

GENERAL SPECIFICATIONS
FOR ELECTRONIC FILING
of
BANK SECRECY ACT (BSA) REPORTS

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**DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE**

These Specifications for Electronic Filing of BSA Reports were developed under the sponsorship of the following:

Department of the Treasury

Director, Financial Crimes Enforcement Network

Internal Revenue Service

Director, Enterprise Computing Center - Detroit

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Purpose

The purpose of these specifications is to provide general requirements and conditions for filing Bank Secrecy Act (BSA) reports submitted electronically using the web based BSA E-Filing System. Detailed information regarding the BSA E-Filing System can be found on the internet at: <http://bsaefiling.fincen.treas.gov/index.jsp>.

These specifications only apply to BSA reports that have been revised as of March 2007 and later. Additional requirements and conditions for each BSA report will be found in separate report specifications. Go to: http://www.fincen.gov/reg_bsaforms.html under “Magnetic Electronic Filing Specifications.”

BSA report versions prior to March 2007 must refer to the specific electronic filing guidelines for that BSA report.

The electronic file forwarded to the Enterprise Computing Center - Detroit (ECC-Detroit) will be considered as a substitute for the paper report, provided the transaction is accepted by the ECC-Detroit system. Receipt and acknowledgment of electronic files is further discussed in a later section.

Approval to participate in the electronic file reporting program is contingent upon the filer following these steps:

- Review specifications
- File application to participate (Form 4419-A) and receive a test Transmitter Control Code (TCC)
- Satisfy Acceptance Testing Procedures
Every filer with a TCC code must submit test data, and pass the data quality check, before they will receive a production TCC code. Filers who use a service bureau to file can have the service bureau submit test data for them.
- Receive formal ECC-Detroit approval and a production TCC
- File reports as needed to meet filing deadlines
- Monitor quality

Continued participation in the Electronic Filing Program is contingent upon maintenance of quality standards and timely reporting.

Application for Electronic Reporting

For purposes of these specifications, the filer is the organization responsible for filing the BSA form. The filing institution and transmitter may be the same or different entities.

Filing institutions are required to complete and send to the Internal Revenue Service Enterprise Computing Center – Detroit (ECC-Detroit) an "Application for Magnetic Media Reporting or E-

Filing of Currency Transactions” (Form 4419-A). This form can be located at the following link: http://www.fincen.gov/mag_med_appl_pg1-2.pdf.

Application for Electronic Reporting (continued)

The application should be filed with ECC-Detroit as soon as possible after publication of these specifications or any updates to these specifications. ECC-Detroit will act on the application and notify the applicant of authorization to file. Electronic files may not be filed with ECC-Detroit until the applicant has received approval after testing their test files.

Approval of applications to file BSA reports via electronic files will be contingent upon the applicant satisfactorily passing an acceptance test. (See Testing/Acceptance Procedures for further guidance.)

Filing of Electronic Reports

General

ECC-DETROIT will advise electronic filers when they may begin to file using electronic files. This will be as early as possible after receipt of the application. Until this notification has been issued, electronic files will not be accepted.

If files are unreadable due to format errors, etc., the transmitter will be contacted by telephone to send a replacement for the file.

Any filer whose error rates remain at a high level on a continuing basis may risk being discontinued as an electronic filer.

Filers are required to retain a copy of each filed BSA report and all original supporting documentation or business record equivalent for five years from the date of the BSA report (BSA General Instructions, How to Make a Report). Additionally, the filer must retain any acknowledgement files received to facilitate inquiries for the same period as well as any ‘working’ papers which may be necessary for centralized keying of transactions. All supporting documentation must be made available to appropriate authorities upon request.

Transmittal Process

Electronic files and transmittals are submitted to ECC-Detroit through the BSA E-Filing System. The E-Filing system receives the reports and transmits the reports to ECC-Detroit on a daily basis. The E-Filing system provides a track status view to relay status information to the submitting filer.

