



José Cuevas, Jr.
Presiding Officer-Midland

Steven M. Weinberg, MD, JD
Member-Colleyville

Melinda S. Fredricks
Member-Conroe

Alan Steen
Administrator

FORM L-106A (8/2009)

INFORMATION AND INSTRUCTIONS FOR OUT-OF-STATE WINERY DIRECT SHIPPER'S PERMIT

OUT-OF-STATE WINERY DIRECT SHIPPER'S PERMIT –Allows the holder to sell and deliver wine that is produced or bottled by the permittee to an ultimate consumer located in wet or dry areas in the State of Texas. Delivery of wine must be shipped by a common carrier that holds a carrier's permit issued by the Texas Alcoholic Beverage Commission. Permittee may not deliver more than nine gallons of wine within any 30-day period to the same consumer in this state; or sell to ultimate consumers more than 35,000 gallons of wine annually. The State fee is \$150 with a surcharge of \$320 and the permit will expire two years from date of issuance.

This permit may only be issued to a person who:

- does not hold a winery permit in the State of Texas;
- operates a winery located in the United States and holds all state and federal permits necessary to operate the winery, including the federal winemaker's and blender's basic permit;
- holds a Texas Sales Tax Permit;
- expressly submits to personal jurisdiction in Texas state and federal courts and expressly submits to venue in Travis County, Texas, as proper venue for any proceeding that may be initiated by or against the commission; and
- does not directly or indirectly have any financial interest in a Texas wholesaler or retailer as those terms are used in Section 102.01 of the Alcoholic Beverage Code.

Beginning January 1, 2009, the permit covered under this form will be issued for a two-year period. You **MUST** renew for the entire two-year period. Mail the original application, along with the correct permit fee, and bond, to the Texas Alcoholic Beverage Commission, P.O. Box 13127, Austin, Texas 78711. Keep duplicate for your files. The total amount of fees plus surcharges to cover the two-year period **MUST** be paid at the time of renewal. Fees may **NOT** be prorated or refunded. The fee must be paid with a Cashier's Check, Money Order, or Firm Check from a corporate permittee made payable to the Comptroller of Public Accounts. Personal checks are not accepted.

Tax security is required in an amount of \$1,000 to adequately protect the state against the anticipated tax liability and may be submitted as a: liquor tax bond from a surety company authorized to do business in Texas, or, a letter of credit, or, assignment of certificate of deposit or savings account from a Texas bank or credit union. These forms are available on the Texas Alcoholic Beverage Commission web site at www.tabc.state.tx.us.

If you have any questions regarding your Texas Sales Tax Permit, please access the Texas Comptroller's web site at www.window.state.tx.us. Should you require additional assistance contact Tax Assistance at (800) 252-5555.

If you have any questions regarding your permit application, please contact the Licensing Division of the Texas Alcoholic Beverage Commission at (512) 206-3360.

Sincerely yours,

Amy Harrison, Director



APPLICATION FOR OUT-OF-STATE WINERY DIRECT SHIPPER'S PERMIT

TRADE NAME:

FORM L-106 (2/2009)

DS -	ISSUE DATE / /	FEE \$150	SURCHARGE \$320	LATE FEE (RENEWAL ONLY)
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FIRST READ ALL INSTRUCTIONS - TYPE OR PRINT IN INK

ALL APPLICANTS

1. APPLICATION IS FOR:

Original Renewal/Change Change of: _____

If renewal or change, enter the Permit No. DS-

Registry No.

2. APPLICANT IS:

Individual Corporation Limited Liability Company Partnership
 Limited Partnership Limited Liability Partnership Other: _____

3. Trade Name of Business

4. Address of Location City State Zip Code (9 digits)

5. Mailing Address City State Zip Code (9 digits)

6. Business Telephone Number **Alternate Telephone Number** **E-Mail Address (optional)**

() - () - _____

7. Provide the applicant's Texas Sales Tax Permit Number: _____

NOTE: Your application cannot be approved without a valid Texas Sales Tax Permit Number.

8. Does the applicant hold a Winery Permit in the State of Texas? **8.** YES NO

9. Does the applicant operate a winery located in the United States and hold all state and federal permits necessary to operate the winery, including the federal winemaker's and blender's basic permit? **9.** YES NO

If "YES," please indicate your TTB Permit Number: _____

INDIVIDUALS

INSTRUCTIONS: If Individual Owner, complete question 10. For all others, refer to Instructions on this page.

