



LEGISLATIVE APPROPRIATIONS REQUEST

Fiscal Years 2010 - 2011

September 1, 2009 - August 31, 2011

Submitted to the Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board



Susan Combs Texas Comptroller of Public Accounts

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Manager, Budget & Internal Accounting

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Chief Deputy Comptroller (Chief Clerk)

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Susan Combs, Texas Comptroller of Public Accounts

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COMPTROLLER OF PUBLIC ACCOUNTS ADMINISTRATOR'S STATEMENT

Introduction

The Texas State Comptroller's office was created by the Texas provisional government on Dec. 30, 1835. After statehood, the office became an elected position authorized by Article IV, Section 23, of the Texas Constitution of 1850.

The Comptroller's office serves every citizen in the state. As Texas' chief tax collector, accountant, revenue estimator, treasurer and purchasing manager, the agency is responsible for writing the checks and keeping the books for the multi-billion dollar business of state government.

As the state's tax collector, the agency collected \$36.9 billion in state taxes and fees in fiscal 2007, including \$20.3 billion in state sales taxes, more than \$3.1 billion in motor fuels taxes and \$3.1 billion in franchise taxes. As steward of the state's fiscal affairs, agencies depend on the Comptroller's office to pay their bills and issue paychecks to state employees. Taxpayers rely upon the agency for assistance and guidance regarding compliance with tax laws. Legislators rely on the Comptroller's office to chart the course of the Texas economy, produce annual reports on the state's finances and estimate revenues coming to state government in the future.

Most of the office's duties and powers are enumerated in the Texas Tax Code and in Chapter 403 of the Texas Government Code.

New Mandates

The Comptroller's office has worked diligently to carry out new responsibilities mandated by the Legislature, including:

- HB 3, passed by the 79th Legislature, which amended the Tax Code, Chapter 171 and authorized the Comptroller's office to implement a new franchise tax. This new tax changes the existing tax base, lowers the tax rate and extends coverage to active businesses receiving state law liability protection. This expanded business tax has added approximately 225,000 new franchise taxpayers and placed greater demands on the agency's resources.
- HB 3560, as passed by the 80th Legislature, amended various chapters of the Government Code to transfer the statewide procurement, fleet management and support services duties of the Texas Building and Procurement Commission, now the Texas Facilities Commission, to the Comptroller's office, effective Sept. 1, 2007. The Comptroller's office became the state's purchasing manager, awarding and managing hundreds of statewide contracts on behalf of more than 200 state agencies and 1,700 local government agencies.
- HB 3106 transferred the responsibility for enterprise resource planning to the Comptroller's office. The bill requires the agency to ensure that the uniform statewide accounting project includes the administration of general ledger, accounts payable, accounts receivable, budgeting, inventory, asset management, billing, payroll, projects, grants, procurement and human resources.
- HB 3430 required the Comptroller's office to establish and post on the Internet a database of state expenditures. This mandate led to the Business Intelligence

project, which gives Comptroller staff and state agencies a single platform to access the vast amount of financial information maintained by the Comptroller's office.

Past, Present and Future

The Comptroller's office has integrated technology into all aspects of its operations. This integration is in direct support of the agency's goals. One of the most important functions of the Comptroller's office is to expeditiously manage the receipt and disbursement of state tax revenue. As such, the Comptroller's office is engaged in an aggressive program to modernize all information technology systems. The agency will rewrite legacy software applications into modern software languages and upgrade the equipment and environment that houses these applications.

Over the past year, the Comptroller's office has aggressively pursued the introduction of technology to allow taxpayers access to self-service options through the Internet or over automated telephone systems. The Comptroller believes the easier it is for taxpayers to register, file and pay taxes electronically, the higher the degree of compliance.

Although it is the agency's goal to conduct all taxpayer filing and paying transactions using automated means (Internet or telephone), many taxpayers still prefer to send paper returns through the mail. The agency has invested in technologies for the imaging and electronic capture of data from paper to allow faster processing of information and enable the more rapid receipt of revenue. For some time, the agency has had the capability to capture basic data from paper tax returns. With the implementation of the updated franchise tax, the agency is capturing data completely in electronic format. The agency will continue to add additional taxes to this enhanced imaging process.

Transparency in government is a top priority for the Comptroller's office. Efficiently managing the state's fiscal affairs is dependent on access to the detailed financial information of state agencies and institutions of higher education. In the past, state agencies managed financial information and reporting independently, resulting in various data formats and types. In an attempt to provide some level of standardization, the agency developed the Unified Statewide Accounting System and the Unified Statewide Personnel System. Limitations in standardization, functionality and level of detail still remain, however, hindering the ability to report on items such as expenditures, budgets and purchases. These shortfalls will be addressed over the next few years as the Comptroller's office embarks on an Enterprise Resource Planning project to meet the needs of state agencies, while improving reporting quality, timeliness and efficiency.

The existing Treasury system, which processes tax revenue receipts, is written in an outdated software language. This technology increases risk due to the loss of in-house systems operations knowledge and vendor system maintenance obsolescence. The Comptroller's office places a high priority on the replacement of the Treasury system and plans to rewrite the entire application over the next two years.

Finally, the Comptroller's office is leading an initiative to completely upgrade the state's procurement system. The existing system uses outdated technology and will be replaced by a system that will make it easier for state agencies and local governments to place orders over the Internet using state contracts to maximize cost savings.

COMPTROLLER OF PUBLIC ACCOUNTS ADMINISTRATOR'S STATEMENT

LEGISLATIVE APPROPRIATIONS REQUEST FOR FISCAL 2010-11

As directed by the state's leadership, the Comptroller's office is submitting a baseline funding request of \$443.5 million for the fiscal 2010-11 biennium. This represents a net increase of \$2.9 million over the 2008-09 biennial total of \$440.6 million. The increase reflects an adjustment to the salary budget base due to the two percent across-the-board pay raise awarded to all state employees in fiscal 2009. The Legislative Budget Board instructed agencies to build this pay increase into the budget request for next biennium. Without this salary adjustment, the actual budget request for next biennium is actually lower than the 2008-09 biennial level due to the elimination of the Quick Copy operation in the Texas Procurement and Support Services area. The Comptroller's office is not requesting exceptional items above the baseline budget for the 2010-11 biennium.

The baseline funding request will enable the agency to:

- Maintain the current employment cap of 2,996.6 full time equivalents and allow the agency to meet its statutorily mandated responsibilities while continuing to provide excellent customer service to the people of Texas.
- Continue investing in technology and upgrade older legacy computer systems and tax applications.
- Support the agency's goal of improving voluntary compliance by conducting 30,000 audits and refund verifications and permitting 5,000 non-permitted taxpayers over the next biennium. This goal will result in an average of \$27 and \$28 assessed per dollar cost, respectively, each year of the biennium.
- Maintain a public presence throughout the state, both for tax collections and taxpayer service purposes. While the secondary role of the agency's Enforcement area is to provide information to the public in each of its 29 field office locations, the area also uses a variety of collection tools to reduce the delinquent population, deter tax evasion and maximize total tax collections. Enforcement collectors will be able to close an average of 305 delinquent accounts each month and the division will collect over \$2 billion in delinquent taxes over the biennium.
- Disseminate accurate and timely tax information and interpret tax policy in order to promote taxpayer compliance. At this level of funding, Tax Assistance professionals will issue over 100,000 responses to taxpayer inquiries and answer more than 1.25 million telephone calls over the biennium.
- Process approximately 9 million in tax returns over the biennium and deposit receipts in a little over five hours earning additional interest for the state.

Ten Percent Reduction

A ten percent reduction to General Revenue would have a significant impact on agency operations and performance by reducing the biennial budget by over \$42.7 million. Since salaries comprise over 71 percent of the agency's annual budget, these reductions would require significant staff cuts in the tax administration, revenue administration, fiscal management and information technology areas of the agency. This funding level would force the agency to reduce its workforce from 2,996.6 to 2,721.6 full time equivalents (FTEs), or 275 FTEs. Specific areas of the budget affected by a ten percent reduction include the following:

Tax Administration

A loss of 83.3 FTEs in Audit will severely impact the agency's ability to conduct tax due assessments and refund assignments. Audit completions will decline by 5,576 audits, resulting in a loss of an estimated 18.4 percent of projected tax revenue over the biennium. With reduced staffing, audit coverage is projected to drop from approximately 0.76 percent to 0.63 percent in fiscal 2010 and from 0.76 percent to 0.59 percent in fiscal 2011. Reduced audit activity eventually results in decreased levels of voluntary compliance, which promotes timely, accurate tax payments. A reduction in voluntary compliance could lower the reporting accuracy rate of reported amounts on audits from 97 percent to 95 percent.

The loss of 52.3 FTEs in Enforcement will have a significant impact on delinquent tax collections and result in the loss of an estimated 8.6 percent of projected tax collections over the biennium. Additionally, it will increase workload inventories by five percent and slow collection processes, which will impact turnaround time for delinquent accounts. These staff reductions will also have an adverse effect on compliance activities such as special event canvassing, cold stops and fuels/cigarette tax investigations. Overall taxpayer service will decrease and result in lower levels of tax compliance.

A loss of 17.7 Tax Assistance staff will adversely affect the agency's ability to provide accurate, consistent and timely tax information to taxpayers, legislators and other state agencies. The Comptroller's office will lose the ability to effectively implement new law changes, amend tax publications, amend administrative rules and provide training. With the passage of the new Franchise Tax, an estimated 225,000 taxpayers have been added to the existing population served by the Comptroller's office. As a result, the amount of taxpayer correspondence and telephone inquiries has increased proportionately. Any reduction in staff will result in a dramatic reduction in the response time to taxpayer inquiries and will eventually result in an increase in taxpayer reporting errors, negative tax filing patterns and a potential decrease in voluntary compliance.

Revenue Administration

A loss of 55.8 FTEs in the Revenue Administration area will impede the timely processing of all tax payments within three days of receipt (as required by statute), resulting in an average minimum loss of interest to the state of \$10.6 million over the biennium. There will be an estimated 17 percent increase in the time required to generate taxpayer refunds, resulting in a projected increase of over \$5 million in credit interest paid next biennium.

The time it takes to return tax allocations to local jurisdictions will increase from 21 days to 28 days in order to reflect the additional one week required to process all sales tax return data and money for each monthly allocation. The ability to handle electronic filing, customer service calls and the processing of franchise tax data will be severely impacted. Collection actions, including hot check billings, liens filed, certifications to the Attorney General, bankruptcy claims filed, successor liability audits processed and billed, securities forfeited and warrants held will decrease, which will severely jeopardize the agency's ability to protect the state's financial interests.

COMPTROLLER OF PUBLIC ACCOUNTS ADMINISTRATOR'S STATEMENT

In addition, staff decreases in Revenue Administration will negatively impact Texas' Unclaimed Property Program. During fiscal 2008, approximately \$294 million in unclaimed property was voluntarily reported and remitted to Texas. It is estimated that a staffing reduction in the education, outreach and support functions of this area will reduce the amount of unclaimed property reported during the biennium by one to two percent or \$6 to \$12 million.

Treasury

A loss of 6.8 FTEs in Treasury Operations will result in delays in processing state funds. At today's interest rates, with an average daily deposit of around \$33 million, a processing delay of only one day will cost the state almost \$2 million in lost interest over the biennium. Also, the untimely research and reconciliation of warrants will affect the databases containing warrant status information. It will negatively impact state agencies and the public because the financial data provided will not be current. In addition, pledged collateral totaling more than \$1.5 billion will not be monitored or revalued on an ongoing basis. Finally, the timely and accurate reconciliation of all bank accounts holding state funds will be compromised.

Fiscal Management

The loss of 25.4 FTEs in the agency's Fiscal Management area will impact the 186 state agencies and institutions the agency serves, as well as the payees they do business with. Staff reductions in Fiscal Management will compromise the accuracy and statutorily imposed deadlines for the issuance of the state's *Comprehensive Annual Financial Report* and the *Annual Cash Report*. Failure to issue timely financial reports could adversely affect the state's bond rating.

Staff reductions will also result in a decrease in the: number of agency appropriations analyzed; turnaround time for bill costing; state agencies' compliance with the General Appropriations Act and other laws and rules; number of post-payment audits completed; training and support for agencies and institutions using the statewide financial systems; response times for inquiries on accounting and payment processing; system maintenance; support for vendors/payees receiving payments from the state; and timely communication.

General Counsel

A loss of 11.1 FTEs in the Administrative Hearings area will create significant delays in the tax hearings process and impede the timely receipt and deposit of state tax revenue identified through audits or other assessments. Since the hearings process is an integral part of the overall tax administration function, any delays or backlogs in this area will have a ripple effect across the agency.

Property Tax

A loss of 12 Property Tax staff will impede the agency's ability to conform to Section 403.302 of the Government Code, which requires the Comptroller's office to annually conduct a Property Value Study (PVS) to determine the actual level of property tax wealth in each school district for state funding purposes. This study, an independent estimate mandated by the Legislature, is designed to ensure equitable school funding. The number

of samples included in the study directly affects the accuracy of the study findings. Reduced staff will result in a smaller sample size: the smaller the number of samples included in the study, the less accurate the findings. With billions of dollars of school aid depending on the study, any reduction in the number of samples collected will have a negative impact on the amount and equitability of state funding distributed to schools.

Fiscal Studies

A loss of 10.6 FTEs in the Fiscal Studies area will limit the agency's ability to carry out constitutional responsibilities through publications, online access, verbal assistance, reports and seminars. A reduction of staff will also limit the agency's ability to provide analysis to the Legislature to assist in making planning and policy decisions and increase the effectiveness of publicly funded programs.

Indirect Administrative Areas – Innovation and Technology

Approximately \$9.6 million of the \$42.7 million budget reduction will result in significant staff cuts in the agency's indirect administrative areas, with the majority coming from Innovation and Technology (IT). IT costs represent over 23 percent of the agency's budget, so any budget reduction will have a significant impact on system operations and the implementation of new technology. The loss of programmers, testers, database administrators and designers will force the delay or cancellation of major projects. Current and planned projects requiring significant software development resources include the modernization of the Tax, Treasury, Unclaimed Property and Procurement systems and the development of the Enterprise Resource Planning system. A loss of staff in this area will have a significant impact on the agency's ability to meet statutory changes and deadlines established by the Legislature. A significant workforce reduction will impact customer service by increasing Help Desk hold times and decreasing trouble ticket responsiveness. Comptroller employees will have to wait longer to have their computer and communications problems fixed. In addition, many key systems analyst and systems administration areas will be thinly staffed, stretching out maintenance schedules and greatly increasing the probability of critical system failure.

FISCAL PROGRAMS (AGENCY 902)

Funds are appropriated to the Comptroller's Fiscal Programs for the payment of a variety of statewide functions and state obligations, including payments to counties for voter registration costs, payment of claims against state agencies and oversight of oil overcharge settlement funds.

The fiscal programs area of the Comptroller's office is submitting a funding request of \$648.6 million in all funds for the fiscal 2010-11 biennium. This request represents an overall net increase of \$6.4 million over the 2008-09 biennial total of \$642.2 million. The increase reflects the growth of interest earnings, loan repayments and depository interest earned on loan repayments for the State Energy Conservation Office's (SECO) Oil Overcharge Program. The Comptroller's office is also requesting a \$13.1 million exceptional item for the SECO program as the interest earnings and repayments are projected to be greater than the 2010-11 baseline budget established by the Legislative Budget Board.

COMPTROLLER OF PUBLIC ACCOUNTS ADMINISTRATOR'S STATEMENT

Ten Percent Reduction

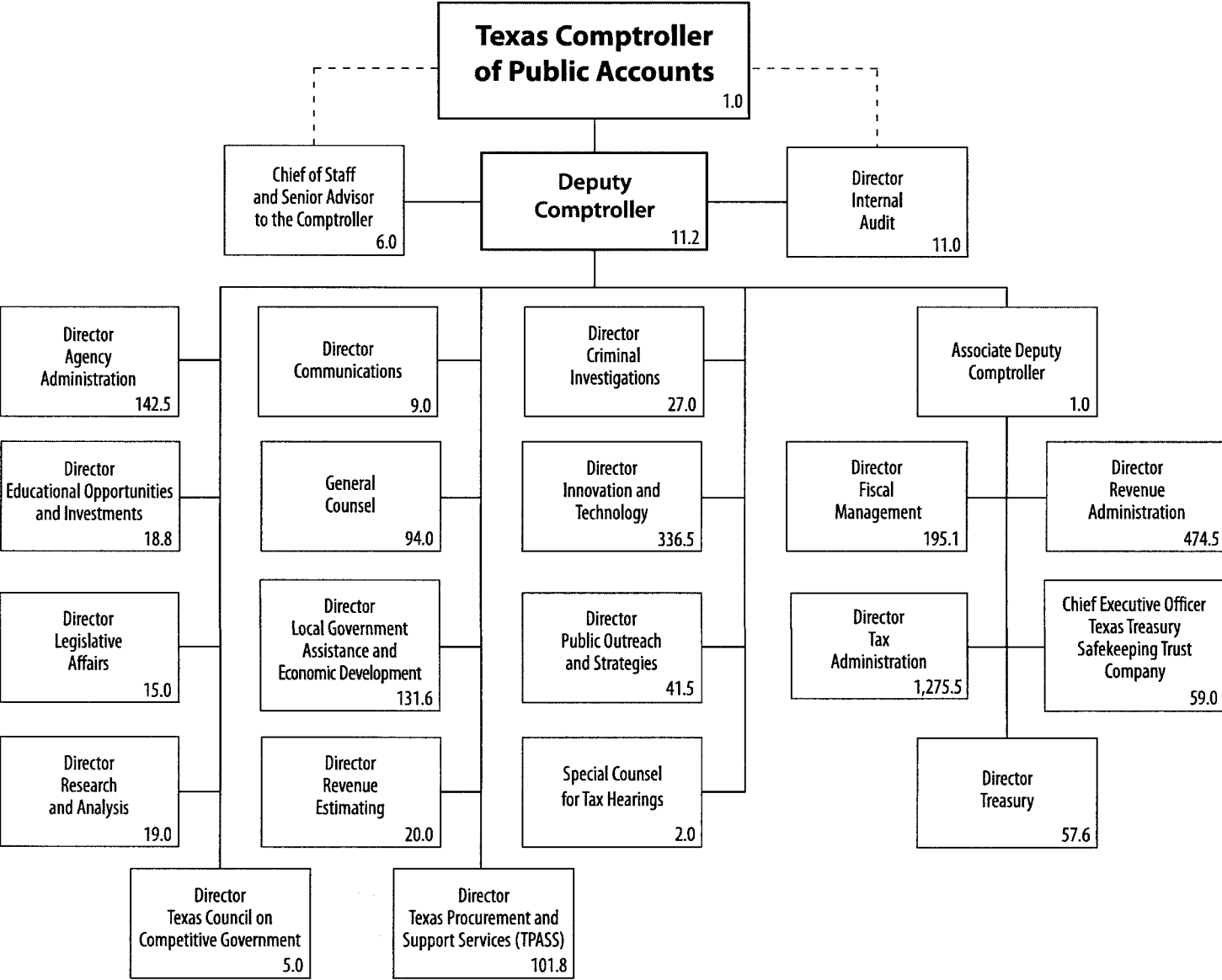
A ten percent reduction in funding will reduce the states' ability to pay state obligations by an estimated \$58.6 million. Collectively, the Unclaimed Property and Mixed Beverage Tax programs make up the majority of the funds appropriated to the Comptroller's Fiscal Programs, rendering other strategies immaterial by comparison. For this reason, the ten percent reduction in General Revenue will be taken in these areas. A ten percent cut will reduce the state's ability to pay an estimated \$32.7 million in unclaimed property claims and reduce the state's ability to pay an estimated \$25.9 million in county mixed beverage reimbursements over the biennium.

Finally, a ten percent reduction to General Revenue – Dedicated funds will impede the ability of the state to fund energy efficiency programs by reducing an estimated \$5.3 million in Oil Overcharge Funds.

Summary

Technology has changed expectations for customer service at all levels. The Comptroller's office is committed to providing access to its information and services to all Texans via the Web. Through its technology initiatives and innovative approaches to government, the Comptroller's office will enhance its ability to meet increased demands for services and provide stellar customer service. In order to accomplish these goals, it is imperative the agency be fully funded.

Agency Organizational Chart



COMPTROLLER OF PUBLIC ACCOUNTS OVERVIEW OF AGENCY FUNCTIONS

The **Tax Administration** area includes the Audit, Tax Policy and Enforcement functions. Audit reviews taxpayer records to determine compliance with state tax laws and educates taxpayers about tax requirements. Its goal is to enhance taxpayer compliance and maximize tax collections. In conjunction with Audit, Enforcement manages and tracks delinquent taxpayer accounts for collection. This program enforces state tax laws and promotes voluntary compliance by contacting delinquent taxpayers for payment. Case workers in four call centers, located in Austin, Dallas, Houston and San Antonio, initiate the collection process. Tax Policy interprets tax policy and provides timely tax information to taxpayers, tax professionals, state officials, Texas citizens and agency personnel. Specialists in Tax Policy implement changes to the tax laws, develop rules and bulletins to help taxpayers understand and comply with those laws, assist taxpayers and make tax information available in a variety of ways to promote voluntary compliance. Tax specialists also provide taxability and account assistance to the public through dedicated toll-free telephone lines.

The **Fiscal Management** area is responsible for the accounting functions of the state. This area audits and processes vouchers, monitors the financial status of state agencies and analyzes each General Appropriations Act to determine if the funds appropriated are within the amount of revenue certified to be available. Fiscal Management also administers six statewide financial systems, including the Uniform Statewide Accounting System, the Uniform Statewide Payroll System, the Human Resources Information System, the Standardized Payroll/Personnel Reporting System, the Texas Identification Number System and the State Property Accounting System. The statewide systems monitor and account for the state's revenues, expenditures and cash flow, generate payments and provide data used to forecast future revenues for the state budgetary process.

The **Revenue Administration** area, comprised of Revenue Processing, Account Maintenance, Unclaimed Property and Revenue Accounting, collects and processes state revenue and distributes local sales tax collections to cities and counties. This area is also responsible for maintaining taxpayer accounts, processing tax payment exceptions and adjustments and administering the state's unclaimed property program. Approximately 3.6 million payments, worth around \$44.7 billion, and 4.3 million tax return documents flow through the Revenue Administration area annually.

The **Treasury** area, which includes Treasury Accounting, Cash and Securities Management and Banking and Electronic Processing, oversees the cash and securities management functions of the state. Responsibilities include forecasting, reconciling and depositing the state's revenues. Thousands of people, systems and institutions take part in Texas state banking. Texas issues 29 million payments a year — 16 million direct deposits and 13 million warrants. Billions of dollars move through hundreds of automated and manual processes. Transactions number in the millions, circling in and out of 400 banks and 1,200 bank accounts. In addition, the Treasury, working with the Revenue Estimating and Fiscal Management areas, coordinates the sale of the state's short-term securities, known as Tax and Revenue Anticipation Notes (TRAN). Texas' TRANs have received the highest possible rating from each rating agency. For fiscal 2008, the Treasury issued \$4.9 billion in TRAN notes.

The **Texas Procurement and Support Services (TPASS)** area awards and manages hundreds of statewide contracts on behalf of more than 200 state agencies and 1,700 local government agencies. With a massive marketplace and billions of dollars in purchasing power, Texas offers abundant opportunities for vendors of a wide variety of goods and services, including minority- and women-owned businesses. TPASS performs a variety of

purchasing operations and customer service that is core to State of Texas purchasing—ranging from administering the Centralized Master Bidders List to processing hundreds of bid invitations, tabulations and awards for all statewide term, Texas Multiple Award Schedules, or TXMAS, and open market contracts. TPASS is also managing the agency's strategic sourcing effort to improve the state's procurement practices to maximize buying power and implement best value procurements.

The **Revenue Estimating** area monitors and reports on the condition of the Texas economy, projects the state's cash flow position and produces fiscal analyses of legislation, administrative rules and other proposals affecting state revenue. Revenue Estimating submits the *Biennial Revenue Estimate* to the Legislature in January of every odd-numbered year. Following each regular legislative session, Revenue Estimating reviews the appropriations made, as well as all other legislation affecting revenues and expenditures, to produce a second revenue estimate, known as the *Certification Revenue Estimate*.

