DHS Exhibit 300 Public Release BY09 (Form) / CBP - Advance Passenger Information System (APIS) (2009) (Item)

Form Report, printed by: Administrator, System, **Feb 6, 2008**

OVERVIEW

General Information

1. Date of Submission:	Sep 6, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Security, Enforcement and Investigations (SEI)
4. Name of this Capital Asset:	CBP - Advance Passenger Information System (APIS) (2009)
5. Unique ID:	024-50-01-02-01-5138-00
(For IT investments only, see section 53. For all other, use agency ID system.)	

All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2002

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

The Aviation and Transportation Security Act (ATSA) was signed into law on November 19, 2001. Section 115 of ATSA required commercial air carriers to provide legacy USCS with APIS data on all inbound passengers and crewmembers before their arrival in the United States. Previously, international air carriers transmitted this data to legacy USCS voluntarily. The APIS system is used to review passengers and crew in order to identify possible terrorists or other high-risk individuals and to facilitate the clearance process for the vast majority of legitimate travelers. In addition, with the enactment of the Enhanced Border Security and Visa Entry Reform Act 2002, all commercial air and sea carriers are required to provide electronic transmission of arrival and departure manifests for each commercial vessel or aircraft prior to arrival at or departure from the United States. This includes matching of APIS flights to Customs and Border Protection (CBP) Entrance and Clearance entries for reconciliation, better identify carrier transmission errors for penalty issuance and mitigation, capture legislatively mandated information at or before time of entry for all air passengers, as well as, analytical support for air carrier performance. Updates and/or modifications to operational parameters have been or will be implemented to permit APIS to handle increased volume in a more efficient manner, the collection of inbound and outbound data for all air and sea passengers, conversion to the World Customs Organization standard for data transmission format (UN EDIFACT) and acquiring and processing Canadian and Mexican APIS data for passengers traveling from overseas. Additionally, eAPIS and eNOA/D are web-based internet accessible functions developed to allow air carriers and vessels that operate without advanced departure control systems to transmit APIS data electronically to CBP. Small/medium air carriers can upload APIS data to CBP, send an acknowledgement of data received to transmitting carriers, and allow carriers to view and request performance reports. eNOA/D gives vessel operators the capability to connect to or transmit off-line APIS data in an email attachment to CBP and provide a NOA to Coast Guard using one portal.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Jul 15, 2003

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

No

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?	
No	
12.b.2. If "yes," will this investment meet sustainable design principles?	
No	
12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment support one of the PMA initiatives?	
Yes	
If "yes," select the initiatives that apply:	

Human Capital	Yes
Budget Performance Integration	
Financial Performance	Yes
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)
APIS supports the PMA in the area of Expanded Electronic Government by reducing the expense and difficulty of doing business with the gov't and by cutting gov't operating costs.
As the single platform to capture passenger and crew data on sea carriers, APIS provides Improved Financial Performance to both the gov't and the trade by reducing the trade's reporting burden.
APIS supports the Human Capital initiative through the redistribution of human capital to front-line service delivery.
14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?
Yes
14.a. If "yes," does this investment address a weakness found during the PART review?
No
14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?
CBP - Border Security Inspections and Trade Facilitation at POE's Program
14.c. If "yes," what rating did the PART receive?
Effective
15. Is this investment for information technology (See section 53 for definition)?
Yes

For information technology investments only:
16. What is the level of the IT Project (per CIO Council's PM Guidance)?
Level 3
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
(3) Project manager assigned to investment, but does not meet requirements
18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)
No
19. Is this a financial management system?
No
19.a. If "yes," does this investment address a FFMIA compliance area?
19.a.1. If "yes," which compliance area: [LIMIT: 250 char]
19.a.2. If "no," what does it address? [LIMIT: 500 char]
19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A–11 section 52. [LIMIT: 2500 char]
20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	0.00	
Services	95.72	
Other	4.28	
Total	100.00	⭐

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?
N/A
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?
No
Question 24 must be answered by all Investments:
24. Does this investment directly support one of the GAO High Risk Areas?
No

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier -2006	PY 2007	CY 2008	BY 2009
Planning:				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition:				
Budgetary Resources	18.710	0.000	0.000	0.000
TOTAL, sum of stages:				
Budgetary Resources	18.710	0.000	0.000	0.000
Maintenance:				
Budgetary Resources	31.140	22.745	7.600	7.600
TOTAL, All Stages				
Budgetary Resources	49.850	22.745	7.600	7.600
Government FTE Costs	2.110	0.330	0.330	0.340
# of FTEs	19.00	3.00	3.00	3.00
Total, BR + FTE Cost	51.960	23.075	7.930	7.940

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]