Testing/Acceptance Procedures

The filer will be asked to provide a test file to ECC-Detroit that is consistent with these requirements. The test file can be submitted for transfer to ECC-Detroit using the BSA Direct E-Filing test system. Please contact the BSA E-Filing Help Desk at 1-888-827-2778 (option 6) for information on how to obtain an account on the test system. Final acceptance of the filer's test file will be as follows:

- The test data will consist of a set of reports, containing the data normally supplied by the filer.
- Test data must be submitted electronically the same as will be used for regular electronic filing of BSA reports. Emailed and/or CD files will not be accepted.
- The BSA reports test file should contain no less than 25 reports and no more than 50 reports.
- Filing institutions must not use 'canned' test data received from vendor/software companies. Data should be test data generated by the filing institution.
- When several filers use the same service bureau system, the filers must indicate they are using a service bureau system and provide the name of the service bureau when filling out the "Application for Magnetic Media Reporting or E-Filing of Currency Transactions" (Form 4419-A). The service bureau is required to provide a letter to the filer on their company letterhead stating their current software has passed the testing / acceptance procedures. This letter must be included with the Form 4419-A. The service bureau listed will be verified to ensure they have passed the testing /acceptance procedures before a production TCC code will be issued.
- Service bureaus that process BSA reports for several filers must also provide a test file to ECC-Detroit that is consistent with these requirements. Service bureaus are responsible for ensuring filers utilizing their system are properly setup, configured, and only provide information to the service bureau. The service bureau will use this information to create a file that conforms to the appropriate magnetic media specifications..
- The 1A record in the file should contain the transmitter's contact information and TCC that has been assigned for testing purposes only.
- The 2A record in the file should contain the filer's contact information and TCC, if the filer is different from the transmitter. If the transmitter is a service bureau and not the filing institution, the transmitter information should not be in the 2A record.
- Upon receipt of the filer's test file ECC-Detroit will test, review and provide feedback to the filer within ten working days.
- When a test file is found to be incorrectly formatted or the filer's test file contains errors, ECC-Detroit will identify to the filer the type of errors encountered. A new set of test data should be forwarded to ECC-Detroit. The filer is responsible for correcting their software to eliminate the identified errors and any related errors.

- If after three attempts the filer's test file continues to be unacceptable, the filer must confer with the BSA Electronic Media Coordinator and develop an acceptable plan for correcting deficiencies before any further tests are allowed.
- Filers granted acceptance will be notified.
- When several filers use the same service bureau system, the filers must indicate they are using a service bureau system and provide the name of the service bureau when filling out the "Application for Magnetic Media Reporting or E-Filing of Currency Transactions" (Form 4419-A). The service bureau listed will be verified to ensure they have passed the testing /acceptance procedures before a production TCC code will be issued.

Testing/Acceptance Procedures (continued)

ECC-Detroit will issue a production TCC, typically within 10 business days, to be used with submissions of BSA reports upon successful completion of a filer's test. Unsuccessful tests can result in delays in issuing a production TCC or possible rejection of the TCC application.

Filing Dates

Suspicious Activity Reports (SAR)

Filing SAR reports using electronic files will be on a continuous basis. Electronic files must be prepared and submitted to ECC-Detroit for processing no later than 30 calendar days after the date of initial detection of facts that may constitute a basis for filing a SAR. If no subject was identified on the date of detection of the incident requiring the filing, a Financial Institution may delay filing a SAR for an additional 30 calendar days to identify a Subject. In no case shall reporting be delayed more than 60 calendar days after the date of initial detection of a reportable transaction.

All Other BSA (Non-SAR) Reports

All other BSA reports filed using electronic files may be filed on a daily basis, and should be filed no less than on a biweekly basis (i.e., January 1, 2007 – January 14, 2007). Electronic files must be prepared and submitted to ECC-Detroit for processing as soon as possible after the reporting period ends. Non-SAR reports filed using electronic files are considered timely when filed no later than 25 calendar days after the date of the transaction.

Receipt and Acknowledgment of Electronic Files

SAR reports **will not be acknowledged** to the transmitter. Non-SAR reports will be acknowledged to the transmitter as soon as possible after receipt.

Non-SAR Electronic File Acknowledgments

Transmitters should immediately match the acknowledgements to the original files transmitted. If the filer does not receive the acknowledgement file within five business days, please contact the BSA E-Filing Help Desk at 1-888-827-2778 (option 6) to determine if you will need to resubmit your filing.