The **Local Government Assistance and Economic Development** area is responsible for providing assistance to local governments and school districts. Property Tax prepares the annual Property Value Study, which estimates the taxable value of all property in the state's school districts to determine funding allocations. Local Government Assistance offers financial management assistance to cities and counties upon request. This includes phone assistance via a toll free number, training sessions and conducting local government management assessments (LGMAs). The area also includes the State Energy Conservation Office (SECO) which administers and delivers a variety of energy efficiency and renewable energy programs that significantly impact energy cost and consumption in the institutional, industrial, transportation and residential sectors. Beneficiaries of these services include state agencies, public schools, city and county governments, institutions of higher education, private industries, electric and gas utilities and residential energy consumers.

Research and Analysis provides local, regional and statewide analysis of trends in employment industries, population and economic activity. These trends are considered when preparing replies to legislative requests for fiscal information and bill analysis. The area also analyzes state government expenditures and various policy issues that may have an impact on the fiscal condition of the state; and works closely with other areas within the agency to produce publications, presentations and reports provided to the Legislature to assist in making policy decisions.

Public Outreach and Strategies provides clear and accurate information to state officials, educators, students, business leaders and the general public. The area maintains the "Window on State Government" Web site; provides data to taxpayers; and maintains one of the most comprehensive research libraries in state government.

The **General Counsel** represents the agency in administrative hearings on tax matters and provides legal counsel and research to the agency. During the hearings process, fair, accurate and consistent decisions provide taxpayers with the information they need to make responsible decisions about their tax obligations. Cases may include denials and proposed suspensions and revocations of motor-fuels permits and custom brokers' licenses, as well as taxpayers' claims for refunds and requests for redetermination of audit assessments. The General Counsel's Open Records area serves as the agency's public information coordinator and the primary authority for public information and privacy issues.

COMPTROLLER OF PUBLIC ACCOUNTS OVERVIEW OF AGENCY FUNCTIONS

The ***Criminal Investigations*** area investigates criminal violations involving state tax money. Criminal investigators use the criminal provisions in the Texas Tax Code as well as the Texas Penal Code. In conjunction with Audit, Criminal Investigations detects, investigates and seeks prosecution of tax-related fraud. The numerous state taxes and funds administered by the Comptroller's office present Criminal Investigations with a wide area of jurisdiction. The most common criminal cases include evasion of motor vehicle taxes by falsification of motor vehicle title applications and/or failure to transfer titles on motor vehicle sales; cigarette and tobacco tax violations; motor fuels tax violations; and general sales and use tax violations.

Innovation and Technology manages the agency's computer infrastructure, including mainframe resources, network systems, operating systems, applications and databases. The area provides the technology base and expertise to support the agency's business needs and goals. Services include vendor contract negotiations, procurements, data security, disaster recovery and customer assistance with computer-related problems. The area also develops and maintains major agency and statewide projects. The business intelligence and data warehousing initiatives have expanded access to statewide financial information by allowing data mining and reporting upon previously hard-to-access data. Telephone services are also being enhanced and expanded, with specific improvements targeted for the interactive voice response capability that allows taxpayers to get an automated response to inquiries or rapid redirection to the appropriate tax expert.

Legislative Affairs provides a variety of information services to Texas taxpayers, members of the Legislature, local government officials and business and civic leaders. The group serves as the principal point of contact for legislators and legislative staff.

Internal Audit, by regularly evaluating agency programs and recommending improvements, plays a key role in ensuring the reliability, effectiveness, integrity and efficiency of financial and operational information, safeguarding assets and complying with laws, regulations and contracts. Various types of audits are conducted, including financial, information system, economy and efficiency, compliance and effectiveness.

Communications is the agency's direct link with the news media. Services include news releases, public service announcements, graphics and reports. The area also organizes media tours, news events and press conferences and seeks to broaden public access and awareness of information from the Comptroller's office.

Agency Administration supports the day-to-day operations and needs of the agency. Administrative services provided include agency budgeting, internal accounting, business planning, training, copying, human resources, purchasing, facilities management, document processing, records management and other support services.

Special Programs

In addition to tax collection and fiscal management responsibilities, a number of special programs are managed by the Comptroller's office:

Educational Opportunities and Investments manages the state's 529 College Savings Programs; the Texas Guaranteed Tuition Program, which is closed to new enrollment; and Texas Tomorrow Fund II, a new prepaid tuition program.

The ***Texas Council on Competitive Government (CCG)*** was created by the state leadership to examine competitive alternatives to existing government processes. CCG is empowered to initiate competitive reviews, study opportunities through feasibility reviews and determine the need to apply accelerated procurement processes and establish contracts to transform the delivery of government services.

The ***Texas Treasury Safekeeping Trust Company (TTSTC)*** is a special-purpose trust company that manages, safeguards and invests public funds and securities belonging to the State of Texas, its agencies and local political subdivisions of the state. TTSTC's status as a special-purpose trust company provides the Comptroller's office direct access to services provided by the Federal Reserve System and enables the Comptroller to achieve the highest return at the lowest cost to the state.

COMPTROLLER OF PUBLIC ACCOUNTS (AGENCY 304)

SUMMARY OF BASE REQUEST BY STRATEGY

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
01	To improve voluntary compliance with tax laws					
01	Increase accuracy/number of audits and improve assessments from audits					
01	Maintain an ongoing program of audit and verification activities	\$66,351,027	\$75,045,754	\$75,928,288	\$76,456,882	\$76,551,460
02	Achieve average account closure rates, ratios, and turnaround times					
01	Improve compliance with tax laws through contact and collection program	30,021,504	31,443,969	31,254,652	31,421,439	31,406,844
03	Improve taxpayer ratings of accuracy and speed of information disseminated					
01	Provide information to taxpayers, government officials, and the public	16,531,182	17,790,531	17,130,071	17,579,256	17,542,749
04	Provide fair and timely hearings and position letters					
01	Provide tax hearings/represent the agency/provide legal counsel	8,035,477	8,526,587	8,889,766	8,937,688	8,929,525
TOTAL, GOAL 01		\$120,939,190	\$132,806,841	\$133,202,777	\$134,395,265	\$134,430,578
=====						
02	To efficiently manage the state's fiscal affairs					
01	Maintain state's accounting system; certify general appropriations act					
01	Project receipts/disbursements; complete accounting and reporting	\$23,557,694	\$21,191,097	\$20,455,128	\$20,555,179	\$20,514,506
02	Improve the accuracy of the property value study					
01	Conduct property value study; provide assistance; review methods	9,107,892	10,136,563	9,942,850	10,169,858	10,204,100
03	Identify/develop research to promote understanding of fiscal issues					
01	Provide information and analysis to the public and private sectors	6,111,186	7,099,938	7,333,227	7,389,356	7,382,609
04	Maximize state revenue					
01	Ensure that the state's assets, cash receipts, and warrants are secured	4,655,089	4,911,981	4,798,806	4,784,803	4,774,779
05	Manage statewide procurements and provide support services					
01	Provide statewide procurement and support services	6,161,086	5,653,213	5,417,387	5,417,387	5,417,387

SUMMARY OF BASE REQUEST BY STRATEGY

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE GOAL / OBJECTIVE / STRATEGY	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
02 To efficiently manage the state's fiscal affairs					
06 Establish new state services/achieve savings through CCG initiatives					
01 Identify state programs, services and processes for competitive bidding	261,779	321,655	454,785	455,092	454,759
TOTAL, GOAL 02	\$49,854,726	\$49,314,447	\$48,402,183	\$48,771,675	\$48,748,140
=====					
03 To expeditiously manage the receipt and disbursement of state tax revenue					
01 Generate taxpayer refunds; return tax allocations; maintain turnaround					
01 Improve tax/voucher data processing, tax collection, and disbursements	\$37,841,916	\$38,922,351	\$37,932,180	\$38,585,319	\$38,573,541
TOTAL, GOAL 03	\$37,841,916	\$38,922,351	\$37,932,180	\$38,585,319	\$38,573,541
TOTAL, AGENCY STRATEGY REQUEST	\$208,635,832	\$221,043,639	\$219,537,140	\$221,752,259	\$221,752,259
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST *	\$0	\$0	\$0	\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$208,635,832	\$221,043,639	\$219,537,140	\$221,752,259	\$221,752,259
=====					
METHOD OF FINANCING:					
General Revenue Fund:					
0001 General Revenue Fund	\$206,499,074	\$218,847,090	\$217,528,400	\$219,743,519	\$219,743,519
SUBTOTAL, General Revenue Fund	\$206,499,074	\$218,847,090	\$217,528,400	\$219,743,519	\$219,743,519
=====					
Other Funds:					
0666 Appropriated Receipts	\$1,230,004	\$1,161,243	\$1,403,935	\$1,403,935	\$1,403,935
0777 Interagency Contracts	906,754	1,035,306	604,805	604,805	604,805
SUBTOTAL, Other Funds	\$2,136,758	\$2,196,549	\$2,008,740	\$2,008,740	\$2,008,740
TOTAL, METHOD OF FINANCING	\$208,635,832	\$221,043,639	\$219,537,140	\$221,752,259	\$221,752,259
=====					

* Rider appropriations for the historical years are included in the strategy amounts.

PREPARED BY: Robert Chapa

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
GENERAL REVENUE:						
0001	General Revenue Fund					
	REGULAR APPROPRIATIONS	\$190,698,356	\$207,488,917	\$207,488,918	\$219,743,519	\$219,743,519
	RIDER APPROPRIATION					
	Article IX, Section 19.74, Contingency Appropriation for HB 1751 (2008-09 GAA)	0	100,000	0	0	0
	TRANSFERS					
	Article IX, Section 13.17(a) Salary Increase (2006-07 GAA)	5,378,799	0	0	0	0
	Article IX, Section 13.17(a) Salary Increase (2006-07 GAA)	3,927,275	0	0	0	0
	Article IX, Section 13.17(a) Salary Increase (2006-07 GAA)	108,384	0	0	0	0
	Article IX, Section 19.62(a) Salary Increase (2008-09 GAA)	0	2,888,311	2,888,311	0	0
	Article IX, Section 19.62(a) Salary Increase (2008-09 GAA)	0	0	3,111,548	0	0
	Article IX, Section 11.04 Efficient Use of Space (2006-07 GAA)	(17,634)	0	0	0	0
	Article IX, Section 14.01 Contingency Appropriation for SB 978 (2006-07 GAA)	391,200	0	0	0	0
	HB 3 (79th Legislature, 3rd Called Session, 2006)	2,000,000	0	0	0	0
	HB 3560 (80th Legislature, Regular Session, 2007)	4,405,455	4,039,623	4,039,623	0	0
	SUPPLEMENTAL APPROPRIATION					
	HB 15 (80th Legislature, Regular Session, 2007)	4,100,000	0	0	0	0
	LAPSED APPROPRIATIONS					
	Article IX, Section 19.74, Contingency Appropriation for HB 1751 (2008-09 GAA)	0	(100,000)	0	0	0
	HB 3560 (80th Legislature, Regular Session, 2007)	(62,522)	0	0	0	0

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE METHOD OF FINANCE	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
GENERAL REVENUE:					
0001 General Revenue Fund					
UNEXPENDED BALANCES AUTHORITY					
Rider # 8 Unexpended Balances Carried Forward (2008-09 GAA)	(1,497,707)	1,497,707	0	0	0
Rider # 8 Unexpended Balances Carried Forward (2008-09 GAA)	(2,932,532)	2,932,532	0	0	0
TOTAL, General Revenue Fund	\$206,499,074	\$218,847,090	\$217,528,400	\$219,743,519	\$219,743,519
=====					
OTHER FUNDS:					
0666 Appropriated Receipts					
REGULAR APPROPRIATIONS					
Article IX, Section 8.03(a) Reimbursements and Payments (2006-07 GAA)	25,950	0	0	0	0
Article IX, Section 8.03(b) Reimbursements and Payments (2008-09 GAA)	0	5,713	0	0	0
TRANSFER					
HB 3560 (80th Legislature, Regular Session, 2007)	1,238,080	1,183,105	1,183,105	1,183,105	1,183,105
LAPSED APPROPRIATION					
HB 3560 (80th Legislature, Regular Session, 2007)	(144,157)	(248,405)	0	0	0
TOTAL, Appropriated Receipts	\$1,230,004	\$1,161,243	\$1,403,935	\$1,403,935	\$1,403,935
=====					
0777 Interagency Contracts					
REGULAR APPROPRIATIONS					
Article IX, Section 8.03(a) Reimbursements and Payments (2006-07 GAA)	121,460	0	0	0	0
Article IX, Section 8.03(a) Reimbursements and Payments (2008-09 GAA)	0	140,699	0	0	0

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

Agency Code: 304 Agency Name: Comptroller of Public Accounts		EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
CODE	METHOD OF FINANCE					
OTHER FUNDS:						
0777 Interagency Contracts						
TRANSFER						
	HB 3560 (80th Legislature, Regular Session, 2007)	651,450	797,543	797,543	0	0
LAPSED APPROPRIATIONS						
	Lapse of Budget Authority – Uncollected Revenue	(76,058)	0	0	0	0
	Lapse of Budget Authority – Uncollected Revenue (TPASS Quick Copy)	0	(121,750)	(367,738)	0	0
UNEXPENDED BALANCES AUTHORITY						
	HB 3560 (80th Legislature, Regular Session, 2007)	78,716	0	0	0	0
	Rider # 8 Unexpended Balances Carried Forward (2008-09 GAA)	(43,814)	43,814	0	0	0
TOTAL, Interagency Contracts		\$906,754	\$1,035,306	\$604,805	\$604,805	\$604,805
TOTAL, ALL OTHER STATE FUNDS		\$2,136,758	\$2,196,549	\$2,008,740	\$2,008,740	\$2,008,740
GRAND TOTAL		\$208,635,832	\$221,043,639	\$219,537,140	\$221,752,259	\$221,752,259

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
FULL TIME EQUIVALENTS						
	REGULAR APPROPRIATIONS	2,888.8	2,896.8	2,896.8	2,996.6	2,996.6
TRANSFERS						
	SB 242 (80th Legislature, Regular Session, 2007)	(7.0)	(7.0)	(7.0)	0.0	0.0
	HB 3560 (80th Legislature, Regular Session, 2007)	113.4	106.8	106.8	0.0	0.0
	Article IX, Section 14.01 Contingency Appropriation for SB 978 (2006-07 GAA)	8.0	0.0	0.0	0.0	0.0
AVERAGE NUMBER BELOW CAP						
	Vacancies	(193.9)	(295.4)	0.0	0.0	0.0
	TOTAL ADJUSTED FTES	2,809.3	2,701.2	2,996.6	2,996.6	2,996.6
=====						
	NUMBER OF 100% FEDERALLY FUNDED FTES	0.0	0.0	0.0	0.0	0.0

PREPARED BY: Robert Chapa

SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001	Salaries and Wages	\$147,515,664	\$150,978,477	\$156,980,932	\$156,980,932	\$156,980,932
1002	Other Personnel Costs	6,485,027	5,854,228	4,476,140	4,476,140	4,476,140
2001	Professional Fees and Services	12,640,547	13,416,420	11,189,190	11,423,298	11,100,814
2002	Fuels and Lubricants	31,571	33,724	40,255	44,932	49,983
2003	Consumable Supplies	1,417,389	1,567,007	1,393,002	1,470,764	1,470,764
2004	Utilities	4,588,993	4,333,293	4,249,443	4,303,234	4,677,154
2005	Travel	4,501,432	5,289,291	5,636,693	6,025,191	6,382,090
2006	Rent – Building	2,620,565	2,923,235	2,701,103	2,826,102	2,826,102
2007	Rent – Machine and Other	7,234,843	8,045,230	7,965,208	8,071,060	8,003,340
2009	Other Operating Expense	20,858,857	28,297,830	24,905,174	25,175,106	24,802,440
5000	Capital Expenditures	740,944	304,904	0	955,500	982,500
AGENCY TOTAL		\$208,635,832	\$221,043,639	\$219,537,140	\$221,752,259	\$221,752,259

PREPARED BY: Robert Chapa

SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
01	To improve voluntary compliance with tax laws					
01	Increase accuracy/number of audits and improve assessments from audits					
01	% Accuracy Rate of Reported Amounts on Original Audits (K)	96.5%	96.0%	98.0%	97.0%	97.0%
02	Number of Non-permitted Businesses Permitted	1,201.0	1,100.0	2,300.0	2,300.0	2,300.0
02	Achieve average account closure rates, ratios and turnaround times					
01	Average Turnaround Time For Delinquent Accounts (Days)	114.0	89.0	116.0	116.0	116.0
02	Average Monthly Delinquent Account Closure Rate per Enforcement Collector (K)	349.0	318.0	325.0	305.0	305.0
03	% of Positive Surveys Received From Attendees at Taxpayer Seminars	97.1%	99.6%	95.0%	95.0%	95.0%
03	Improve taxpayer ratings of accuracy and speed of information disseminated					
01	% of Favorable Responses to Taxpayer Surveys About Disseminated Information	97.7%	93.0%	96.0%	96.0%	96.0%
04	Provide fair and timely hearings and position letters					
01	% of All Cases in Which Position Letters are Issued Within 90 Days	82.3%	85.0%	85.0%	85.0%	85.0%
02	To efficiently manage the state's fiscal affairs					
01	Maintain state's accounting system; certify general appropriations act					
01	% of Targeted State Agencies with Improved Performance	85.7%	80.0%	80.0%	80.0%	80.0%
02	% of Expenditures Supported by Revenue Estimates Prior to Certification	100.0%	100.0%	100.0%	100.0%	100.0%
03	Average % Variance (+/-) Between Estimated and Actual Revenue Collections	-4.1%	0.0%	-3.91%	0.0%	3.71%
04	% of All Payments Issued via Direct Deposit (Excluding WES Payments)	70.0%	72.0%	71.0%	73.0%	74.0%
05	% of Fiscal Management Customers Who Return Good or Excellent on Surveys (K)	93.4%	98.0%	98.0%	98.0%	98.0%
02	Improve the accuracy of the property value study					
01	% of ISDs' Total Statewide Value in Which PTD Met the Target Margin of Error (K)	97.6%	98.0%	98.0%	95.0%	95.0%
03	Identify/develop research to promote understanding of fiscal issues					
01	% Increase in the Number of Online Subscribers to Publications	15.0%	15.0%	15.0%	15.0%	15.0%

SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
04	Maximize state revenue					
01	% of Funds Processed Electronically (K)	89.2%	98.0%	98.0%	98.0%	98.0%
05	Manage statewide procurements and provide support services					
01	% Increase in Dollar Value of Purchases Made Through the CO-OP Program	-22.8%	-15.0%	5.0%	5.0%	5.0%
02	# of New HUBs Certified (K)	1,067.0	1,100.0	1,200.0	1,200.0	1,200.0
03	% of Statewide Term Contracts Utilized	95.0%	100.0%	100.0%	100.0%	100.0%
04	Presort and Barcode Savings Achieved	\$342,552.0	\$324,615.0	\$322,037.0	\$322,037.0	\$322,037.0
06	Establish new state services/achieve savings through CCG Initiatives					
01	State Agency Annual Savings and Value Resulting from CCG Initiatives (Millions)	N/A	\$16.2	\$17.0	\$17.9	\$18.7
03	To expeditiously manage the receipt and disbursement of state tax revenue					
01	Generate taxpayer refunds; return tax allocations; maintain turnaround					
01	Time Required to Generate Taxpayer Refunds (Days)	4.5	5.4	12.0	12.0	12.0
02	Time Taken to Return Tax Allocations to Local Jurisdictions (Days) (K)	20.7	21.0	21.0	21.0	21.0
03	Average Tax Data Entry Turnaround Time (Hours)	5.1	4.8	5.5	5.5	5.0

PREPARED BY: Robert Chapa

SUMMARY OF TOTAL REQUEST BY STRATEGY

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	BL 2010	BL 2011	EXCP 2010	EXCP 2011	TTL 2010	TTL 2011
01	To improve voluntary compliance with tax laws						
01	Increase accuracy/number of audits and improve assessments						
01	Maintain an ongoing program of audit activities	\$76,456,882	\$76,551,460	\$0	\$0	\$76,456,882	\$76,551,460
02	Achieve avg. account closure rates, ratios and turnaround times						
01	Improve compliance with tax laws	31,421,439	31,406,844	0	0	31,421,439	31,406,844
03	Improve taxpayer ratings of accuracy/speed of information						
01	Provide information to taxpayers/officials/public	17,579,256	17,542,749	0	0	17,579,256	17,542,749
04	Provide fair and timely hearings and position letters						
01	Provide tax hearings/represent the agency	8,937,688	8,929,525	0	0	8,937,688	8,929,525
TOTAL, GOAL 01		\$134,395,265	\$134,430,578	\$0	\$0	\$134,395,265	\$134,430,578
=====							
02	To efficiently manage the state's fiscal affairs						
01	Maintain state's accounting system; certify general appropriations act						
01	Project receipts/disbursements; complete accounting	\$20,555,179	\$20,514,506	\$0	\$0	\$20,555,179	\$20,514,506
02	Improve the accuracy of the property value study						
01	Conduct property value study; provide assistance	10,169,858	10,204,100	0	0	10,169,858	10,204,100
03	Identify/develop research to promote understanding of fiscal issues						
01	Provide information and analysis	7,389,356	7,382,609	0	0	7,389,356	7,382,609
04	Maximize state revenue						
01	Ensure the state's assets/receipts/warrants are secured	4,784,803	4,774,779	0	0	4,784,803	4,774,779
05	Manage statewide procurements and provide support services						
01	Provide statewide procurement and support services	5,417,387	5,417,387	0	0	5,417,387	5,417,387

SUMMARY OF TOTAL REQUEST BY STRATEGY

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	BL 2010	BL 2011	EXC 2010	EXC 2011	TTL 2010	TTL 2011
02	To efficiently manage the state's fiscal affairs						
06	Establish new state services/achieve savings through CCG initiatives						
01	Identify services and processes for competitive bidding	455,092	454,759	0	0	455,092	454,759
TOTAL, GOAL 02		\$48,771,675	\$48,748,140	\$0	\$0	\$48,771,675	\$48,748,140
=====							
03	To expeditiously manage the receipt and disbursement of revenue						
01	Generate taxpayer refunds; return tax allocations; maintain turnaround						
01	Improve tax/voucher data processing	\$38,585,319	\$38,573,541	\$0	\$0	\$38,585,319	\$38,573,541
TOTAL, GOAL 03		\$38,585,319	\$38,573,541	\$0	\$0	\$38,585,319	\$38,573,541
TOTAL, AGENCY STRATEGY REQUEST		\$221,752,259	\$221,752,259	\$0	\$0	\$221,752,259	\$221,752,259
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST		\$0	\$0	\$0	\$0	\$0	\$0
GRAND TOTAL, AGENCY REQUEST		\$221,752,259	\$221,752,259	\$0	\$0	\$221,752,259	\$221,752,259
METHOD OF FINANCING:							
GENERAL REVENUE:							
0001	General Revenue Fund	\$219,743,519	\$219,743,519	\$0	\$0	\$219,743,519	\$219,743,519
TOTAL, GENERAL REVENUE		\$219,743,519	\$219,743,519	\$0	\$0	\$219,743,519	\$219,743,519
OTHER FUNDS:							
0666	Appropriated Receipts	\$1,403,935	\$1,403,935	\$0	\$0	\$1,403,935	\$1,403,935
0777	Interagency Contract Receipts	604,805	604,805	0	0	604,805	604,805
TOTAL, OTHER FUNDS		\$2,008,740	\$2,008,740	\$0	\$0	\$2,008,740	\$2,008,740
TOTAL, METHOD OF FINANCING		\$221,752,259	\$221,752,259	\$0	\$0	\$221,752,259	\$221,752,259
FULL TIME EQUIVALENT POSITIONS:							
		2,996.6	2,996.6	0.0	0.0	2,996.6	2,996.6
PREPARED BY: <u>Robert Chapa</u>							

SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	BL 2010	BL 2011	EXCP 2010	EXCP 2011	TTL 2010	TTL 2011
01	To improve voluntary compliance with tax laws						
01	Increase accuracy/number of audits and improve assessments						
01	% Accuracy Rate of Reported Amounts on Original Audits (K)	97.0%	97.0%	0.0%	0.0%	97.0%	97.0%
02	# of Non-permitted Businesses Permitted	2,300.0	2,300.0	0.0	0.0	2,300.0	2,300.0
02	Achieve avg. account closure rates, ratios and turnaround times						
01	Avg. Turnaround Time For Delinquent Accounts (Days)	116.0	116.0	0.0	0.0	116.0	116.0
02	Avg. Monthly Delinquent Account Closure Rate per Collector (K)	305.0	305.0	0.0	0.0	305.0	305.0
03	% of Positive Surveys Received From Attendees at Seminars	95.0%	95.0%	0.0%	0.0%	95.0%	95.0%
03	Improve taxpayer ratings of accuracy/speed of information						
01	% of Favorable Responses to Taxpayer Surveys	96.0%	96.0%	0.0%	0.0%	96.0%	96.0%
04	Provide fair and timely hearings and position letters						
01	% of Cases in Which Position Letters are Issued Within 90 Days	85.0%	85.0%	0.0%	0.0%	85.0%	85.0%
02	To efficiently manage the state's fiscal affairs						
01	Maintain state's accounting system; certify general appropriations act						
01	% of Targeted State Agencies with Improved Performance	80.0%	80.0%	0.0%	0.0%	80.0%	80.0%
02	% of Expenditures Supported by Revenue Estimates	100.0%	100.0%	0.0%	0.0%	100.0%	100.0%
03	Avg. % Variance (+/-) Between Estimated/Actual Collections	0.0%	3.71%	0.0%	0.0%	0.0%	3.71%
04	% of All Payments Issued via Direct Deposit (Excluding WES)	73.0%	74.0%	0.0%	0.0%	73.0%	74.0%
05	% of Customers Who Return Good or Excellent on Surveys (K)	98.0%	98.0%	0.0%	0.0%	98.0%	98.0%
02	Improve the accuracy of the property value study						
01	% of ISDs' Total Value in Which PTD Met the Margin of Error (K)	95.0%	95.0%	0.0%	0.0%	95.0%	95.0%
03	Identify/develop research to promote understanding of fiscal issues						
01	% Increase in the # of Online Subscribers to Publications	15.0%	15.0%	0.0%	0.0%	15.0%	15.0%

SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	BL 2010	BL 2011	EXCP 2010	EXCP 2011	TTL 2008	TTL 2009
04	Maximize state revenue						
01	% of Funds Processed Electronically (K)	98.0%	98.0%	0.0%	0.0%	98.0%	98.0%
05	Manage statewide procurements and provide support services						
01	% Increase in \$ Value of Purchases through CO-OP Program	5.0%	5.0%	0.0%	0.0%	5.0%	5.0%
02	# of New HUBs Certified (K)	1,200.0	1,200.0	0.0	0.0	1,200.0	1,200.0
03	% of Statewide Term Contracts Utilized	100.0%	100.0%	0.0%	0.0%	100.0%	100.0%
04	Presort and Barcode Savings Achieved	\$322,037.0	\$322,037.0	0.0	0.0	\$322,037.0	\$322,037.0
06	Establish new state services/achieve savings through CCG initiatives						
01	State Agency Annual Savings from CCG Initiatives (Millions)	\$17.9	\$18.7	0.0	0.0	\$17.9	\$18.7
03	To expeditiously manage the receipt and disbursement of revenue						
01	Generate refunds; return tax allocations; maintain turnaround						
01	Time Required to Generate Taxpayer Refunds (Days)	12.0	12.0	0.0	0.0	12.0	12.0
02	Time to Return Tax Allocations to Local Jurisdictions (Days) (K)	21.0	21.0	0.0	0.0	21.0	21.0
03	Avg. Tax Data Entry Turnaround Time (Hours)	5.5	5.0	0.0	0.0	5.5	5.0

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-03, Income-A.2, Age-B.3
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 01 Increase accuracy/number of audits and improve assessments from audits

STRATEGY: 01 Maintain an ongoing program of audit and verification activities

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of Audits and Verifications Conducted	14,033.0	16,000.0	15,530.0	15,000.0	15,000.0
02 Number of Non-permitted Taxpayers Contacted Through Correspondence	4,314.0	4,000.0	3,500.0	3,500.0	3,500.0
03 Number of Hours Spent on Completed Refund Verifications	73,452.0	91,600.0	73,000.0	73,500.0	73,500.0
EFFICIENCY MEASURE:					
01 Average Dollars Assessed to Dollar Cost	\$26.98	\$32.50	\$32.50	\$27.00	\$28.00
EXPLANATORY / INPUT MEASURE:					
01 Percent of Audit Coverage	0.68%	0.64%	0.66%	0.60%	0.60%
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$48,178,333	\$52,701,099	\$54,924,044	\$54,924,044	\$54,924,044
1002 Other Personnel Costs	1,922,401	1,707,543	1,398,617	1,398,617	1,398,617
2001 Professional Fees and Services	2,622,088	3,786,788	3,296,338	3,243,536	3,126,191
2002 Fuels and Lubricants	3,849	4,005	5,660	6,620	7,656
2003 Consumable Supplies	376,014	497,395	446,100	473,177	473,177
2004 Utilities	1,622,683	1,548,846	1,531,699	1,551,554	1,689,568
2005 Travel	2,962,653	3,605,331	3,824,246	4,128,707	4,378,708
2006 Rent – Building	1,420,983	1,565,446	1,517,594	1,561,119	1,561,119
2007 Rent – Machine and Other	2,550,482	2,793,477	2,821,962	2,861,033	2,836,037
2009 Other Operating Expense	4,418,058	6,786,164	6,162,028	5,955,799	5,793,702
5000 Capital Expenditures	273,483	49,660	0	352,676	362,641
TOTAL, OBJECTS OF EXPENSE	\$66,351,027	\$75,045,754	\$75,928,288	\$76,456,882	\$76,551,460

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$66,314,834	\$75,002,311	\$75,890,558	\$76,419,152	\$76,513,730
0666 Appropriated Receipts	36,193	43,443	37,730	37,730	37,730
TOTAL, METHOD OF FINANCING	\$66,351,027	\$75,045,754	\$75,928,288	\$76,456,882	\$76,551,460

FULL TIME EQUIVALENT POSITIONS:	845.1	844.4	898.5	898.5	898.5
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office is granted broad authority in the Texas Tax Codes (e.g. Section 111 V.T.C.A.), as well as specific authority by tax type (e.g. Section 151 V.T.C.A.), to examine all pertinent books and records of any collector or payer of Texas taxes through the efficient performance of tax audits. This strategy will help ensure taxpayer equity through the fair assessment and payment of taxes, support appropriate management of the state's funds by promoting voluntary compliance with the tax laws and facilitate the identification and recovery of otherwise lost tax revenue rightfully due the state and its taxpayers. This strategy offers direct support of the agency's goal of improving voluntary compliance with the tax laws.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Highly trained professionals, many of whom are CPAs, are Audit's greatest strength. Through the third quarter of fiscal 2008, Comptroller auditors averaged \$2,323 in audit adjustments per hour. Investments in the training of these auditors make them valuable assets sought by the private sector. Management fosters an environment conducive to creative ideas, solutions and systems, making Texas' Audit program one of the nation's most successful.

Positive factors, such as the Business Activity Research Team (BART), the Advanced Database System and information sharing with other governmental entities, assist agency staff in identifying additional revenue and non-permitted businesses. Since inception through the third quarter of fiscal 2008, BART has collected approximately \$76 million in revenue.

High private sector wages drive auditor turnover and reduce experience levels, which creates additional costs for the state and its taxpayers. Increasing retirement rates force the agency to maintain a less than optimal experienced staffing level resulting in productivity loss. Attracting qualified applicants is critical. Changes in tax laws also require additional training, which temporarily reduces productivity and taxpayer compliance. The success of the Audit program depends on the ability to provide resources to maintain the program's effectiveness. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-03, Income-A.2, Age-B.3
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 02 Achieve average account closure rates, ratios and turnaround times

STRATEGY: 01 Improve compliance with tax laws through contact and collection program

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of Field Office Service Area Evaluations Conducted	1.0	1.0	1.0	1.0	1.0
02 Average Taxpayer Contacts by a Call Center Collector per Phone Hour	12.3	12.8	10.0	10.0	10.0
03 Number of Taxpayer Seminars Conducted	81.0	145.0	78.0	78.0	78.0
EFFICIENCY MEASURE:					
01 Delinquent Taxes Collected per Collection-related Dollar Expended	\$77.0	\$66.0	\$79.0	\$79.0	\$79.0
EXPLANATORY / INPUT MEASURES:					
01 Minimum Percent of Field Collector Time in the Field	42.6%	44.0%	36.0%	36.0%	36.0%
02 Total Delinquent Dollars Collected (in Millions)	\$968.9	\$810.0	\$1,074.0	\$1,074.0	\$1,074.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$21,948,106	\$21,686,511	\$22,336,353	\$22,336,353	\$22,336,353
1002 Other Personnel Costs	989,404	926,981	707,181	707,181	707,181
2001 Professional Fees and Services	1,096,685	1,571,219	1,368,378	1,346,425	1,297,656
2002 Fuels and Lubricants	1,599	1,663	2,351	2,749	3,180
2003 Consumable Supplies	201,331	260,667	208,372	219,616	219,616
2004 Utilities	760,716	721,485	721,896	730,149	787,507
2005 Travel	838,516	776,698	828,516	878,581	928,581
2006 Rent – Building	921,445	1,011,469	959,193	977,268	977,268
2007 Rent – Machine and Other	1,152,980	1,348,985	1,281,706	1,297,944	1,287,555
2009 Other Operating Expense	1,997,061	3,117,659	2,840,706	2,778,599	2,711,231
5000 Capital Expenditures	113,661	20,632	0	146,574	150,716
TOTAL, OBJECTS OF EXPENSE	\$30,021,504	\$31,443,969	\$31,254,652	\$31,421,439	\$31,406,844

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$30,006,463	\$31,427,569	\$31,238,252	\$31,405,039	\$31,390,444
0666 Appropriated Receipts	15,041	16,400	16,400	16,400	16,400
TOTAL, METHOD OF FINANCING	\$30,021,504	\$31,443,969	\$31,254,652	\$31,421,439	\$31,406,844

FULL TIME EQUIVALENT POSITIONS:	490.1	467.5	523.6	523.6	523.6
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STRATEGY DESCRIPTION AND JUSTIFICATION:

Enforcement's strategy directly affects the agency's goal of improving voluntary compliance with tax laws through fair and consistent enforcement activities. Chapter 111 of the Texas Tax Code details the delinquent tax collection duties and powers of the Comptroller's office. These powers include the ability to invoke delinquent tax collection tools such as jeopardy determinations, asset freezes and levies, permit revocations and certification of accounts to the Attorney General. The efficient and timely use of these tools in the agency's collection program enables the Comptroller's office to reduce the delinquent population, deter tax evasion and maximize total tax collections. Voluntary compliance also depends on the timely dissemination of accurate tax information. Enforcement's secondary role is to provide information to the public in each of its 29 field office locations. Taxpayer service specialists provide information related to tax responsibilities, permitting and filing requirements and taxability. Additional services provided by the offices include, but are not limited to, accepting and processing tax reports and payments, assisting with report completion, issuing tax permits and offering tax-related seminars.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The success of Enforcement's strategy largely depends on the agency's ability to maintain a public presence throughout the state, both for tax collections and taxpayer service purposes. The loss of valuable knowledge and experience through staff attrition or other reductions poses a significant challenge to maintaining acceptable performance levels. Additionally, potential changes to the tax law or increases in the taxpayer population would impact Enforcement's workload and seriously impede service levels to taxpayers. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 03 Improve taxpayer ratings of accuracy and speed of information disseminated

STRATEGY: 01 Provide information to taxpayers, government officials and the public

OUTPUT MEASURES:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
01 Number of Calls Handled by Tax Assistance Specialists	670,039.0	640,730.0	635,400.0	643,200.0	648,600.0
02 Total Number of Responses Issued by the Tax Policy and Tax Policy Support Areas	21,091.0	43,000.0	50,800.0	50,900.0	51,000.0
EFFICIENCY MEASURES:					
01 Avg. Time Taken (in Work Days) to Respond to Correspondence	5.5	14.0	10.3	10.3	10.3
02 Avg. Number of Calls Handled per Tax Assistance Telephone Specialists per Work Day	108.0	107.0	96.0	96.0	98.0
03 Percent of Responses Issued Within 7 Working Days	85.2%	75.0%	80.0%	81.0%	83.0%
EXPLANATORY / INPUT MEASURES:					
01 Avg. Overall Monitoring Score for Tax Assistance Telephone Specialists	100.8%	100.8%	97.9%	98.0%	98.0%
02 Number of Taxpayers Participating in Independent Audit Reviews	89.0	136.0	105.0	105.0	105.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$10,026,666	\$10,066,282	\$10,416,408	\$10,416,408	\$10,416,408
1002 Other Personnel Costs	468,772	367,902	318,166	318,166	318,166
2001 Professional Fees and Services	647,612	927,902	813,247	800,843	773,281
2002 Fuels and Lubricants	904	941	1,329	1,556	1,798
2003 Consumable Supplies	98,474	115,047	101,215	107,576	107,576
2004 Utilities	368,897	324,855	324,505	329,168	361,587
2005 Travel	43,963	66,523	79,866	79,866	79,866
2006 Rent – Building	34,347	40,960	28,601	38,825	38,825
2007 Rent – Machine and Other	604,675	661,520	667,632	676,808	670,937
2009 Other Operating Expense	4,172,633	5,206,934	4,379,102	4,727,198	4,689,122
5000 Capital Expenditures	64,239	11,665	0	82,842	85,183
TOTAL, OBJECTS OF EXPENSE	\$16,531,182	\$17,790,531	\$17,130,071	\$17,579,256	\$17,542,749

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$16,522,681	\$17,780,749	\$17,120,289	\$17,569,474	\$17,532,967
0666 Appropriated Receipts	8,501	9,782	9,782	9,782	9,782
TOTAL, METHOD OF FINANCING	\$16,531,182	\$17,790,531	\$17,130,071	\$17,579,256	\$17,542,749
=====					
FULL TIME EQUIVALENT POSITIONS:	184.5	176.5	194.8	194.8	194.8

STRATEGY DESCRIPTION AND JUSTIFICATION:

As the state's chief financial officer, the Comptroller's office is responsible for administering the state's tax laws through audit and enforcement activities and the promotion of voluntary compliance by making tax information available in a timely and accurate manner. (Texas Tax Code, Titles 2 and 3). These responsibilities require the agency to maintain an efficient tax administration program.

Tax administration is affected by changes in law, rule and policy. Taxpayers must receive accurate and current information about these changes as they occur. Ensuring that taxpayers have access to information that is presented in a clear and understandable way leads to positive tax filing patterns and allows the agency to concentrate collection efforts on chronically delinquent taxpayers and tax avoiders. This strategy contributes directly to the agency's goal of improving voluntary compliance through timely and effective communication.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

External factors that impact the timing and frequency of disseminating tax information include tax legislation, changes in generally accepted accounting principles, regulatory agency procedures and court decisions. A presence on the Internet allows the agency to quickly distribute information and the tax processing system facilitates timely notification of tax responsibilities. Making tax information available encourages voluntary compliance with the tax laws. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-01, Income-A.2, Age-B.3
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 04 Provide fair and timely hearings and position letters

STRATEGY: 01 Provide tax hearings/represent the agency/provide legal counsel

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURE:					
01 Number of Position Letters Issued	1,423.0	970.0	1,077.0	1,077.0	1,077.0
EFFICIENCY MEASURE:					
01 Average Length of Time (Work Days) Taken to Issue a Position Letter	67.2	67.5	90.0	90.0	90.0
EXPLANATORY / INPUT MEASURE:					
01 Number of New Requests for Hearings Received in Administrative Hearings Section	1,667.0	1,614.0	1,614.0	1,614.0	1,614.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$6,088,153	\$6,384,793	\$7,012,895	\$7,012,895	\$7,012,895
1002 Other Personnel Costs	233,763	223,122	158,476	158,476	158,476
2001 Professional Fees and Services	824,424	797,868	742,496	741,980	735,749
2002 Fuels and Lubricants	510	564	796	931	1,077
2003 Consumable Supplies	47,180	66,090	61,711	65,521	65,521
2004 Utilities	82,414	76,653	75,544	76,598	83,926
2005 Travel	13,061	20,892	23,550	23,551	23,550
2006 Rent – Building	46,625	22,856	17,132	23,257	23,257
2007 Rent – Machine and Other	189,231	205,526	204,865	206,939	205,612
2009 Other Operating Expense	495,594	724,119	592,301	608,813	600,205
5000 Capital Expenditures	14,522	4,104	0	18,727	19,257
TOTAL, OBJECTS OF EXPENSE	\$8,035,477	\$8,526,587	\$8,889,766	\$8,937,688	\$8,929,525

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$8,026,927	\$8,516,527	\$8,879,706	\$8,927,628	\$8,919,465
0666 Appropriated Receipts	8,550	10,060	10,060	10,060	10,060
TOTAL, METHOD OF FINANCING	\$8,035,477	\$8,526,587	\$8,889,766	\$8,937,688	\$8,929,525
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FULL TIME EQUIVALENT POSITIONS:	105.6	102.0	114.4	114.4	114.4
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office is required by law (Texas Tax Code Chapter 111, Sections 009 and 105, and V.T.C.A., Government Code Chapter 2001) to conduct administrative hearings on tax matters, including taxpayers' claims for refunds and requests for redetermination of audit assessments; cases involving denials and proposed suspensions and revocations of motor-fuels tax permits, tobacco permits and customs brokers' licenses; assessment of administrative (monetary) fines; and penalty and interest waivers. This strategy serves both the Comptroller's office and Texas taxpayers by providing agency-wide legal counsel and research, as well as timely, impartial and equitable decision-making through the administrative hearings process.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Any increase in the number of hearings the agency must hold will affect the ability of the Comptroller's office to issue decisions and position letters in a timely manner, ultimately delaying the receipt of revenue to the state and tax refunds for overpayments legally due to taxpayers. Recent changes to the franchise tax structure, Chapter 171, Texas Tax Code, resulting from the passage of HB 3, 79th Legislature, Third Called Session, have substantially increased the complexity of this tax, resulting in an anticipated increase in the number of contested hearings as this new tax is enforced. The present administrative hearings caseload averages 2,200 to 2,400 cases at any given time and is expected to increase as issues involved with the new Franchise Tax are litigated. Any reduction in resources within the Administrative Hearings Section will make it extremely difficult to provide an efficient and timely administrative hearings process and to maintain adequate agency representation in those hearings. Higher salaries and better amenities available in the private sector could contribute to the attorney turnover rate in the coming fiscal years. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 01 Maintain state's accounting system; certify general appropriations act

STRATEGY: 01 Project receipts and disbursements; complete accounting and reporting responsibilities

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of Financial Reports Published by Their Statutory Deadlines	2.0	2.0	2.0	2.0	2.0
02 Number of <i>Texas Economic Updates</i> Published Each Fiscal Year	0.0	1.0	1.0	1.0	1.0
03 Total Number of Payments Issued (Excluding WES Child Support Payments)	10,941,875.0	11,354,056.0	11,808,218.0	12,280,547.0	12,771,769.0
04 Number of Post-Payment Audit Reviews Completed	44.0	52.0	42.0	42.0	42.0
EFFICIENCY MEASURE:					
01 Percent of Ad Hoc Report Requests Responded to Within 10 Working Days	100.0%	100.0%	100.0%	100.0%	100.0%
EXPLANATORY / INPUT MEASURES					
01 Percent of Payroll/Retirement Payments Issued via Direct Deposit	86.5%	87.0%	88.0%	90.0%	90.0%
02 Percent of Bill Payments Issued via Direct Deposit	46.3%	50.0%	59.0%	61.0%	63.0%
03 Number of WES Child Support Payments Issued	4,978,195.0	3,966,203.0	3,702,000.0	3,558,000.0	3,414,000.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$15,960,018	\$15,029,522	\$15,378,759	\$15,378,759	\$15,378,759
1002 Other Personnel Costs	679,913	631,477	416,050	416,050	416,050
2001 Professional Fees and Services	3,611,930	1,641,860	1,061,741	1,047,920	1,017,207
2002 Fuels and Lubricants	1,006	1,048	1,481	1,731	2,004
2003 Consumable Supplies	148,252	135,718	126,052	133,136	133,136
2004 Utilities	387,463	362,134	363,301	368,497	404,618
2005 Travel	85,322	92,678	99,003	99,003	99,003
2006 Rent – Building	38,752	44,075	31,852	43,239	43,239
2007 Rent – Machine and Other	671,291	735,755	738,941	749,166	742,625
2009 Other Operating Expense	1,902,171	2,503,835	2,237,948	2,225,377	2,182,955
5000 Capital Expenditures	71,576	12,995	0	92,301	94,910
TOTAL, OBJECTS OF EXPENSE	\$23,557,694	\$21,191,097	\$20,455,128	\$20,555,179	\$20,514,506

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$23,449,280	\$21,005,414	\$20,269,445	\$20,369,496	\$20,328,823
0666 Appropriated Receipts	9,472	10,683	10,683	10,683	10,683
0777 Interagency Contract Receipts	98,942	175,000	175,000	175,000	175,000
TOTAL, METHOD OF FINANCING	\$23,557,694	\$21,191,097	\$20,455,128	\$20,555,179	\$20,514,506
=====					
FULL TIME EQUIVALENT POSITIONS:	278.9	256.2	283.7	283.7	283.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office is directed by statute (Texas Government Code, Section 403.013) to prepare the state's *Annual Cash Report* and the *Comprehensive Annual Financial Report* by the statutorily required due dates. These reports depict the state's financial position, expenditures and revenues and apprise the state's management of its financial position.

The agency, as directed by Article III, Section 49a of the Texas Constitution, also carries out the responsibility of projecting the receipts and disbursements of state government by submitting revenue estimates to be used in the appropriations process, including the publication of the *Biennial Revenue Estimate* prior to each regular session of the Legislature. An analysis of each General Appropriations Act introduced by the Legislature is also done to determine if the funds appropriated are within the amount of revenue certified to be available.

Finally, the agency is required by law (various sections in Chapter 403 of the Texas Government Code and Articles 601b and 6242-31 of the Texas Civil Statutes) to audit claims against the state for compliance with all requirements governing the expenditure of state funds. As the state's chief accountant, the agency is responsible for maintaining the state's books, paying claims and establishing agencies' budgets at the beginning of the fiscal year. The Comptroller's office complies with statutory directives in the Government Code by allocating statutorily dedicated revenues, performing interfund borrowing and maintaining and balancing the state's general ledger.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The legislative process is a major external factor in determining the workload related to this strategy. Items of legislation passed each session affect the fund structure and dedication of state revenues. Agency policies, procedures and responsibilities will continue to change and workloads increase as a result of shifting functional and technical responsibilities promulgated by technological advances and standard-setting authorities, including the Governmental Accounting Standards Board and the American Institute of Certified Public Accountants.

The ongoing support of the statewide accounting and payroll systems and all related subsystems in particular require significant staff time for training, agency support, documentation, improvements in technical design and ongoing system evaluation and testing. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 02 Improve the accuracy of the property value study

STRATEGY: 01 Conduct property value study; provide assistance; review methods

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of Properties Included in the Property Value Study	143,137.0	142,900.0	140,000.0	140,000.0	140,000.0
02 Number of Publications Produced	20.0	20.0	20.0	20.0	20.0
03 Number of Technical Assistance Responses Provided	35,508.0	33,000.0	40,000.0	40,000.0	40,000.0
EFFICIENCY MEASURE:					
01 Average Direct Cost per Property Included In the Property Value Study	\$26.32	\$35.0	\$35.0	\$35.0	\$35.0
EXPLANATORY / INPUT MEASURE:					
01 Percent of ISD Reports Produced Electronically from Appraisal Roll Data	88.0%	90.0%	90.0%	90.0%	90.0%
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$6,194,980	\$6,354,556	\$6,653,041	\$6,653,041	\$6,653,041
1002 Other Personnel Costs	222,032	279,543	164,360	164,360	164,360
2001 Professional Fees and Services	732,680	1,116,716	822,237	1,039,028	1,023,799
2002 Fuels and Lubricants	499	518	733	857	992
2003 Consumable Supplies	138,956	63,686	58,491	61,998	61,998
2004 Utilities	192,349	180,173	180,543	183,119	201,030
2005 Travel	380,251	471,358	504,576	536,107	590,518
2006 Rent – Building	18,936	22,836	15,768	21,406	21,406
2007 Rent – Machine and Other	325,734	357,320	360,511	365,581	362,338
2009 Other Operating Expense	865,984	1,283,416	1,182,590	1,098,592	1,077,557
5000 Capital Expenditures	35,491	6,441	0	45,769	47,061
TOTAL, OBJECTS OF EXPENSE	\$9,107,892	\$10,136,563	\$9,942,850	\$10,169,858	\$10,204,100

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$9,077,245	\$10,031,289	\$9,837,576	\$10,064,584	\$10,098,826
0666 Appropriated Receipts	30,647	105,274	105,274	105,274	105,274
TOTAL, METHOD OF FINANCING	\$9,107,892	\$10,136,563	\$9,942,850	\$10,169,858	\$10,204,100
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FULL TIME EQUIVALENT POSITIONS:	114.6	115.1	126.7	126.7	126.7
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office is required to: conduct an annual study of school district property values and provide the results to the Texas Education Agency to assist in allocating state aid to public schools (Texas Government Code, Section 403.301 and 403.302); conduct ratio studies in each county appraisal district (Texas Tax Code, Section 5.10); and review the appraisal standards, procedures and methodology used by each appraisal district with one or more eligible school districts as defined by Texas Government Code, Section 403.3011, to determine compliance with generally accepted appraisal standards and practices (Texas Tax Code, Section 5.102).

