

PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
 OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)
<i>I.A.1) Date of Submission (mm/dd/yyyy)</i>
Sep 8, 2008
<i>I.A.2) Agency</i>
029 - Department of Veterans Affairs
<i>I.A.3) Bureau</i>
00 - Agency Wide Initiatives
<i>I.A.4) Name of this Investment:(SHORT ANSWER)</i>
Program Integrity/Data Management-2010
<i>I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.</i>
029-00-03-00-01-1014-00
<i>I.A.6) What kind of investment will this be in FY2010? (Please NOTE: Investments moving to O&M in FY2010, with Planning/Acquisition activities prior to FY2010 should not select O&M. These investments should indicate their current status.)</i>
Operations and Maintenance
<i>I.A.7) What was the first budget year this investment was submitted to OMB?</i>
FY2001 or earlier
<i>I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)</i>
<p>The Budget and Performance Integration Initiative in the PMA states, "Managers do not have timely and complete information with which to monitor and improve their results." Strategic Goal One of the 2006-2011 VA Strategic Plan states, "Restore the capability of veterans with disabilities to the greatest extent possible, and improve the quality of their lives and that of their families."</p> <p>This investment bridges the gap between successfully meeting the objectives in Strategic Goal One while monitoring and improving program results. It is the foundation of VBA's business intelligence and program integrity initiative and provides direct support in meeting the objectives outlined in VA Strategic Goal One. In support of the PMA, this investment allows managers to have timely and accurate information to monitor and improve program results. This investment has improved VBA's decision-making capabilities and its organizational information management by using an enterprise data warehouse (EDW) as the central information repository. The VBA EDW is a business intelligence program that facilitates decision-making throughout all organization levels – business lines, regional offices, and management departments. VBA lacked the means to manage information, from a headquarters perspective, and make timely decisions to improve and positively affect the benefit programs managed by the lines of business. The EDW information reporting capabilities close these gaps and allow VBA to monitor workloads, check the status of cases, as well as prioritize and allocate appropriate resource levels to Regional Offices. Additionally, this business intelligence program enables VBA to provide timely and accurate reports to internal and external veteran stakeholders, including VA organizations, Veteran Service Organizations, Congress, and the Department of Defense. These reports tell one story from a single source rather than disparate messages presented from various VBA organizations. The information emanating from this centralized repository allows VBA to look, Administration-wide, at potential integrity flaws and shortcomings. Previous attempts to manage a data integrity program, from a headquarters level, fell short because of a lack of centralized information and a means to review and take timely action. The VBA EDW fills this performance gap and continues to provide a means of managing and reviewing information to improve the integrity of crucial veteran benefits programs.</p>
<i>I.A.9) Did the Agency's Executive/Investment Committee approve this request?</i>
Yes
<i>I.A.9.a) If "yes," what was the date of this approval?</i>

Aug 7, 2008

I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Contact information of Program/Project Manager?

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Anthony Paulson	202-461-9073	anthony.paulson@va.gov

I.A.11.a) What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?

DAWIA-Level-2

I.A.11.b) When was the Program/Project Manager Assigned?

Oct 1, 2008

I.A.11.c) What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?

Mar 31, 2009

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
Yes	Budget Performance Integration	- Budget Performance Integration
		- Financial Performance
		- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments

		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
		- Coordination of VA & DoD Programs and Systems

I.A. 13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

This investment supports the PMA goal by providing VBA leaders with timely and complete performance information to monitor and improve veteran services and results. This investment eliminates the need to create multiple data repositories across VBA business lines, which have shown to cost more money to maintain. Armed with data from one source, VBA decision makers render sound, performance-based decisions and allocate funds to VBA programs that demonstrate positive results.

I.A. 14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A. 14.a) If "yes," does this investment address a weakness found during a PART review?

I.A. 14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A. 14.c) If "yes," what rating did the PART receive?