Any non-SAR BSA report that contains errors will be flagged and error codes indicating the reason for the error(s) will be returned via an acknowledgement record. These error situations should be corrected the records sent back to ECC-Detroit as correction records and not as amendments. Further information regarding acknowledgements can be found in the individual report electronic filing specifications.

Filing Corrected Reports

If a BSA report that was prepared and submitted via an electronic file must be corrected, you must file a complete corrected BSA report as soon as possible. **All fields must be completed with the correct information, NOT JUST THE DATA FIELDS REQUIRING CORRECTION.** Corrected BSA reports will be accepted via electronic files. **Corrected and Supplemental electronically filed BSA reports must include the appropriate code indicator in the 3A record.** The date filed must be the date of the corrected BSA report. For SAR reports, an explanation of the changes must be included in the narrative.

Electronic Coordinator Contacts

Direct all requests for Electronic related publications or information to the following address:

**BSA Electronic Media Coordinator
Internal Revenue Service
985 Michigan Ave.
Detroit, MI 48226-2458
Phone Number (313) 234-2011
Fax Number (313) 234-1614**

Overview of File

This file is an alternative to filing BSA reports on paper. If you file a transaction using electronic files, do not file a paper BSA report for the same activity.

All initial, corrected, supplemental and late report filings can be submitted via electronic files.

BSA reports that have missing or incomplete information are considered original (initial) filings. When information becomes available they should be replaced via a new electronic file and

include the corrected or supplemental indicator code. (Unless technical difficulties prevent the filing institution from doing so, use the same method for filing a corrected and/or supplemental BSA report as the initial BSA report).

All dates are to be in the format of month, day, century, year (MMDDCCYY).

Money amounts **should be right justified and zero filled**. Enter dollar amounts only; all cents should be rounded up to the next higher dollar amount (i.e., \$10,000.01 should be reported as \$10001).

All name, address, and city fields are to be **left justified and space filled**.

All alphabetic characters must be in upper case.

Overview of File (continued)

All name and address fields must follow the Name Editing Conventions specified in the attachments.

Account numbers must NOT contain leading zeroes unless they are part of the actual account number.

If the suspicious activity violation amount on a SAR report is unknown, leave the amount field blank and check the box for Unknown.

Do not use default values, such as all 0's, all 9's, N/A, Unknown, etc. for any fields.

Do not use carriage returns, tabs, low values, special characters, or charts/tables when entering data into the fields or in the narrative.

All required fields (fields with an asterisk *) must be completed with the requested information. If the information is unknown, enter "XX" in the field, left justified and space filled, unless otherwise specified. Non-asterisk fields should be left blank if information is unknown or not applicable.

Unless specified differently, the following must apply for all entries:

- Entries must be left justified and space filled.
- Entries should not contain lower case characters in the file.
- All 'Filler' fields must be space filled.
- Blank fields must be space filled.
- Do not use low values as a substitute for spaces.

ATTACHMENT A – Name Editing Instructions

- A. Delete any titles, prefixes, suffixes or other descriptive information such as Mr., Mrs., Dr., Reverend, Partner, or Trustee. Do not delete suffixes that distinguish family members such as Jr., Sr., III or IV. Suffixes should be edited to follow the last name in the last name field. For example, John M Doe Jr., last name = Doe Jr, MI = M and first name = John. Do not separate names with slashes ('/').
- B. Delete all punctuation (e.g., 'JR.' would be submitted as 'JR').
- C. Do not use the following words in fields:
 - a. THE
 - b. SEE ABOVE
 - c. SAME AS ABOVE
 - d. SAME
 - e. COMPUTER GENERATED
 - f. SIGNATURE CARD
 - g. NONE
 - h. NON CUSTOMER
 - i. CUSTOMER
 - j. T/A
 - k. VARIOUS
 - l. OTHER
 - m. N/A
 - n. UNKNOWN
 - o. NOT APPLICABLE
 - p. DBA
 - q. SUPPORTING DOCUMENTS ATTACHED
 - r. SEE NARRATIVE
 - s. Any variations of the above examples
- D. Spanish surnames. Care must be taken in formatting Spanish surnames as the names are usually written in the order of first name, father's last name, and then mother's last name, i.e., Juan Vega Santiago. In this example, Vega Santiago would be used as the last name; and Juan as the first name. Enter the data into the corresponding fields, without slashes ('/').
- E. If only the surname of an individual is present, place the name in the last name field. Do not use a slash ('/') at the end of the name.
- F. If a non-individual is listed, enter the entity name in the last name field. Do not enter slashes between names.
- G. Delete the word, 'The' whenever it appears.