Chapter 41A of the Tax Code charges the Comptroller's office with administering an arbitration system for taxpayers that includes maintaining a registry of qualified arbitrators who then hear and rule on disputes between taxpayers and county appraisal districts following decisions by local appraisal review boards. In addition, the Property Tax area administers refunds of state sales and franchise taxes to property owners that have city or county tax abatements (Texas Tax Code, Subchapter F, Sections 111.301-111.304) and maintains a central registry of reinvestment zones and abatement agreements (Texas Tax Code, Section 312.005). Conducting an accurate property value study, providing assistance and reviewing appraisal district methods, standards and procedures accomplish the Comptroller's goal of efficiently managing the state's fiscal affairs by preventing misallocation of state public school funding.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

An effective property value study demands cooperation and communication between agency staff and each appraisal district since the study's results are based on a direct comparison of local appraised values to the state's independent estimate of total taxable values. Accurate submission of local records, including sales and appraisal data, provides the basis for an accurate study.

Implementation of HB 1010, 80th Regular Session, requires that the Property Value Study be expanded by approximately five hundred additional school district studies. The full impact of this legislation on resources will not be known until 2010 or 2011. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-02, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 03 Identify/develop research to promote understanding of fiscal issues

STRATEGY: 01 Provide information and analysis to the public and private sectors

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of Data Requests Completed	589.0	535.0	475.0	475.0	475.0
02 Number of Responses Provided to Local Government Requests for Assistance	1,950.0	1,950.0	1,950.0	1,950.0	1,950.0
03 Number of Local Government Presentations and Regional Workshops Provided	13.0	48.0	72.0	72.0	72.0
EXPLANATORY / INPUT MEASURE:					
01 Number of Requests for Local Government Assistance and Information Received	464,085.0	610,629.0	300,000.0	300,000.0	300,000.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$4,813,900	\$5,409,636	\$5,944,260	\$5,944,260	\$5,944,260
1002 Other Personnel Costs	225,111	189,189	135,135	135,135	135,135
2001 Professional Fees and Services	175,784	323,299	167,097	166,663	161,513
2002 Fuels and Lubricants	420	464	656	768	888
2003 Consumable Supplies	79,367	71,224	85,751	88,892	88,892
2004 Utilities	69,265	63,991	62,598	63,469	69,527
2005 Travel	33,970	55,621	59,537	59,537	59,537
2006 Rent – Building	17,463	19,870	15,125	20,175	20,175
2007 Rent – Machine and Other	148,391	179,823	161,626	163,341	162,244
2009 Other Operating Expense	535,512	777,139	701,442	731,637	724,522
5000 Capital Expenditures	12,003	9,682	0	15,479	15,916
TOTAL, OBJECTS OF EXPENSE	\$6,111,186	\$7,099,938	\$7,333,227	\$7,389,356	\$7,382,609

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$6,109,491	\$7,097,680	\$7,330,969	\$7,387,098	\$7,380,351
0666 Appropriated Receipts	1,695	2,258	2,258	2,258	2,258
TOTAL, METHOD OF FINANCING	\$6,111,186	\$7,099,938	\$7,333,227	\$7,389,356	\$7,382,609

FULL TIME EQUIVALENT POSITIONS:	84.8	90.6	106.9	106.9	106.9
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STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy supports the agency's constitutional responsibilities through publications, online access, verbal assistance, reports, seminars and legislative analysis to enhance planning and policy decisions and increase the effectiveness of publicly funded programs. One of the premiere publications created by the Public Outreach and Strategies area is *Fiscal Notes*, an award-winning publication on state economic, tax and financial matters. Special reports completed by the Research and Analysis area, including the *Energy Report* and *Counting Costs and Calories*, analyze government expenditures and various policy issues that may have an impact on the fiscal condition of the state. The agency's Web site also provides an extensive volume of current and historical financial data used by a wide variety of customers across the country. The Local Government Assistance area traditionally offers financial management assistance to cities and counties upon request. This assistance includes training sessions on court costs and fees, economic development, sales taxes, budgeting, accounting, auditing, purchasing, financial reporting and managing fixed assets. The area also provides phone assistance via a toll free number and conducts local government management assessments (LGMAs). The purpose of these services is to provide the greatest assistance to local governments at the least cost.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The ability of the Comptroller's office to carry out this strategy depends on several factors, including the level of outside demands placed on the agency. In most instances, it is impossible to control the number of requests made for information, although the Comptroller's office has some ability to select among those requests made for LGMAs. Therefore, the level of available financial resources is very important in determining the level of service performed by this strategy. Furthermore, the state's overall financial health has some impact on this strategy. Generally, the more severe economic circumstances are, the greater the need for reviews and assistance. A loss of agency support staff would impact the ability of the Fiscal Research area to continue providing the same level of services to local governments. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 04 Maximize state revenue

STRATEGY: 01 Ensure that the state's assets, cash receipts, and warrants are properly secured

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURE:					
01 Number of Rapid Deposit Transactions Processed	20,548,669.0	25,000,000.0	25,000,000.0	24,000,000.0	24,000,000.0
02 Number of Checks Deposited	8,335,146.0	6,000,000.0	6,000,000.0	7,200,000.0	7,200,000.0
03 Number of Warrants Processed	9,987,830.0	6,000,000.0	6,000,000.0	6,800,000.0	6,800,000.0
04 Number of State Depository Bank Account Reconciliations Performed	14,080.0	11,500.0	11,000.0	11,000.0	11,000.0

EXPLANATORY / INPUT MEASURE:

01 Average Daily Amount of Securities and Assets Safekept (Billions)	\$3.3	\$3.4	\$3.4	\$3.4	\$3.4
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OBJECTS OF EXPENSE:

1001 Salaries and Wages	\$3,440,527	\$3,397,490	\$3,478,694	\$3,478,694	\$3,478,694
1002 Other Personnel Costs	146,203	134,437	118,897	118,897	118,897
2001 Professional Fees and Services	209,905	269,301	212,272	208,864	201,297
2002 Fuels and Lubricants	247	257	364	425	492
2003 Consumable Supplies	51,415	40,456	44,929	46,671	46,671
2004 Utilities	94,967	88,478	88,811	90,091	98,990
2005 Travel	19,107	22,162	23,776	23,776	23,776
2006 Rent – Building	9,405	10,716	7,831	10,631	10,631
2007 Rent – Machine and Other	171,311	194,918	197,056	199,575	197,963
2009 Other Operating Expense	494,368	615,477	626,176	584,439	573,985
5000 Capital Expenditures	17,634	138,289	0	22,740	23,383

TOTAL, OBJECTS OF EXPENSE	\$4,655,089	\$4,911,981	\$4,798,806	\$4,784,803	\$4,774,779

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$4,647,419	\$4,903,053	\$4,789,878	\$4,775,875	\$4,765,851
0666 Appropriated Receipts	7,670	8,928	8,928	8,928	8,928
TOTAL, METHOD OF FINANCING	\$4,655,089	\$4,911,981	\$4,798,806	\$4,784,803	\$4,774,779
=====					
FULL TIME EQUIVALENT POSITIONS:	64.8	62.5	69.9	69.9	69.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office, as directed by Chapter 404 of the Texas Government Code (following enactment of SB 20, 74th Legislature, Regular Session, and passage of the Constitutional Amendment abolishing the Office of the State Treasurer) oversees the expedited processing of revenues into the Treasury, ensures the safety and availability of state monies, prudently manages state monies to generate the highest yield compatible with safety and liquidity requirements for public funds, provides for the expedited payment of warrants and ensures that all transactions are properly recorded by effectively performing all accounting and reporting functions. This strategy ensures that by utilizing effective and efficient management, the most up-to-date technology and the highest standards of professionalism, all revenues are deposited as rapidly as possible, interest earnings are maximized, state resources are protected from loss due to bank failures, any needs for additional revenue are determined and met through the issuance of cash management notes and administrative costs are minimized. This strategy contributes substantially to the statewide goal of wisely using the public's tax dollars and providing needed services at the lowest possible cost.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Any activity that is related to an increase or decrease in state revenues or expenditures has an impact on this strategy. As the sums of either deposits or payments by state agencies are increased, the transaction processing of the Treasury's systems is increased. Processing volumes are also impacted by legislative actions that impact the number of funds necessary to account for the state's fiscal activity, create or consolidate new tax or regulatory programs, change collateral or security requirements or change the manner in which payments are collected or disbursed by the state. Changes in reporting requirements dictated by state or federal legislative mandate and policy updates promulgated by standard-setting boards and technological advances also create constant demands for review of current procedures and changes in existing processes. The ever-changing environment of the banking and investment industry affects the demands put on the resources of the staff and technology of the division. Any restraints on development resulting from lack of adequate resources (human or financial) may restrict usage of current technologies, which could cripple the Treasury's growth and productivity. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 05 Manage a procurement system; maximize competition; provide support services

STRATEGY: 01 Provide statewide procurement and support services

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of New, Revised and Renewed Statewide Volume Contracts Awarded	228.0	199.0	200.0	200.0	200.0
02 Number of Solicitations Reviewed for Agencies and Delegated to Agencies	175.0	445.0	445.0	445.0	445.0
03 Number of Contracts Awarded for Other State Agencies	878.0	779.0	1,100.0	1,100.0	1,100.0
04 Number of New Purchasing Certifications Issued	114.0	127.0	120.0	120.0	120.0
05 Number of HUB Field Audits Conducted	988.0	820.0	800.0	800.0	800.0
06 Number of HUB Desk Audits Conducted	2,836.0	2,600.0	2,500.0	2,500.0	2,500.0
07 Number of HUB Seminars/Outreach Efforts Conducted	111.0	145.0	120.0	120.0	120.0
08 Number of Pieces of Mail Metered	5,862,064.0	4,625,031.0	5,862,064.0	5,862,064.0	5,862,064.0
EFFICIENCY MEASURE:					
01 Number of Days to Process Non-Delegated Open Market Requisitions	34.0	34.0	45.0	45.0	45.0
EXPLANATORY / INPUT MEASURE:					
01 Number of New HUB Applications Received	1,359.0	1,600.0	1,500.0	1,500.0	1,500.0

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$3,910,081	\$3,936,907	\$4,004,987	\$4,004,987	\$4,004,987
1002 Other Personnel Costs	154,240	119,878	90,080	90,080	90,080
2001 Professional Fees and Services	1,186,919	666,579	805,102	799,230	794,668
2002 Fuels and Lubricants	20,578	22,224	24,002	25,922	27,996
2003 Consumable Supplies	58,832	45,637	12,780	12,780	12,780
2004 Utilities	1,120	5,837	5,642	5,642	5,642
2005 Travel	64,514	111,470	121,978	124,418	126,906
2006 Rent – Building	32,833	93,407	40,471	40,471	40,471
2007 Rent – Machine and Other	104,646	124,625	75,608	75,608	75,608
2009 Other Operating Expense	627,323	508,003	236,737	238,249	238,249
5000 Capital Expenditures	0	18,646	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$6,161,086	\$5,653,213	\$5,417,387	\$5,417,387	\$5,417,387
METHOD OF FINANCING:					
0001 General Revenue Fund	\$4,259,351	\$3,858,207	\$3,804,477	\$3,804,477	\$3,804,477
0666 Appropriated Receipts	1,093,923	934,700	1,183,105	1,183,105	1,183,105
0777 Interagency Contract Receipts	807,812	860,306	429,805	429,805	429,805
TOTAL, METHOD OF FINANCING	\$6,161,086	\$5,653,213	\$5,417,387	\$5,417,387	\$5,417,387
FULL TIME EQUIVALENT POSITIONS:	90.2	71.7	101.8	101.8	101.8

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Texas Procurement and Support Services (TPASS) area develops efficient and cost-effective procurement practices to promote fair competition among vendors, including Historically Underutilized Businesses (HUB), improve statewide contracting and procurement processes and obtain the best value in all procurement activities for Texas state agencies, institutions of higher education and cooperative purchasing partners. TPASS functions are authorized by the Texas Government Code. Chapter 2155 provides general rules and procedures, while Chapter 2156 governs procurement methods. Other provisions are found in: Chapter 2157, governing automated information systems; Chapter 2158, containing miscellaneous procurement provisions; Chapter 2161, governing the HUB program; Chapter 2171, governing travel and fleet services; Chapter 2176, governing mail operations; Chapter 2177, governing electronic commerce; and Chapter 2262, governing contract management.

TPASS awards and oversees approximately \$1.3 billion in contracts for a variety of non-Information technology goods and services. TPASS also manages the Centralized Master Bidders List (CMBL), Electronic State Business Daily and the CO-OP Purchasing and Vendor Performance programs. The HUB program provides certification, compliance, reporting and education to vendors across the state. Finally, TPASS oversees training and certification for state purchasers and contract managers, airline, hotel, rental car and travel agent contracts, statewide procurement and travel card contracts, fleet management policy and mail operations.

STRATEGY REQUEST

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Statewide procurement currently utilizes certain technology and legacy systems and applications at the end of their life-cycle, such as the statewide procurement system, the CMBL and HUB databases and the fleet management database. Factors impacting the needed replacement and retirement of these systems include the statewide Enterprise Resource Project and a legislative directive to implement Web-based procurement and fleet management systems. Continuing demographic shifts in Texas may require adjustments to the annual procurement utilization goals for HUBs. Changes in the travel industry, which began since September 11th, 2001, along with the popularity of online ticket purchases, require TPASS to continuously review alternative and innovative contracting methods to obtain efficiency and best value for state travelers. Fuel costs also require fleet management policies to focus on acquiring fuel efficient vehicles while continuing to maximize the useful life of the state's fleet. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 06 Establish new state services; achieve savings and measurable value

STRATEGY: 01 Identify state programs, services and processes for competitive bidding

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of Competitively Contracted State Services under CCG Oversight	N/A	6.0	8.0	10.0	12.0
02 Number of State Activities Proposed for Competitive Contracting Evaluated	N/A	2.0	3.0	3.0	3.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$213,434	\$240,534	\$405,440	\$405,440	\$405,440
1002 Other Personnel Costs	6,505	5,180	3,374	3,374	3,374
2001 Professional Fees and Services	6,889	34,092	8,256	8,235	7,980
2002 Fuels and Lubricants	20	23	32	38	43
2003 Consumable Supplies	1,110	2,697	2,536	2,692	2,692
2004 Utilities	4,907	4,595	3,058	3,101	3,401
2005 Travel	4,842	4,712	4,727	4,727	4,727
2006 Rent – Building	840	932	699	949	949
2007 Rent – Machine and Other	6,092	6,693	6,666	6,751	6,697
2009 Other Operating Expense	16,547	22,030	19,997	19,021	18,670
5000 Capital Expenditures	593	167	0	764	786
TOTAL, OBJECTS OF EXPENSE	\$261,779	\$321,655	\$454,785	\$455,092	\$454,759

STRATEGY REQUEST

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$261,779	\$321,655	\$454,785	\$455,092	\$454,759
TOTAL, METHOD OF FINANCING	\$261,779	\$321,655	\$454,785	\$455,092	\$454,759
FULL TIME EQUIVALENT POSITIONS:	3.8	3.3	5.8	5.8	5.8

STRATEGY DESCRIPTION AND JUSTIFICATION:

House Bill 2626, 73rd Legislative Session (V.T.C.A., Government Code Chapter 2162) created the Council on Competitive Government (CCG) to make state government more efficient, cost-effective and competitive. The Council's strategy to achieve these goals include: initiating competitive reviews; conducting feasibility studies to gauge opportunities; applying accelerated procurement processes; and establishing contracts that transform delivery of government services. Initiatives undertaken by the Council leverage innovative practices in both the private and the public sector to bring about savings, improved capacity, speed and efficiency and increased transparency which advance a citizen-centered government.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

CCG is positively impacted by interest and support from the member offices in the projects selected for review. The fact that the CCG member offices comprise a broad cross-section of state leadership offices puts CCG in a strong position to review cross agency government processes and directly act to bring about changes. CCG is also impacted by perception of stakeholders (agencies, local governments, vendors) and places substantial emphasis on regular communications, surveys and requests for information and feedback.

The combination of a small staff size and a high-profile mission means that an appropriate balance between managing existing contracts and driving new projects is critical. For new projects to be successful and meet performance measures, good project selection and appropriate project size is key. Success in fulfilling these factors is based on strong leadership and appropriate resources. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-04	Service Categories: Service-03, Income-A.2, Age-B.3
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GOAL: 03 To expeditiously manage the receipt and disbursement of state tax revenue

OBJECTIVE: 01 Generate taxpayer refunds; return tax allocations; maintain turnaround

STRATEGY: 01 Improve tax/voucher data processing, tax collection and disbursements

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of Tax Returns Processed	4,251,103.0	4,476,411.0	4,500,000.0	4,500,000.0	4,500,000.0
02 Number of Payments Deposited	3,655,312.0	3,900,000.0	3,950,000.0	4,000,000.0	4,050,000.0
03 Number of Permits and Licenses Issued	507,234.0	523,539.0	530,000.0	525,000.0	525,000.0
04 Number of Taxpayer Account Adjustments	572,437.0	546,320.0	560,000.0	575,000.0	575,000.0
05 Number of Collection Actions Performed	73,037.0	78,078.0	85,885.0	81,852.0	83,898.0
06 Number of Tax Refunds Issued	124,141.0	121,694.0	147,184.0	147,869.0	151,566.0
07 Number of Hours to Allocate Local Option Taxes to Government Entities	17,408.0	16,732.0	17,150.0	17,371.0	17,805.0
EFFICIENCY MEASURE:					
01 Average Number of Hours to Deposit Receipts	4.4	5.4	5.3	5.4	5.4
EXPLANATORY / INPUT MEASURE:					
01 Percent of Tax Payments Received via Direct Deposit	87.6%	89.0%	90.0%	92.0%	92.0%

STRATEGY REQUEST

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$26,741,466	\$25,771,147	\$26,426,051	\$26,426,051	\$26,426,051
1002 Other Personnel Costs	1,436,683	1,268,976	965,804	965,804	965,804
2001 Professional Fees and Services	1,525,631	2,280,796	1,892,026	2,020,574	1,961,473
2002 Fuels and Lubricants	1,939	2,017	2,851	3,335	3,857
2003 Consumable Supplies	216,458	268,390	245,065	258,705	258,705
2004 Utilities	1,004,212	956,246	891,846	901,846	971,358
2005 Travel	55,233	61,846	66,918	66,918	66,918
2006 Rent – Building	78,936	90,668	66,837	88,762	88,762
2007 Rent – Machine and Other	1,310,010	1,436,588	1,448,635	1,468,314	1,455,724
2009 Other Operating Expense	5,333,606	6,753,054	5,926,147	6,207,382	6,192,242
5000 Capital Expenditures	137,742	32,623	0	177,628	182,647
TOTAL, OBJECTS OF EXPENSE	\$37,841,916	\$38,922,351	\$37,932,180	\$38,585,319	\$38,573,541

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$37,823,604	\$38,902,636	\$37,912,465	\$38,565,604	\$38,553,826
0666 Appropriated Receipts	18,312	19,715	19,715	19,715	19,715
TOTAL, METHOD OF FINANCING	\$37,841,916	\$38,922,351	\$37,932,180	\$38,585,319	\$38,573,541

FULL TIME EQUIVALENT POSITIONS:	546.9	511.4	570.5	570.5	570.5
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STRATEGY DESCRIPTION AND JUSTIFICATION:

Under Chapter 403 of the Texas Government Code, the Comptroller's office serves as the state's chief fiscal officer and tax collector. To fulfill this responsibility, the agency must obtain and use new information technology systems to better utilize agency resources, which will in turn improve tax collections and maintain a high quality of customer service. This strategy furthers the agency's effort to reduce its costs by improving tax processing, the collection and allocation of tax revenue and the disbursements of tax refunds and unclaimed property payments. This strategy also contributes directly to the statewide goal of reducing state spending.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

This strategy will continue to be impacted by available funding and legislative actions. Due to the overall complexity of administering tax allocations, caused in part by an increasing number of local taxing jurisdictions and the various local tax rates, the critical nature of the agency's responsibilities in providing timely and accurate services to local tax jurisdictions have significantly increased. This trend of increased demand by local tax jurisdictions for tax allocation services and assistance will continue. The impact of the Unclaimed Property function continues to evolve and expand, requiring a greater level of agency support. This is evidenced by the agency's expanded outreach efforts and enhanced customer service programs such as the addition of the Unclaimed Property database on the agency's Web site, increased direct mail notifications to individuals with unclaimed property and the utilization of the Internet for claimants to request claims information. Adequate funding will allow the agency to implement new information technology systems and maintain resources that will improve tax collections and taxpayer services at the least cost to the state. It is imperative the Legislature maintain this strategy's resources for the fiscal 2010-11 biennium at 100 percent of the fiscal 2008-09 budgeted levels.

