PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)

I.A.1) Date of Submission (mm/dd/yyyy)

Jul 28, 2008

I.A.2) Agency

029 - Department of Veterans Affairs

I.A.3) Bureau

00 - Agency Wide Initiatives

I.A.4) Name of this Investment:(SHORT ANSWER)

Enterprise Privacy Program (EPP)-2010

I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.

029-00-01-26-01-5105-00

I.A.6) What kind of investment will this be in FY2010? (Please NOTE: Investments moving to O&M in FY2010, with Planning/Acquisition activities prior to FY2010 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

I.A.7) What was the first budget year this investment was submitted to OMB?

FY2004

I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)

Enterprise Privacy Program (EPP) provides policies and protection of confidential information for Veterans, Veteran beneficiaries and VA employee information, to improve the VA's data management, and ensure compliance to Federal and VA-specific privacy requirements. The Health Insurance Portability and Accountability Act (HIPAA) and the E-Gov Act lead the guides and requirements for the privacy and protection of privacy-protected information. This initiative supports the following management programs: delegations of authority; clearance of information collections; micrographics, records management, vital records, mail, correspondence, and reports management. They serve as the Department's central point of contact for administering of the Freedom of Information Act (FOIA) program, and the Release of Names and Addresses (RONA) program. Also, acts as VA's OMB Forms Clearance Officer; Publications Control Officer and the Government Information Locator Service (GILS) Program Liaison Officer. It manages the Department's computer matching program and System Manager of Records programs required under the Privacy Act. Provides training, assessments, awareness, and outreach that encompass role-based training modules, HIPAA compliance and employee survey tools to all VA employees; Organizes the annual Privacy Awareness Day to ensure VA-wide regulatory compliance; Consults with partners and provides implementation strategy and policy revisions when necessary; Coordinates oversight with other VA departments involved with Privacy and HIPAA; Conducts program privacy impact assessments (PIA), risk assessments, and mitigation strategies; Develops office level auditors and tracking tools to assess privacy impacts, organizational and system risks, certification and accreditation, etc. progress of privacy programs; Develops Privacy performance measures; Develops and Maintains Program Management Infrastructure; Develops and Maintains PM Infrastructure and provides strategic direction (e.g. developed a corporate vision of privacy within VA) for PM's; Establishes comprehensive tracking of Privacy complaints and performance management systems; Maintains and revises operational procedures and practices; Establishes Community of Privacy Officers and identifies Privacy Officers responsibilities; Establishes Privacy Officer oversight function and defines reporting structure; Develops Privacy Officer skills and competencies including training and educational programs.

I.A.9) Did the Agency's Executive/Investment Committee approve this request?

Yes

I.A.9.a) If "yes," what was the date of this approval?

Sep 10, 2003

I.A.10) Did the Project Manager review this Exhibit?
Yes

I.A.11) Contact information of Program/Project Manager?

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Ray Poore	202-273-6520	ray.poore@va.gov

I.A.11.a) What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?

I.A.11.b) When was the Program/Project Manager Assigned?
May 8, 2006

I.A.11.c) What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?
Dec 31, 2006

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
Yes

I.A.12.a) Will this investment include electronic assets (including computers)?
Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)
No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?
Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
Yes	Human Capital	- Human Capital
Yes	Budget Performance Integration	- Budget Performance Integration
Yes	Financial Performance	- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing

		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
Yes	Coordination of VA and DoD Programs and Systems	- Coordination of VA & DoD Programs and Systems

I.A.13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

The EPP supports the President's Management Agenda by furthering the stated objectives of strategic management of human capital, expanded electronic government, coordination of VA and defense program systems, improved financial performance, and budget and performance integration Strategic Management of Human Capital: Increases employee satisfaction; Decreases turnover rate; Increases amount of training offered. Ensures Information Assets are Secure.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

No

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2008 agency high risk report (per OMB Memorandum M-05-23)

No

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A.19.a) If so, does this project (investment) address a FFMIA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

The project pertains to the VA Privacy Program at VA. It addresses all privacy related activities throughout the department.

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52 (LONG ANSWER)

This investment is not a Financial Management Systems and Projects

I.A.20) What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	
% Software	
% Services	
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Rhonda Wilson
Phone Number:	(202) 565-8855
Title: (SHORT ANSWER)	Management Analyst
E-mail: (SHORT ANSWER)	rhonda.wilson@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of

costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2008	CY 2009	BY 2010
Planning Total	0.000	0.000	0.000	0.000
Acquisition Total	0.000	0.000	0.000	0.000
Operations & Maintenance Total	6.275	2.767	3.845	3.960
Total, All Stages (Non-FTE)	6.275	2.767	3.845	3.960
Government FTE Costs	3.000	0.672	3.681	5.089
Govt. FTE Numbers	24	6	33	40
Total (FTE and Non-FTE)	9.275	3.439	7.526	9.049

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

Yes

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

It is anticipated that additional 7 FTE will be added beginning in 2012.