ATTACHMENT B – Standard Abbreviations

Word Abbreviation

Accounting	ACCTG
Accounts	ACCTS
Administration	ADMIN
Air Force Base	FB
Apartment	APT
American	AMER
Associates	ASSOC
Association	ASSN
Avenue	AVE
Bank	BK
Banking	BKG
Branch	BR
Broadway	BWY
Building	BLDG
Casino or Card Club	CAS
Casualty	CASLTY
Center	CTR
Certificate	CERT
Certificate of Deposit	CD
Circle	CRL
Commerce	CMRC
Commission	COMM
Company	CO
Comptroller	COMPT
Consolidated	CONS
Construction	CONST
Corporation	CORP
Cooperative	COOP
County	CNTY
Court	CT
Credit Union	CU
Department	DEPT
Deposit	DEP
Distributor	DISTB
Distributing	DISTB
District	DIST
Division	DIV
Drive	DR
East, Eastern	E

Word Abbreviation

Electrical	ELEC
Exchange	XCHG
Federal	FED
Federal Credit Union	FCU
Finance	FIN
Financial	FINCL
First National Bank	FNB
Foreign	FORGN
General	GEN
Government	GOVT
Group	GRP
Headquarters	HDQTRS
Highway	HWY
Hospital	HOSP
Incorporated	INC
Industry(ies)	INDUST
Information	INFO
Institute	INST
Insurance	INS
International	INT
Lane	LN
Limited	LTD
Management	MGMT
Manufacturers	MFTRS
Manufacturing	MFG
Market	MKT
Municipal	MUN
Mutual	MUTL
National	NAT
Northeast	NE
Northern, North	NO
Northwest	NW
Organization	ORG
Park	PK
Place	PL
Plaza	PLZ
Post Office	PO
Railroad	RR
Realty	RLTY

Word Abbreviation

ATTACHMENT B – Standard Abbreviations (continued)

Road	RD
Room	RM
Route	RT
Savings	SAV
Savings and Loan	SL
Security	SEC
Service	SERV
Southeast	SE
Southern, South	SO
Southwest	SW
Street	ST
Suite	STE
Transportation	TRANS
Trust	TR
University	UNIV
US Air Force	USAF
US Army	USA
US Coast Guard	USCG
US Marine Corps	USMC
US Navy	USN
Village	VLGE
Western, West	W

Note: All abbreviations listed may be changed from singular to plural, and vice versa, by the addition or deletion of the letter‘s’.

ATTACHMENT C – Standard U.S. State/Territory Codes (February 2007)

1. Country, state, and territory codes are taken from the Federal Information Processing Standard (FIPS) 10-4 code list, the United States Postal Service (USPS) state/territory codes, and previous FinCEN lists.
2. Entries for U.S. Territories and the states/territories of Canada and Mexico should be completed in both the “state” and “country” fields. Leave the “state” field blank for all other countries.

U.S. States

Alabama	AL	North Dakota	ND
Alaska	AK	Ohio	OH
APO/FPO (ZIP 090xx - 098xx)	AE	Oklahoma	OK
APO/FPO (ZIP 340xx)	AA	Oregon	OR
APO/FPO (ZIP 962xx - 966xx)	AP	Pennsylvania	PA
Arizona	AZ	Rhode Island	RI
Arkansas	AR	South Carolina	SC
California	CA	South Dakota	SD
Colorado	CO	Tennessee	TN
Connecticut	CT	Texas	TX
Delaware	DE	Unknown Other State	UN
District of Columbia	DC	Utah	UT
Florida	FL	Vermont	VT
Georgia	GA	Virginia	VA
Hawaii	HI	Washington	WA
Idaho	ID	West Virginia	WV
Illinois	IL	Wisconsin	WI
Indiana	IN	Wyoming	WY
Iowa	IA		
Kansas	KS	U.S. Territories	
Kentucky	KY	American Samoa	AQ
Louisiana	LA	Guam (USPS)	GU
Maine	ME	Marshall Islands	RM
Maryland	MD	Micronesia, Federated States	FM
Massachusetts	MA	Northern Mariana Islands	CQ
Michigan	MI	Palau (USPS)	PW
Minnesota	MN	Puerto Rico (USPS)	PR
Mississippi	MS	U.S. Virgin Islands (USPS)	VI
Missouri	MO		
Montana	MT		
Nebraska	NE		
Nevada	NV		
New Hampshire	NH		
New Jersey	NJ		
New Mexico	NM		
New York	NY		
North Carolina	NC		