PREPARED BY: Robert Chapa

RIDER REVISIONS AND ADDITIONS REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/27/08	Request Level: Base																																													
Current Rider Number	Page Number In 2008-09 GAA	Proposed Rider Language																																															
2	I-19	<p>Capital Budget. Funds appropriated above may be expended for capital budget items listed below. The amounts identified for each item may be adjusted or expended on other capital expenditures, subject to the aggregate dollar restrictions on capital budget expenditures provided in the general provisions of this Act.</p> <table border="0" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th align="right"><u>2008</u></th> <th align="right"><u>2010</u></th> <th align="right"><u>2009</u></th> <th align="right"><u>2011</u></th> </tr> </thead> <tbody> <tr> <td colspan="5">Out of the General Revenue Fund:</td> </tr> <tr> <td colspan="5">a. Acquisition of Information Resource Technologies</td> </tr> <tr> <td> (1) Daily Operations – Capital</td> <td align="right">\$9,072,614</td> <td align="right">\$11,398,479</td> <td align="right">\$9,072,614</td> <td align="right">\$11,731,679</td> </tr> <tr> <td> (2) Data Center Operations</td> <td></td> <td align="right">990,684</td> <td></td> <td align="right">990,684</td> </tr> <tr> <td> (3) Upgrade Tax System for H.B. 3, 79th, 3rd CS</td> <td></td> <td align="right">1,667,610</td> <td></td> <td align="right">452,274</td> </tr> <tr> <td> (2) <u>Tax System Modernization</u></td> <td></td> <td align="right"><u>\$437,213</u></td> <td></td> <td align="right"><u>\$440,993</u></td> </tr> <tr> <td> Total, Acquisition of Information Resource Technologies</td> <td align="right">\$11,730,905</td> <td align="right">\$11,835,692</td> <td align="right">\$10,545,566</td> <td align="right">\$12,172,672</td> </tr> <tr> <td> Total, Capital Budget</td> <td align="right">\$11,730,905</td> <td align="right">\$11,835,692</td> <td align="right">\$10,545,566</td> <td align="right">\$12,172,672</td> </tr> </tbody> </table> <p><i>Costs reflect the 2010-11 Capital Budget Request. An explanation of the request is included in the Capital Budget Schedules.</i></p>				<u>2008</u>	<u>2010</u>	<u>2009</u>	<u>2011</u>	Out of the General Revenue Fund:					a. Acquisition of Information Resource Technologies					(1) Daily Operations – Capital	\$9,072,614	\$11,398,479	\$9,072,614	\$11,731,679	(2) Data Center Operations		990,684		990,684	(3) Upgrade Tax System for H.B. 3, 79th, 3rd CS		1,667,610		452,274	(2) <u>Tax System Modernization</u>		<u>\$437,213</u>		<u>\$440,993</u>	Total, Acquisition of Information Resource Technologies	\$11,730,905	\$11,835,692	\$10,545,566	\$12,172,672	Total, Capital Budget	\$11,730,905	\$11,835,692	\$10,545,566	\$12,172,672
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4	I-20	<p>Support to the Board of Tax Professional Examiners. Out of funds appropriated above, the Comptroller of Public Accounts shall provide administrative support to the Board of Tax Professional Examiners. The Comptroller of Public Accounts shall receive reimbursement from the Board of Tax Professional Examiners through an interagency contract which shall consist of the same levels of service and approximate costs as provided to the Board of Tax Professional Examiners by the State Comptroller during the 2006-07 <u>2008-09</u> biennium.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>																																															
5	I-20	<p>Employee Incentive Rider. In addition to the existing authority and amounts related to employee compensation and benefits, the Comptroller of Public Accounts may expend amounts necessary from funds appropriated for the 2008-09 <u>2010-11</u> biennium for the purposes of enhancing compensation, providing incentives, or paying associated expenses for high performing employees within the Comptroller's Office.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>																																															
8	I-20	<p>Unexpended Balances Carried Forward Between Biennia. All unexpended balances appropriated and/or allocated to the Comptroller of Public Accounts from the 2006-07 <u>2008-09</u> biennium due to efficiencies or other cost savings of the Comptroller are hereby appropriated for the 2008-09 <u>2010-11</u> biennium. The appropriations herein are for ensuring the continuation of high priority programs within the Comptroller's Office.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>																																															

RIDER REVISIONS AND ADDITIONS REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/27/08	Request Level: Base
Current Rider Number	Page Number In 2008-09 GAA	Proposed Rider Language		
11	I-20	<p>Unexpended Balances Between Fiscal Years Within the Biennium. Any unexpended balances as of August 31, 2008 <u>2010</u>, in the appropriations made herein to the Comptroller of Public Accounts are hereby appropriated for the same purpose for the fiscal year beginning September 1, 2008 <u>2010</u>.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>		
12	I-20	<p>Texas Information Technology Academy. A person while enrolled for training in the Texas Information Technology Academy or a similar program operated under the direction of the Comptroller (an "academy student") shall not be counted toward the limit on the number of full-time equivalent positions (FTEs) allowed to the Comptroller during the period of their training and for one year following completion of the training.</p> <p>A state agency that pays a salary to the student while the student is enrolled in the academy or that otherwise sponsors the student in the academy (the "sponsoring agency") shall include the number of students enrolled in the academy on all reports concerning FTE limits; however, they shall not count the student toward the limit on the number of full-time equivalent positions allowed to the agency during the period of their training and for one year following completion of the training.</p> <p>The Comptroller and/or the State Auditor shall include the number of students enrolled in the academy in all reports concerning FTE limits; however, the Comptroller and/or the State Auditor shall report the number of students enrolled in the academy and for one year following completion of the training as a separate total from FTEs.</p> <p><i>This rider provision is not applicable to the 2010-11 biennium.</i></p>		
14	I-21	<p>Appropriations Contingent Upon Certification of Revenue Above the Biennial Revenue Estimate. Included in amounts appropriated above is \$8,972,191 in General Revenue in fiscal year 2008 and \$8,972,192 in General Revenue for fiscal 2009 for the following agency functions:</p> <p>a. \$2,110,039 in fiscal year 2008 and \$2,110,040 in fiscal year 2009 to annualize the 2007 pay increase;</p> <p>b. \$4,696,708 in fiscal year 2008 and \$4,696,708 in fiscal year 2009 to restructure salary rates for tax auditors; and,</p> <p>c. \$2,165,444 in fiscal year 2008 and \$2,165,444 in fiscal year 2009 to restore administrative reductions.</p> <p>This appropriation is contingent upon the Comptroller's certification of available General Revenue of \$17,944,383 for the biennium above the Comptroller's January 2007 Biennial Revenue Estimate.</p> <p><i>This rider provision is not applicable to the 2010-11 biennium.</i></p>		
15	I-21	<p>Report on the Use of General Revenue-Dedicated Funds. Out of the appropriation made to this Article for the Comptroller of Public Accounts, the Comptroller of Public Accounts shall expend funds to issue a report to be placed on the agency's website, itemized by General Revenue-Dedicated Fund, on the use of state taxes and fees for purposes other than the purpose for which the General Revenue-Dedicated Funds were expressly collected.</p> <p><i>This rider provision is not applicable to the 2010-11 biennium.</i></p>		

RIDER REVISIONS AND ADDITIONS REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/27/08	Request Level: Base
Current Rider Number	Page Number In 2008-09 GAA	Proposed Rider Language		
16	I-21	<p>State Fleet Data Management System. Out of funds appropriated above, in Strategy B.6.1, Support Services, the Comptroller of Public Accounts shall implement and maintain a state fleet data management system for agencies to report fleet operating expenses and uses, as required by Chapter 2171.101, Government Code. The system shall be accessible through a web-based interface, provide forms for efficient entry of data required by the State Vehicle Fleet Management Plan, allow agencies to batch load relevant data from internal legacy systems, provide fiscal and managerial reports for both direct asset management and oversight needs, and be flexible enough to accommodate future agency or legislative needs. All funds collected through interagency agreements for the statewide vehicle fleet data management system shall be expended solely on the fleet system. Any unexpended balances of these funds remaining as of August 31, 2008, are hereby appropriated to the Comptroller of Public Accounts for the fiscal year beginning September 1, 2008, for the development or maintenance of the system.</p> <p><i>This rider provision is not applicable to the 2010-11 biennium.</i></p>		
17	I-21	<p>Directed Competitive Reviews. Out of funds appropriated above, in Strategy B.6.1, Support Services, the Council on Competitive Government shall conduct competitive reviews on all Texas Facilities Commission facility maintenance programs, including, but not limited to: building maintenance; building management; custodial operations; grounds maintenance; minor construction; and core system operations. Recommendations resulting from the competitive reviews will be implemented under the statutory authority of the Council on Competitive Government at the discretion of its members. The Council on Competitive Government will provide reports on their findings to the Legislative Budget Board and the Governor as completed but no later than September 1, 2008.</p> <p><i>This rider provision is not applicable to the 2010-11 biennium.</i></p>		
<u>701</u>	<u>I</u>	<p><u>Historically Underutilized Business Enforcement and Audit.</u> It is the intent of the Legislature that the fee charged to vendors for inclusion on the Centralized Master Bidders List, as administered by the Comptroller of Public Accounts, include \$20 to be used for the purpose of enforcing compliance with requirements of state purchasing statutes and the prevention of fraud in the HUB program as set forth in Chapter 2161 of the Texas Government Code.</p> <p><i>This rider is necessary to clarify legislative intent that the HUB program is to receive \$20 of the fee charged to vendors for inclusion on the Centralized Master Bidders List.</i></p>		

RIDER APPROPRIATIONS AND UNEXPENDED BALANCES REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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RIDER	STRATEGY	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
Rider # 8 Unexpended Balances Carried Forward Between Biennia (2008-09 GAA)						
01-01-01	Maintain an ongoing program of audit activities	\$0	\$1,635,201	\$0	\$0	\$0
01-02-01	Improve compliance with tax laws	0	679,599	0	0	0
01-03-01	Provide information to taxpayers/officials/public	0	384,102	0	0	0
01-04-01	Provide tax hearings; represent the agency	0	86,833	0	0	0
02-01-01	Project receipts/disbursements; complete accounting	0	427,961	0	0	0
02-02-01	Conduct property value study; provide assistance	0	212,208	0	0	0
02-03-01	Provide financial information and analysis	0	71,770	0	0	0
02-04-01	Ensure the state's assets/receipts/warrants are secured	0	105,440	0	0	0
02-05-01	Provide statewide procurement and support services	0	43,814	0	0	0
02-06-01	Identify state programs, services and processes for competitive bidding	0	3,544	0	0	0
03-01-01	Improve tax/voucher data processing	0	823,581	0	0	0
OBJECTS OF EXPENSE:						
2001	Professional Fees and Services	\$0	\$2,531,301	\$0	\$0	\$0
2009	Other Operating Expenses	0	1,942,752	0	0	0
TOTAL, OBJECTS OF EXPENSE		\$0	\$4,474,053	\$0	\$0	\$0
METHOD OF FINANCING:						
0001	General Revenue Fund	\$0	\$4,430,239	\$0	\$0	\$0
0777	Interagency Contract Receipts	0	43,814	0	0	0
TOTAL, METHOD OF FINANCING		\$0	\$4,474,053	\$0	\$0	\$0

DESCRIPTION/JUSTIFICATION FOR CONTINUATION OF EXISTING RIDERS OR PROPOSED NEW RIDERS:

The unexpended balances rider provides the Comptroller's office with the funding flexibility needed to invest in technology enhancements in support of the agency's core functions. Savings resulting from efficiencies or other cost savings can be carried forward as needed to ensure the continuation of high priority programs within the agency.

PREPARED BY: Robert Chapa

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2008 BUD 2009 BL 2010 BL 2011

5005 Acquisition of Information Resource Technologies

1/1 Daily Operations - Capital

OBJECTS OF EXPENSE - CAPITAL

2004 Utilities	\$3,770,365	\$3,849,293	\$3,903,089	\$4,277,009
2007 Rent - Machine and Other	6,519,400	6,434,035	6,539,890	6,472,170
5000 Capital Expenditures	0	0	955,500	982,500

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 001	\$10,289,765	\$10,283,328	\$11,398,479	\$11,731,679
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SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 001	\$10,289,765	\$10,283,328	\$11,398,479	\$11,731,679
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TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund	\$10,289,765	\$10,283,328	\$11,398,479	\$11,731,679
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CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 001	\$10,289,765	\$10,283,328	\$11,398,479	\$11,731,679
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SUBTOTAL, TYPE OF FINANCING, PROJECT 001	\$10,289,765	\$10,283,328	\$11,398,479	\$11,731,679
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CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2008 BUD 2009 BL 2010 BL 2011

5005 Acquisition of Information Resource Technologies

2/2 HB 3 Franchise Tax

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services	\$1,772,138	\$0	\$0	\$0
2004 Utilities	134,287	0	0	0
2007 Rent - Machine and Other	14,387	0	0	0
2009 Other Operating Expenses	131,705	0	0	0
5000 Capital Expenditures	89,217	0	0	0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 002	<u>\$2,141,734</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
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SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 002	=====	=====	=====	=====
	\$2,141,734	\$0	\$0	\$0
	=====	=====	=====	=====

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund	\$2,141,734	\$0	\$0	\$0
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CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 002	<u>\$2,141,734</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
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SUBTOTAL, TYPE OF FINANCING, PROJECT 002	=====	=====	=====	=====
	\$2,141,734	\$0	\$0	\$0
	=====	=====	=====	=====

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
 PROJECT NUMBER / NAME
 OOE / TOF / MOF CODE

EST 2008 BUD 2009 BL 2010 BL 2011

5005 Acquisition of Information Resource Technologies

3/3 Tax System Modernization

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services	\$0	\$379,387	\$0	\$0
2007 Rent - Machine and Other	0	250,000	250,000	250,000
2009 Other Operating Expenditures	0	220,613	187,213	190,993
5000 Capital Expenditures	0	0	0	0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 003	\$0	\$850,000	\$437,213	\$440,993
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SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 003	\$0	\$850,000	\$437,213	\$440,993
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TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund	\$0	\$850,000	\$437,213	\$440,993
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CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 003	\$0	\$850,000	\$437,213	\$440,993
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SUBTOTAL, TYPE OF FINANCING, PROJECT 003	\$0	\$850,000	\$437,213	\$440,993
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CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
 PROJECT NUMBER / NAME
 OOE / TOF / MOF CODE

	EST 2008	BUD 2009	BL 2010	BL 2011
5005 Acquisition of Information Resource Technologies				
4/4 Data Center Consolidation				
OBJECTS OF EXPENSE - CAPITAL				
2001 Professional Fees and Services	\$52,372	\$52,372	\$0	\$0
CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 004	\$52,372	\$52,372	\$0	\$0
SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 004	\$52,372	\$52,372	\$0	\$0
TYPE OF FINANCING - CAPITAL				
CA 0001 General Revenue Fund	\$35,770	\$36,765	\$0	\$0
CA 0666 Appropriated Receipts	8,641	11,417	0	0
CA 0777 Interagency Contract Receipts	7,961	4,190	0	0
CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 004	\$52,372	\$52,372	\$0	\$0
SUBTOTAL, TYPE OF FINANCING, PROJECT 004	\$52,372	\$52,372	\$0	\$0
Capital Subtotal, Category 5005	\$12,483,871	\$11,185,700	\$11,835,692	\$12,172,672
Informational Subtotal, Category 5005	0	0	0	0
TOTAL, CATEGORY 5005	\$12,483,871	\$11,185,700	\$11,835,692	\$12,172,672

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
 PROJECT NUMBER / NAME
 OOE / TOF / MOF CODE

	EST 2008	BUD 2009	BL 2010	BL 2011
5007 Acquisition of Capital Equipment and Items				
5/5 Daily Operations - Capital				
OBJECTS OF EXPENSE - CAPITAL				
5000 Capital Expenditures	\$165,055	\$0	\$0	\$0
CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 005	\$165,055	\$0	\$0	\$0
SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 005	\$165,055	\$0	\$0	\$0
TYPE OF FINANCING - CAPITAL				
CA 0001 General Revenue Fund	\$165,055	\$0	\$0	\$0
CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 005	\$165,055	\$0	\$0	\$0
SUBTOTAL, TYPE OF FINANCING, PROJECT 005	\$165,055	\$0	\$0	\$0
Capital Subtotal, Category 5007	\$165,055	\$0	\$0	\$0
Informational Subtotal, Category 5007	0	0	0	0
TOTAL, CATEGORY 5007	\$165,055	\$0	\$0	\$0

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME PROJECT NUMBER / NAME OOE / TOF / MOF CODE	EST 2008	BUD 2009	BL 2010	BL 2011
AGENCY TOTAL - CAPITAL	\$12,648,926	\$11,185,700	\$11,835,692	\$12,172,672
AGENCY TOTAL - INFORMATIONAL	0	0	0	0
AGENCY TOTAL	<u>\$12,648,926</u>	<u>\$11,185,700</u>	<u>\$11,835,692</u>	<u>\$12,172,672</u>
=====				
METHOD OF FINANCING - CAPITAL				
0001 General Revenue Fund	\$12,632,324	\$11,170,093	\$11,835,692	\$12,172,672
0666 Appropriated Receipts	8,641	11,417	0	0
0777 Interagency Contract Receipts	7,961	4,190	0	0
TOTAL, METHOD OF FINANCING - CAPITAL	<u>\$12,648,926</u>	<u>\$11,185,700</u>	<u>\$11,835,692</u>	<u>\$12,172,672</u>
TOTAL, METHOD OF FINANCING	<u>\$12,648,926</u>	<u>\$11,185,700</u>	<u>\$11,835,692</u>	<u>\$12,172,672</u>
=====				
TYPE OF FINANCING - CAPITAL				
CA Current Appropriations	\$12,648,926	\$11,185,700	\$11,835,692	\$12,172,672
TOTAL, TYPE OF FINANCING - CAPITAL	<u>\$12,648,926</u>	<u>\$11,185,700</u>	<u>\$11,835,692</u>	<u>\$12,172,672</u>
TOTAL, TYPE OF FINANCING	<u>\$12,648,926</u>	<u>\$11,185,700</u>	<u>\$11,835,692</u>	<u>\$12,172,672</u>
=====				

CAPITAL BUDGET PROJECT INFORMATION

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
PROJECT NUMBER / NAME

5005 Acquisition of Information Resource Technologies

001 Daily Operations - Capital

PROJECT DESCRIPTION:

Daily Operations includes the projected expenditures necessary to continue the current level of information resources operations with no additional development or expansion. Included in the project are information resources expenditures critical to meet the operational mission of the agency.

Number of Units / Average Unit Cost: N/A

Estimated Completion Date: Ongoing

Additional Capital Expenditure Amounts Required:

2012	2013
N/A	N/A

Type of Financing: CA Current Appropriations

Projected Useful Life: Ongoing

Estimated / Actual Project Cost: N/A

Length of Financing / Lease Period: N/A

Estimated / Actual Debt Obligation Payments: N/A

Revenue Generation / Cost Savings: N/A

Explanation: N/A

Project Location: The agency's computer operations are located in Austin, Texas.

Beneficiaries: Staff and all customers of the agency, including taxpayers, the Legislature, and other state agencies benefit from the agency's mainframe and printing operations.

Frequency of Use and External Factors Affecting Use: The agency's computing operations are in use 24 hours a day, 7 days a week.

CAPITAL BUDGET PROJECT INFORMATION

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
PROJECT NUMBER / NAME

5005 Acquisition of Information Resource Technologies

003 Tax System Modernization

PROJECT DESCRIPTION:

This project will upgrade the agency's capability to conduct transactions such as registering, filing and paying taxes in a Web-based environment.

Number of Units / Average Unit Cost: N/A

Estimated Completion Date: Ongoing

Additional Capital Expenditure Amounts Required:

2012	2013
N/A	N/A

Type of Financing: CA Current Appropriations

Projected Useful Life: Ongoing

Estimated / Actual Project Cost: \$1,728,206

Length of Financing / Lease Period: N/A

Estimated / Actual Debt Obligation Payments: N/A

Revenue Generation / Cost Savings: N/A

Explanation: N/A

Project Location: The agency's computer operations are located in Austin, Texas.

Beneficiaries: Staff and all customers of the agency, including taxpayers and the Legislature, will benefit from the system.

Frequency of Use and External Factors Affecting Use: The agency's computing operations are in use 24 hours a day, 7 days a week.

CAPITAL BUDGET ALLOCATION TO STRATEGIES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / NAME		GOAL				
PROJECT NUMBER / NAME		OBJECTIVE				
STRATEGY NAME		STRATEGY	EST 2008	BUD 2009	BL 2010	BL 2011
5005	Acquisition of Information Resource Technologies					
	001 Daily Operations - Capital					
Capital	Maintain an ongoing program of audit and verification activities	01-01-01	\$3,699,230	\$3,722,616	\$4,134,218	\$4,257,202
Capital	Improve compliance with tax laws through contact/collection program	01-02-01	1,537,413	1,547,140	1,718,204	1,769,317
Capital	Provide information to taxpayers, government officials, and the public	01-03-01	868,934	874,426	971,110	999,999
Capital	Provide tax hearings/represent the agency/provide legal counsel	01-04-01	198,541	197,679	219,536	226,067
Capital	Project receipts/disbursements; complete accounting/reporting	02-01-01	968,152	974,274	1,081,998	1,114,185
Capital	Conduct property value study; provide assistance; review methods	02-02-01	480,062	483,103	536,519	552,479
Capital	Provide information and analysis to the public and private sectors	02-03-01	164,093	163,388	181,453	186,851
Capital	Ensure that the state's assets, cash receipts, and warrants are secured	02-04-01	238,527	240,039	266,579	274,509
Capital	Identify state programs, services and processes for competitive bidding	02-06-01	8,104	8,068	8,961	9,227
Capital	Improve tax/voucher data processing, tax collection, and disbursements	03-01-01	2,126,709	2,072,595	2,279,901	2,341,843
TOTAL, PROJECT			\$10,289,765	\$10,283,328	\$11,398,479	\$11,731,679
	002 HB 3 Franchise Tax					
Capital	Maintain an ongoing program of audit and verification activities	01-01-01	\$790,514	\$0	\$0	\$0
Capital	Improve compliance with tax laws through contact/collection program	01-02-01	328,542	0	0	0
Capital	Provide information to taxpayers, government officials, and the public	01-03-01	185,688	0	0	0
Capital	Provide tax hearings/represent the agency/provide legal counsel	01-04-01	41,978	0	0	0
Capital	Project receipts/disbursements; complete accounting/reporting	02-01-01	206,892	0	0	0
Capital	Conduct property value study; provide assistance; review methods	02-02-01	102,589	0	0	0
Capital	Provide information and analysis to the public and private sectors	02-03-01	34,696	0	0	0
Capital	Ensure that the state's assets, cash receipts, and warrants are secured	02-04-01	50,973	0	0	0
Capital	Identify state programs, services and processes for competitive bidding	02-06-01	1,713	0	0	0
Capital	Improve tax/voucher data processing, tax collection, and disbursements	03-01-01	398,149	0	0	0
TOTAL, PROJECT			\$2,141,734	\$0	\$0	\$0

CAPITAL BUDGET ALLOCATION TO STRATEGIES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / NAME		GOAL				
PROJECT NUMBER / NAME		OBJECTIVE				
STRATEGY NAME		STRATEGY	EST 2008	BUD 2009	BL 2010	BL 2011
003	Tax System Modernization					
Capital	Maintain an ongoing program of audit and verification activities	01-01-01	\$0	\$313,735	\$161,375	\$162,771
Capital	Improve compliance with tax laws through contact/collection program	01-02-01	0	130,390	67,068	67,648
Capital	Provide information to taxpayers, government officials, and the public	01-03-01	0	73,695	37,906	38,234
Capital	Provide tax hearings/represent the agency/provide legal counsel	01-04-01	0	16,660	8,569	8,643
Capital	Project receipts/disbursements; complete accounting/reporting	02-01-01	0	82,110	42,235	42,600
Capital	Conduct property value study; provide assistance; review methods	02-02-01	0	40,715	20,943	21,123
Capital	Provide information and analysis to the public and private sectors	02-03-01	0	13,770	7,083	7,144
Capital	Ensure that the state's assets, cash receipts, and warrants are secured	02-04-01	0	20,230	10,406	10,496
Capital	Identify state programs, services and processes for competitive bidding	02-06-01	0	680	350	353
Capital	Improve tax/voucher data processing, tax collection, and disbursements	03-01-01		158,015	81,278	81,981
TOTAL, PROJECT			\$0	\$850,000	\$437,213	\$440,993
004	Data Center Consolidation					
Capital	Provide statewide procurement and support services	02-05-01	\$52,372	\$52,372	\$0	\$0
TOTAL, PROJECT			\$52,372	\$52,372	\$0	\$0

CAPITAL BUDGET ALLOCATION TO STRATEGIES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / NAME	PROJECT NUMBER / NAME	GOAL OBJECTIVE STRATEGY	EST 2008	BUD 2009	BL 2010	BL 2011
5007	Acquisition of Capital Equipment and Items					
	005 Daily Operations - Capital					
Capital	Maintain an ongoing program of audit and verification activities	01-01-01	\$10,434	\$0	\$0	\$0
Capital	Improve compliance with tax laws through contact/collection program	01-02-01	4,333	0	0	0
Capital	Provide information to taxpayers, government officials, and the public	01-03-01	2,451	0	0	0
Capital	Provide tax hearings/represent the agency/provide legal counsel	01-04-01	1,468	0	0	0
Capital	Project receipts/disbursements; complete accounting/reporting	02-01-01	2,730	0	0	0
Capital	Conduct property value study; provide assistance; review methods	02-02-01	1,351	0	0	0
Capital	Provide information and analysis to the public and private sectors	02-03-01	1,211	0	0	0
Capital	Ensure that the state's assets, cash receipts, and warrants are secured	02-04-01	135,761	0	0	0
Capital	Identify state programs, services and processes for competitive bidding	02-06-01	60	0	0	0
Capital	Improve tax/voucher data processing, tax collection, and disbursements	03-01-01	5,256	0	0	0
TOTAL, PROJECT			\$165,055	\$0	\$0	\$0
TOTAL CAPITAL, ALL PROJECTS			\$12,648,926	\$11,185,700	\$11,835,692	\$12,172,672
TOTAL INFORMATIONAL, ALL PROJECTS			0	0	0	0
TOTAL, ALL PROJECTS			\$12,648,926	\$11,185,700	\$11,835,692	\$12,172,672

HISTORICALLY UNDERUTILIZED BUSINESS (HUB) SUPPORTING SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Comparison to Statewide HUB Procurement Goals

A. Fiscal Year 2006-07 HUB Expenditure Information

Procurement Category	Statewide HUB Goals	HUB Expenditures FY 2006		Total Expenditures FY 2006	HUB Expenditures FY 2007		Total Expenditures FY 2007
		HUB %	HUB \$		HUB %	HUB \$	
		Heavy Construction	11.9%	N/A	N/A	N/A	N/A
Building Construction	26.1%	N/A	N/A	N/A	N/A	N/A	N/A
Special Trade Construction	57.2%	83.2%	\$13,926	\$16,744	52.7%	\$33,477	\$63,566
Professional Services	20.0%	0.0%	\$0	\$14,219	0.0%	\$0	\$0
Other Services	33.0%	30.4%	\$6,191,455	\$20,364,859	29.9%	\$7,291,665	\$24,424,000
Commodities	12.6%	48.1%	\$6,159,271	\$12,806,699	15.7%	\$2,088,050	\$13,281,605
Total Expenditures		37.2%	\$12,364,652	\$33,202,521	24.9%	\$9,413,192	\$37,769,171

B. Assessment of Fiscal Year 2006-07 Efforts to Meet HUB Procurement Goals

Attainment:

During fiscal 2006, the agency attained or exceeded statewide HUB procurement goals in two of four categories. In fiscal 2007, the agency attained or exceeded HUB procurement goals in one of four categories. Additionally, in both fiscal years, the agency's asset management contract included 48 percent HUB subcontracting, with over \$4.3 million spent on HUBs.

