEGAL SERVICE FEES

For the Fiscal Year Ended August 31, 2000

Name	Type of Service Rendered	Amount
Professional/Consulting Fees		
Northrop Grumman Technical Services	Data Processing Services	\$ 1,959.49
Worker's Assistance Program, Inc.	Educational/Training	4,066.00
Digicon Technologies, Inc.	Data Processing Services	535.50
TX Dept. of Human Services	Educational/Training	775.00
State Office of Risk Management	Risk Management Services	239.55
Porterfield and Associates	Accounting Services	11,970.00
Houston Community College	Educational/Training	(24,463.00)
University of Texas at Austin	Other Professional Services	550.00
Total Professional/Consulting Fees		\$ (4,367.46)
Legal Service Fees		
N/A		\$ 0.00
Total Legal Service Fees		\$ 0.00
Total Professional/Consulting and Legal Service Fees		\$ (4,367.46)

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 7 - SCHEDULE OF SPACE OCCUPIED

For the Fiscal Year Ended August 31, 2000

<u>Location</u>	<u>Address</u>	<u>Lessor</u>	<u>Lease No.</u>	<u>Type</u>	<u>Usable Square Feet</u>
State-Owned Buildings:					
Austin	E.O. Thompson Building 920 Colorado Austin, TX 78701				25,971
Houston	Houston State Office Building 5425 Polk Street Suite G-40 Houston, TX 77023				1,304
San Antonio	G.J. Sutton State Office Building 321 Center Street W-101 San Antonio, TX 78202				658
					27,933
Leased Space:					
Dallas	Buckner Square Mall 3650 N. Buckner Blvd. Suite 106 Dallas, TX 75228	River Ltd. C/O Trinity Interests, Inc.	452-9390- E3B-Dallas	Office	1,500
					1,500

Note: Offices in Lubbock and Midland were closed as of August 31, 2000.

Schedule 7 (continued)

FTE's	Rental Per Month		Actual Annual Cost	Comments
	Cost per Square Feet	Cost per Month		
10	\$.80	\$1,200.00	\$14,400.00	Expires 12/31/00
		<u>\$1,200.00</u>	<u>\$14,400.00</u>	

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 8 - REVENUES AND UNAPPROPRIATED RECEIPTS CLEARED
BY STATUTE

Fiscal Year Ended August 31, 2000 With Comparative Totals for August 31, 1999

Statute	Reappropriated Revenues	Unappropriated Revenues	Totals	
			2000	1999
Air Conditioning & Refrigeration				
Contractors Law	\$ 417	\$ 1,463,114	\$ 1,463,531	\$ 804,437
Architectural Barriers Law	503,249	1,546,753	2,050,002	2,255,891
Auctioneer Act	244	331,575	331,819	342,217
Auctioneer Education & Recovery				
Fund (Fund 0898)	55,857		55,857	54,296
Boiler Inspection Law	678	1,730,079	1,730,757	1,716,732
Combative Sports Act		156,984	156,984	145,866
Career Counseling Act		14,825	14,825	22,975
Elevators, Escalators & Related				
Equipment Act	394,354	516,753	911,107	665,616
Employers of Certain Temporary				
Common Workers Act		64,675	64,675	50,520
Industrialized Housing & Buildings Act		448,920	448,920	371,660
Personnel Employment Services Act		31,700	31,700	48,102
Property Tax Consultants Act	25	90,335	90,360	97,585
Service Contract Providers Act	179,550		179,550	0
Service Contract Providers Security				
Trust Fund		25,148	25,148	0
Staff Leasing Services Act	162	401,825	401,987	593,945
Talent Agency Act		42,150	42,150	40,700
Transportation Service Providers Act		29,585	29,585	2,725
Water Well Drillers & Pump				
Installers Act	226	469,464	469,690	518,906
Administration Division	1,648	3,606	5,254	3,479
Information Resources Division	22,635		22,635	0
Less Prior Year Adjustments	(1,855)		(1,855)	30,270
TOTALS:	\$ 1,157,190	\$ 7,367,491	\$ 8,524,681	\$ 7,765,922

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 9 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION
 Years Ended August 31, 2000 and 1999

	Year Ended August 31, 2000		
	For State Treasury Funds		
	Reappropriated Revenue	Unappropriated Revenue	Total
Air Conditioning & Refrigeration Contractors Law			
Air Conditioning Exam		\$196,091	\$196,091
Air Conditioning License Fees		\$1,136,429	\$1,136,429
Air Conditioning Penalty		\$106,377	\$106,377
Air Conditioning Cert. of Regis.		\$22,137	\$22,137
Air Conditioning Exam Reschedule		\$1,930	\$1,930
Air Conditioning Translation Fee		\$50	\$50
Copies	\$154		\$154
Returned Check Charge		\$100	\$100
Other Misc. Gov't. Revenue			\$0
3rd Party Reimbursement	\$263		\$263
Totals, Air Conditioning & Refrigeration Contractors Law	\$417	\$1,463,114	\$1,463,531
Architectural Barriers Act			
AB Inspection	\$191,555	\$315,822	\$507,377
AB Inspection Filing Fee	\$49,750	\$85,350	\$135,100
AB Plan Review	\$108,529	\$391,109	\$499,638
AB Variance	\$20,050	\$98,120	\$118,170
AB Penalty	\$48,072	\$226,623	\$274,695
AB Variance Appeal	\$2,000	\$10,100	\$12,100
AB Contract Provider Project	\$73,751	\$419,539	\$493,290
AB Project Info Request			\$0
Sale of Copies	\$192		\$192
Sale of Publications	\$1,635		\$1,635
Returned Check Charge		\$75	\$75
Other Misc. Governmental Revenue		\$15	\$15
3rd Party Reimbursement	\$215		\$215
3rd Party Reimb - TAA Tuition	\$7,500		\$7,500
Totals, Architectural Barriers Act	\$503,249	\$1,546,753	\$2,050,002
Auctioneer Act			
Auctioneer License Fee		\$298,025	\$298,025
Associate Auct. License Fee		\$8,800	\$8,800
Auctioneer Exam Fee		\$10,900	\$10,900
Auctioneer Penalty		\$13,750	\$13,750
Auctioneer Filing Fee	\$14,519		\$14,519
Auctioneer Education & Recovery Fund	\$26,450		\$26,450
Auctioneer & Recovery Fund Interest	\$14,888		\$14,888
Copies	\$100		\$100
Returned Check Charges		\$100	\$100
3rd Party Reimbursement	\$144		\$144
Totals, Auctioneer Act	\$56,101	\$331,575	\$387,676