Note: The above Territories are considered States for all CBRS processing. Code the Territory in the state field and ‘US’ in the country field.

ATTACHMENT D - Standard Canada and Mexico State/Country Codes (February 2007)

Canadian Provinces

Alberta	AB	Nunavut	NU
British Columbia	BC	Ontario	ON
Manitoba	MB	Prince Edward Island	PE
New Brunswick	NB	Quebec	QC
Newfoundland and Labrador	NL	Saskatchewan	SK
Northwest Territory	NT	Yukon Territory	YT
Nova Scotia	NS		

Mexican States/Territories

Aguascalientes	AG	Morelos	MR
Baja, California (Territory North)	BA	Nayarit	NA
Baja, California (Territory South)	BJ	Neuvo Leon	NN
Campeche	CE	Oaxaca	OA
Chiapas	CI	Puebla	PB
Chihuahua	CH	Queretaro de Arteaga	QU
Coahuila de Zaragoza	CU	Quintana Roo	QR
Colima	CL	San Luis Potosi	SL
Destrito Federal	DF	Sinaloa	SI
Durango	DO	Sonora	SO
Guanajuato	GX	Tabasco	TB
Guerrero	GR	Tamaulipas	TA
Hidalgo	HL	Tlaxcala	TL
Jalisco	JL	Veracruz-Llave	VC
Mexico, Estado de	MX	Yucatan	YU
Michoacande Ocampo	MC	Zacatecas	ZA

ATTACHMENT E - Standard Foreign Country/Territory Codes (February 2007)

Foreign Country/Territory

Afghanistan	AF	Canada	CA
Albania	AL	Cape Verde	CV
Algeria	AG	Cayman Islands	CJ
Andorra	AN	Central African Republic	CT
Angola	AO	Chad	CD
Anguilla	AV	Chile	CI
Antarctica	AY	China (Mainland)	CH
Antigua & Barbuda	AC	China (Taiwan)	TW
Argentina	AR	Christmas Island	KT
Armenia	AM	Clipperton Island	IP
Aruba	AA	Cocos Islands	CK
Ashmore & Cartier Islands	AT	Colombia	CO
Australia	AS	Comoros	CN
Austria	AU	Congo (Brazzaville)	CF
Azerbaijan	AJ	Congo (Kinshasa)	CG
Bahamas	BF	Cook Islands	CW
Bahrain	BA	Coral Sea Islands	CR
Bangladesh	BG	Costa Rica	CS
Barbados	BB	Cote d'Ivoire	IV
Bassas Da India	BS	Croatia	HR
Belgium	BE	Cuba	CU
Belize	BH	Cyprus	CY
Benin	BN	Cyprus-North (Turkish)	CX
Bermuda	BD	Czech Republic	EZ
Bhutan	BT	Denmark	DA
Bolivia	BL	Djibouti	DJ
Bosnia & Hercegovina	BK	Dominica	DO
Botswana	BC	Dominican Republic	DR
Bouvet Island	BV	East Timor	TT
Brazil	BR	Ecuador	EC
British Indian Ocean Territory	IO	Egypt	EG
British Virgin Islands	VI	El Salvador	ES
Brunei	BX	England	UK
Bulgaria	BU	Equatorial Guinea	EK
Burkina Faso	UV	Eritrea	ER
Burma	BM	Estonia	EN
Burundi	BY	Ethiopia	ET
Cambodia	CB	Europa Island	EU
Cameroon	CM	European Union	EE