10. Social Security Number **Issuing State / Driver's License Number** **Date of Birth (mm/dd/yyyy)**

_____ - _____ _____ / /

11. Full Legal Name (Last, First, Middle)

12. Permanent Mailing Address City State Zip Code (9 digits)

13. Residential Address City State Zip Code (9 digits)

INSTRUCTIONS

INSTRUCTIONS FOR: CORPORATIONS, LIMITED LIABILITY COMPANY, PARTNERSHIP, LIMITED PARTNERSHIP, LIMITED LIABILITY PARTNERSHIP, OTHER.

For Corporations or Limited Liability Companies: Complete L-106-PC for all officer(s), partner(s), director(s), manager(s), stockholder(s), and member(s).

For Partnership, Limited Partnership, or Limited Liability Partnership: Complete L-106-PC ensuring you include all partners involved in business. If one or more of your general or limited partners is a limited partnership or limited liability partnership complete L-106-PC for each partnership.

If one or more of your general or limited partners is a corporation: Complete L-106-PC for each corporation or limited liability company.

If applicant is a publicly held corporation: Provide pertinent information as indicated in 2 on L-106-PC on all stockholders holding 5% or more of the shares.

INITIAL APPLICATION INCOMPLETE YES - NO	ADDITIONAL CORRESPONDENCE YES - NO	PROCESSOR REVIEW DATE: / /
PROCESS DATE: / /	PROCESSOR I.D.:	PROCESSOR ERROR YES - NO



PARTNERSHIPS/CORPORATIONS

TRADE NAME: _____

FORM L-106-PC (8/2005)

FOR ALL OFFICER(S), PARTNER(S), DIRECTOR(S), MANAGER(S), STOCKHOLDER(S) AND MEMBER(S).

1A. Indicate type of ownership and complete the information below:
 Corporation Limited Liability Company Partnership Limited Partnership Limited Liability Partnership

B. Federal Employer's I.D. No.: _____

C. Entity Name: _____

D. Charter No.: _____ Date Approved: ____/____/____ State: _____

E. Number and class of shares, memberships or units issued: _____

2. COMPLETE THE FOLLOWING PER INSTRUCTIONS:

Social Security Number - -	Issuing State and Driver's License Number	Date of Birth (mm/dd/yyyy) / /	Class & No. Shares Held or % Memberships or % Interest
Full Legal Name (Last, First Middle) <input type="checkbox"/> Officer <input type="checkbox"/> Partner <input type="checkbox"/> Director/ Manager		<input type="checkbox"/> Stockholder/ Member	Title
Residential Address		City	State Zip Code (9 digits) -
Social Security Number - -	Issuing State and Driver's License Number	Date of Birth (mm/dd/yyyy) / /	Class & No. Shares Held or % Memberships or % Interest
Full Legal Name (Last, First Middle) <input type="checkbox"/> Officer <input type="checkbox"/> Partner <input type="checkbox"/> Director/ Manager		<input type="checkbox"/> Stockholder/ Member	Title
Residential Address		City	State Zip Code (9 digits) -
Social Security Number - -	Issuing State and Driver's License Number	Date of Birth (mm/dd/yyyy) / /	Class & No. Shares Held or % Memberships or % Interest
Full Legal Name (Last, First Middle) <input type="checkbox"/> Officer <input type="checkbox"/> Partner <input type="checkbox"/> Director/ Manager		<input type="checkbox"/> Stockholder/ Member	Title
Residential Address		City	State Zip Code (9 digits) -
Social Security Number - -	Issuing State and Driver's License Number	Date of Birth (mm/dd/yyyy) / /	Class & No. Shares Held or % Memberships or % Interest
Full Legal Name (Last, First Middle) <input type="checkbox"/> Officer <input type="checkbox"/> Partner <input type="checkbox"/> Director/ Manager		<input type="checkbox"/> Stockholder/ Member	Title
Residential Address		City	State Zip Code (9 digits) -
Social Security Number - -	Issuing State and Driver's License Number	Date of Birth (mm/dd/yyyy) / /	Class & No. Shares Held or % Memberships or % Interest
Full Legal Name (Last, First Middle) <input type="checkbox"/> Officer <input type="checkbox"/> Partner <input type="checkbox"/> Director/ Manager		<input type="checkbox"/> Stockholder/ Member	Title
Residential Address		City	State Zip Code (9 digits) -