UNAUDITED

Year Ended August 31, 1999 For State Treasury Funds		
Reappropriated Revenue	Unappropriated Revenue	Total
\$40,291	\$118,716	\$159,007
	\$513,263	\$513,263
	\$90,200	\$90,200
	\$41,204	\$41,204
	\$0	\$0
	\$0	\$0
\$146		\$146
	\$325	\$325
	\$10	\$10
<u>\$282</u>		<u>\$282</u>
 <u>\$40,719</u>	 <u>\$763,718</u>	 <u>\$804,437</u>
 \$462,857	 \$458,020	 \$920,877
	\$0	\$0
\$605,105	\$261,550	\$866,655
\$73,065	\$47,600	\$120,665
\$14,000	\$26,875	\$40,875
\$4,400	\$1,800	\$6,200
\$160,145	\$104,155	\$264,300
		\$0
\$4,964		\$4,964
\$1,480		\$1,480
	\$100	\$100
	\$10	\$10
\$327		\$327
<u>\$29,438</u>		<u>\$29,438</u>
 <u>\$1,355,781</u>	 <u>\$900,110</u>	 <u>\$2,255,891</u>
	\$300,600	\$300,600
	\$7,850	\$7,850
	\$10,650	\$10,650
	\$23,022	\$23,022
\$8,057		\$8,057
\$28,100		\$28,100
\$18,139		\$18,139
\$34		\$34
	\$50	\$50
<u>\$11</u>		<u>\$11</u>
 <u>\$54,341</u>	 <u>\$342,172</u>	 <u>\$396,513</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 9 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION
 Years Ended August 31, 2000 and 1999

	Year Ended August 31, 2000		
	For State Treasury Funds		
	Reappropriated Revenue	Unappropriated Revenue	Total
Boiler Inspection Law			
State Sales Tax		\$34	\$34
Boiler Inspection Fees		\$1,571,242	\$1,571,242
Boiler Penalty		\$5,200	\$5,200
Boiler Installer Registration		\$13,620	\$13,620
Special Inspection Fees		\$130,650	\$130,650
Commission Exam Fee		\$4,550	\$4,550
Commission Fee		\$4,708	\$4,708
Sale of Copies	\$28		\$28
Sale of Publications	\$537		\$537
Returned Check Charge		\$75	\$75
3rd Party Reimbursement	\$113		\$113
Totals, Boiler Inspection Law	\$678	\$1,730,079	\$1,730,757
Boxing & Wrestling Act			
Boxing Gross Receipts Tax		\$94,359	\$94,359
Boxing Promoters License		\$20,000	\$20,000
Boxing License Fee		\$25,230	\$25,230
Manager License Fee		\$4,950	\$4,950
Matchmaker License Fee		\$300	\$300
Judge & Referee License Fee		\$2,780	\$2,780
Seconds License Fee		\$8,735	\$8,735
Time Keeper License Fee		\$480	\$480
Boxing Penalty		\$125	\$125
Returned Check Charge		\$25	\$25
Copies			\$0
3rd Party Reimbursement			\$0
Totals, Boxing & Wrestling Act	\$0	\$156,984	\$156,984
Career Counseling Act			
Career Counseling License		\$13,775	\$13,775
Career Counseling Penalty		\$1,050	\$1,050
Totals, Career Counseling Act	\$0	\$14,825	\$14,825
Elevators, Escalators & Related Equipment Act			
Elevator Inspector Registration	\$305	\$2,680	\$2,985
Elevator Filing Fee	\$66,207	\$358,600	\$424,807
Elevator Penalty	\$253,575	\$27,750	\$281,325
Elevator Waiver/Delay	\$38,470	\$63,930	\$102,400
Late Fee	\$35,357	\$63,718	\$99,075
Copies	\$203		\$203
Returned Check		\$75	\$75
Other Misc. Gov't. Revenue			\$0
3rd Party Reimbursement	\$237		\$237
Totals, Elevators, Escalators & Related Equipment Act	\$394,354	\$516,753	\$911,107

