



DHS Exhibit 300 Public Release BY09 (Form) / DHS - Transformation & Systems Consolidation (TASC) (2009) (Item)

Form Report, printed by: Administrator, System, Mar 14, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 7, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Department Wide Initiatives
4. Name of this Capital Asset:	DHS - Transformation & Systems Consolidation (TASC) (2009)
5. Unique ID:	024-00-01-01-01-0611-00
(For IT investments only, see section 53. For all other, use agency ID system.)	

All investments

6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)
Planning
7. What was the first budget year this investment was submitted to OMB?
FY2004
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]
DHS was created from 22 agencies and over 200,000 people. The Transformation and Systems Consolidation (TASC) initiative is a key DHS strategy to achieve compliant Financial Management (FM) services and optimize FM operations across the diverse DHS systems. The complexity of redundant processes creates significant audit issues and high operating costs. FM processing redundancies produce significant avoidable costs associated with corrections and with establishing and maintaining interfaces and internal controls. Also, DHS has struggled with financial reporting accuracy and timeliness because of data diversity, numerous manual processes and data sources, and multiple data reconciliations. This adversely impacts responsiveness to information needs and inhibits effective DHS-wide performance management and standard reporting. The CFO-established TASC goals will enable OMB regulatory compliance (e.g., CFO Act, FFMIA, A-123, PMA), improve system security, correct current audit issues, enhance internal controls, improve integration with feeder systems, standardize processes and data, enhance management oversight, and support the PMA. TASC will accomplish these outcomes by consolidating systems, by integrating single, enterprise-wide feeder systems, and standardizing processes and data - simplifying operations onto a platform for efficiency and effectiveness (e.g., consolidate Hosting, Application Management, and Business Process Services). The previous effort attempted to build a financial application system from scratch, but TASC enables DHS to leverage two existing Baselines - Oracle which supports TSA and DNDO and SAP which supports CBP. Thus, DHS will reduce developmental risk and implementation cost, achieve the CFO's goals, leverage and enhance existing systems, implement proven migration processes, and share costs across a broader base while leveraging investments that have already been made.
9. Did the Agency's Executive/Investment Committee approve this request?
Yes
9.a. If "yes," what was the date of this approval?
Oct 1, 2006
10. Did the Project Manager review this Exhibit?
Yes
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?
Yes
12.a. Will this investment include electronic assets (including computers)?
Yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
No
12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	
Budget Performance Integration	Yes
Financial Performance	Yes
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	Yes
Eliminating Improper Payments	Yes
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

Budget Performance-integrate and standardize performance and accounting information, budget execution
 Financial Performance-improve DHS financial statement audit position, reduce the number of financial and feeder systems, reduce number of service providers, establish business process standards, and enhance oversight via enterprise data visibility.
 e-Government-implement Department e-Travel solution .
 Improper Payments-standardize payment process; enhance oversight via enterprise data visibility
 Real Property Asset Management ORACLE Fixed Assets.
 Real Property Asset Management ORACLE Fixed Assets

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

No

14.a. If "yes," does this investment address a weakness found during the PART review?

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

14.c. If "yes," what rating did the PART receive?

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(4) Project manager assigned but qualification status review has not yet started

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

Yes

19. Is this a financial management system?

Yes

19.a. If "yes," does this investment address a FFMIA compliance area?

Yes

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

General Ledger, Financial Reporting, Property Management, Revenue & Accounts Receivable, Managerial Cost Accounting, Funds Control & Budgetary Accounting, Accounts Payable, Travel, Grants, Seized Assets, Acquisition Management

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

This initiative will leverage an existing system, the Oracle Shared Baseline, used for TSA and DNDO to support components migrating off or enhancing of their current systems (e.g., Integrated Financial Management Information Systems(IFMIS), Federal Financial Management System (FFMS), Oracle Federal Financials Core Accounting System (CAS) and Enterprise Financial Management System (TOPS). The Baseline includes: applications - Oracle Financials, Oracle Budget Execution, 170 Systems' Markview – workflow and imaging, Informatica – Business Intelligence, Compusearch Enterprise PRISM Instance (EPI) – contracting, Data Warehouse, DHS' Sunflower Asset Management Solution – Property Management, and interfaces (e.g. Travel (FedTraveler.com), National Funded Centers (NFC), Federal Procurement Data System – Next Generation (FPDS-NG), Central Contractor Registration – (CCR)).

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.10	
Software	6.39	
Services	64.14	
Other	29.38	
Total	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

No

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas?

Yes

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	0.000	5.330	1.250	2.450
Acquisition:				
Budgetary Resources	0.000	8.245	30.022	14.650
TOTAL, sum of stages:				
Budgetary Resources	0.000	13.575	31.272	17.100
Maintenance:				
Budgetary Resources	0.000	0.000	0.000	0.000
TOTAL, All Stages				
Budgetary Resources	0.000	13.575	31.272	17.100
Government FTE Costs	0.000	0.930	1.100	2.100
# of FTEs	0.00	10.00	11.00	0.00
Total, BR + FTE Cost	0.000	14.505	32.372	19.200

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]