DHS Exhibit 300 Public Release BY09 (Form) / USCG - Direct Access (2009) (Item)

Form Report, printed by: Administrator, System, **Feb 6, 2008**

OVERVIEW

General Information

1. Date of Submission:	Sep 5, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	United States Coast Guard (USCG)
4. Name of this Capital Asset:	USCG - Direct Access (2009)
5. Unique ID:	024-60-02-00-01-6010-00
(For IT investments only, see section 53. For all other, use agency ID system.)	

All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2005

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

Direct Access is a military HR system interfacing with an existing legacy military payroll system. It uses COTS products, is hosted through a 3rd Party ASP, and is maintained by government staff and contractors. Direct Access is a highly cost efficient and effective IT Investment that continually meets and exceeds customer expectations and is in alignment with USCSG and DHS mission and vision. It provides military assignment processing; posts official positions; schedules training; processes retirements, promotions, and disciplinary actions; maintains all personnel attributes; and provides military payroll. Employee self-service capabilities include: view/update personal information; access to compensation, benefits, leave and insurance information; access to employment and performance and award history information; and update emergency contact information, home address, education, phone numbers, email addresses, licenses and certificates, race/national origin, veterans preference, and disability status. Manager self-service capabilities include: view information on people and positions throughout all of the USCG organizations; initiate personnel transactions in a paperless environment; perform mass transactions such as internal realignments or awards; access to organization specific reports on personnel action status, workforce information, emergency contacts, access to workforce analytical data on employee demographics, retirement eligibility, headcount/salary- information, and organization charts; fill vacant positions or establish new positions; access to a position description library containing hundreds of officially pre-classified position descriptions; and the ability to build a job requisition. This investment is required to meet USCG personnel tracking and military payroll requirements documented in Titles 10 and 14 of the US Code. USCG plans to migrate the legacy military payroll and retiree and annuitant processing to Direct Access. These migrations will effectively integrate HR and Payroll processing into a single commercially developed and supported product

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Aug 3, 2007

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment support one of the PMA initiatives?	
Yes	
If "yes," select the initiatives that apply:	

Human Capital	Yes
Budget Performance Integration	
Financial Performance	
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

2 PMA areas as reviewed in PART assessment & operational analysis, and quantified/reported in quarterly PMA & DHS periodic reporting. Staff get quantifiable HR data thru automated COTS HR best practices. USCG analyzes/manages staff across the organization with consolidated HR data (human capital). Staff make personnel actions using self-service web with no intermediate staff layers (eGovernment). Extensive employee use results in accurate & current data for decisions and workforce management.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

USCG - Ports Waterways and Coastal Security

14.c. If "yes," what rating did the PART receive?

Moderately Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

Yes

19.a. If "yes," does this investment address a FFMIA compliance area?

Yes

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

Prompt Pay Act, Chief Financial Officers Act of 1990, FISCAM, Computer Security Act Requirements, Internal Controls, Federal Financial Management System Requirements, Systems, Control and Legal Compliance

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52. [LIMIT: 2500 char]

Joint Uniform Military Pay System (JUMPS) FISMA ID: USC-03408-MAJ-03408

Retiree and Annuitant System (RAS) FISMA ID: USC-00768-MAJ-00768

Direct Access FISMA ID: USC-00736-MAJ-00736

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	10.65	
Services	85.01	
Other	4.34	
Total	100.00	⭐

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier -2006	PY 2007	CY 2008	BY 2009
Planning:				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition:				
Budgetary Resources	18.500	0.000	0.000	0.000
TOTAL, sum of stages:				
Budgetary Resources	18.500	0.000	0.000	0.000
Maintenance:				
Budgetary Resources	31.386	8.232	7.854	8.279
TOTAL, All Stages				
Budgetary Resources	49.886	8.232	7.854	8.279
Government FTE Costs	0.000	0.000	0.000	0.000
# of FTEs	16.00	6.00	6.00	5.00
Total, BR + FTE Cost	49.886	8.232	7.854	8.279

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]

As a result of investment consolidation efforts within USCG and DHS, and in response to OMB requirements and guidance, a number of USCG Human Resource oriented systems have been grouped into the USCG Direct Access investment. USCG Direct Access, therefore, has been promoted from an individual project to a program of related systems and activities. Because of this, the overall USCG Direct Access Summary of Spending has increased to accommodate the costs of these additional USCG HR systems and activities.

It should be noted that the USCG Direct Access project has not increased in scope nor has it experienced cost overruns; the increase in the Summary of Spending is merely a reflection of the DHS and OMB required system consolidation efforts. Systems and associated costs that are now included as part of the USCG Direct Access FY2009 OMB Exhibit 300 submission include:

* HR IT Development Environment CG-102 Development and Test Servers
* HR IT Development Environment Data Center Development and Test Servers
* SBU-LAN - HR IT Development Environment Data Center
* Joint Uniform Military Pay System (JUMPS)
* Direct Access
* Retiree and Annuitant System (RAS)
* Windows Integrated Automated Travel System/Travel Preparation and Examination (WINIATS/T-PAX)