UNAUDITED

Year Ended August 31, 1999 For State Treasury Funds		
Reappropriated Revenue	Unappropriated Revenue	Total
	\$1,051	\$1,051
	\$1,550,245	\$1,550,245
	\$3,615	\$3,615
	\$6,240	\$6,240
	\$134,550	\$134,550
	\$5,600	\$5,600
	\$4,020	\$4,020
\$48		\$48
\$11,164		\$11,164
	\$50	\$50
\$149		\$149
<u>\$11,361</u>	<u>\$1,705,371</u>	<u>\$1,716,732</u>
	\$75,460	\$75,460
	\$24,000	\$24,000
	\$29,477	\$29,477
	\$3,300	\$3,300
	\$300	\$300
	\$2,900	\$2,900
	\$9,671	\$9,671
	\$460	\$460
	\$250	\$250
		\$0
\$2		\$2
\$46		\$46
<u>\$48</u>	<u>\$145,818</u>	<u>\$145,866</u>
	\$16,725	\$16,725
	\$6,250	\$6,250
<u>\$0</u>	<u>\$22,975</u>	<u>\$22,975</u>
\$1,185	\$330	\$1,515
\$112,015	\$134,710	\$246,725
\$19,900	\$16,400	\$36,300
\$87,490	\$109,615	\$197,105
\$94,580	\$88,835	\$183,415
\$108		\$108
		\$0
	\$240	\$240
\$208		\$208
<u>\$315,486</u>	<u>\$350,130</u>	<u>\$665,616</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 9 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION
 Years Ended August 31, 2000 and 1999

	Year Ended August 31, 2000 For State Treasury Funds		Total
	Reappropriated Revenue	Unappropriated Revenue	
Employers of Certain Temporary Common Workers Act			
Emp. of Temp. Common Workers License		\$64,425	\$64,425
Emp of Temp. Common Wkrs Lic Penalty		\$250	\$250
Copies			\$0
3rd Party Reimbursement			\$0
Totals, Employers of Certain Temporary Common Workers Act	<u>\$0</u>	<u>\$64,675</u>	<u>\$64,675</u>
Industrialized Housing & Buildings Act			
IHB Manu. Reg. Fee		\$63,200	\$63,200
IHB 3rd Party Inspection Agy		\$750	\$750
IHB Builder Regis. Fee		\$44,575	\$44,575
IHB Design Review Agy. Reg. Fee		\$2,850	\$2,850
IHB 3rd Party Inspector		\$6,650	\$6,650
IHB Design Rev. Agy. Monit.		\$5,915	\$5,915
IHB Decals/Insignia		\$284,687	\$284,687
IHB Certification Inspection		\$30,018	\$30,018
IHB Penalty		\$3,900	\$3,900
IHB Installation Permits		\$6,375	\$6,375
Totals, Industrialized Housing & Buildings Act	<u>\$0</u>	<u>\$448,920</u>	<u>\$448,920</u>
Personnel Employment Services Act			
Employment Agency License		\$31,325	\$31,325
Employment Agency Penalty		\$375	\$375
Totals, Personnel Emp. Sers. Act	<u>\$0</u>	<u>\$31,700</u>	<u>\$31,700</u>
Property Tax Consultants Act			
PTC Private Provider Fee		\$325	\$325
PTC Private Provider Course		\$1,275	\$1,275
PTC Exam		\$1,950	\$1,950
PTC License		\$85,250	\$85,250
PTC Penalty		\$1,500	\$1,500
Copies	\$5		\$5
Returned Check Charge		\$25	\$25
Other Misc.Gov't. Revenue		\$10	\$10
3rd Party Reimbursement	\$20		\$20
Totals, Property Tax Consultants Act	<u>\$25</u>	<u>\$90,335</u>	<u>\$90,360</u>
Service Contract Providers			
SCP Registration	\$168,950		\$168,950
SCP Penalty	\$10,600		\$10,600
SCP Trust Fund (Security Deposit)		\$25,000	\$25,000
SCP Interest		\$148	\$148
Totals, Career Counseling Act	<u>\$179,550</u>	<u>\$25,148</u>	<u>\$204,698</u>

UNAUDITED

Year Ended August 31, 1999 For State Treasury Funds		
Reappropriated Revenue	Unappropriated Revenue	Total
	\$47,495	\$47,495
	\$3,000	\$3,000
\$7		\$7
<u>\$18</u>		<u>\$18</u>
<u>\$25</u>	<u>\$50,495</u>	<u>\$50,520</u>
	\$70,102	\$70,102
	\$900	\$900
	\$40,000	\$40,000
	\$2,400	\$2,400
	\$6,200	\$6,200
	\$0	\$0
	\$220,288	\$220,288
	\$13,570	\$13,570
	\$7,875	\$7,875
	\$10,325	\$10,325
<u>\$0</u>	<u>\$371,660</u>	<u>\$371,660</u>
	\$43,425	\$43,425
	\$4,677	\$4,677
<u>\$0</u>	<u>\$48,102</u>	<u>\$48,102</u>
	\$125	\$125
	\$975	\$975
	\$4,325	\$4,325
	\$91,160	\$91,160
	\$1,000	\$1,000
	\$0	\$0
	\$0	\$0
	\$0	\$0
<u>\$0</u>	<u>\$97,585</u>	<u>\$97,585</u>
	\$0	\$0
	\$0	\$0
	\$0	\$0
<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 9 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION
 Years Ended August 31, 2000 and 1999