APPLICATION FOR OUT-OF-STATE WINERY DIRECT SHIPPER'S PERMIT CONTINUED

TRADE NAME: _____

FORM L-106 (2/2009)

The applicant or permit and license holder may have an interest, directly or indirectly in only one level of the alcoholic beverage industry; i.e., manufacturing, wholesaling or retailing. You or your agent, servant or employee may not be employed in any capacity at different levels, may not rent or lease property or equipment from or to an entity operating at another level, may not secure credit or a loan in any form for an entity at another level, cannot control in any fashion the interests of a permittee or licensee at a different level.

11. Are you or anyone indicated in questions 10 on L-106 or 2 on L-106-PC in violation of the above requirements? **11.** YES NO
 If "YES," explain below or attach page:

12A. Has any person named in questions 10 on L-106 or 2 on L-106-PC or his or her spouse been finally convicted or received deferred adjudication for a felony offense? **12A.** YES NO
B. If answer to 12A is "YES," has it been five years since the termination of a sentence, parole or probation served for any offenses indicated above? **B.** YES NO
 If "NO," attach an explanation.
C. Has any person named in questions 10 on L-106 or 2 on L-106-PC been convicted of any offense(s) under federal or state law, or municipal ordinance involving violations of an individual's civil rights or discrimination against an individual on the basis of race, color, creed or national origin? **C.** YES NO

WARNING: Section 101.69 of the Texas Alcoholic Beverage Code is as follows: "...a person who makes a false statement or false representation in an application for a permit or license or in a statement, report, or other instrument to be filed with the Commission and required to be sworn commits an offense punishable by imprisonment in the penitentiary for not less than 2 nor more than 10 years."

ACKNOWLEDGMENT

If Applicant is:	Who Must Sign:
Individual	Individual Owner
Partnership	Partner
Corporation	Officer
Ltd. Partnership	General Partner
Ltd. Liability Partnership	General Partner
Ltd Liability Co.	Officer or Manager

I, the applicant, expressly submit to personal jurisdiction in Texas state and federal courts and expressly submit to venue in Travis County, Texas, as proper venue for any proceedings that may be initiated by or against the commission.
PRINT NAME: _____
SIGN HERE: _____
 SIGNATURE MUST APPEAR AS NAME SHOWN IN QUESTION 10 on L-106 OR 2 ON L-106-PC.

(SEAL)

Before me, the undersigned authority, on this _____ day of _____, 20____ the person whose name is signed to the foregoing application personally appeared and, duly sworn by me, states under oath that he or she has read the said application and that all the facts therein set forth are true and correct.
SIGN HERE: _____

 NOTARY PUBLIC

- INSTRUCTIONS**
- Beginning January 1, 2009 the permit covered under this form will be issued for a two-year period. You MUST renew for the entire two-year period. The total amount of fees plus surcharges to cover the two-year period MUST be paid at the time of renewal. Fees may NOT be prorated or refunded.
 - Prepare the application in duplicate. Mail the original application to the Texas Alcoholic Beverage Commission, P.O. Box 13127, Austin, Texas 78711 with the correct permit fees. Keep duplicate for your files.
 - Fee must be paid with Cashier's Check, Money Order, or Firm Check from a corporate permittee made payable to the Comptroller of Public Accounts. We will not accept personal checks. The fees and surcharges are shown below:

Class of Permit	Annual State Fee	*Surcharge Effective 1/1/2009	Total Due
Out-Of-State Winery Direct Shipper's Permit	\$150	\$320	\$470.00

- *Surcharges are subject to annual change. The surcharges assessed each year are determined by the Texas Alcoholic Beverage Commission and not by the Legislature. The Legislature determines the total dollar amount to be raised in agency revenue. The revenue is comprised of fees, which are set by the Legislature, and the surcharges which are determined by the agency.
- Tax security is required in an amount of \$1,000 to adequately protect the state against the anticipated tax liability and may be submitted as a: liquor tax bond from a surety company authorized to do business in Texas, or, a letter of credit, or, assignment of certificate of deposit or savings account from a Texas bank or credit union. These forms are available on the Texas Alcoholic Beverage Commission website at www.tabc.state.tx.us.
- To obtain a Sales and Use Tax Permit access the Texas Comptroller's web site at www.window.state.tx.us. Should you require additional assistance contact Tax Assistance at (800) 252-5555.



