

For official use only:

Customer Name

Customer No.

PD F 3062-4 E
Department of the Treasury
Bureau of the Public Debt
(Revised May 2008)

CLAIM FOR UNITED STATES SAVINGS BONDS NOT RECEIVED

OMB No. 1535-0098

Visit us on the Web at www.treasurydirect.gov

IMPORTANT: Follow instructions in filling out this form. You should be aware that the making of any false, fictitious, or fraudulent claim or statement to the United States is a crime that is punishable by fine and/or imprisonment.

PRINT IN INK OR TYPE ALL INFORMATION

I/We, the undersigned, certify that the United States Savings Bonds described on this form have not been received, either by me/us, or by anyone on my/our behalf. If the addressee has moved since the bonds were mailed, I/we also certify that an inquiry was made at the former address.

1. DESCRIPTION OF BONDS – Describe the missing bonds in the spaces below. If you don't know the bond serial numbers, provide as much information as possible and also indicate the total number of bonds that are missing.

ISSUE DATE (If you don't know the exact date, furnish a range of issue dates.)	FACE AMOUNT	BOND NUMBER	INSCRIPTION (Provide complete Social Security number [for example, 123-45-6789], names, including middle names or initials, and addresses on the bonds.)

(If you need more space to describe your bonds, use a continuation sheet and attach it to this form.)

2. DETAILS OF THE PURCHASE – Provide all requested information.

- Who purchased the bonds? _____
(Purchaser's Name)
- _____ (Purchaser's Social Security Number)

3. AUTHORITY – Provide details regarding your authority to complete a claim for the bonds.

- Are you named on the bonds? Yes No If **Yes**, skip to Item 4. If **No**, provide the following information:
Describe your authority: _____
(parent, guardian, conservator, legal representative, administrator, executor, purchaser, etc.)
- Are you court appointed? Yes No (If **Yes**, see **LEGAL REPRESENTATIVE** in the Instructions.)

4. MINORS – Provide details regarding any minor named on the bonds. (See **MINORS** in the Instructions.)

- Is there a minor named on the bonds? Yes No If **No**, skip to Item 5. If **Yes**, fully complete the following:
- What is the minor's :
 - Name? _____
 - DOB? _____
 - Social Security Number? _____
- What is your relationship to the minor? _____
- Does the minor live with you? Yes No
If **No**, with whom? _____
(Name) (Relationship to Minor)
- _____ (Address)
- Who provides the minor's chief support?
_____ (Name) (Relationship to Minor)
_____ (Address)
- Are both parents able to sign the application for relief? Yes No
If **Yes**, skip to Item 5. If **No**, fully complete the following:
 - Why are you unable to obtain the signature? _____
 - Could that parent have possession of the bonds? Yes No

5. RELIEF REQUESTED – Indicate whether substitute bonds or payment is desired. (See Item 5 in the Instructions.)

IMPORTANT NOTE: Payment can't be made for Series EE or Series I bonds dated February 2003 and later until such bonds are one year past their issue date. Also, substitute bonds can't be issued if a bond is within less than one full calendar month of its final maturity.

- I/We hereby request: Substitute Bonds Payment by Check Payment by Direct Deposit

Name(s) in which check is to be drawn: _____
(If bonds are in co-ownership form, see Item 5 in the Instructions.)

6. DELIVERY INSTRUCTIONS – Complete only Item 6A or 6B.

A. MAIL BONDS OR REDEMPTION CHECK TO:

 (Name)

 (Number and Street, Rural Route, or PO Box) (City) (State) (ZIP Code)

B. DIRECT DEPOSIT FUNDS AS AUTHORIZED BELOW:

 (Name/Names on the Account)

 (Depositor's Account No.) Type of Account: Checking Savings
 Bank Routing No. _____

 (Financial Institution's Name) (Phone No.)

7. SIGNATURES AND CERTIFICATION

I/We severally petition the Secretary of the Treasury for relief as authorized by law and, if relief is granted, acknowledge that the original bonds become the property of the United States. Upon the granting of relief, I/we assign all our right, title, and interest in the original bonds to the United States and bind myself/ourselves, my/our heirs, executors, administrators, successors and assigns, jointly and severally: (1) to surrender the original bonds to the Department of the Treasury if they are recovered; (2) to hold the United States harmless due to any claim by any other parties having, or claiming to have, interests in these bonds; and (3) upon demand by the Department of the Treasury, to indemnify unconditionally the United States and repay to the Department of the Treasury all sums of money which the Department may pay due to the redemption of these original bonds, including any interest, administrative costs and penalties, and any other liability or losses incurred as a result of such redemption. I/We consent to the release of any information in this form or regarding the bonds described to any party having an ownership or entitlement interest in these bonds.