Applicability:

The "Heavy Construction" and "Building Construction" categories were not applicable to agency operations in either fiscal 2006 or fiscal 2007 since the agency did not have any strategies or programs related to construction.

Factors Affecting Attainment:

Several contracts were awarded in the Commodities category to non-HUB prime contractors primarily for large information technology related systems and products. In fiscal 2006, a total of 48.1 percent was expended with HUBs and a total of 15.7 percent was expended in fiscal 2007, exceeding the adjusted goals in both years. In the Other Services category, numerous large dollar contracts were awarded to vendors who provided computer programming, computer maintenance and reproduction and printing services. In fiscal 2006, a total of 30.4 percent was expended with HUBs and a total of 29.9 percent was expended in fiscal 2007. In the Professional Services category, the agency spent a total of \$14,219 in fiscal 2006. In the Special Trade category, contracts were competitively bid for electrical and cabling services. In fiscal 2006, a total of 83.2 percent was expended with HUBs. A total of 52.7 percent was expended in fiscal 2007.

HISTORICALLY UNDERUTILIZED BUSINESS (HUB) SUPPORTING SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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"Good Faith" Efforts:

The agency made the following good faith efforts to promote and comply with statewide HUB procurement goals:

- Aggressively promoted the Texas HUB Certification program to non-certified HUB vendors.
- Included a HUB Subcontracting Plan with all formal solicitations of \$25,000 and greater to increase subcontracting opportunities with HUBs.
- Worked directly with agency purchasers, the general counsel and division end-users to review pre-solicitation documents and identify HUB subcontracting opportunities.
- Participated in HUB Discussion Workgroup meetings to promote an effective statewide HUB Program through education, networking and proactive feedback. Also participated as a member of the HUB Discussion Workgroup Outreach subcommittee.
- Participated as a member of the Greater Austin Hispanic Chamber of Commerce Business Capital and Procurement Committee in planning HUB/minority business outreach programs.
- Invited 25 vendors in fiscal 2006 and 13 vendors in fiscal 2007 to promote their products and services to purchasing staff and agency end-users.
- Participated in 33 Economic Opportunity Forums during fiscal 2006 and 28 in fiscal 2007; provided one-on-one assistance to HUB vendors on doing business with the Comptroller's office; and encouraged vendors to seek Texas HUB Certification. Co-hosted several Economic Opportunity Forums.
- Presented HUB training and information sessions to purchasing staff, purchasing liaisons and general counsel staff to promote the HUB program and increase HUB utilization.
- Placed HUB recruitment advertisements in various minority news media publications to promote HUB participation in agency procurements.
- Monitored the agency's Mentor Protégé's sponsorship agreements, which provide professional guidance and support to the protégé/HUB in order to facilitate their growth and development and increase HUB contracts and subcontracts with the State of Texas.
- Maintained a HUB toll-free line (1-800-991-BIDS), HUB e-mail address (cpa.hub@cpa.state.tx.us) and HUB Web site at (www.window.state.tx.us/ssv) to provide vendors with assistance and up-to-date information regarding contracting opportunities, etc.

PREPARED BY: Robert Chapa

ESTIMATED TOTAL OF ALL FUNDS OUTSIDE THE GENERAL APPROPRIATIONS ACT BILL PATTERN SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Estimated Grand Total of Agency Funds Outside the 2010-11 General Appropriations Act Bill Pattern:

\$2,394,776,089

0892 – Texas Tomorrow Constitutional Trust Fund

Estimated Beginning Balance in Fiscal Year 2008	\$1,880,026,450
Estimated Revenues – Fiscal 2008	195,490,591
Estimated Revenues – Fiscal 2009	197,389,213
Fiscal 2008-09 Biennial Total	<u>\$2,272,906,254</u> =====
 Estimated Beginning Balance in Fiscal Year 2010	 \$2,003,846,840
Estimated Revenues – Fiscal 2010	197,055,477
Estimated Revenues – Fiscal 2011	193,873,772
Fiscal 2010-11 Biennial Total	<u>\$2,394,776,089</u> =====

Estimated Expenditures for the 2010-11 Biennium: \$348,639,641

CONSTITUTIONAL OR STATUTORY CREATION AND USE OF FUNDS:

The Texas Tomorrow Constitutional Trust Fund was created to receive state appropriations, money acquired from other governmental or private sources, money paid under prepaid tuition contracts and income from the investment of deposits. The fund may be used only to pay costs of program administration and operations, make payments to institutions of higher education or private or independent institutions, make refunds under prepaid tuition contracts and award scholarships as prescribed by law. The Texas College Savings Plan Account is within the fund and is financed by administration fees and service charges. Savings trust accounts are created for each individual owner who opens an account. The fund is a constitutional trust fund to be held with the Comptroller and revenues are not considered state monies.

Legal Citation: TEX. CONST. Art. VII § 19; TEX. EDUC. CODE ANN. §§ 54.634, 54.707 Date of Origin September 1, 1995 by H.B. 1214, 74th Leg., R.S.

METHOD OF CALCULATION AND REVENUE ASSUMPTIONS:

1. The estimated payments to colleges for each Texas Guaranteed Tuition Plan type (senior, junior and private) are based on the percentage that each type represents of all contracts sold.
2. The estimated financial activity for fiscal 2008 for each Texas Guaranteed Tuition Plan type is based on the weighted average tuition rate increases for Fall 2007 and the fiscal 2008 budget approved by the Texas Prepaid Higher Education Tuition Board (Board).
3. The estimated financial activity for fiscal years 2009, 2010 and 2011 for each Texas Guaranteed Tuition Plan type was based on the weighted average tuition rate increases for Fall 2008, the fiscal 2009 budget approved by the Board and the Board's adopted actuarial assumptions for fiscal years 2009 through 2011.

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Approved Reduction Amount:

\$42,748,732

Biennial Application of Ten Percent Reduction

Rank	Reduction Item	GR	GR Dedicated	Federal	Other	All Funds	FTE Reductions FY10	FY11	Revenue Impact	Cumulative GR-Related Reduction as a Percent of Approved Base
1	2-3-1 Provide financial information	\$1,517,866	\$0	\$0	\$0	\$1,517,866	10.6	10.6		0.4%
2	2-2-1 Conduct property value study	2,038,496	0	0	0	2,038,496	12.0	12.0	Y	0.8%
3	1-4-1 Provide tax hearings	1,605,472	0	0	0	1,605,472	11.1	11.1		1.2%
4	1-3-1 Provide taxpayer information	3,508,224	0	0	0	3,508,224	17.7	17.7		2.0%
5	1-2-1 Improve tax law compliance	5,984,248	0	0	0	5,984,248	52.3	52.3	Y	3.4%
6	2-1-1 Project receipts/process claims	3,873,142	0	0	0	3,873,142	25.4	25.4		4.3%
7	2-4-1 Ensure the state's assets	854,438	0	0	0	854,438	6.8	6.8	Y	4.5%
8	3-1-1 Improve tax/voucher processing	9,027,400	0	0	0	9,027,400	55.8	55.8	Y	6.6%
9	1-1-1 Maintain ongoing audit activities	14,339,446	0	0	0	14,339,446	83.3	83.3	Y	10.0%
AGENCY BIENNIAL TOTAL		\$42,748,732	\$0	0	0	\$42,748,732	275.0	275.0		
AGENCY BIENNIAL TOTAL (GR + GR-D)		\$42,748,732								

EXPLANATION OF IMPACT TO PROGRAMS AND REVENUE COLLECTIONS:

1 2-3-1 Provide financial information

A loss of 10.6 FTEs in the Fiscal Studies area will limit the agency's ability to carry out constitutional responsibilities through publications, online access, verbal assistance, reports and seminars. A reduction of staff will also limit the agency's ability to provide analysis to the Legislature to assist in making planning and policy decisions and increase the effectiveness of publicly funded programs.

2 2-2-1 Conduct property value study

A loss of 12 Property Tax staff will impede the agency's ability to conform to Section 403.302 of the Government Code, which requires the Comptroller's office to annually conduct a Property Value Study (PVS) to determine the actual level of property tax wealth in each school district for state funding purposes. This study, an independent estimate mandated by the Legislature, is

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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designed to ensure equitable school funding. The number of samples included in the study directly affects the accuracy of the study findings. Reduced staff will result in a smaller sample size: the smaller the number of samples included in the study, the less accurate the findings. With billions of dollars of school aid depending on the study, any reduction in the number of samples collected will have a negative impact on the amount and equitability of state funding distributed to schools.

3 1-4-1 Provide tax hearings

A loss of 11.1 FTEs in the Administrative Hearings area will create significant delays in the tax hearings process and impede the timely receipt and deposit of state tax revenue identified through audits or other assessments. Since the hearings process is an integral part of the overall tax administration function, any delays or backlogs in this area will have a ripple effect across the agency.

4 1-3-1 Provide taxpayer information

A loss of 17.7 Tax Assistance staff will adversely affect the agency's ability to provide accurate, consistent and timely tax information to taxpayers, legislators and other state agencies. The Comptroller's office will lose the ability to effectively implement new law changes, amend tax publications, amend administrative rules and provide training. With the passage of the new Franchise Tax, an estimated 225,000 taxpayers have been added to the existing population served by the Comptroller's office. As a result, the amount of taxpayer correspondence and telephone inquiries has increased proportionately. Any reduction in staff will result in a dramatic reduction in the response time to taxpayer inquiries and will eventually result in an increase in taxpayer reporting errors, negative tax filing patterns and a potential decrease in voluntary compliance.

5 1-2-1 Improve tax law compliance

The loss of 52.3 FTEs in Enforcement will have a significant impact on delinquent tax collections and result in the loss of an estimated 8.6 percent of projected tax collections over the biennium. Additionally, it will increase workload inventories by five percent and slow collection processes, which will impact turnaround time for delinquent accounts. These staff reductions will also have an adverse effect on compliance activities such as special event canvassing, cold stops and fuels/cigarette tax investigations. Overall taxpayer service will decrease and result in lower levels of tax compliance.

6 2-1-1 Project receipts/process claims

The loss of 25.4 FTEs in the agency's Fiscal Management area will impact the 186 state agencies and institutions the agency serves, as well as the payees they do business with. Staff reductions in Fiscal Management will compromise the accuracy and statutorily imposed deadlines for the issuance of the state's *Comprehensive Annual Financial Report* and the *Annual Cash Report*. Failure to issue timely financial reports could adversely affect the state's bond rating.

Staff reductions will also result in a decrease in the: number of agency appropriations analyzed; turnaround time for bill costing; state agencies' compliance with the General Appropriations Act and other laws and rules; number of post-payment audits completed; training and support for agencies and institutions using the statewide financial systems; response times for inquiries on accounting and payment processing; system maintenance; support for vendors/payees receiving payments from the state; and timely communication.

7 2-4-1 Ensure the state's assets

A loss of 6.8 FTEs in Treasury Operations will result in delays in processing state funds. At today's interest rates, with an average daily deposit of around \$33 million, a processing delay of only one day will cost the state almost \$2 million in lost interest over the biennium. Also, the untimely research and reconciliation of warrants will affect the databases containing warrant status information. It will negatively impact state agencies and the public because the financial data provided will not be current. In addition, pledged collateral totaling more than \$1.5 billion will not be monitored or revalued on an ongoing basis. Finally, the timely and accurate reconciliation of all bank accounts holding state funds will be compromised.

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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8 3-1-1 Improve tax/voucher processing

A loss of 55.8 FTEs in the Revenue Administration area will impede the timely processing of all tax payments within three days of receipt (as required by statute), resulting in an average minimum loss of interest to the state of \$10.6 million over the biennium. There will be an estimated 17 percent increase in the time required to generate taxpayer refunds, resulting in a projected increase of over \$5 million in credit interest paid next biennium.

The time it takes to return tax allocations to local jurisdictions will increase from 21 days to 28 days in order to reflect the additional one week required to process all sales tax return data and money for each monthly allocation. The ability to handle electronic filing, customer service calls and the processing of franchise tax data will be severely impacted. Collection actions, including hot check billings, liens filed, certifications to the Attorney General, bankruptcy claims filed, successor liability audits processed and billed, securities forfeited and warrants held will decrease, which will severely jeopardize the agency's ability to protect the state's financial interests.

In addition, staff decreases in Revenue Administration will negatively impact Texas' Unclaimed Property Program. During fiscal 2008, approximately \$294 million in unclaimed property was voluntarily reported and remitted to Texas. It is estimated that a staffing reduction in the education, outreach and support functions of this area will reduce the amount of unclaimed property reported during the biennium by one to two percent or \$6 to \$12 million.

9 1-1-1 Maintain ongoing audit activities

A loss of 83.3 FTEs in Audit will severely impact the agency's ability to conduct tax due assessments and refund assignments. Audit completions will decline by 5,576 audits, resulting in a loss of an estimated 18.4 percent in projected tax revenue over the biennium. With reduced staffing, audit coverage is projected to drop from approximately 0.76 percent to 0.63 percent in fiscal 2010 and from 0.76 percent to 0.59 percent in fiscal 2011. Reduced audit activity eventually results in decreased levels of voluntary compliance, which promotes timely, accurate tax payments. A reduction in voluntary compliance could lower the reporting accuracy rate of reported amounts on audits from 97 percent to 95 percent.

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-03, Income-A.2, Age-B.3
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 01 Increase accuracy/number of audits and improve assessments from audits

STRATEGY: 01 Maintain an ongoing program of audit and verification activities

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$11,529,703	\$11,291,676	\$11,818,465	\$11,818,465	\$11,818,465
1002 Other Personnel Costs	580,735	407,314	297,336	297,336	297,336
2001 Professional Fees and Services	2,603,186	3,780,841	3,292,738	3,239,935	3,122,590
2002 Fuels and Lubricants	3,848	4,004	5,659	6,619	7,655
2003 Consumable Supplies	235,632	483,306	417,569	444,645	444,645
2004 Utilities	1,468,766	1,372,231	1,377,369	1,397,225	1,535,239
2005 Travel	46,013	66,400	67,072	67,072	67,072
2006 Rent – Building	146,202	166,548	121,743	165,268	165,268
2007 Rent – Machine and Other	2,436,800	2,672,484	2,704,771	2,743,842	2,718,847
2009 Other Operating Expense	3,786,943	6,051,148	5,587,279	4,940,256	4,778,159
5000 Capital Expenditures	273,483	49,660	0	352,676	362,641
TOTAL, OBJECTS OF EXPENSE	\$23,111,311	\$26,345,612	\$25,690,001	\$25,473,339	\$25,317,917

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$23,075,118	\$26,307,882	\$25,652,271	\$25,435,609	\$25,280,187
0666 Appropriated Receipts	36,193	37,730	37,730	37,730	37,730
TOTAL, METHOD OF FINANCING	\$23,111,311	\$26,345,612	\$25,690,001	\$25,473,339	\$25,317,917

FULL TIME EQUIVALENT POSITIONS:	182.6	171.2	190.5	190.5	190.5
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-03, Income-A.2, Age-B.3
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 02 Achieve average account closure rates, ratios and turnaround times

STRATEGY: 01 Improve compliance with tax laws through contact and collection program

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$4,790,651	\$4,691,699	\$4,910,581	\$4,910,581	\$4,910,581
1002 Other Personnel Costs	241,290	169,233	123,540	123,540	123,540
2001 Professional Fees and Services	1,081,784	1,571,218	1,368,377	1,346,424	1,297,655
2002 Fuels and Lubricants	1,598	1,662	2,350	2,748	3,179
2003 Consumable Supplies	97,900	200,717	173,422	184,666	184,666
2004 Utilities	610,413	570,294	572,435	580,688	638,047
2005 Travel	19,118	27,586	27,863	27,863	27,863
2006 Rent – Building	60,714	69,166	50,557	68,632	68,632
2007 Rent – Machine and Other	1,012,673	1,110,616	1,124,044	1,140,282	1,129,894
2009 Other Operating Expense	1,573,581	2,514,604	2,321,842	2,052,896	1,985,528
5000 Capital Expenditures	113,661	20,632	0	146,574	150,716
TOTAL, OBJECTS OF EXPENSE	\$9,603,383	\$10,947,427	\$10,675,011	\$10,584,894	\$10,520,301

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$9,588,342	\$10,931,027	\$10,658,611	\$10,568,494	\$10,503,901
0666 Appropriated Receipts	15,041	16,400	16,400	16,400	16,400
TOTAL, METHOD OF FINANCING	\$9,603,383	\$10,947,427	\$10,675,011	\$10,584,894	\$10,520,301

FULL TIME EQUIVALENT POSITIONS:	75.9	71.1	79.1	79.1	79.1
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 03 Improve taxpayer ratings of accuracy and speed of information disseminated

STRATEGY: 01 Provide information to taxpayers, government officials and the public

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$2,708,372	\$2,652,463	\$2,776,208	\$2,776,208	\$2,776,208
1002 Other Personnel Costs	136,417	95,680	69,845	69,845	69,845
2001 Professional Fees and Services	611,486	888,113	773,458	761,055	733,492
2002 Fuels and Lubricants	904	940	1,329	1,555	1,798
2003 Consumable Supplies	55,351	113,538	98,095	104,456	104,456
2004 Utilities	345,008	322,332	323,538	328,202	360,621
2005 Travel	10,808	15,598	15,755	15,755	15,755
2006 Rent – Building	34,346	39,125	28,600	38,825	38,825
2007 Rent – Machine and Other	572,400	627,761	635,345	644,522	638,651
2009 Other Operating Expense	889,560	1,421,412	1,312,449	1,160,469	1,122,393
5000 Capital Expenditures	64,239	11,665	0	82,842	85,183
TOTAL, OBJECTS OF EXPENSE	\$5,428,891	\$6,188,627	\$6,034,622	\$5,983,734	\$5,947,227

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$5,420,390	\$6,178,845	\$6,024,840	\$5,973,952	\$5,937,445
0666 Appropriated Receipts	8,501	9,782	9,782	9,782	9,782
TOTAL, METHOD OF FINANCING	\$5,428,891	\$6,188,627	\$6,034,622	\$5,983,734	\$5,947,227

FULL TIME EQUIVALENT POSITIONS:	42.9	40.2	44.8	44.8	44.8
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-01, Income-A.2, Age-B.3
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 04 Provide fair and timely hearings and position letters

STRATEGY: 01 Provide tax hearings/represent the agency/provide legal counsel

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$922,445	\$916,866	\$959,396	\$959,396	\$959,396
1002 Other Personnel Costs	48,651	34,522	24,856	24,856	24,856
2001 Professional Fees and Services	168,790	232,846	202,276	201,760	195,529
2002 Fuels and Lubricants	509	563	796	931	1,077
2003 Consumable Supplies	20,449	65,058	54,794	58,604	58,604
2004 Utilities	81,867	76,248	74,943	75,998	83,326
2005 Travel	3,802	6,300	6,676	6,676	6,676
2006 Rent – Building	20,574	22,855	17,132	23,257	23,257
2007 Rent – Machine and Other	149,275	163,991	163,329	165,404	164,077
2009 Other Operating Expense	279,528	398,120	366,907	342,986	334,378
5000 Capital Expenditures	14,522	4,104	0	18,727	19,257
TOTAL, OBJECTS OF EXPENSE	\$1,710,412	\$1,921,473	\$1,871,105	\$1,878,595	\$1,870,433

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$1,708,361	\$1,917,912	\$1,867,544	\$1,875,034	\$1,866,872
0666 Appropriated Receipts	2,051	3,561	3,561	3,561	3,561
TOTAL, METHOD OF FINANCING	\$1,710,412	\$1,921,473	\$1,871,105	\$1,878,595	\$1,870,433

FULL TIME EQUIVALENT POSITIONS:	19.8	18.4	20.4	20.4	20.4
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 01 Maintain state's accounting system; certify general appropriations act

STRATEGY: 01 Project receipts and disbursements; complete accounting and reporting responsibilities

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$3,017,221	\$2,954,918	\$3,092,774	\$3,092,774	\$3,092,774
1002 Other Personnel Costs	151,971	106,588	77,809	77,809	77,809
2001 Professional Fees and Services	681,269	989,481	861,740	847,919	817,207
2002 Fuels and Lubricants	1,006	1,047	1,480	1,731	2,003
2003 Consumable Supplies	61,661	126,450	109,253	116,337	116,337
2004 Utilities	384,398	359,133	360,480	365,676	401,797
2005 Travel	12,041	17,375	17,550	17,550	17,550
2006 Rent – Building	38,251	43,574	31,851	43,239	43,239
2007 Rent – Machine and Other	637,734	699,414	707,867	718,092	711,551
2009 Other Operating Expense	991,032	1,583,616	1,462,220	1,292,872	1,250,449
5000 Capital Expenditures	71,576	12,995	0	92,301	94,910
TOTAL, OBJECTS OF EXPENSE	\$6,048,160	\$6,894,591	\$6,723,024	\$6,666,300	\$6,625,626
	=====				

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$6,038,688	\$6,883,908	\$6,712,341	\$6,655,617	\$6,614,943
0666 Appropriated Receipts	9,472	10,683	10,683	10,683	10,683
TOTAL, METHOD OF FINANCING	\$6,048,160	\$6,894,591	\$6,723,024	\$6,666,300	\$6,625,626
	=====				

FULL TIME EQUIVALENT POSITIONS:	47.8	44.8	49.8	49.8	49.8
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 02 Improve the accuracy of the property value study

STRATEGY: 01 Conduct property value study; provide assistance; review methods

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$1,495,377	\$1,464,466	\$1,532,789	\$1,532,789	\$1,532,789
1002 Other Personnel Costs	75,313	52,822	38,560	38,560	38,560
2001 Professional Fees and Services	337,741	490,566	427,236	420,377	405,149
2002 Fuels and Lubricants	498	518	733	857	991
2003 Consumable Supplies	30,556	62,607	54,096	57,603	57,603
2004 Utilities	190,598	178,071	178,743	181,319	199,230
2005 Travel	5,967	8,609	8,695	8,695	8,695
2006 Rent – Building	18,936	21,573	15,768	21,406	21,406
2007 Rent – Machine and Other	316,179	346,758	350,955	356,025	352,782
2009 Other Operating Expense	491,225	785,068	724,888	640,890	619,854
5000 Capital Expenditures	35,491	6,441	0	45,769	47,061
TOTAL, OBJECTS OF EXPENSE	\$2,997,881	\$3,417,499	\$3,332,463	\$3,304,290	\$3,284,120
	=====				

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$2,993,184	\$3,412,225	\$3,327,189	\$3,299,016	\$3,278,846
0666 Appropriated Receipts	4,697	5,274	5,274	5,274	5,274
TOTAL, METHOD OF FINANCING	\$2,997,881	\$3,417,499	\$3,332,463	\$3,304,290	\$3,284,120
	=====				

FULL TIME EQUIVALENT POSITIONS:	23.7	22.2	24.7	24.7	24.7
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-02, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 03 Identify/develop research to promote understanding of fiscal issues