FORM 2-51.3 (5/06)
(Instructions on next page)

LIQUOR TAX BOND
TEXAS ALCOHOLIC BEVERAGE COMMISSION
P.O. Box 13127, Austin, TX 78711 (512) 206-3333

BOND NUMBER ↓

(1) _____
(For Surety Company's Use)

KNOW ALL MEN BY THESE PRESENTS:

THAT WE, (2) _____
as PRINCIPAL, and (3) _____
as SURETY, duly authorized and qualified to do business as a surety company in this State, are firmly bound unto THE
STATE OF TEXAS in sum of (4) _____
dollars payable at Austin, Travis County, Texas, and for the payment of which, well and truly to be made, PRINCIPAL
binds himself, his heirs, executors and administrators, jointly and severally, or itself, its successors and assigns, and the
SURETY binds itself, its successors and assigns, firmly by these presents.

WHEREAS, PRINCIPAL is the holder of the following permit or permits at the designated locations under
provisions of the Texas Alcoholic Beverage Code.

(5) Permit No.	(6) Location	(7) Amount of Unit Liability
1.		
2.		
3.		
4.		

It is specifically agreed that:

IF more than one permit and location is specified above, the following terms and conditions shall apply separately to each such permit and location. NOW, THEREFORE, THE CONDITION OF THE OBLIGATION is such that if the Principal shall faithfully account for and pay to the State of Texas all permit fees, service fees, taxes and penalties levied by the Texas Alcoholic Beverage Code, and shall faithfully discharge all obligations, duties and responsibilities relating thereto, then this obligation to be void. OTHERWISE to remain in full force and effect; SUBJECT, HOWEVER, to the following terms and conditions:

1. This bond shall become effective on the date of the issuance of above permit by the Texas Alcoholic Beverage Commission and shall remain in full force and effect until cancelled, as hereinafter provided, or until such permit has expired, or in the event administrative action and any and all appeals arising therefrom are finally adjudicated in accordance with the applicable laws. A bond shall be provided with each original and renewal application filed.
2. This bond may be cancelled as to liability for future defaults at any time by the SURETY or by the Texas Alcoholic Beverage Commission, upon giving thirty (30) days written notice, in which event the liability of the SURETY shall at the expiration of said thirty (30) days, cease and terminate, except as to such liability of the PRINCIPAL for such taxes, penalties and interest as may have accrued prior to the expiration of said thirty (30) days, it being understood that the SURETY shall be liable for the default of the PRINCIPAL in fully discharging any liability on his or its part as above set forth, accruing during the life of the permit, and while this bond is in full force and effect.
3. The liability of the surety on account of all defaults occurring during the entire effective period of this bond shall not exceed the amount above stated, or greater or lesser amount as agreed upon by rider.

PRINCIPAL	SURETY COMPANY
IN TESTIMONY, WHEREOF, said PRINCIPAL has hereunto subscribed his or their names or has cause this instrument to be signed by its duly authorized officers and its corporate seal to be hereunto affixed this date: _____ A.D., _____ SIGN HERE _____ (Signature of Principal)	IN TESTIMONY WHEREOF, said SURETY has caused this instrument to be signed by it duly authorized officers and its corporate seal to be hereunto affixed date: _____ A.D., _____ SIGN HERE _____ (Signature of Attorney-in-Fact for Surety Company)
PRINCIPAL MUST BE SHOWN AS:	(Surety Company Name)
WHO MUST SIGN:	(Surety Company Mailing Address)
Proprietorship-individual owner -individual	() - (Surety Company Area Code and Phone Number)
Partnership-all partners' names -partner	() - (Agent's Area Code and Phone Number)
Corporation-corporate name -officer	
Limited partnership-partnership name & general partner -general partner	
Limited liability partnership-partnership name & all partners -general partner	
Limited liability company-company name -officer/manager	

(COMPLETE THE NEXT PAGE)



ACKNOWLEDGMENTS

FORM 2-51.3 (5/06)

No. 1 (FOR PRINCIPAL)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared

_____ known to me to be the person whose name is subscribed to the
 (Name of Principal)
 foregoing instrument, and acknowledged to me that he or she executed the same, for the purposes and considerations therein expressed.