I/We certify, under penalty of perjury, and severally affirm and say that the bonds described on this form were never received, and that the information given is true to the best of my/our knowledge and belief.

You must wait until you are in the presence of a certifying officer to sign this form.

Sign Here => _____
 (Signature) (Print Name)

Home Address _____
 (Number and Street or Rural Route) (Social Security Number)

 (City) (State) (ZIP Code) (Daytime Telephone Number)

E-Mail Address _____

Sign Here => _____
 (Signature) (Print Name)

Home Address _____
 (Number and Street or Rural Route) (Social Security Number)

 (City) (State) (ZIP Code) (Daytime Telephone Number)

E-Mail Address _____

INSTRUCTIONS

PURPOSE OF FORM – Use this form to apply for relief on account of the nonreceipt of United States Savings Bonds.

WHO MAY APPLY – This form must be completed and signed by all persons named on the bonds, or by an authorized representative.

ATTACHMENTS – If more space is needed for any item, use a plain sheet of paper and attach it to the form.

PROOF OF DEATH – If a registrant is deceased, a certified copy of his/her official death certificate must be submitted with this form.

LEGAL REPRESENTATIVE – If you were appointed as legal representative because:

- the owner is deceased (with no surviving co-owner or beneficiary named on the bonds), or
- the owner or co-owner is a minor, or
- the owner or co-owner is incapacitated,

complete the form and submit a court certificate or certified copy of your letters of appointment, under court seal, showing the appointment is still in full force. If your name and official capacity are shown in the registration of the bonds, evidence of your appointment is **not** necessary.

If no legal representative has been appointed for a deceased or incompetent owner, advise the Bureau of the Public Debt and additional instructions will be provided.

MINORS – If a minor (who does not have a court-appointed guardian) is named on the bonds, the minor must complete and sign the form on his/her own behalf if, *in the opinion of the certifying officer*, he/she is of sufficient competency and understanding to comprehend the nature of the transaction. Otherwise, the form must be signed by both parents on the minor's behalf. If the minor does not reside with either parent, the form must be completed and signed by the person who furnishes the minor's chief support.

COMPLETION OF FORM – Print clearly in ink or type all information requested.

ITEM 1. Describe the missing bonds. If you don't know the bond serial numbers, indicate the total number of missing bonds and provide as much of the requested identifying information as possible.
ITEM 2. Provide the requested information regarding the purchase of the bonds.
ITEM 3. Provide details regarding your authority to complete a claim for the missing bonds. If you have been court appointed, see " LEGAL REPRESENTATIVE " above.
ITEM 4. Complete this item if a minor is named on the bonds and he/she is not of sufficient competency and understanding to complete the form on his/her own behalf. Provide the minor's name, date of birth, social security number, and all other requested information. See " MINORS " above for more information.
ITEM 5. Indicate whether you want substitute bonds, payment by check, or payment by direct deposit. If you select "payment by check" and the bonds are in the names of living coowners, provide the name of the co-owner to whom the check should be issued. Otherwise, if both co-owners sign the form, the check will be issued to both co-owners and interest will be reported under the first-named co-owner's social security number. Complete Item 6A to provide delivery instructions for the bonds or check. Complete Item 6B if payment by direct deposit is preferred. NOTE: Series EE and Series I bonds issued February 2003 and later are not eligible for payment until one full year after issue; if payment is requested and such bonds are less than one year old, substitute bonds will be issued instead. Also, if substitute bonds are requested and a bond is within less than one full calendar month of reaching its <u>final</u> maturity, payment will be made instead.
ITEM 6. Complete Item 6A to provide mailing instructions for the bonds or redemption check or complete Item 6B to provide instructions for direct deposit of the redemption payment.
ITEM 7. Each person whose signature is required must sign the form in ink, print his/her name, and provide his/her home address, social security number, daytime telephone number, and e-mail address, if applicable. Each signature must be certified (see CERTIFICATION below).

CERTIFICATION – Each person whose signature is required must appear before and establish identification to the satisfaction of an authorized certifying officer and sign the form in the officer's presence. The certifying officer must affix the seal or stamp, which is used when certifying requests for payment. Authorized certifying officers are available at banking institutions, including credit unions, in the United States. For a complete list of such officers, see Department of the Treasury Circulars, Nos. 300 and 530, and Public Debt Series, Nos. 3-80 and 2-98.

WHERE TO SEND – Send the application and any additional information to the Department of the Treasury, Bureau of the Public Debt, PO Box 7012, Parkersburg, WV 26106-7012.

For Bond-Related Inquiries:

- Email: SavBonds@bpd.treas.gov
- Phone: (304) 480-7711
- Fax: (304) 480-6010
- Mail: Department of the Treasury, Bureau of the Public Debt, PO Box 7012, Parkersburg, WV 26106-7012.