I.B.3) If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes. (LONG ANSWER)

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section C: Acquisition/Contract Strategy (All Capital Assets)

I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)

I.C.2) Do the contracts ensure Section 508 compliance?

Yes

I.C.2.a) Explain why not or how this is being done? (MEDIUM ANSWER)

The GSA Federal Supply Schedule contracts, under which the BPA orders will be issued, specify Section 508 compliance. Users through a

new VA portals platform will access new databases, which will be Section 508 compliant and accessible to individuals with disabilities. Computer-based training will also be Section 508 compliant. Specific accessibility adaptations and modifications will be proposed, developed and provided by offer's for specific systems or databases which they propose.

I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?
Yes
I.C.3.a) If "yes," what is the date?
Oct 31, 2006
I.C.3.a.1) Is it Current?
Yes
I.C.3.b) If "no," will an acquisition plan be developed?
I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section D: Performance Information (All Capital Assets)
In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g.,improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.
Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2006	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	Create framework for EPP mission	96%	Establish an effective training program	98%
2006	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	Increase Awareness	The privacy service awareness training was 90%	assure ongoing VA-wide training	91%
2006	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	Educate target audiences on privacy roles and responsibilities through multimedia training	100% trained	Ensure that all appropriate training related to privacy is completed.	98%
2006	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	IT systems in compliance with privacy	14% of IT systems	Ensure that 87% of IT systems are compliant	90%

2007	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	Continue online training	98% of targeted audience is trained.	100% of VA employees completed training	98
2007	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Satisfaction	Create an effective policy framework that supports and implements the EPP mission	90%	General Counsel concurs with 100% of all documented policy guidance.	91%
2007	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Satisfaction	Increased levels of privacy awareness and compliance as part of EPP's outreach using multimedia materials	90%	100% of program assessment instruments are completed	91%
2007	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	Educate target audiences on privacy roles and responsibilities through multimedia training	Educate VA employees and contractors	100% of privacy and employees are current with laws	92%
2007	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Impact or Burden	IT systems in full compliance with privacy policies, procedures, IT and practices	14% of IT system are C&A	100% of IT systems are approved. Resolve 100% privacy-related incidents reported in Privacy Violation Tracking System (PVTS). 100% of IT systems have completed a PIA	85%
2007	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	Continue online training capability related to privacy as required by laws, regulations, and polices is completed	98% of targeted audiance is trained	100% of VA employees (including contractors and volunteers) who completed privacy awareness training	86%
2008	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Satisfaction	Decrease response time to achieve compliant web sites.	Not in program	100% of all web pages audited	0
2008	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Impact or Burden	Create an effective policy framework that supports and implements the EPP mission	100%	General Counsel concurs with 100% of all documented policy guidance.	80%

2008	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	Increased levels of privacy awareness and compliance as part of EPP's outreach using multimedia materials	90%	100% of program assessment instruments are completed by specific due dates. 100% of new VA employees are aware of the privacy training and class completion requirements. 100% of VA employees are aware of communications materials	84%
2008	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Training	Educate target audiences on privacy roles and responsibilities through multimedia training	Train 100% of employees and contractor	100% of targeted audience is trained. 100% of VA employees are aware of privacy policy materials	80%
2008	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Complaints	IT systems in full compliance with privacy policies, procedures, IT and practices	14% of IT systems approved in privacy compliant C&A process, though VA on aggressive C&A program to have all systems accredited by Sep 2005. Resolved 74% privacy-related incidents reported in PVTS. 100% of targeted IT systems have completed a PIA	100% of IT systems are approved. Resolve 100% privacy-related incidents reported in Privacy Violation Tracking System (PVTS). 100% of IT systems have completed a PIA	82%
2008	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Satisfaction	Continue online training capability related to privacy as required by laws, regulations, and polices is completed	60%	100% of VA employees (including contractors and volunteers) who completed privacy awareness training100% privacy-related incidents reported in Privacy Violation Tracking System (PVTS). 100% of IT systems have completed a PIA	60%
2009	Public Health & Socioeconomic Wellbeing	Customer Results	Customer Satisfaction	Decrease response time to achieve compliant web sites.	Not in program	100% of all web pages audited	0
2009	Public Health & Socioeconomic Wellbeing	Mission and Business Results	Legislative Functions	The office continues to revise multiple policies per CIO direction.	25% of policies current	100% of policies written or updated	50%

2009	Public Health & Socioeconomic Wellbeing	Technology	Reliability	PIA done on 100% of systems	50% of systems have a PIA	100% of PIAs completed	50%
2009	Public Health & Socioeconomic Wellbeing	Processes and Activities	Privacy	Ensure that all departmental directives are updated	25% of directives have been updated	100% of directives have been updated	30%

PART I - F

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) Is this investment included in your agency's target enterprise architecture?