Given under my hand and seal of office, this _____ day of _____ A.D., _____

SIGN
HERE

(S E A L)

Notary Public

No. 2 (FOR SURETY COMPANY)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared

_____ known to me to be the person whose name is subscribed to the
 (Name of Attorney-in-Fact)
 foregoing instrument, and acknowledged to me that he or she executed the same as the act and deed of the surety company thereof, and for the purposes and considerations therein expressed, and in the capacity therein stated.

Given under my hand and seal of office, this _____ day of _____ A.D., _____

SIGN
HERE

(S E A L)

Notary Public

NO. 1 – PRINCIPAL-ACKNOWLEDGMENT

Name of principal who signed the bond must be shown.
 Notary public must date, sign the acknowledgment and affix notary seal.

NO. 2 – SURETY COMPANY-ACKNOWLEDGMENT

Name of attorney-in-fact who signed the bond must be shown.
 Notary Public must date sign the acknowledgment and affix notary seal.

INSTRUCTIONS

- This bond must accompany all original applications for the permits listed below and is also required with each renewal application as separate tax liability is required for each year. Renewals must submit a bond in the amount currently on file.

Class of License	Bond Amount	*Bond Estimate	
Brewer's Permit	\$1,000 Minimum **	Yes - Bases on Sales	*The amount of bond is determined by estimate of 6 weeks sales by gallons for liquor and gallons and class for wine and size of ale. ** Subject to increase A Wine Bottler's Permit & Winery Permit only require 1 Liquor Tax Bond as a comprehensive winery bond. Both permits must be indicated in item (5).
Wholesaler's Permit	\$1,000 Minimum **	Yes - Bases on Sales	
General Class B Wholesaler's Permit	\$1,000 Minimum **	Yes - Bases on Sales	
Local Class B Wholesaler's Permit	\$1,000 Minimum **	Yes - Bases on Sales	
Wine Bottler's Permit	\$1,000 Minimum **	Yes - Bases on Sales	
Winery Permit	\$1,000 Minimum **	Yes - Bases on Sales	
Out-of-State Winery Direct Shipper's Permit	\$1,000 Minimum **	Yes - Bases on Sales	

- On the face of the bond

No. (1) must indicate bond number	No. (5) type of permit as shown above
No. (2) name of principal	No. (6) address of business location
No. (3) the surety company name	No. (7) bond amount
No. (4) bond amount	
- Ensure the principal date is entered and the principal has signed the bond.
- The attorney-in-fact must date the bond; sign his/her name, enter surety company name, surety mailing address and surety telephone number.
- Power of Attorney authorizing attorney-in-fact to sign for surety company must be attached.
- This form will not be accepted with any alterations or whiteouts on the face of the bond. Bond riders will be accepted from bonding company to correct errors noted by the Commission. Corrections in the acknowledgments will be accepted if the notary public has initialed the correction made thereon.



TEXAS ALCOHOLIC BEVERAGE COMMISSION
P. O. BOX 13127
AUSTIN, TX 78711
(512) 206-3333

FORM 2-26.7 (5/06)

Gentlemen: **Irrevocable Letter of Credit No.** _____

We hereby establish our irrevocable letter of credit in favor of the State of Texas for the account of **(1)** _____

_____ doing business as **(2)** _____

located **(3)** _____, **(4)** _____,

Texas, under **(5)** _____ license/permit **(6)** _____.

This letter of credit is effective up to the aggregate amount of \$ _____.

This letter of credit shall remain in effect until the **(7)** _____

is released or discharged by the Texas Alcoholic Beverage Commission, or until the expiration date of **(8)** _____.

This is your authority to draw drafts for any amount or the full amount not to exceed \$ _____.

The letter of credit is given as security for liability of taxes and/or license/permit fees, including interest and penalties, which may accrue under the provisions of the Alcoholic Beverage Code and the Rules of Procedure of the Texas Alcoholic Beverage Commission. The Texas Alcoholic Beverage Commission, acting for the State of Texas, may draw upon this letter of credit prior to its expiration if, in their opinion, unpaid license/permit fees and/or unpaid tax liability, whether disputed or not, might exist, but any such funds will be held in a suspense account subject to final determination under due process of law.