	Year Ended August 31, 2000 For State Treasury Funds		
	Reappropriated Revenue	Unappropriated Revenue	Total
Staff Leasing Services Act			
Staff Leasing Application Fee		\$44,400	\$44,400
Staff Leasing License		\$334,900	\$334,900
Staff Leasing Penalty		\$22,500	\$22,500
Copies	\$93		\$93
Returned Check Charge		\$25	\$25
3rd Party Reimbursement	\$69		\$69
Totals, Staff Leasing Services Act	<u>\$162</u>	<u>\$401,825</u>	<u>\$401,987</u>
Talent Agency Act			
Talent Agents License Fee		\$41,050	\$41,050
Talent Agent Penalty		\$1,100	\$1,100
Totals, Talent Agency Act	<u>\$0</u>	<u>\$42,150</u>	<u>\$42,150</u>
Transportation Service Providers			
Application Fee		\$19,830	\$19,830
Certificate Fee		\$9,755	\$9,755
Totals, Transportation Service Providers	<u>\$0</u>	<u>\$29,585</u>	<u>\$29,585</u>
Water Well Drillers			
Application/Exam Fee		\$15,275	\$15,275
License Fee		\$36,867	\$36,867
Renewal Fee		\$360,591	\$360,591
Late Fee		\$16,576	\$16,576
Penalty		\$35,700	\$35,700
Variance		\$4,400	\$4,400
Copies	\$85		\$85
Returned Check Charge		\$25	\$25
Other Misc. Gov't. Revenue		\$30	\$30
3rd Party Reimbursement	\$141		\$141
Totals, Water Well Drillers	<u>\$226</u>	<u>\$469,464</u>	<u>\$469,690</u>
Administration Division			
Sale of Copies	\$87		\$87
3rd Party Reimbursements	\$1,561		\$1,561
Returned Check Charge		\$125	\$125
Other Misc. Gov't. Revenue		\$3,481	\$3,481
Sale of Equipment			\$0
Totals, Administration Division	<u>\$1,648</u>	<u>\$3,606</u>	<u>\$5,254</u>

UNAUDITED

Year Ended August 31, 1999 For State Treasury Funds		
Reappropriated Revenue	Unappropriated Revenue	Total
	\$38,400	\$38,400
	\$519,448	\$519,448
	\$35,935	\$35,935
\$162		\$162
		\$0
		\$0
<u>\$162</u>	<u>\$593,783</u>	<u>\$593,945</u>
	\$40,700	\$40,700
		\$0
<u>\$0</u>	<u>\$40,700</u>	<u>\$40,700</u>
\$2,100		\$2,100
\$625		\$625
<u>\$2,725</u>	<u>\$0</u>	<u>\$2,725</u>
\$11,625		\$11,625
\$40,086		\$40,086
\$427,903		\$427,903
\$9,650		\$9,650
\$27,768		\$27,768
\$1,800		\$1,800
\$6		\$6
	\$50	\$50
		\$0
<u>\$18</u>		<u>\$18</u>
<u>\$518,856</u>	<u>\$50</u>	<u>\$518,906</u>
\$24		\$24
\$2,625		\$2,625
		\$0
		\$0
<u>\$830</u>		<u>\$830</u>
<u>\$3,479</u>	<u>\$0</u>	<u>\$3,479</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
SCHEDULE 9 - COMPARATIVE STATEMENT OF REVENUE GENERATED BY DIVISION
 Years Ended August 31, 2000 and 1999

	Year Ended August 31, 2000 For State Treasury Funds		
	Reappropriated Revenue	Unappropriated Revenue	Total
	<u> </u>	<u> </u>	<u> </u>
Data Processing Division			
Sale of Lists	\$6,346		\$6,346
Interagency Services	<u>\$16,289</u>		<u>\$16,289</u>
Totals, Data Processing Division	<u>\$22,635</u>	<u>\$0</u>	<u>\$22,635</u>
Less Prior Year Adjustments	-\$1,855		-\$1,855
TOTAL REVENUES GENERATED	<u>\$1,157,190</u>	<u>\$7,367,491</u>	<u>\$8,524,681</u>
Distribution of Revenues Generated:			
Deposited into General Revenue Fund	\$1,101,333	\$7,342,343	\$8,443,676
Deposited into Fund 0846		\$25,148	\$25,148
Deposited into Fund 0898	<u>\$55,857</u>		<u>\$55,857</u>
Total Revenue Generated, as above	<u>\$1,157,190</u>	<u>\$7,367,491</u>	<u>\$8,524,681</u>

UNAUDITED

Year Ended August 31, 1999 For State Treasury Funds		
Reappropriated Revenue	Unappropriated Revenue	Total
\$7,655		\$7,655
<u>\$22,615</u>		<u>\$22,615</u>
<u>\$30,270</u>	<u>\$0</u>	<u>\$30,270</u>
 <u>\$2,333,253</u>	 <u>\$5,432,669</u>	 <u>\$7,765,922</u>
 \$2,278,957	 \$5,432,669	 \$7,711,626
<u>\$54,296</u>		<u>\$54,296</u>
<u>\$2,333,253</u>	<u>\$5,432,669</u>	<u>\$7,765,922</u>

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

The Texas Department of Licensing and Regulation’s (TDLR) current enabling statute makes it an umbrella regulatory agency to oversee a variety of businesses, industries, trades, and occupations in Texas. In fiscal year 2000 these regulatory areas included:

- Air Conditioning and Refrigeration Contractors
- Architectural Barriers
- Auctioneers
- Boilers
- Combative Sports (Boxing)
- Career Counselors
- Elevators, Escalators, and Related Equipment
- Employers of Temporary Common Workers
- Industrialized Housing and Buildings
- Personnel Employment Services
- Property Tax Consultants
- Service Contract Providers
- Staff Leasing Services
- Talent Agents
- Transportation Service Providers
- Water Well Drillers
- Water Well Pump Installers

Texas Commission of Licensing and Regulation

TDLR’s governing body, the Texas Commission of Licensing and Regulation, is comprised of six public members appointed by the Governor with the advice and consent of the Senate. Commission members serve six-year terms, meeting at least quarterly each year. The primary duties of the Commission are to:

- Appoint and supervise the Executive Director's administration of the Department;
- formulate policy objectives;
- approve the operating budget;
- approve legislative appropriations requests;
- set fees;
- adopt rules;
- assess monetary penalties; and
- adopt personnel policies.