Yes

I.F.1.a) If "no," please explain why? (LONG ANSWER)

I.F.2) Is this investment included in the agency's EA Transition Strategy?

Yes

I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)

Enterprise Privacy Program

I.F.2.b) If "no," please explain why? (LONG ANSWER)

I.F.3) Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

Yes

I.F.3a) If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect.

700-000

Segment Architecture Mapping Reference Table:

BUSINESS SEGMENT NAME	SEGMENT ARCHITECTURE CODE
1) Health Business Segment	100-000
2) Benefits Business Segment	200-000
3) Memorial, Burials & HQ Segment	300-000
4) Material Management Segment	400-000

	5) Financial Segment	500-000
	6) Human Resources Segment	600-000
	7) Security Management Segment	700-000
	8) Information Management Segment	800-000
	9) Education & Training Segment	900-000

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g.,knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

SERVICE COMPONENT TABLE:

	Agency Component Name(SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	OIT	Privacy and Records Management	Knowledge Management	Knowledge Capture	FISMA Management and Reporting		Internal	
2	OIT	Privacy and Records Management	Knowledge Management	Information Retrieval	Information Retrieval		Internal	
3	OIT	Privacy and Records Management	Knowledge Management	Knowledge Capture	Knowledge Capture		Internal	
4	OIT	Privacy and Records Management	Knowledge Management	Knowledge Distribution and Delivery	Knowledge Distribution and Delivery		Internal	
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								
16								
17								

18							
19							
20							
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							
31							
32							
33							
34							
35							
36							
37							
38							
39							
40							
41							
42							
43							
44							
45							
46							
47							
48							
49							
50							

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	FISMA Management and Reporting	Service Access and Delivery	Service Requirements	Legislative / Compliance
2	FISMA Management and Reporting	Component Framework	Data Management	Database Connectivity
3	FISMA Management and Reporting	Component Framework	Data Management	Database Connectivity
4	FISMA Management and Reporting	Component Framework	Data Interchange	Data Exchange
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				

21			
22			
23			
24			
25			
26			
27			
28			
29			
30			
31			
32			
33			
34			
35			
36			
37			
38			
39			
40			
41			
42			
43			
44			
45			
46			
47			
48			
49			
50			

NOTE:

(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM

Service Standard, including model or version numbers, as appropriate.

I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?
No
I.F.5.a) If "yes," please describe. (LONG ANSWER)

PART III - A

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A - RISK MANAGEMENT (All Capital Assets)

In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.

For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified, include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs); 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.

In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.

Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also indicate why in your response.

III.A.1) Does the investment have a Risk Management Plan?
No
III.A.1.a) If "yes," what is the date of the plan?
III.A.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?
III.A.1.c) If "yes," describe any significant changes: (LONG ANSWER)
III.A.2) If there currently is no plan, will a plan be developed?
No
III.A.2.a) If "yes," what is the planned completion date?
III.A.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)
This program is legislatively mandated

PART III - B

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

III.B) Cost and Schedule Performance:

III.B.1) Was operational analysis conducted?

Yes

III.B.1.a) If "yes," provide the date the analysis was completed.

Dec 3, 2007

III.B.2) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004").

Description of Milestone	Current BL Completion Date Planned	Current BL Completion Date Actual
Increase Privacy Awareness/OCIS Portal - Privacy Module Usability (Planning and Acq)	Sep 30, 2005	Sep 30, 2005
Increase Privacy Awareness/ Change Management (O&M)	Sep 30, 2008	
Establish Privacy Policy Framework / Privacy Impact Assessments (Planning and Acquisition)	Sep 30, 2005	Sep 30, 2005
Training and Education/ Privacy Officer Prof Init (POPI) (Planning and Acquisition)	Sep 30, 2005	Sep 30, 2005
Training and Education/ Training Development (O&M)	Sep 30, 2007	Sep 30, 2007
Monitor Assurance/ Risk Assessments (Planning and Acquisition) FY04-FY05	Sep 30, 2005	Sep 30, 2005
Monitor Assurance/ WatchFire Web Auditing (Planning and Acquisition) FY04-FY05	Sep 30, 2005	Sep 30, 2005
Monitor Assurance/ Remedy-PVTS/CIRC (Planning and Acquisition) FY04-FY05	Sep 30, 2005	Sep 30, 2005
Program Management/ OCIS Portal – Privacy Module Usability (O&M) FY05	Sep 30, 2005	Sep 30, 2005
Program Management FY06	Sep 30, 2006	Sep 30, 2006
Program Management FY07	Sep 30, 2007	Sep 30, 2007
Program Management FY08	Sep 30, 2008	Sep 30, 2008
Program Management FY09	Sep 30, 2009	
Program Management FY10	Sep 30, 2010	