I.A. 15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A. 16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

I.A. 17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A. 18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2008 agency high risk report (per OMB Memorandum M-05-23)

Yes

I.A. 19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A.19.a) If so, does this project (investment) address a FFMA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

I.A.20) What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	
% Software	
% Services	
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Dennis Stewart
Phone Number:	(202) 461-7456
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	dennis.stewart2@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2008	CY 2009	BY 2010
Planning Total	0.000	0.000	0.000	0.000
Acquisition Total	0.000	0.000	0.000	0.000
Operations & Maintenance Total	63.566	12.290	12.306	14.861
Total, All Stages (Non-FTE)	63.566	12.290	12.306	14.861
Government FTE Costs	0.214	0.203	0.000	0.000
Govt. FTE Numbers	2	2	0	0
Total (FTE and Non-FTE)	63.780	12.493	12.306	14.861

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

No

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

I.B.3) If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes. (LONG ANSWER)

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section C: Acquisition/Contract Strategy (All Capital Assets)

I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)

This contract supports Operations and Maintenance work in a Steady State environment and as such, an EVMS is not required per VA Directive 6061. However, Cost and Schedule Performance Indices (CPI & SPI) are monitored on a monthly basis.

I.C.2) Do the contracts ensure Section 508 compliance?

Yes

I.C.2.a) Explain why not or how this is being done? (MEDIUM ANSWER)

Contracts provide for 508 compliance by requiring that all software and infrastructure is evaluated in the planning and implementation stages of the project. This allows VA to act proactively to identify software and hardware, which does not meet the standards for 508 compliance and allows us to specify with the vendors what remedies are required to accept their software or hardware.

I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

I.C.3.a) If "yes," what is the date?

Nov 17, 2006

I.C.3.a.1) Is it Current?

I.C.3.b) If "no," will an acquisition plan be developed?

I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2006	Quality of Life	Processes and Activities	Cycle Time	Cycle Time reduction - 5% reduction incycle reduction time.	5% reduction in cycle processing time.	Establish baseline to measure and increase quantity of data available. Replace time intensive ETL procedures with automated ETL procedures.	Actual reduction in processing time during FY 07: 50%
2006	Quality of Life	Mission and Business Results	Information Management	Information Management-10% of data stored in central repository.	10% of data stored in central repository in FY 2005.	Metadata stored in centralized repository.	Centralized repository populated with all existing report documentation.

2006	Quality of Life	Customer Results	Service Efficiency	Service Accessibility - 5% more web hits per quarter.	5% more web hits per quarter.	Increase user access to reports by increasing the quantity of data available to more users online	5%+ increase in web hits from FY 05
2006	Quality of Life	Technology	Reliability	Availability - 5 % increase reports deployed.	5% management reports deployed to web based tool in FY 2005.	Make reports available to users via user-friendly tool.	Reports deployed using Web Based tools, which total a 5% increase.
2007	Quality of Life	Processes and Activities	Cycle Time	Cycle Time reduction - 5% reduction in cycle reduction time.	5% reduction in cycle processing time.	Increase quantity of data available by converting existing extraction, transformation, & loading (TEL) procedures to Informatica Power Center software. Replace time intensive ETL procedures with automated ETL procedures.	Data loading rate increased from 6.9 GB/min in 2006 to 9.0 GB/min in 2007.
2007	Quality of Life	Mission and Business Results	Information Management	Information Management- 10% of data stored in central repository.	10% of data stored in central repository in 2006 Supports Strategic Goal 1.2: improve quality of life.	Metadata stored in centralized repository.	Data stored increased from 2.5 TB in 2006 to 3.25 TB in 2007.
2007	Quality of Life	Customer Results	Service Efficiency	Service Accessibility - 5% more web hits per quarter.	5% more web hits per quarter in 2006 Supports Strategic Goal 1.2: improve quality of life.	Increase user access to reports by increasing the quantity of data available to more users online	Web hits went from 369,310 in FY 06 to 2,066,067 in FY 09. However, the measurement method changed in Jan 07, making direct comparisons inappropriate.
2007	Quality of Life	Technology	Reliability	Availability - 5 % increase reports deployed.	5% reports deployed to web based tool in 2006 Supports Strategic Goal 1.2: improve quality of life.	Make reports available to users via user-friendly tool.	The number of reports available increased from 477 at the end of FY 06 to 644 at the end of FY 07, an increase of 35%.
2008	Quality of Life	Processes and Activities	Complaints	Number of User Complaints – Complaints as a percentage of total user data requests, based on valid business requirements.	Complaint rate less than 15% for the total number of user data requests, based on valid business requirements.	Complaint rate of 10% or less for user data requests	FY 2008 actual results available in FY 2009