All drafts are to be marked "Drawn under Letter of Credit No. _____."

SIGN HERE	_____	Name of Bank	_____
	(Signature of Bank Officer)	Address	_____
	_____	City, State, Zip	_____
	(Title of Bank Officer)	Area Code + Phone No.	() - _____

BEFORE ME, the undersigned authority, on this _____ day of _____ A.D., _____ the bank officer whose name is subscribed to the foregoing instrument personally appeared and acknowledged to me that he or she executed the same as the act and deed of the above referenced bank, for the purposes and considerations therein expressed and in the capacity therein stated.

S E A L

**SIGN
HERE:** _____
Notary Public

- | | |
|--|--|
| (1) Name of Applicant: | (2) Trade Name of Business |
| IF: Corporation ----- Corporate name must be shown | (3) Actual Business Address (Not Mail Address) |
| Partnership ----- All partners' names must be shown | (4) City of Business Location |
| Limited Partnership - Name of limited partnership and general partner must be shown | (5) Type of License/Permit |
| Private Club ----- Name of the club must be shown--(If Corporation-Corporate name) | (6) License/Permit Number, if Issued |
| Proprietorship ----- Name of individual must be shown | (7) Name of Bank |
| Limited Liability Partnership - Name of limited liability partnership and all partner's names must be shown | |
| Limited Liability Company ---- Name of limited liability company must be shown | |
- (8) For **ORIGINAL** applications, if an expiration date is used, it **MUST** be for 2 years, otherwise, this letter of credit will be unacceptable.
For **RENEWAL** applications, if an expiration date is used, it **MUST** be for 2 years from the issue date of the license/permit the letter of credit supports, otherwise, this letter of credit will be unacceptable.
- FOR RENEWAL-LETTERS OF CREDIT MUST BE DATED ON OR BEFORE THE RENEWAL DATE OF THE LICENSE/PERMIT AND MUST CONTAIN A DIFFERENT LETTER OF CREDIT NUMBER EACH YEAR.
TAX SECURITY MUST BE PROVIDED WITH EACH ORIGINAL AND EACH RENEWAL APPLICATION IN ORDER THAT WE MAY HAVE SEPARATE TAX LIABILITY FOR EACH LICENSE/PERMIT YEAR.

**THIS FORM WILL NOT BE ACCEPTED WITH ANY WHITEOUTS OR ALTERATIONS.
NOTARIZED AMENDMENTS FROM BANK WILL BE ACCEPTED TO CORRECT ERRORS NOTED BY THE COMMISSION.**

TABC USE ONLY	Date/Signature of Personnel Verifying Bank Information: _____
	Name of Bank Personnel Contacted: _____



ASSIGNMENT

Security for Taxes and/or Permit Fees
 Title 5, chapter 204, Section 204.01(d), and Section 204.02(b), Alcoholic Beverage Code 1977, As Amended
Texas Alcoholic Beverage Commission, P.O. Box 13127, Austin, TX 78711 (512) 206-3333 FORM 2-37.4 (3/06)

(1) _____ hereinafter called assignor, whose principal place of business is (2) _____

(3) _____, _____, Texas, do (does) hereby assign, and set over the Texas Alcoholic Beverage Commission of the State of Texas, all right, title and interest of whatever nature, of assignor, in and to the insured account of assignor in the (4) _____ evidenced by (5) _____ in the amount of (6) _____ \$ _____ numbered (7) _____

Assignor agrees that this assignment carries with it the right to the insurance of the account by the (8) _____ and includes and gives the right to the Administrator of the Texas Alcoholic Beverage Commission of the State of Texas to redeem, collect, and withdraw any part or the full amount of such account at any time WITHOUT NOTICE TO THE ASSIGNOR. This assignment is given as security for liability of taxes and/or permit fees, including interest and penalties, which may accrue under the provisions of the Alcoholic Beverage Code of the State of Texas and the Rules of Procedure of the Texas Alcoholic Beverage Commission.

Assignor hereby notifies the above named (4) _____ of the assignment.