**ATTACHMENT E - Standard Foreign Country/Territory Codes (February 2007)
(continued)**

Foreign Country/Territory

Falkland Islands (Islas Malvinas)	FK	Isle of Man	IM
Faroe Island	FO	Israel	IS
Fiji	FJ	Italy	IT
Finland	FI	Ivory Coast (Cote d'Ivoire)	IV
France	FR	Jamaica	JM
French Guiana	FG	Jan Mayen	JN
French Polynesia	FP	Japan	JA
French Southern & Antarctic	FS	Jersey	JE
Gabon	GB	Jordan	JO
Gambia	GA	Juan De Nova Island	JU
Gaza Strip	GZ	Kazakhstan	KZ
Georgia	GG	Kenya	KE
Germany	GM	Kiribati	KR
Ghana	GH	Korea, North	KN
Gibraltar	GI	Korea, South	KS
Glorioso Islands	GO	Kuwait	KU
Golan Heights	SY	Kyrgyzstan	KG
Great Britain	UK	Laos	LA
Greece	GR	Latvia	LG
Greenland	GL	Lebanon	LE
Grenada	GJ	Lesotho	LT
Guadeloupe	GP	Liberia	LI
Guatemala	GT	Libya	LY
Guernsey	GK	Liechtenstein	LS
Guinea	GV	Lithuania	LH
Guinea-Bissau	PU	Luxembourg	LU
Guyana	GY	Macao	MC
Haiti	HA	Macedonia	MK
Heard & McDonald Islands	HM	Madagascar	MA
Holy See (Vatican City)	VT	Malawi	MI
Honduras	HO	Malaysia	MY
Hong Kong	HK	Maldives	MV
Hungary	HU	Mali	ML
Iceland	IC	Malta	MT
India	IN	Marshall Islands	RM
Indonesia	ID	Martinique	MB
Iran	IR	Mauritania	MR
Iraq	IZ	Mauritius	MP
Ireland	EI	Mayotte	MF

**ATTACHMENT E - Standard Foreign Country/Territory Codes (February 2007)
(continued)**

Foreign Country/Territory

Montserrat	MH	Saint Lucia	ST
Mexico	MX	Saint Pierre & Miquelon	SB
Moldova	MD	Saint Vincent & Grenadines	VC
Monaco	MN	Samoa	WS
Mongolia	MG	San Marino	SM
Montenegro	MJ	Sao Tome & Principe	TP
Montserrat	MH	Saudi Arabia	SA
Morocco	MO	Scotland	UK
Mozambique	MZ	Senegal	SG
Namibia	WA	Serbia	RB
Nauru	NR	Seychelles	SE
Navassa Island	BQ	Sierre Leone	SL
Nepal	NP	Singapore	SN
Netherlands	NL	Slovakia	LO
New Caledonia	NC	Slovenia	SI
New Zealand	NZ	Solomon Island	BP
Nicaragua	NU	Somalia	SO
Niger	NG	South Africa	SF
Nigeria	NI	S. Georgia & S. Sandwich Islands	SX
Niue	NE	Spain	SP
Norfolk Island	NF	Spratley Islands	PG
Norway	NO	Sri Lanka (Ceylon)	CE
Oman (Muscat)	MU	Sudan	SU
Pakistan	PK	Suriname	NS
Panama	PM	Svalbard	SV
Papua-New Guinea	PP	Swaziland	WZ
Paracel Islands	PF	Sweden	SW
Paraguay	PA	Switzerland	SZ
Peru	PE	Syria	SY
Philippines	RP	Taiwan	TW
Pitcairn Island	PC	Tajikistan	TI
Poland	PL	Tanzania	TZ
Portugal	PO	Thailand	TH
Qatar	QA	Togo	TO
Reunion	RE	Tokelau Islands	TL
Romania	RO	Tonga	TN
Russia	RS	Trinidad & Tobago	TD
Rwanda	RW	Tromelin Island	TE
Saint Helena	SH	Tunisia	TS
Saint Kitts & Nevis	SC	Turkey	TU

