

OCC's Web and Telephone Seminar
**Corporate Governance and the Community
Bank: A Regulatory Perspective**

Registration Form:

Registrant name _____
Title _____
Bank/company _____
Charter number _____
Street address _____
City _____
State _____ Zip _____
Phone number _____
Fax number _____
E-mail address _____

Select seminar:

- August 2, OCC 10821
 August 3, OCC 10825
 Please check here if you are unable to receive your materials via the Internet. We will ship you a hard copy. *(To receive via the Internet, you will need Acrobat Reader, available free at www.adobe.com.)*

Site registration and one set of written materials.

- National banks: \$115 each session
 Others: \$150 each session

Check method of payment:

- Check made payable to KRM
 American Express
 Visa
 MasterCard
 Discover Card

Card number: _____

Expiration date: _____

Signature: _____

Registrations after 7/20/05, please add \$8 for expedited handling.

Total payment: _____

Who should participate?

The topic for this Web and telephone seminar will be of particular interest to:

- Bank directors, especially outside directors
- Chief executive officers
- Board and committee chairpersons

Price:

Participation is \$115 per connection for each national bank listening site and \$150 per connection for each non-national bank listening site.

Questions for Speakers?

If you would like to submit questions to the speakers in advance, please e-mail your questions to OCCTeleSeminar@occ.treas.gov.



Comptroller of the Currency
Administrator of National Banks

A WEB AND TELEPHONE SEMINAR

**Corporate
Governance
and the
Community
Bank**
**A Regulatory
Perspective**

**Tuesday, August 2, 2005
2:00 p.m. – 3:30 p.m. EDT**

and again on

**Wednesday, August 3, 2005
12:00 p.m. – 1:30 p.m. EDT**

— CONVENIENT —
— INFORMATIVE —
— COST EFFECTIVE —

What is a telephone seminar?

This 90-minute Web and telephone seminar allows an unlimited number of people to listen to OCC experts and to discuss with them their experiences and policy imperatives over individual telephones or with colleagues on speaker-phones. The experts' interaction with you, the listener, will make the program a valuable learning experience and enjoyable to listen to. And you can ask questions from wherever you are.

There is no rush-hour traffic, no parking, no travel time or expenses. You can remain in the office and share information with a large group of colleagues. A moderator guides the presentation and the open forum, much like participating in a talk-radio program.



Welcome by
Julie Williams,
*Acting
Comptroller of the Currency*

Participants will gain insight into:

- The need to create a strong corporate governance structure in a post-Enron environment
- The difference between oversight and management in a community bank
- The importance of corporate culture and “tone at the top”
- Defining and enforcing lines of responsibility and accountability
- Risk management, internal controls, and audit as components of the corporate governance structure
- OCC's expectations for community bank corporate governance practices

This telephone seminar will feature:

- A discussion of the challenges of establishing a strong corporate governance structure in a community bank
- Tips for creating a strong corporate culture
- Best practices for establishing strategic objectives, policy, ethics, code of conduct, independence, and transparency
- Guidelines for board and committee structure, composition, and operations
- Issues to consider when developing compensation programs

Seminar panel:



Karen Kwilosz
*Director
Operational Risk Policy*



Kirk Spurgin
*National Bank Examiner
Operational Risk Policy*



Maryann Kennedy
*Assistant Deputy Comptroller
Northeastern District*

How to register:

Those wishing to attend the seminar may register by:

- Filling out the online registration form at www.occ.treas.gov/bankereducation.htm.

- Calling 1 (800) 775-7654 between the hours of 7 a.m. and 5 p.m. EDT and providing registration information
- Faxing the form to 1 (800) 676-0734
- Mailing the registration form to KRM Information Services, P.O. Box 1187, Eau Claire, WI 54702-1187

Registrants receive a special toll-free number and a PIN number for entrance to the telephone seminar. You will receive written materials prior to the call via the Internet or direct mail.