2008	Quality of Life	Mission and Business Results	Information Management	Information Management- 10% of data stored in central repository.	10% of data stored in central repository in 2007 Supports Strategic Goal 1.2: improve quality of life.	Metadata stored in centralized repository.	FY 2008 actual results available in FY 2009
2008	Quality of Life	Customer Results	Service Efficiency	Service Accessibility - 5% more web hits per quarter.	5% more web hits per quarter in 2007 Supports Strategic Goal 1.2: improve quality of life.	Increase user access to reports by increasing the quantity of data available to more users online	FY 2008 actual results available in FY 2009
2008	Quality of Life	Technology	Reliability	Availability- % increase reports deployed	5% reports deployed to web based tool in 2007 Supports Strategic Goal 1.2: improve quality of life.	Make reports available to users via user-friendly tool.	FY 2008 actual results available in FY 2009
2009	Quality of Life	Processes and Activities	Complaints	Number of User Complaints – Complaints as a percentage of total user data requests, based on valid business requirements.	Complaint rate less than 15% for the total number of user data requests, based on valid business requirements.	Complaint rate of 10% or less for user data requests	
2009	Quality of Life	Mission and Business Results	Information Management	System Maintenance - % reduction in system maintenance processing time	2% reduction in system maintenance processing time for report suites	4% reduction in system maintenance processing time for report suites	
2009	Quality of Life	Customer Results	Service Efficiency	Service Accessibility - % increase in web hits per quarter.	2% more web hits per fiscal quarter in 2009, as compared to prior year's fiscal quarter	5% more web hits per fiscal quarter in 2009, as compared to prior year's fiscal quarter	
2009	Quality of Life	Technology	Reliability	Availability- % increase reports deployed	80% of daily, weekly, and monthly data extracts that load on schedule and properly to populate the enterprise data warehouse (EDW).	FY 2008 baseline pending FY 2007 actual results	
2010	Quality of Life	Processes and Activities	Complaints	Number of User Complaints – Complaints as a percentage of total user data requests, based on valid business requirements.	Complaint rate less than 15% for the total number of user data requests, based on valid business requirements.	FY 2010 baseline pending FY 2009 actual results	

2010	Quality of Life	Mission and Business Results	Information Management	System Maintenance - % reduction in system maintenance processing time	2% reduction in system maintenance processing time for report suites	FY 2010 baseline pending FY 2009 actual results	
2010	Quality of Life	Customer Results	Service Efficiency	Service Accessibility - % increase in web hits per quarter	2% more web hits per fiscal quarter in 2009, as compared to prior year's fiscal quarter	FY 2010 baseline pending FY 2009 actual results	
2010	Quality of Life	Technology	Reliability	Availability – % increase in reports deployed	80% of daily, weekly, and monthly data extracts that load on schedule and properly to populate the enterprise data warehouse (EDW).	FY 2010 baseline pending FY 2009 actual results	

PART I - F

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) Is this investment included in your agency's target enterprise architecture?

Yes

I.F.1.a) If "no," please explain why? (LONG ANSWER)

Not Applicable.

I.F.2) Is this investment included in the agency's EA Transition Strategy?

Yes

I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)

Program Integrity/Data Management

I.F.2.b) If "no," please explain why? (LONG ANSWER)

I.F.3) Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

Yes

I.F.3a) If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency

Chief Architect.

800-000

Segment Architecture Mapping Reference Table:

BUSINESS SEGMENT NAME	SEGMENT ARCHITECTURE CODE
1) Health Business Segment	100-000
2) Benefits Business Segment	200-000
3) Memorial, Burials & HQ Segment	300-000
4) Material Management Segment	400-000
5) Financial Segment	500-000
6) Human Resources Segment	600-000
7) Security Management Segment	700-000
8) Information Management Segment	800-000
9) Education & Training Segment	900-000

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

SERVICE COMPONENT TABLE:

	Agency Component Name (SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	Customer services	Outreach information	Customer Relationship Management	Sales and Marketing			No Reuse	
2	Customer services	Customer and account information for automated and manual use	Customer Relationship Management	Customer / Account Management			No Reuse	
3	Customer services	Address and identifying data for classification purposes, maintaining veteran information, and providing information to customers	Customer Relationship Management	Product Management			No Reuse	
4	Customer services	Customer request account information	Customer Initiated Assistance	Self-Service			No Reuse	