**ATTACHMENT E - Standard Foreign Country/Territory Codes (February 2007)
(continued)**

Foreign Country/Territory

Turkmenistan	TX
Turks & Caicos Islands	TK
Tuvalu	TV
Uganda	UG
Ukraine	UP
United Arab Emirates	AE
United Kingdom	UK
United States of America	US
Uruguay	UY
Uzbekistan	UZ
Vanuatu	NH
Vatican City	VT
Venezuela	VE
Vietnam	VM
Wales	UK
Wallis & Futuna	WF
West Bank	WE
Western Sahara	WI
Yemen	YM
Yugoslavia	YO
Zambia	ZA
Zimbabwe	ZI
Unknown	XX
Various (more than one)	XV

ATTACHMENT F - U.S. Zip Code Validation Table

<u>State Code</u>	<u>State</u>	<u>Valid Range</u>	<u>State Code</u>	<u>State</u>	<u>Valid Range</u>
AL	Alabama	350 – 369	NM	New Mexico	870 – 884
AK	Alaska	995 – 999	NY	New York	005
AS	American Samoa	967 – 967			063
AZ	Arizona	850 – 865			090 – 149
AR	Arkansas	716 – 729	NC	North Carolina	269 – 289
		755	ND	North Dakota	580 – 588
CA	California	900 – 966	MP	Northern Mariana Islands	969
CO	Colorado	800 – 816			
CT	Connecticut	060 – 069	OH	Ohio	430 – 459
DE	Delaware	197 – 199	OK	Oklahoma	730 – 749
DC	District of Columbia	200 – 205	OR	Oregon	970 – 979
FM	Federated States of Micronesia	969	PW	Palau Island	969
FL	Florida	320 – 349	PA	Pennsylvania	150 – 196
GA	Georgia	300 – 319	PR	Puerto Rico	006 – 009
		398 - 399	RI	Rhode Island	028 – 029
GU	Guam	969	SC	South Carolina	290 – 299
HI	Hawaii	967 – 968	SD	South Dakota	570 – 577
ID	Idaho	832 – 838	TN	Tennessee	370 – 385
IL	Illinois	600 – 629	TX	Texas	750 – 799
IN	Indiana	460 – 479			885
IA	Iowa	500 – 528	UT	Utah	840 – 847
KS	Kansas	660 – 679	VT	Vermont	050 – 059
KY	Kentucky	400 – 427	VA	Virginia	201
LA	Louisiana	700 – 714			220 – 246
ME	Maine	039 – 049	VI	Virgin Islands	008
MH	Marshall Islands	969	WA	Washington	980 – 994
MD	Maryland	206 – 219	WI	Wisconsin	530 – 549
MA	Massachusetts	010 – 027	WV	West Virginia	247 – 268
		055	WY	Wyoming	820 – 831
MI	Michigan	480 – 499			
MN	Minnesota	550 – 567	Military Post Offices		
MS	Mississippi	386 – 397	AA	Armed Forces Americas (Except Canada)	340
MO	Missouri	630 – 658	AE	Armed Forces Africa, Canada, Europe Middle East	090– 098
MT	Montana	590 – 599			
NE	Nebraska	680 – 693	AP	Armed Forces Pacific	962 – 966
NV	Nevada	889 – 898			
NH	New Hampshire	030 – 039			
NJ	New Jersey	070 – 089			

ATTACHMENT G – Validation Error Codes for Electronic Filers

<u>Error Code</u>	<u>Error Source</u>
F01	Non-numeric record counts reported on 1A summary record.
F02	Number of records reported does not match the computer count for the 2A record or 2A record is not the second record on the file.
F03	Number of records reported does not match the computer count for the 2B record or 2B record is not the third record on the file.
F04	Number of records reported does not match the computer count for the 3A record, or 3A is not the fourth record on the file or the 3A record has no corresponding 5A record.
F08	Number of records reported does not match the computer count 3E records.
F09	Number of records reported does not match computer count for 4A records.
F10	Number of records reported does not match computer count for 5A records.
F11	Explanation/Description (7A) record in error.
F16	No Transmitter (1A) Record on file.
F17	No Branch Summary (9A) Record on file or a 9A record is found with no prior 3A record.
F18	No File Summary (9Z) Record on file.
F22	Parent MSB (9B) summary totals do not equal total of MSBs reported.
Bad Seq	Sequence error. Records are not in correct order.