Commission members serving as of August 31, 2000

<u>Name</u>	<u>Address</u>	<u>Term Expires February 1,</u>
William Fowler (Chairman)	Llano	2001
Mickey Christakos (Vice Chairman)	Allen	2003
Elliott B. McConnell	Rockport	2003
Gina Parker	Waco	2001
Patricia P. Stout	San Antonio	2005
Leo R. Vasquez, III	Houston	2005

The Commission has nine advisory boards to assist in rule making and various other program decisions:

- Air Conditioning and Refrigeration Contractors Advisory Board
- Architectural Barriers Advisory Committee
- Auctioneer Education Advisory Board
- Board of Boiler Rules
- Elevator Advisory Board
- Texas Industrialized Building Code Council
- Property Tax Consultants Advisory Council
- Service Contract Providers Advisory Board
- Water Well Drillers Advisory Council

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

Agency Organization in Fiscal Year 2000

TDLR has seven divisions organized along functional lines: Administration, Code Review and Inspections, Enforcement, Executive, General Counsel, Information Services, and Licensing and e-Commerce.

TDLR believes that organizing along functional lines is the most effective way of achieving its mission, delivering services, and adapting to change. During the first six months of Fiscal Year 2000 TDLR transferred its Architectural Barriers project registration and Elevator certification functions from the Code Review and Inspections Division to the Licensing and e-Commerce Division. Through this major reorganization, TDLR will achieve the inherent economies-of-scale of organizing functionally.

TDLR has incorporated an e-Commerce and process review component in its organizational structure. By leveraging the Agency's limited resources, this e-Commerce component will reduce the time and per unit cost of issuing a license.

Process review provides TDLR a structure through which it can continuously evaluate the viability of existing processes and systems and seamlessly merge new programs and responsibilities into the Agency. It is key to the Agency's ability to quickly adapt to meet the changing needs of its service populations.

The Agency is made up of the following functional areas:

Administration – The Administration Division is comprised of the Accounting and Mail Services, Purchasing, and Human Resources sections. The Division manages the Agency's fiscal resources, complies with state and federal laws regulating these three areas and provides outstanding customer service to our internal and external customers. The Administration Division prepares and monitors TDLR's \$6.7 million budget, emphasizing compliance with the various caps (travel, salary and FTE) placed on the Agency.

Code Review and Inspections Division – The primary goals of the Code Review and Inspections Division are to ensure accessibility of buildings and facilities and the safe operation of boilers, elevators and escalators. Architectural Barrier and Boiler inspectors and support staff are strategically located throughout the state with field offices in Dallas, Houston, Lubbock, San Antonio, and Midland-Odessa. Field staff also assist in inspection and investigation functions for other Agency programs.

Enforcement Division – The Enforcement Division provides firm, fair and consistent complaint resolution in addition to:

- administering policy and procedures of fourteen programs;
- acting as a primary contact for regulated industry;
- reviewing and drafting rules;
- fostering mutual understanding and cooperation with advisory boards, local, state and federal agencies, consumers trade associations and industries; and
- speaking to various organizations as resources permit.

Executive Director's Office – The Office of the Executive Director manages the day-to-day operations of the Agency, implements Commission objectives and insures agency compliance with statewide goals and initiatives. Strengthening communication is the dominant plank in the Executive Director's platform to safeguard the health and safety of Texans, and to do so in a fair and consistent manner.

General Counsel's Office – The Office of the General Counsel serves as General Counsel to the Executive Director and Commission, and incorporates administrative rules, advisory board support, public information coordination and prosecutes cases referred from the Enforcement Division.

Information Services Division – The primary goal of the Information Services Division is to provide TDLR with a progressive and dynamic technology platform. The Division also provides programming support for TDLR's license and registration, inspections, enforcement and cash receipt administration functions.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

Licensing and e-Commerce Division – The core responsibility of the Licensing and e-Commerce Division is to screen applicants for licensure so that only qualified persons obtain entry into the regulated industry. This screening process may include one or more of the following:

- examination
- background check;
- education/credential verification;
- financial requirements; and
- experience assessment.

Key Personnel as of August 31, 2000

<u>Name</u>	<u>Position</u>
William H. Kuntz, Jr.	Executive Director
Brian E. Francis	Deputy Executive Director
Theda Lambert	General Counsel *
Jimmy G. Martin	Director of Enforcement
George Ferrie	Director of Code Review & Inspections
Don Dudley	Director of Licensing and e-Commerce
Patrice Nance	Director of Administration**
Jenny Cordell	Director of Human Resources

*Effective September 25, 2000, Mr. Carlos Celestino was named General Counsel.

**Effective September 1, 2000, Ms. Carla Barron was named Director of Administration.

Agency Make-up

TDLR is authorized 144.5 full-time equivalent (FTE) positions, of that number, 113.5 are located in Austin and 31 are assigned to locations throughout the state.

