



TEXAS DEPARTMENT OF SAVINGS & MORTGAGE LENDING

Douglas B. Foster
Commissioner

April 14, 2009

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Re: Notice of Examination

Dear Licensee:

On *****, 2008 at *** a.m. examiner ***** from the Texas Department of Savings & Mortgage Lending will arrive at your office at *****, Texas, to conduct an examination of your mortgage brokerage business, pursuant to section 156.301 of the Texas Mortgage Broker License Act. To enable the examination to begin on time, please have the following records available at the time and location specified above:

- Mortgage Transaction Log (log maybe requested prior to examination date)
- Full and complete mortgage application files
- List of all sponsored Loan Officers
- List of all locations where you are conducting mortgage brokerage activities
- Advertising Samples, if applicable
- Access to complete accounting records upon request
- Internet access

You should be available to meet with the examiner when he arrives. All records required to be maintained (see 7 TAC Section 80.13) should be available and retrievable upon the examiner's request. We will need access to your copying machine, fax, internet and telephone during the examination.

If for any reason you are unable to have the records listed above at *****, Texas, you will need to inform this office immediately of the location where they will be available. I have attached a document that describes the examination process in general terms.

Your immediate attention to this matter is appreciated.

Respectfully,

A handwritten signature in black ink, appearing to read "Tony Florence".

Tony Florence
Chief Mortgage Broker Examiner

Attachment