5	Business management services	Software and manual recording procedures	Management of Processes	Configuration Management			No Reuse	
6	Digital Asset Services	Information Retrieval and Information sharing	Content Management	Tagging and Aggregation			No Reuse	
7	Digital Asset Services	Information mapping	Knowledge Management	Categorization			No Reuse	
8	Digital Asset Services	Categorization	Document Management	Library / Storage			No Reuse	
9	Digital Asset Services	Knowledge discovery	Knowledge Management	Information Retrieval			No Reuse	
10	Business Analytical services	Data Mining	Knowledge Discovery	Data Mining			No Reuse	
11	Business Analytical services	Ad hoc retrieval	Reporting	Ad Hoc			No Reuse	
12	Business Analytical services	Standard reporting	Reporting	Standardized / Canned			No Reuse	
13	Back office services	Between the Inter and intra - parties for management of data	Data Management	Data Exchange			No Reuse	
14	Back office services	Facilitated integration of legacy with modern platforms	Development and Integration	Legacy Integration			No Reuse	
15	Back office services	Life cycle management	Development and Integration	Software Development			No Reuse	
16	Certification & Accreditation	Provide secure access to benefits records	Security Management	Certification and Accreditation			No Reuse	
17	Identification and Authentication	Validate user credentials	Security Management	Identification and Authentication			No Reuse	
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NOTE:

(a) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.*

(b) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.*

(c) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.*

(d) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.*

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Sales and Marketing	Service Access and Delivery	Access Channels	Collaboration / Communications
2	Customer / Account Management	Service Access and Delivery	Access Channels	Collaboration / Communications
3	Product Management	Service Platform and Infrastructure	Software Engineering	Modeling
4	Self-Service	Component Framework	Data Interchange	Data Exchange
5	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management
6	Tagging and Aggregation	Component Framework	Business Logic	Platform Independent Technologies
7	Categorization	Component Framework	Business Logic	Platform Independent Technologies
8	Library / Storage	Service Platform and Infrastructure	Database / Storage	Storage
9	Information Retrieval	Service Access and Delivery	Delivery Channels	Intranet
10	Data Mining	Component Framework	Data Management	Reporting and Analysis
11	Ad Hoc	Service Access and Delivery	Delivery Channels	Intranet
12	Standardized / Canned	Component Framework	User Presentation / Interface	Content Rendering
13	Data Exchange	Component Framework	Data Interchange	Data Exchange
14	Legacy Integration	Service Access and Delivery	Access Channels	Web Browser
15	Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment
16	Certification and Accreditation	Component Framework	Security	Supporting Security Services
17	Identification and Authentication	Component Framework	Security	Supporting Security Services
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NOTE:

(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

I.F.5.a) If "yes," please describe. (LONG ANSWER)

Not Applicable.

PART III - A

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

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Section A - RISK MANAGEMENT (All Capital Assets)

In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.

For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified, include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs); 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.

In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.

Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also indicate why in your response.

III.A.1) Does the investment have a Risk Management Plan?

Yes

III.A.1.a) If "yes," what is the date of the plan?

Jul 24, 2008

III.A.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?

Yes

III.A.1.c) If "yes," describe any significant changes: (LONG ANSWER)

Updated to reflect uncertainty in requirements for reports and the use of data replication to mitigate disaster risk.

III.A.2) If there currently is no plan, will a plan be developed?

III.A.2.a) If "yes," what is the planned completion date?

III.A.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)

PART III - B**Part III: For "Operation and Maintenance" investments ONLY (Steady State)**

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

III.B) Cost and Schedule Performance:

III.B.1) Was operational analysis conducted?

Yes

III.B.1.a) If "yes," provide the date the analysis was completed.

Aug 31, 2008

III.B.2) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004").

Description of Milestone	Current BL Completion Date Planned	Current BL Completion Date Actual
Establish VBA Data Warehouse and Operational Data Store	Sep 30, 2002	Sep 30, 2002
Maintain VBA DW and ODS	Sep 30, 2003	Sep 30, 2003
Maintain VBA DW and ODS	Sep 30, 2004	Sep 30, 2004
Maintain VBA DW and ODS	Sep 30, 2005	Sep 30, 2005
Maintain VBA DW and ODS	Sep 30, 2006	Sep 30, 2006
Maintain VBA DW and ODS	Sep 30, 2007	Sep 30, 2007
Maintain VBA DW and ODS	Sep 30, 2008	Sep 30, 2008
Maintain VBA DW and ODS	Sep 30, 2009	
Maintain VBA DW and ODS	Sep 30, 2010	

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