TDLR's Strategic Planning Initiatives

Staffing and Personnel Initiatives

The amazingly low unemployment rate in Texas, particularly in Austin (1.9% April 2000), has depleted the supply of qualified workers. TDLR competes with the private sector for the limited number of applicants and is losing the contest. Even when the agency hires an applicant, that worker often leaves for the private sector after receiving training. The primary reason for departure is simple: the private sector offers higher salaries. TDLR must increase its salaries to be competitive. This increased pay would result in a higher quality of applicants and a lower turnover rate. By retaining more staff, the Agency will decrease its training costs. This need is most evident in the high turnover rate among Boiler and Architectural Barriers inspectors. Unfortunately, these two positions require some of the most technical training of all positions in the agency.

Technology Initiatives

The Agency's primary technological objective is to transfer its HP 3000 applications to a new platform. The current HP 3000 limits TDLR's ability to adapt to the changing technological environment and to share information with other entities. The conversion will require additional computer hardware and software. When the conversion is completed, TDLR will better be able to make our databases and applications accessible to interested parties.

In addition to this transfer, TDLR plans to use new technology to perform more efficiently. For example, use of Personal Digital Assistant (PDA) reporting devices will decrease the administrative time spent per inspection, resulting in an increase in the number of inspections conducted. Similarly, utilizing document imaging to capture data and store documents electronically will significantly reduce the time spent processing applications, freeing personnel for other tasks.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

These technological innovations require more programmers and operations staff. As we switch to a new applications platform, TLDR will need additional programmers to create and develop these new systems. Presently, our programmers spend valuable time doing non-programming activities. The addition of operations staff to perform these non-programming activities will free more time for the programmers to write code.

Licensing Initiatives

TDLR's licensing function is under continual process review to ensure appropriate screening of applicants for licensure, thereby ensuring the protection of end consumers. TDLR is seeking to shift the focus from conducting plan reviews and inspections to a management oversight structure. This structure would require the registration of Contract Providers. Requiring continuing education for licensees is one way in which consumers' interests are protected. Future initiatives in this area include continuing education requirements for Contract Providers and increasing our offering of electronic examinations to 75%.

Communication Initiatives

Our primary means of communication with industry and consumers is now the TDLR web site. Here, the public can access information on licenses through a searchable database; access TDLR forms, rules and laws; subscribe to a free electronic message service that provides instantaneous information regarding TDLR activities; register architectural barriers projects; file complaints; and explore job opportunities within the Agency. As our technology initiatives develop, our ability to provide additional services and information through the web site will develop as well. Additionally, TDLR plans to implement an agency-wide intranet to improve the communication within the Agency and to increase worker efficiencies.

As more services are offered on the Internet and intranet, TDLR must have an employee whose sole responsibility is maintaining those sites. Currently, a full-time programmer has assumed the additional responsibilities of web development. Other TDLR employees assist with content and publishing. TDLR will continue to rely on employees from various sections to assist in web development, but must have a Webmaster whose sole duty is to ensure content and quality.

Complaint Resolution Initiatives

TDLR is committed to the resolution of complaints in a firm, fair and consistent manner and will continue to give priority to improving the timeliness and impartiality of the complaint resolution process. The increase in the number of complaints received and resolved has, however, resulted in the need for additional personnel. Specifically, TDLR will need additional legal assistant personnel, whose primary duties will be assisting our investigators and prosecutors. Likewise, the number of hearings and agreed orders are increasing, requiring extra administrative support staff to expedite the complaint resolution process.

TDLR has set an objective to register more elevators, escalators and related equipment. To accomplish this, TDLR will need to hire two deputy elevator investigators. These employees will also investigate reported accidents.

Increasing Enforcement staffing levels will also require an increase in TDLR's travel budget. Without these additional funds our ability to ensure public safety is compromised.

TDLR seeks funding to implement these initiatives. The degree to which TDLR will succeed in accomplishing its goals and addressing the demands of consumers and regulated entities ultimately depends upon the resources available to it.

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM II
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
<u>Air Conditioning and Refrigeration Contractors Law</u>		
Contractors Licenses		
Class A or B Initial License or Renewal	\$350.00	3 Years, Staggered Renewal
Late Renewal	\$50.00	Not Applicable
Class A or B Exam Application Fee	\$50.00	Not Applicable
Class A or B Exam and Re-Examination	\$50.00	Not Applicable
Exam Reschedule Fee	\$30.00	Not Applicable
Revisions		
Lost, Revised or Duplicate License or Wallet Card	\$25.00	Not Applicable
Addition of Endorsement	\$25.00	Not Applicable
Certificate of Registration	\$25.00	No Expiration
<u>Architectural Barriers Act</u>		
Project Cost Under \$50,000 (optional)		
Review Fee	\$200.00	Not applicable
Inspection Fee	\$200.00	Not applicable
Project Cost		
\$50,000-\$200,000		
Review Fee	\$350.00	Not Applicable
Late Review Fee	\$350.00	Not Applicable
Inspection Fee	\$350.00	Not Applicable
\$200,001 - \$500,000		
Review Fee	\$315.00	Not Applicable
Late Review Fee	\$480.00	Not Applicable
Inspection Fee	\$375.00	
\$500,001 - \$1,000,000		
Review Fee	\$380.00	Not Applicable
Late Review Fee	\$610.00	Not Applicable
Inspection Fee	\$400.00	Not Applicable
\$1,000,001 - \$5,000,000		
Review Fee	\$445.00	Not Applicable
Late Review Fee	\$740.00	Not Applicable
Inspection Fee	\$445.00	Not Applicable
\$5,000,001 - \$10,000,000		
Review Fee	\$575.00	Not Applicable
Late Review Fee	\$1,000.00	Not Applicable
Inspection Fee	\$575.00	Not Applicable
\$10,000,001 - \$15,000,000		
Review Fee	\$620.00	Not Applicable
Late Review Fee	\$1,090.00	Not Applicable
Inspection Fee	\$620.00	Not Applicable
\$15,000,001 - \$25,000,000		
Review Fee	\$785.00	Not Applicable
Late Review Fee	\$1,420.00	Not Applicable
Inspection Fee	\$785.00	Not Applicable