Date _____ SIGN HERE _____ (Signature of Assignor)

RECEIPT FOR NOTICE OF ASSIGNMENT

Receipt is acknowledged to the Administrator of the Texas Alcoholic Beverage Commission of the State of Texas of written notice of the assignment to said State of Texas of the account identified above. We have noted in our records the State's interest in said account as shown by the above assignment. We certify that we have received no notice of any lien, encumbrance, hold, claim, or obligation of the above-identified account prior to assignment to the State of Texas. We agree to make payment by mail to the State of Texas upon demand by mail in accordance with the laws applicable to this (9) _____.

Date _____ SIGN HERE _____ (Signature of Bank Officer) _____ (Title of Bank Officer)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Name of Bank</td> <td style="width: 60%;"></td> </tr> <tr> <td>Address</td> <td></td> </tr> <tr> <td>City, State, Zip</td> <td></td> </tr> <tr> <td>Area Code & Phone No.</td> <td>() - </td> </tr> </table>	Name of Bank		Address		City, State, Zip		Area Code & Phone No.	() -
Name of Bank									
Address									
City, State, Zip									
Area Code & Phone No.	() -								

- | | |
|--|---|
| (1) Name of Applicant: IF: Corporation ----- Corporate name must be shown
Partnership ----- All partners' names must be shown
Limited Partnership ----- Name of limited partnership and general partner must be shown
Private Club ----- Name of the club must be shown - (If Corporation - Corporate name)
Proprietorship ----- Name of individual must be shown
Limited Liability Partnership ----- Name of limited liability partnership and all partners' names must be shown
Limited Liability Company ----- Name of limited liability company must be shown | (6) Amount in words and figures
(7) Number of C.D. or Savings Account
(8) Name of U.S. Agency insuring deposit
(9) Bank, association or credit union |
| (2) Trade Name of Business
(3) Actual Business Address and City (Not Mail Address)
(4) Name of Bank, association or credit union
(5) Description of automatically renewable time Certificate of Deposit or Savings Account | |

THE ASSIGNMENT OF THIS CERTIFICATE OF DEPOSIT OR SAVINGS ACCOUNT PASSBOOK PROHIBITS THE BANK FROM DISPERSING THE PRINCIPAL MONIES ASSIGNED TO THE TEXAS ALCOHOLIC BEVERAGE COMMISSION UNTIL RELEASED OR DISCHARGED, IN WRITING, BY THE TEXAS ALCOHOLIC BEVERAGE COMMISSION.

TAX SECURITY MUST BE SUBMITTED WITH EACH ORIGINAL AND EACH RENEWAL APPLICATION, IN ORDER THAT WE MAY HAVE SEPARATE TAX LIABILITY TO COVER EACH PERMIT YEAR.

(COMPLETE THE NEXT PAGE)

No. 1 (FOR ASSIGNOR)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared _____ known to me to be the person whose name is subscribed to the

 (Name of Assignor)
 foregoing instrument, and acknowledged to me that he or she executed the same, for the purposes and considerations therein expressed.

Given under my hand and seal of office, this _____ day of _____ A.D., _____

**SIGN
HERE** _____

(S E A L)

Notary Public

No. 2 (FOR BANK OR SAVINGS ASSOCIATION)

BEFORE ME, the undersigned authority in and for said State on this day personally appeared _____ known to me to be the person whose name is

 (Name of Bank Officer)
 subscribed to the foregoing instrument, and acknowledged to me that he or she executed the same as the act and deed of the bank thereof, and for the purposes and considerations therein expressed, and in the capacity therein stated.

Given under my hand and seal of office, this _____ day of _____ A.D., _____

**SIGN
HERE** _____

(S E A L)

Notary Public

NO. 1 – ASSIGNOR

Name of assignor (permittee/licensee) who signed the assignment must be shown.

Notary public must date, sign the acknowledgment and affix notary seal.

NO. 2 – BANK OR SAVINGS ASSOCIATION

Name of bank officer who signed the assignment must be shown.

Notary Public must date sign the acknowledgment and affix notary seal.

1. This assignment form may only be used for Security for Taxes and/or Permit Fees purposes and the certificate of deposit or savings account must be issued by a Texas bank, savings institution or credit union.
2. Upon expiration of a license or permit, its voluntary cancellation, or upon the applicant's subsequent approval for exemption from the security requirements, the licensee or permittee may request (in writing) the release and return of the security supporting their license or permit.
3. The release of this security will not be unreasonably withheld; however, the bank, savings institution or credit union is not released from its obligation until they receive written notice of the release from this agency.