UNAUDITED

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM II
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
\$25,000,001 - \$50,000,000		
Review Fee	\$955.00	Not Applicable
Late Review Fee	\$1,760.00	Not Applicable
Inspection Fee	\$955.00	Not Applicable
\$50,000,001 - \$75,000,000		
Review Fee	\$1,175.00	Not Applicable
Late Review Fee	\$2,200.00	Not Applicable
Inspection Fee	\$1,175.00	Not Applicable
Over \$75,000,000		
Review Fee	Negotiated	Not Applicable
Late Review Fee	Negotiated	Not Applicable
Inspection Fee	Negotiated	Not Applicable
Inspection of State Leases		Not Applicable
No Construction Involved	\$225.00 per lease	
Preliminary Review Fee	\$145.00	Not Applicable
Special Inspection Fee	\$215.00 per hr. \$2 hr. minimum	Not Applicable
Variance Application Fee	\$150.00	Not Applicable
Variance Appeal	\$200.00	Not Applicable
Contract Provider Filing Fee	\$75.00	Not Applicable
Project Information Request	\$35.00	Not Applicable
Contract Provider Inspection Fee	\$50.00	Not Applicable
Technical Deviations-Built Condition Fee	\$200.00ea item	Not Applicable
Replacement Certificate	\$25.00	Not Applicable

Auctioneer Act

Auctioneer License	\$100.00	Annual, Staggered Renewal
Auctioneer License Renewal	\$100.00	Annual, Staggered Renewal
Associate Auctioneer Lic.	\$50.00	Annual, Staggered Renewal
Associate Auctioneer Lic. Renewal	\$50.00	Annual, Staggered Renewal
Auctioneer Exam Fee	\$50.00	Not Applicable
Late Fee	\$50.00	Not Applicable
Duplicate License Fee	\$25.00	Not Applicable
Education and Recovery Fee	\$100.00 (New License)	If fund below \$300,000 on 12/31 of Each Year, Prorated at \$50 or More

Boiler Inspection Law

State Inspection Fee		
Other Than Heating Boiler	\$115.00	Annual, Staggered Renewal
Heating Boilers:		
With Manhole	\$115.00	2-3 Years, Staggered Renewal
Without Manhole	\$85.00	2-3 Years, Staggered Renewal
Insurance Inspection Fee	\$45.00	1-3 Years, Staggered Renewal
Special Inspection/Travel	\$650.00 plus travel & per diem	3 Years, Staggered or on Demand as Needed
Commission Fees		
New	\$25.00	Annual, December Renewal
Renewal	\$10.00	Annual. December Renewal

UNAUDITED

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM II
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
Late Renewal	\$12.50 if late (total \$22.50)	
Reinstatement	\$25.00	Not Applicable
Replacement- Certificate Only	\$10.00	Not Applicable
Replacement - Card Only	\$10.00	Not Applicable
Replacement - Certificate & Card	\$25.00	Not Applicable
API Exam Administration	\$50.00	Not Applicable
National Board Exam Administration	\$25.00	Not Applicable
Inspector Commission Exam and Re-Examination	\$25.00	Not Applicable
Boiler Installer Registration	\$30.00	3 Years, Staggered
Renewal	\$30.00	Not Applicable
Reinstatement	\$30.00	Not Applicable
Revision	\$30.00	Not Applicable
<u>Combative Sports</u>		
Gross Receipts Tax	3%	Of Gross Receipts
Promoter License	\$1,000.00	Annual, Staggered Renewal
Contestant License	\$40.00	Annual, Staggered Renewal
Referee License	\$250.00	Annual, Staggered Renewal
Judge License	\$200.00	Annual, Staggered Renewal
Manager License	\$200.00	Annual, Staggered Renewal
Second License	\$30.00	Annual, Staggered Renewal
Timekeeper License	\$40.00	Annual, Staggered Renewal
Matchmaker License	\$175.00	Annual, Staggered Renewal
Each additional endorsement	\$50.00	Annual, Staggered Renewal
Visiting Ring Official, Single Event	\$50.00	Annual, Staggered Renewal
Permit Fee, per Live Event	\$500.00	Annual, Staggered Renewal
2-Year Federal ID Card	\$20.00	Annual, Staggered Renewal
<u>Career Counseling Act</u>		
Career Counselors License	\$750.00	Annual, Staggered Renewal
Renewal License Fee	\$750.00	Annual, Staggered Renewal
Late Fee	\$50.00	Not Applicable
Reprint Fee	\$25.00	Not Applicable
<u>Elevators, Escalators & Related Equipment Act</u>		
Inspector Registration	\$15.00	Annual, Staggered Renewal
Inspector Reg. Renewal	\$15.00	Annual Staggered Renewal
Filing Fee	\$20.00 per building + \$5 per elevator	Annual, Staggered Renewal
Late Filing Fee	\$100.00	Not Applicable
Seal Tool	\$90.00	Not Applicable
Tag Kit	\$200.00 per 100	Not Applicable
Waiver/Delay Application Fee	\$100.00	Not Applicable

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM II
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
<u>Employers of Certain Temporary Common Workers Act</u>		
Emp. of Temp. Common Workers	\$550.00	Annual, Staggered Renewal
Renewal License Fee	\$550.00	Annual, Staggered Renewal
<u>Industrialized Housing and Buildings Act</u>		
IHB Manufacturer Registration (1602)	\$750.00	Annual
IHB 3rd Party Inspection Agency (1603)	\$150.00 per firm	Annual
IHB Builder Registration (1607)	\$375.00	Annual
IHB Design Review Agency Registration (1608)	\$300.00	Annual
IHB 3rd Party Inspector (1609)	\$100.00 per inspector	Annual
IHB Design Review Agency Monitoring (1622)	\$40.00 per hour (plus travel & per diem)	
IHB 3rd Party Inspector Monitoring (1623)	\$40.00 per hour (plus travel & per diem)	
IHB Decal Fee (1627)	\$.070 per sq. ft.	Floor Space (\$25.00 min.)
IHB Insignia Fee (1627)	\$.070 per sq. ft.	Floor Space (\$15.00 min.) or Surface Area
	\$.020 per sq. ft.	Whichever is Less (Min. of \$.60)
IHB Installation Permit (1631)	\$75.00	For each building containing IHB modules or modular components
IHB Certification Inspection (1629)	\$40.00 (plus travel & per diem)	Per Hour
IHB Special Inspection	\$40.00/hour (plus travel & per diem)	
<u>Personnel Employment Services Act</u>		
Personnel Emp. Ser. License	\$75.00	Annual, Staggered Renewal
Renewal License Fee	\$75.00	Annual, Staggered Renewal
Late Fee	\$50.00	Not Applicable
Duplicate License Fee	\$25.00	Not Applicable
<u>Property Tax Consultants Act</u>		
PTC Application		
Original	\$100.00	Biennial
Renewal	\$25.00	Biennial
Senior PTC Application		
Original	\$150.00	Biennial
Renewal	\$25.00	Biennial
Real Estate Only PTC		
Renewal	\$25.00	Biennial
Refundable Registration PTC		
Original	\$50.00	Biennial
Renewal	\$125.00	Biennial
Refundable Registration Senior PTC		
Original	\$75.00	Biennial
Renewal	\$125.00	Biennial

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM II
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
Refundable Registration Real Estate Renewal	\$125.00	Biennial
Late Renewal	\$50.00	Not Applicable
Duplicate Registration	\$50.00	Not Applicable
Refundable Examination	\$150.00	Not Applicable
Registration Upgrade Application Under 1 Year	\$25.00	Not Applicable
Upgrade Registration	\$125.00	Not Applicable
Recognized Private Provider Application	\$125.00	One Time
Recognized Private Provider Education Provider	\$75.00	Annual
<u>Service Contract Providers Act</u>		
Registration Fee		
1 – 250 Service Contracts	\$750.00	Annual
251 – 499 Service Contracts	\$1,400.00	Annual
500 or more Service Contracts	\$1,900.00	Annual
Duplicate/Amended Registration	\$50.00	Not Applicable
<u>Staff Leasing Services Act</u>		
Application Fee, Original, Renewal and Limited License Fees	\$300.00	Initial and two year annual
1-249 Assigned Emp.	\$3,000.00	Two years, Staggered
Renew		
250-750 Assigned Emp.	\$4,000.00	Two years, Staggered
Renew		
Over 750 Assigned emp.	\$5,000.00	Two years, Staggered
Renew		
Limited License	\$1,000.00	N/A
Background Check Fee	\$150.00	Annual
Duplicate License Fee	\$50.00	Not Applicable
Name Change	\$50.00	Not Applicable
Trademark	\$10.00 each additional	N/A
<u>Talent Agency Act</u>		
Talent Agents License	\$100.00	Annual, Staggered
Renewal		
Renewal License Fee	\$100.00	Annual, Staggered
Renewal		
Late Fee	\$50.00	Not Applicable
Duplicate License Fee	\$25.00	Not Applicable
Registration & Admin Fee	\$500.00	Annual
Registration & Admin Renewal Fee	\$500.00	Annual
Update Registration	\$100.00	Not Applicable
<u>Transportation Service Providers</u>		
Application Processing fee	\$320.00	Biennium
Renewal Processing fee	\$320.00	Biennium
Certificate fee	\$50.00	Biennium each location
Duplicate Certificate fee	\$25.00	N/A
Renewal Late fee	\$50.00	N/A

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM II
FEES THAT GENERATE CASH RECEIPTS**

<u>Type of Receipt</u>	<u>Rate</u>	<u>License Period</u>
<u>Water Well Drillers</u>		
Exam Fees:		
Driller & installer Application Exam Fees	\$125.00	Per Exam
Re-Examination Fee	\$100.00	Each Exam
License Fees:		
Driller's License	\$170.00	Annual
Installer's License	\$170.00	Annual
Combination Driller and Installer License	\$220.00	Annual
Apprentice Registration	\$50.00	Annual
License Renewal:		
Driller Renewal	\$170.00	Annual
Installer Renewal	\$170.00	Annual
Combination Driller and Installer Renewal	\$220.00	Annual
Apprentice Renewal	\$50.00	Annual
Lost, Revised or Duplicate License	\$25.00	Not Applicable
Variance Request	\$100.00	Not Applicable

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