STRATEGY: 01 Provide information and analysis to the public and private sectors

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$761,412	\$756,778	\$791,882	\$791,882	\$791,882
1002 Other Personnel Costs	40,153	28,491	20,515	20,515	20,515
2001 Professional Fees and Services	139,410	192,349	167,097	166,663	161,513
2002 Fuels and Lubricants	420	464	656	768	888
2003 Consumable Supplies	16,876	53,643	45,182	48,323	48,323
2004 Utilities	67,653	63,010	61,937	62,808	68,866
2005 Travel	3,138	5,198	5,508	5,508	5,508
2006 Rent – Building	16,963	18,844	14,125	19,175	19,175
2007 Rent – Machine and Other	123,315	135,471	134,932	136,647	135,550
2009 Other Operating Expense	230,781	328,807	303,030	283,224	276,110
5000 Capital Expenditures	12,003	3,386	0	15,479	15,916
TOTAL, OBJECTS OF EXPENSE	\$1,412,124	\$1,586,441	\$1,544,864	\$1,550,992	\$1,544,246
	=====				

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$1,410,429	\$1,584,183	\$1,542,606	\$1,548,734	\$1,541,988
0666 Appropriated Receipts	1,695	2,258	2,258	2,258	2,258
TOTAL, METHOD OF FINANCING	\$1,412,124	\$1,586,441	\$1,544,864	\$1,550,992	\$1,544,246
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FULL TIME EQUIVALENT POSITIONS:	16.4	15.2	16.8	16.8	16.8
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 04 Maximize state revenue

STRATEGY: 01 Ensure that the state's assets, cash receipts, and warrants are properly secured

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$742,916	\$727,556	\$761,499	\$761,499	\$761,499
1002 Other Personnel Costs	37,415	26,241	19,157	19,157	19,157
2001 Professional Fees and Services	167,804	243,738	212,272	208,863	201,297
2002 Fuels and Lubricants	247	257	364	425	492
2003 Consumable Supplies	15,180	31,096	26,869	28,611	28,611
2004 Utilities	94,701	88,477	88,811	90,091	98,990
2005 Travel	2,964	4,276	4,319	4,319	4,319
2006 Rent – Building	9,405	10,715	7,831	10,631	10,631
2007 Rent – Machine and Other	157,093	172,287	174,373	176,892	175,280
2009 Other Operating Expense	244,051	390,053	360,153	318,415	307,962
5000 Capital Expenditures	17,634	3,199	0	22,740	23,383
TOTAL, OBJECTS OF EXPENSE	\$1,489,410	\$1,697,895	\$1,655,648	\$1,641,643	\$1,631,621

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$1,487,076	\$1,694,303	\$1,652,056	\$1,638,051	\$1,628,029
0666 Appropriated Receipts	2,334	3,592	3,592	3,592	3,592
TOTAL, METHOD OF FINANCING	\$1,489,410	\$1,697,895	\$1,655,648	\$1,641,643	\$1,631,621

FULL TIME EQUIVALENT POSITIONS:	11.8	11.0	12.3	12.3	12.3
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 05 Manage a procurement system; maximize competition; provide support services

STRATEGY: 01 Provide statewide procurement and support services

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$911,976	\$756,374	\$958,572	\$958,572	\$958,572
1002 Other Personnel Costs	39,036	15,349	17,920	17,920	17,920
2001 Professional Fees and Services	806,339	274,872	607,111	601,239	596,677
2002 Fuels and Lubricants	0	0	0	0	0
2003 Consumable Supplies	10,816	236	300	300	300
2004 Utilities	0	181	726	726	726
2005 Travel	5,886	9,687	8,850	8,850	8,850
2006 Rent – Building	1,691	0	0	0	0
2007 Rent – Machine and Other	7,837	7,141	13,708	13,708	13,708
2009 Other Operating Expense	143,183	20,889	12,154	12,154	12,154
5000 Capital Expenditures	0	0	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$1,926,764	\$1,084,729	\$1,619,341	\$1,613,469	\$1,608,907

METHOD OF FINANCING:					
0001 General Revenue Fund	\$1,331,394	\$740,870	\$1,136,778	\$1,132,655	\$1,129,452
0666 Appropriated Receipts	342,964	178,980	353,016	351,736	350,742
0777 Interagency Contract Receipts	252,406	164,879	129,547	129,078	128,713
TOTAL, METHOD OF FINANCING	\$1,926,764	\$1,084,729	\$1,619,341	\$1,613,469	\$1,608,907

FULL TIME EQUIVALENT POSITIONS:	16.3	10.9	14.0	14.0	14.0
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 06 Establish new state services; achieve savings and measurable value

STRATEGY: 01 Identify state programs, services and processes for competitive bidding

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$37,650	\$37,423	\$39,159	\$39,159	\$39,159
1002 Other Personnel Costs	1,985	1,409	1,014	1,014	1,014
2001 Professional Fees and Services	6,889	9,503	8,256	8,235	7,980
2002 Fuels and Lubricants	20	23	32	38	43
2003 Consumable Supplies	834	2,655	2,236	2,392	2,392
2004 Utilities	3,341	3,112	3,058	3,101	3,401
2005 Travel	155	257	272	272	272
2006 Rent – Building	839	932	699	949	949
2007 Rent – Machine and Other	6,092	6,693	6,666	6,751	6,697
2009 Other Operating Expense	11,409	16,249	14,975	13,999	13,648
5000 Capital Expenditures	593	167	0	764	786
TOTAL, OBJECTS OF EXPENSE	\$69,807	\$78,423	\$76,367	\$76,674	\$76,341

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$69,807	\$78,423	\$76,367	\$76,674	\$76,341
TOTAL, METHOD OF FINANCING	\$69,807	\$78,423	\$76,367	\$76,674	\$76,341

FULL TIME EQUIVALENT POSITIONS:	0.8	0.8	0.8	0.8	0.8
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-04	Service Categories: Service-03, Income-A.2, Age-B.3
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GOAL: 03 To expeditiously manage the receipt and disbursement of state tax revenue

OBJECTIVE: 01 Generate taxpayer refunds; return tax allocations; maintain turnaround

STRATEGY: 01 Improve tax/voucher data processing, tax collection and disbursements

OBJECTS OF EXPENSE:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001 Salaries and Wages	\$5,807,291	\$5,687,413	\$5,952,746	\$5,952,746	\$5,952,746
1002 Other Personnel Costs	292,507	205,158	149,763	149,763	149,763
2001 Professional Fees and Services	1,311,140	1,904,276	1,658,436	1,631,843	1,572,742
2002 Fuels and Lubricants	1,938	2,017	2,850	3,334	3,856
2003 Consumable Supplies	118,684	243,455	210,340	223,979	223,979
2004 Utilities	739,758	691,137	693,724	703,724	773,236
2005 Travel	23,176	33,445	33,784	33,784	33,784
2006 Rent – Building	73,646	83,895	61,326	83,251	83,251
2007 Rent – Machine and Other	1,227,330	1,346,035	1,362,295	1,381,974	1,369,385
2009 Other Operating Expense	1,907,390	3,047,773	2,814,136	2,488,267	2,406,626
5000 Capital Expenditures	137,742	25,011	0	177,628	182,647
TOTAL, OBJECTS OF EXPENSE	\$11,640,602	\$13,269,615	\$12,939,400	\$12,830,293	\$12,752,015

METHOD OF FINANCING:	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
0001 General Revenue Fund	\$11,622,290	\$13,249,900	\$12,919,685	\$12,810,578	\$12,732,300
0666 Appropriated Receipts	18,312	19,715	19,715	19,715	19,715
TOTAL, METHOD OF FINANCING	\$11,640,602	\$13,269,615	\$12,939,400	\$12,830,293	\$12,752,015

FULL TIME EQUIVALENT POSITIONS:	92.0	86.3	96.0	96.0	96.0
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

FISCAL PROGRAMS (AGENCY 902)

SUMMARY OF BASE REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts						
CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
01	Comptroller of Public Accounts – Fiscal Programs					
01	Comptroller of Public Accounts – Fiscal Programs					
01	Voter Registration	\$675,749	\$4,187,808	\$1,000,000	\$5,000,000	\$1,000,000
02	Miscellaneous Claims	2,578,433	3,339,400	2,970,000	2,970,000	2,970,000
03	Reimbursement – Commitment Hearings	0	0	2,000	2,000	U.B.
04	Reimbursement – Mixed Beverage Tax	112,267,676	114,442,000	117,876,000	128,318,000	132,937,000
05	Judgments and Settlements	1,144,178	286,434	2,213,566	2,500,000	U.B.
06	County Taxes – University Lands	1,807,275	2,565,016	2,689,178	2,916,902	3,199,679
07	Lateral Road Fund Districts	7,300,000	7,300,000	7,300,000	7,300,000	7,300,000
08	Unclaimed Property	125,037,890	138,303,879	155,591,864	127,000,000	129,000,000
09	Underage Tobacco Program	1,949,023	2,000,000	2,000,000	2,000,000	2,000,000
10	Ranger Pensions	960	1,160	1,160	1,160	1,160
11	Local Continuing Education Grants	5,999,429	5,997,851	6,000,000	6,000,000	6,000,000
12	Advanced Tax Compliance	10,563,077	10,659,775	10,659,775	10,659,775	10,659,775
13	Subsequent CVC Claims	13,321	6,815	23,185	30,000	U.B.
TOTAL, GOAL 01		\$269,337,011	\$289,090,138	\$308,326,728	\$294,697,837	\$295,067,614
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02	Develop and administer programs that promote energy efficiency					
01	Maintain \$95 million balance in LoanSTAR Program					
01	Promote and manage energy programs	\$1,700,171	\$2,316,018	\$2,321,044	\$2,763,025	\$2,763,025
02	Oil Overcharge Settlement Funds	14,410,920	18,362,348	21,795,629	40,068,743	125,401
TOTAL, GOAL 02		\$16,111,091	\$20,678,366	\$24,116,673	\$42,831,768	\$2,888,426
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SUMMARY OF BASE REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
TOTAL, AGENCY STRATEGY REQUEST		\$285,448,102	\$309,768,504	\$332,443,401	\$337,529,605	\$297,956,040
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST *		\$0	\$0	\$0	\$0	\$0
GRAND TOTAL, AGENCY REQUEST		\$285,448,102	\$309,768,504	\$332,443,401	\$337,529,605	\$297,956,040

METHOD OF FINANCING:

GENERAL REVENUE:

0001 General Revenue Fund		\$263,317,033	\$283,263,054	\$302,855,551	\$289,229,834	\$289,629,611
TOTAL, GENERAL REVENUE		\$263,317,033	\$283,263,054	\$302,855,551	\$289,229,834	\$289,629,611

GENERAL REVENUE – DEDICATED FUNDS:

0009 GR Dedicated – Game, Fish and Water Safety Account		\$1,059	\$22,756	\$0	\$0	\$0
0036 GR Dedicated – Texas Department of Insurance Operating Fund		40	0	0	0	0
0064 GR Dedicated – State Parks Account		16,655	11,560	0	0	0
0116 GR Dedicated – Law Enforcement Officer Standards and Education		5,999,429	5,997,851	6,000,000	6,000,000	6,000,000
0145 GR Dedicated – Oil Field Cleanup Account		2,119	0	0	0	0
0151 GR Dedicated – Clean Air Account		235	421	0	0	0
0227 GR Dedicated – Angelo State University Account		3,406	0	0	0	0
0492 GR Dedicated – Business Enterprise Program Account		2,656	0	0	0	0
0494 GR Dedicated – Compensation to Victims of Crime Auxiliary Account		13,321	6,815	23,185	30,000	U.B.
0549 GR Dedicated – Waste Management Account		11,500	0	0	0	0
0550 GR Dedicated – Hazardous and Solid Waste Remediation Fee Account		22,080	0	0	0	0
0858 GR Dedicated – Board of Public Accountancy Operating Trust Fund Account		1,605	0	0	0	0
5005 GR Dedicated – Oil Overcharge Account		14,803,511	18,922,010	22,355,291	40,628,405	685,063
TOTAL, GENERAL REVENUE – DEDICATED		\$20,877,616	\$24,961,413	\$28,378,476	\$46,658,405	\$6,685,063

SUMMARY OF BASE REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
FEDERAL FUNDS:						
0092	Federal Disaster Fund Account	\$18,021	\$0	\$0	\$0	\$0
0273	Federal Health and Health Lab Funding Excess Revenue Fund Account	5,152	0	0	0	0
0555	Federal Funds	769,301	1,209,374	1,209,374	1,641,366	1,641,366
5026	Workforce Commission Federal Fund	2,951	0	0	0	0
5041	Railroad Commission Federal Fund	1,200	0	0	0	0
TOTAL, FEDERAL FUNDS		\$796,625	\$1,209,374	\$1,209,374	\$1,641,366	\$1,641,366
=====						
OTHER FUNDS:						
0006	State Highway Fund	\$421,182	\$324,572	\$0	\$0	\$0
0735	TPFA Series B Master Lease Project Fund	90	0	0	0	0
0936	Unemployment Compensation Clearance Account	35,556	10,091	0	0	0
TOTAL, OTHER FUNDS		\$456,828	\$334,663	\$0	\$0	\$0
=====						
TOTAL, METHOD OF FINANCING		\$285,448,102	\$309,768,504	\$332,443,401	\$337,529,605	\$297,956,040

* Rider appropriations for the historical years are included in the strategy amounts.

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
GENERAL REVENUE:						
0001	General Revenue Fund					
	REGULAR APPROPRIATIONS	\$198,811,295	\$236,781,970	\$238,838,132	\$289,229,834	\$289,629,611
	Estimated Appropriation – Strategy A.1.2. Miscellaneous Claims	603,235	1,200,000	1,200,000	0	0
	Estimated Appropriation – Strategy A.1.4. Mixed Beverage Tax	20,310,676	0	0	0	0
	Estimated Appropriation – Strategy A.1.6. County Taxes – University Lands	507,275	0	0	0	0
	Estimated Appropriation – Strategy A.1.8. Unclaimed Property	42,737,890	48,303,879	60,591,864	0	0
	TRANSFERS					
	Article IX, Section 13.17(a) Salary Increase (2006-07 GAA)	10,691	0	0	0	0
	Article IX, Section 13.17(a) Salary Increase (2006-07 GAA)	5,388	0	0	0	0
	Article IX, Section 19.62(a) Salary Increase (2008-09 GAA)	0	4,963	4,963	0	0
	Article IX, Section 19.62(a) Salary Increase (2008-09 GAA)	0	0	5,026	0	0
	LAPSED APPROPRIATIONS					
	Strategy A.1.1. Voter Registration	(324,251)	(812,192)	0	0	0
	Strategy A.1.10. Ranger Pensions	(1,360)	0	0	0	0
	Strategy A.1.12. Advanced Tax Compliance and Debt Collections	(96,698)	0	0	0	0
	Strategy A.1.3. Reimbursement – Commitment Hearings	(1,700)	0	0	0	0
	Strategy A.1.5. Judgments and Settlements	(482,347)	0	0	0	0
	Strategy A.1.9. Underage Tobacco Program	(50,977)	0	0	0	0
	UNEXPENDED BALANCES AUTHORITY					
	Strategy A.1.3. Reimbursement – Commitment Hearings	1,700	(2,000)	2,000	0	0
	Strategy A.1.5. Judgments and Settlements	1,286,216	(2,213,566)	2,213,566	0	0
TOTAL, General Revenue Fund		\$263,317,033	\$283,263,054	\$302,855,551	\$289,229,834	\$289,629,611

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE -- FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
GENERAL REVENUE FUND -- DEDICATED:						
0009	GR Dedicated -- Game, Fish and Water Safety Account, No. 0009					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$1,059	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	0	22,756	0	0	0
TOTAL, GR Dedicated -- Game, Fish and Water Safety Account, No. 0009		<u>\$1,059</u>	<u>\$22,756</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
=====						
0036	GR Dedicated -- Texas Department of Insurance Operating Fund, No. 0036					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$40	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated -- Texas Department of Insurance Operating Fund, No. 0036		<u>\$40</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
=====						
0064	GR Dedicated -- State Parks Account, No. 0064					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$16,655	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	0	11,560	0	0	0
TOTAL, GR Dedicated -- State Parks Account, No. 0064		<u>\$16,655</u>	<u>\$11,560</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
=====						
0116	GR Dedicated -- Law Enforcement Officer Standards Account, No. 0116					
	REGULAR APPROPRIATION	\$6,000,000	\$6,000,000	\$6,000,000	\$6,000,000	\$6,000,000
	LAPSED APPROPRIATION					
	Strategy A.1.11. Local Continuing Education Grants	(571)	(2,149)	0	0	0
TOTAL, GR Dedicated -- Law Enforcement Officer Standards Account, No. 0116		<u>\$5,999,429</u>	<u>\$5,997,851</u>	<u>\$6,000,000</u>	<u>\$6,000,000</u>	<u>\$6,000,000</u>
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
GENERAL REVENUE FUND – DEDICATED:						
0145 GR Dedicated – Oil Field Cleanup Account, No. 0145						
RIDER APPROPRIATION						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$2,119	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Oil Field Cleanup Account, No. 0145		\$2,119	\$0	\$0	\$0	\$0
=====						
0151 GR Dedicated – Clean Air Account, No. 0151						
RIDER APPROPRIATIONS						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$235	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	0	421	0	0	0
TOTAL, GR Dedicated – Clean Air Account, No. 0151		\$235	\$421	\$0	\$0	\$0
=====						
0227 GR Dedicated – Angelo State University Account, No. 0227						
RIDER APPROPRIATION						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$3,406	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Angelo State University Account, No. 0227		\$3,406	\$0	\$0	\$0	\$0
=====						
0492 GR Dedicated – Business Enterprise Program Account, No. 0492						
RIDER APPROPRIATION						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$2,656	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Business Enterprise Program Account, No. 0492		\$2,656	\$0	\$0	\$0	\$0
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts		EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
CODE	METHOD OF FINANCE					
GENERAL REVENUE FUND – DEDICATED:						
0494	GR Dedicated – Compensation to Victims of Crime Auxiliary Account, No. 0494					
	REGULAR APPROPRIATION	\$0	\$30,000	\$0	\$30,000	U.B.
	LAPSED APPROPRIATION					
	Strategy A.1.13 Compensation to Victims of Crime Auxiliary Account	(16,679)	0	0	0	0
	UNEXPENDED BALANCES AUTHORITY					
	Strategy A.1.13 Compensation to Victims of Crime Auxiliary Account	30,000	(23,185)	23,185	0	0
TOTAL, GR Dedicated – Compensation to Victims of Crime Auxiliary Account, No. 0494		\$13,321	\$6,815	\$23,185	\$30,000	U.B.
=====						
0549	GR Dedicated - Waste Management Account, No. 0549					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$11,500	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Waste Management Account, No. 0549		\$11,500	\$0	\$0	\$0	\$0
=====						
0550	GR Dedicated – Hazardous and Solid Waste Remediation Fee Account, No. 0550					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$22,080	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Hazardous and Solid Waste Remediation Fee Account, No. 0550		\$22,080	\$0	\$0	\$0	\$0
=====						
0858	GR Dedicated – Board of Public Accountancy Operating Trust Account, No. 0858					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$1,605	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Board of Public Accountancy Operating Trust Account, No. 0858		\$1,605	\$0	\$0	\$0	\$0
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
GENERAL REVENUE FUND – DEDICATED:						
5005 GR Dedicated – Oil Overcharge Account, No. 5005						
	REGULAR APPROPRIATION	\$10,695,018	\$34,832,339	\$11,699,498	\$40,628,405	\$685,063
	Estimated Appropriations – Strategy B.1.2. Oil Overcharge Settlement Funds	6,258,718	3,365,988	0	0	0
UNEXPENDED BALANCES AUTHORITY						
	Rider # 10, Oil Overcharge Funds (2006-07 GAA)	37,587,733	0	0	0	0
	Rider # 10, Oil Overcharge Funds (2006-07 GAA)	(24,252,165)	0	0	0	0
	Rider # 10, Oil Overcharge Funds Revised Estimates, U.B. In	3,818,431	0	0	0	0
	Rider # 10, Oil Overcharge Funds Revised Estimates, U.B. Out	(19,304,224)	19,304,224	0	0	0
	Rider # 10, Oil Overcharge Funds (2008-09 GAA)	0	(38,580,541)	38,580,541	0	0
	Rider # 10, Oil Overcharge Funds (2008-09 GAA)	0	0	(27,924,748)	0	0
TOTAL, GR Dedicated – Oil Overcharge Account, No. 5005		\$14,803,511	\$18,922,010	\$22,355,291	\$40,628,405	\$685,063
TOTAL, General Revenue Fund – Dedicated		\$20,877,616	\$24,961,413	\$28,378,476	\$46,658,405	\$6,685,063
TOTAL, General Revenue and General Revenue – Dedicated Funds		\$284,194,649	\$308,224,467	\$331,234,027	\$335,888,239	\$296,314,674
FEDERAL FUNDS:						
0092 Federal Disaster Fund, No. 0092						
RIDER APPROPRIATION						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$18,021	\$0	\$0	\$0	\$0
TOTAL, Federal Disaster Fund, No. 0092		\$18,021	\$0	\$0	\$0	\$0
0273 Federal Health and Health Lab Funding Excess Revenue Fund, No. 0273						
RIDER APPROPRIATION						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$5,152	\$0	\$0	\$0	\$0
TOTAL, Federal Health and Health Lab Funding Excess Revenue Fund, No. 0273		\$5,152	\$0	\$0	\$0	\$0

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
FEDERAL FUNDS:						
0555	Federal Funds					
	REGULAR APPROPRIATION	\$829,808	\$1,209,374	\$1,209,374	\$1,641,366	\$1,641,366
	LAPSED APPROPRIATION					
	Strategy B.1.1. Energy Office	(60,507)	0	0	0	0
TOTAL, Federal Funds		\$769,301	\$1,209,374	\$1,209,374	\$1,641,366	\$1,641,366
=====						
5026	Workforce Commission Federal Account, No. 5026					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$2,951	\$0	\$0	\$0	\$0
TOTAL, Workforce Commission Federal Account, No. 5026		\$2,951	\$0	\$0	\$0	\$0
=====						
5041	Railroad Commission Federal Account, No. 5041					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$1,200	\$0	\$0	\$0	\$0
TOTAL, Railroad Commission Federal Account, No. 5041		\$1,200	\$0	\$0	\$0	\$0
=====						
TOTAL, Federal Funds		\$796,625	\$1,209,374	\$1,209,374	\$1,641,366	\$1,641,366
=====						
OTHER FUNDS:						
0006	State Highway Fund, No. 0006					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$80,873	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	0	324,572	0	0	0
	Rider # 4 Appropriation, Payment of Judgments and Settlements (2006-07 GAA)	340,309	0	0	0	0
TOTAL, State Highway Fund, No. 0006		\$421,182	\$324,572	\$0	\$0	\$0
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts		EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
CODE	METHOD OF FINANCE					
OTHER FUNDS:						
0735	TPFA Series B Master Lease Project Fund, No. 0735					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$90	\$0	\$0	\$0	\$0
TOTAL, TPFA Series B Master Lease Project Fund, No. 0735		\$90	\$0	\$0	\$0	\$0
=====						
0936	Unemployment Compensation Clearance Account, No. 0936					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2006-07 GAA)	\$35,556	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	0	10,091	0	0	0
TOTAL, Unemployment Compensation Clearance Account, No. 0936		\$35,556	\$10,091	\$0	\$0	\$0
TOTAL, Other Funds		\$456,828	\$334,663	\$0	\$0	\$0
GRAND TOTAL		\$285,448,102	\$309,768,504	\$332,443,401	\$337,529,605	\$297,956,040
=====						
FULL TIME EQUIVALENTS						
	REGULAR APPROPRIATIONS	25.0	25.0	25.0	25.0	25.0
	AVERAGE NUMBER BELOW CAP					
	Vacancies	(5.4)	(6.1)	0.0	0.0	0.0
TOTAL ADJUSTED FTES		19.6	18.9	25.0	25.0	25.0
=====						
NUMBER OF 100% FEDERALLY FUNDED FTES		13.5	14.5	14.5	15.0	15.0

SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
1001	Salaries and Wages	\$1,219,537	\$1,227,248	\$1,251,793	\$1,503,793	\$1,503,793
1002	Other Personnel Costs	128,579	132,909	135,194	162,410	162,410
2001	Professional Fees and Services	4,967,683	4,355,659	8,695,646	8,969,771	9,069,795
2003	Consumable Supplies	10,399	268	500	500	500
2004	Utilities	775,729	547,367	536,578	665,303	553,368
2005	Travel	43,818	35,858	49,944	53,940	58,255
2006	Rent – Building	375	600	0	0	0
2007	Rent – Machine and Other	19,941	15,535	19,617	19,617	19,617
2009	Other Operating Expense	129,129,914	142,979,449	162,316,134	133,614,669	133,094,580
3001	Client Services	1,030,139	666,149	25,000	25,000	25,000
4000	Grants	143,587,533	155,019,733	159,412,995	192,514,602	153,468,722
5000	Capital Expenditures	4,534,455	4,787,729	0	0	0
AGENCY TOTAL		\$285,448,102	\$309,768,504	\$332,443,401	\$337,529,605	\$297,956,040

SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
02	To develop and administer programs that promote energy efficiency					
01	Maintain \$95 million balance in LoanSTAR Program					
01	Percent of Public Schools That Sign Up for The Watt Watchers Program	8.0%	6.0%	5.0%	5.0%	5.0%
02	Energy Dollars Saved as Percent of Energy Expenditures (K)	20.0%	20.0%	20.0%	23.0%	23.0%
03	Energy Dollars Saved by LoanSTAR Projects (Millions) (K)	\$24.7	\$27.2	\$24.0	\$18.0	\$20.0

SUMMARY OF EXCEPTIONAL ITEMS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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Priority	Item	2010			2011			Biennium	
		GR / GR Dedicated	All Funds	FTEs	GR / GR Dedicated	All Funds	FTEs	GR / GR Dedicated	All Funds
1	Oil Overcharge Settlement Funds	\$0	\$0	0.0	\$13,137,918	\$13,137,918	0.0	\$13,137,918	\$13,137,918
TOTAL, EXCEPTIONAL ITEMS REQUEST		\$0	\$0	0.0	\$13,137,918	\$13,137,918	0.0	\$13,137,918	\$13,137,918

METHOD OF FINANCING:

5005 GR Dedicated – Oil Overcharge Account	\$0	\$0		\$13,137,918	\$13,137,918		\$13,137,918	\$13,137,918
TOTAL, METHOD OF FINANCING	\$0	\$0		\$13,137,918	\$13,137,918		\$13,137,918	\$13,137,918

FULL TIME EQUIVALENT POSITIONS:

0.0

0.0

NUMBER OF 100% FEDERALLY FUNDED FTES

0.0

0.0

PREPARED BY: Robert Chapa

SUMMARY OF TOTAL REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	BL 2010	BL 2011	EXCP 2010	EXCP 2011	TTL 2010	TTL 2011
01 Comptroller of Public Accounts – Fiscal Programs							
01 Comptroller of Public Accounts – Fiscal Programs							
01	Voter Registration	\$5,000,000	\$1,000,000	\$0	\$0	\$5,000,000	\$1,000,000
02	Miscellaneous Claims	2,970,000	2,970,000	0	0	2,970,000	2,970,000
03	Reimbursement – Commitment Hearings	2,000	U.B.	0	0	2,000	U.B.
04	Reimbursement – Mixed Beverage Tax	128,318,000	132,937,000	0	0	128,318,000	132,937,000
05	Judgments and Settlements	2,500,000	U.B.	0	0	2,500,000	U.B.
06	County Taxes – University Lands	2,916,902	3,199,679	0	0	2,916,902	3,199,679
07	Lateral Road Fund Districts	7,300,000	7,300,000	0	0	7,300,000	7,300,000
08	Unclaimed Property	127,000,000	129,000,000	0	0	127,000,000	129,000,000
09	Underage Tobacco Program	2,000,000	2,000,000	0	0	2,000,000	2,000,000
10	Ranger Pensions	1,160	1,160	0	0	1,160	1,160
11	Local Continuing Education Grants	6,000,000	6,000,000	0	0	6,000,000	6,000,000
12	Advanced Tax Compliance	10,659,775	10,659,775	0	0	10,659,775	10,659,775
13	Subsequent CVC Claims	30,000	U.B.	0	0	30,000	U.B.
TOTAL, GOAL 01		\$294,697,837	\$295,067,614	\$0	\$0	\$294,697,837	\$295,067,614
=====							
02 Develop and administer programs that promote energy efficiency							
01 Maintain \$95 million balance in LoanSTAR Program							
01	Promote and manage energy programs	\$2,763,025	\$2,763,025	\$0	\$0	\$2,763,025	\$2,763,025
02	Oil Overcharge Settlement Funds	40,068,743	125,401	0	13,137,918	40,068,743	13,263,319
TOTAL, GOAL 02		\$42,831,768	\$2,888,426	\$0	\$13,137,918	\$42,831,768	\$16,026,344
=====							

SUMMARY OF TOTAL REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	BL 2010	BL 2011	EXCP 2010	EXCP 2011	TTL 2010	TTL 2011
TOTAL, AGENCY STRATEGY REQUEST		\$337,529,605	\$297,956,040	\$0	\$13,137,918	\$337,529,605	\$311,093,958
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST		\$0	\$0	\$0	\$0	\$0	\$0
GRAND TOTAL, AGENCY REQUEST		\$337,529,605	\$297,956,040	\$0	\$13,137,918	\$337,529,605	\$311,093,958
METHOD OF FINANCING:							
GENERAL REVENUE:							
0001 General Revenue Fund		\$289,229,834	\$289,629,611	\$0	\$0	\$289,229,834	\$289,629,611
TOTAL, GENERAL REVENUE		\$289,229,834	\$289,629,611	\$0	\$0	\$289,229,834	\$289,629,611
GENERAL REVENUE – DEDICATED FUNDS:							
0116 GR Dedicated – Law Enforcement Standards Account		\$6,000,000	\$6,000,000	\$0	\$0	\$6,000,000	\$6,000,000
0494 GR Dedicated – Compensation to Victims of Crime Auxiliary		30,000	U.B.	0	0	30,000	U.B.
5005 GR Dedicated – Oil Overcharge Settlement		40,628,405	685,063	0	13,137,918	40,628,405	13,822,981
TOTAL, GENERAL REVENUE – DEDICATED		\$46,658,405	\$6,685,063	\$0	\$13,137,918	\$46,658,405	\$19,822,981
FEDERAL FUNDS:							
0555 Federal Funds		\$1,641,366	\$1,641,366	\$0	\$0	\$1,641,366	\$1,641,366
TOTAL, FEDERAL FUNDS		\$1,641,366	\$1,641,366	\$0	\$0	\$1,641,366	\$1,641,366
TOTAL, METHOD OF FINANCING		\$337,529,605	\$297,956,040	\$0	\$13,137,918	\$337,529,605	\$311,093,958
FULL TIME EQUIVALENT POSITIONS:							
		25.0	25.0	0.0	0.0	25.0	25.0

SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	BL 2010	BL 2011	EXCP 2010	EXCP 2011	TTL 2010	TTL 2011
02	To develop and administer programs that promote energy efficiency						
01	Maintain \$95 million balance in LoanSTAR Program						
01	Percent of Public Schools That Sign Up for Watt Watchers	5.0%	5.0%	0.0%	0.0%	5.0%	5.0%
02	Energy Dollars Saved as Percent of Energy Expenditures (K)	23.0%	23.0%	0.0%	0.0%	23.0%	23.0%
03	Energy Dollars Saved by LoanSTAR Projects (Millions) (K)	\$18.0	\$20.0	\$0.0	\$0.0	\$18.0	\$20.0

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 01 Voter Registration

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
4000	Grants	\$675,749	\$4,187,808	\$1,000,000	\$5,000,000	\$1,000,000
TOTAL, OBJECTS OF EXPENSE		<u>\$675,749</u>	<u>\$4,187,808</u>	<u>\$1,000,000</u>	<u>\$5,000,000</u>	<u>\$1,000,000</u>

METHOD OF FINANCING:

0001	General Revenue Fund	\$675,749	\$4,187,808	\$1,000,000	\$5,000,000	\$1,000,000
TOTAL, METHOD OF FINANCING		<u>\$675,749</u>	<u>\$4,187,808</u>	<u>\$1,000,000</u>	<u>\$5,000,000</u>	<u>\$1,000,000</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For payment to each county based upon the number of initial registrations, canceled registrations and updated registrations of voters in the county as shown by a certified statement submitted by the Registrar to the Secretary of State, as required by VACS, Election Code, Section 19.002. For payment to counties for voter registration. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by claims made by counties for voter registration related expenses.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 02 Miscellaneous Claims

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
	2009 Other Operating Expense	\$2,578,433	\$3,339,400	\$2,970,000	\$2,970,000	\$2,970,000
TOTAL, OBJECTS OF EXPENSE		\$2,578,433	\$3,339,400	\$2,970,000	\$2,970,000	\$2,970,000
METHOD OF FINANCING:						
	0001 General Revenue Fund	\$2,373,235	\$2,970,000	\$2,970,000	\$2,970,000	\$2,970,000
SUBTOTAL, METHOD OF FINANCING (GENERAL REVENUE)		\$2,373,235	\$2,970,000	\$2,970,000	\$2,970,000	\$2,970,000
METHOD OF FINANCING:						
	0009 GR Dedicated - Game, Fish and Water Safety Account	\$1,059	\$22,756	\$0	\$0	\$0
	0036 GR Dedicated - Texas Department of Insurance Operating Fund	40	0	0	0	0
	0064 GR Dedicated - State Parks Account	16,655	11,560	0	0	0
	0145 GR Dedicated - Oil Field Cleanup Account	2,119	0	0	0	0
	0151 GR Dedicated - Clean Air Account	235	421	0	0	0
	0227 GR Dedicated - Angelo State University Account	3,406	0	0	0	0
	0492 GR Dedicated - Business Enterprise Program Account	2,656	0	0	0	0
	0549 GR Dedicated - Waste Management Account	11,500	0	0	0	0
	0550 GR Dedicated - Hazardous and Solid Waste Remediation Fee Account	22,080	0	0	0	0
	0858 GR Dedicated - Board of Public Accountancy Operating Trust Fund Account	1,605	0	0	0	0
SUBTOTAL, METHOD OF FINANCING (GENERAL REVENUE - DEDICATED)		\$61,355	\$34,737	\$0	\$0	\$0

STRATEGY REQUEST - FISCAL PROGRAMS

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2010
METHOD OF FINANCING:					
0092 Federal Disaster Fund Account	\$18,021	\$0	\$0	\$0	\$0
0273 Federal Health and Health Lab Funding Excess Revenue Fund Account	5,152	0	0	0	0
5026 Workforce Commission Federal Fund	2,951	0	0	0	0
5041 Railroad Commission Federal Fund	1,200	0	0	0	0
SUBTOTAL, METHOD OF FINANCING (FEDERAL FUNDS)	\$27,324	\$0	\$0	\$0	\$0
METHOD OF FINANCING:					
0006 State Highway Fund	\$80,873	\$324,572	\$0	\$0	\$0
0735 TPFA Series B Master Lease Project Fund	90	0	0	0	0
0936 Unemployment Compensation Clearance Account	35,556	10,091	0	0	0
SUBTOTAL, METHOD OF FINANCING (OTHER FUNDS)	\$116,519	\$334,663	\$0	\$0	\$0
TOTAL, METHOD OF FINANCING	\$2,578,433	\$3,339,400	\$2,970,000	\$2,970,000	\$2,970,000
FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0

STRATEGY DESCRIPTION AND JUSTIFICATION:

To complete the payment of state funds allocated for miscellaneous claims for which an appropriation does not otherwise exist or for which the appropriation has lapsed in a timely manner pursuant to VTCA, Government Code, Section 403.074. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by citizens' claims against the state. Amounts include wrongful imprisonment claims authorized by the 79th Legislature.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts
 OBJECTIVE: 01 Comptroller of Public Accounts
 STRATEGY: 03 Reimbursement - Commitment Hearings

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
	2009 Other Operating Expense	\$0	\$0	\$2,000	\$2,000	U.B
TOTAL, OBJECTS OF EXPENSE		<u>\$0</u>	<u>\$0</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>U.B</u>

METHOD OF FINANCING:						
	0001 General Revenue Fund	\$0	\$0	\$2,000	\$2,000	U.B
TOTAL, METHOD OF FINANCING		<u>\$0</u>	<u>\$0</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>U.B</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For reimbursement of counties for the cost of commitment hearings required by the Persons with Mental Retardation Act, Chapters 591-596, VTCA, Health and Safety Code.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by claims made by counties for the reimbursement of commitment hearings.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3		
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GOAL: 01 Comptroller of Public Accounts
 OBJECTIVE: 01 Comptroller of Public Accounts
 STRATEGY: 04 Reimbursement - Mixed Beverage Tax

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
4000	Grants	\$112,267,676	\$114,442,000	\$117,876,000	\$128,318,000	\$132,937,000
TOTAL, OBJECTS OF EXPENSE		<u>\$112,267,676</u>	<u>\$114,442,000</u>	<u>\$117,876,000</u>	<u>\$128,318,000</u>	<u>\$132,937,000</u>

METHOD OF FINANCING:						
0001	General Revenue Fund	\$112,267,676	\$114,442,000	\$117,876,000	\$128,318,000	\$132,937,000
TOTAL, METHOD OF FINANCING		<u>\$112,267,676</u>	<u>\$114,442,000</u>	<u>\$117,876,000</u>	<u>\$128,318,000</u>	<u>\$132,937,000</u>

FULL TIME EQUIVALENT POSITIONS: 0 0 0 0 0

STRATEGY DESCRIPTION AND JUSTIFICATION:

For reimbursement of taxes received as authorized by Texas Tax Code Annotated, Section 183.051(b). Payments to each eligible county and incorporated municipality shall be equal to 10.7143 percent of receipts from permittees within the county or incorporated municipality during the quarter. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by collected receipts within the counties or incorporated municipalities.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 05 Judgments and Settlements

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
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OBJECTS OF EXPENSE:

	2009 Other Operating Expense	\$1,144,178	\$286,434	\$2,213,566	\$2,500,000	U.B.
TOTAL, OBJECTS OF EXPENSE		\$1,144,178	\$286,434	\$2,213,566	\$2,500,000	U.B.

METHOD OF FINANCING:

	0001 General Revenue Fund	\$803,869	\$286,434	\$2,213,566	\$2,500,000	U.B.
SUBTOTAL, METHOD OF FINANCING (GENERAL REVENUE)		\$803,869	\$286,434	\$2,213,566	\$2,500,000	U.B.

METHOD OF FINANCING:

	0006 State Highway Fund	\$340,309	\$0	\$0	\$0	\$0
SUBTOTAL, METHOD OF FINANCING (OTHER FUNDS)		\$340,309	\$0	\$0	\$0	\$0

TOTAL, METHOD OF FINANCING		\$1,144,178	\$286,434	\$2,213,566	\$2,500,000	U.B.
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FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

(1) Payments for settlements and judgments for claims against state agencies payable under Chapters 101 and 104, Civil Practice and Remedies Code, including indemnification for criminal prosecutions and Federal Court judgments and settlements, shall be paid from special or local funds of the agency or institution to the extent available, and then from General Revenue. The Comptroller's office shall maintain records of General Revenue paid and require reimbursement from agencies as special or local funds become available. (2) Payments shall be made for eligible medical malpractice claims in conformance with Chapter 59, Education Code. (3) All claims shall be prepared, verified and signed by the Attorney General's Office.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by settlements and judgments for claims against state agencies.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3		
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 06 County Taxes - University Lands

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
4000	Grants	\$1,807,275	\$2,565,016	\$2,689,178	\$2,916,902	\$3,199,679
TOTAL, OBJECTS OF EXPENSE		<u>\$1,807,275</u>	<u>\$2,565,016</u>	<u>\$2,689,178</u>	<u>\$2,916,902</u>	<u>\$3,199,679</u>

METHOD OF FINANCING:

0001	General Revenue Fund	\$1,807,275	\$2,565,016	\$2,689,178	\$2,916,902	\$3,199,679
TOTAL, METHOD OF FINANCING		<u>\$1,807,275</u>	<u>\$2,565,016</u>	<u>\$2,689,178</u>	<u>\$2,916,902</u>	<u>\$3,199,679</u>

FULL TIME EQUIVALENT POSITIONS: 0 0 0 0 0

STRATEGY DESCRIPTION AND JUSTIFICATION:

For payment of taxes, for county purposes only, to counties in which are located endowment lands set aside to The University of Texas by the Constitution and the Act of 1883. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by tax payments to counties.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3		
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 07 Lateral Road Fund Districts

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
4000	Grants	\$7,300,000	\$7,300,000	\$7,300,000	\$7,300,000	\$7,300,000
TOTAL, OBJECTS OF EXPENSE		<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$7,300,000</u>

METHOD OF FINANCING:

0001	General Revenue Fund	\$7,300,000	\$7,300,000	\$7,300,000	\$7,300,000	\$7,300,000
TOTAL, METHOD OF FINANCING		<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$7,300,000</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For distribution of payments to counties pursuant to Texas Constitution, Article VIII, Section 7-a and Texas Transportation Code, Section 256.002 to construct and maintain county roads.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by county allocations for construction and maintenance costs.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 08 Unclaimed Property

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
2009	Other Operating Expense	\$125,037,890	\$138,303,879	\$155,591,864	\$127,000,000	\$129,000,000
TOTAL, OBJECTS OF EXPENSE		\$125,037,890	\$138,303,879	\$155,591,864	\$127,000,000	\$129,000,000

METHOD OF FINANCING:

0001	General Revenue Fund	\$125,037,890	\$138,303,879	\$155,591,864	\$127,000,000	\$129,000,000
TOTAL, METHOD OF FINANCING		\$125,037,890	\$138,303,879	\$155,591,864	\$127,000,000	\$129,000,000

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

To pay all legitimate claims for previously unclaimed property held by the state. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by requests from the public.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3		
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 09 Underage Tobacco Program

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
4000	Grants	\$1,949,023	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000
TOTAL, OBJECTS OF EXPENSE		\$1,949,023	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000

METHOD OF FINANCING:

0001	General Revenue Fund	\$1,949,023	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000
TOTAL, METHOD OF FINANCING		\$1,949,023	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For allocation of grants to local law enforcement agencies for enforcing provisions of Health and Safety Code, Chapter 161, related to reducing minor access to and consumption of tobacco products.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The Texas Department of State Health Services and Texas State University are major contract providers for this strategy. If contract rates increase, grant payments to local law enforcement agencies will decrease proportionately.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-06, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 10 Ranger Pensions

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
4000	Grants	\$960	\$1,160	\$1,160	\$1,160	\$1,160
TOTAL, OBJECTS OF EXPENSE		<u>\$960</u>	<u>\$1,160</u>	<u>\$1,160</u>	<u>\$1,160</u>	<u>\$1,160</u>

METHOD OF FINANCING:

0001	General Revenue Fund	\$960	\$1,160	\$1,160	\$1,160	\$1,160
TOTAL, METHOD OF FINANCING		<u>\$960</u>	<u>\$1,160</u>	<u>\$1,160</u>	<u>\$1,160</u>	<u>\$1,160</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

To complete the payment of state funds allocated for the Ranger Pension Program to entitled recipients in a timely manner. The Comptroller's office is authorized to transfer sufficient cash into the Confederate Pension Fund from General Revenue to allow these payments.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by the number of entitled recipients.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3		
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 11 Local Continuing Education Grants

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
4000	Grants	\$5,999,429	\$5,997,851	\$6,000,000	\$6,000,000	\$6,000,000
TOTAL, OBJECTS OF EXPENSE		\$5,999,429	\$5,997,851	\$6,000,000	\$6,000,000	\$6,000,000

METHOD OF FINANCING:

0116 GR Dedicated - Law Enforcement Officer Standards and Education Account	\$5,999,429	\$5,997,851	\$6,000,000	\$6,000,000	\$6,000,000
TOTAL, METHOD OF FINANCING	\$5,999,429	\$5,997,851	\$6,000,000	\$6,000,000	\$6,000,000

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

Allocate funds credited to the Law Enforcement Officer Standards and Education Account for grants to local law enforcement agencies to provide continuing education for licensed peace officers, as required by Section 1701.157 Occupation Code.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by grants to local law enforcement agencies.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-03, Income-A.2, Age-B.3		
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 12 Advanced Tax Compliance

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
2001	Professional Fees and Services	\$4,956,533	\$4,355,659	\$8,695,646	\$8,969,771	\$9,069,795
2004	Utilities	774,197	546,894	535,396	664,121	552,186
2009	Other Operating Expense	297,892	969,493	1,428,733	1,025,883	1,037,794
5000	Capital Expenditures	4,534,455	4,787,729	0	0	0
TOTAL, OBJECTS OF EXPENSE		\$10,563,077	\$10,659,775	\$10,659,775	\$10,659,775	\$10,659,775

METHOD OF FINANCING:

0001 General Revenue Fund	\$10,563,077	\$10,659,775	\$10,659,775	\$10,659,775	\$10,659,775
TOTAL, METHOD OF FINANCING	\$10,563,077	\$10,659,775	\$10,659,775	\$10,659,775	\$10,659,775

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The 77th Legislature adopted legislation that addressed the need to modernize tax administration technology and strategies. One of the major components of the new legislation was to contract with outside tax examiners to perform tax audits, thereby increasing audit coverage and assessments without additional support staff. In addition, the new legislation enabled the agency to remain current with the latest technology and innovative techniques, including wireless data communications and an improved audit database to collect all legally due taxes as efficiently as possible.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The success of the advanced tax compliance program is dependent upon continued funding by the 81st Legislature.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 13 Subsequent CVC Claims

CODE	DESCRIPTION	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:						
2009	Other Operating Expense	\$13,321	\$6,815	\$23,185	\$30,000	U.B.
TOTAL, OBJECTS OF EXPENSE		\$13,321	\$6,815	\$23,185	\$30,000	U.B.

METHOD OF FINANCING:

0494	GR Dedicated - Compensation to Victims of Crime Auxiliary Account	\$13,321	\$6,815	\$23,185	\$30,000	U.B.
TOTAL, METHOD OF FINANCING		\$13,321	\$6,815	\$23,185	\$30,000	U.B.

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

To pay victims of crime who have not made a claim for restitution during the prescribed five year period pursuant to Government Code, Section 76.013(d). Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by claims from victims of crime.

STRATEGY REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-37, Income-A.2, Age-B.3
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GOAL: 02 To develop and administer programs that promote energy efficiency

OBJECTIVE: 01 Maintain \$95 million balance in LoanSTAR Program

STRATEGY: 01 Promote and manage energy programs

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OUTPUT MEASURES:					
01 Number of Active LoanSTAR Loans Processed and Managed by SECO	106.0	104.0	100.0	100.0	100.0
02 Number of Public Schools That Sign Up for the WATT Watcher Program During Year	594.0	600.0	400.0	400.0	400.0
EFFICIENCY MEASURE:					
01 Energy Dollars Saved Per Dollar Spent for Energy Retrofit Programs	\$70.0	\$73.0	\$60.0	\$64.0	\$64.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$1,219,537	\$1,227,248	\$1,251,793	\$1,503,793	\$1,503,793
1002 Other Personnel Costs	128,579	132,909	135,194	162,410	162,410
2001 Professional Fees and Services	0	0	0	0	0
2003 Consumable Supplies	10,399	268	500	500	500
2004 Utilities	1,532	473	1,182	1,182	1,182
2005 Travel	43,818	35,858	49,944	53,940	58,255
2006 Rent – Building	375	0	0	0	0
2007 Rent – Machine and Other	19,941	15,535	19,617	19,617	19,617
2009 Other Operating Expense	49,113	64,093	86,786	86,786	86,786
3001 Client Services	27,550	2,500	25,000	25,000	25,000
4000 Grants	199,327	837,134	751,028	909,797	905,482
TOTAL, OBJECTS OF EXPENSE	\$1,700,171	\$2,316,018	\$2,321,044	\$2,763,025	\$2,763,025

STRATEGY REQUEST – FISCAL PROGRAMS

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
METHOD OF FINANCING:					
0001 General Revenue Fund	\$538,279	\$546,982	\$552,008	\$561,997	\$561,997
5005 GR Dedicated – Oil Overcharge Account	392,591	559,662	559,662	559,662	559,662
0555 Federal Funds	769,301	1,209,374	1,209,374	1,641,366	1,641,366
TOTAL, METHOD OF FINANCING	\$1,700,171	\$2,316,018	\$2,321,044	\$2,763,025	\$2,763,025
FULL TIME EQUIVALENT POSITIONS:					
	19.6	18.9	25.0	25.0	25.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The State Energy Conservation Office (SECO) promotes and supports energy efficiency and clean energy development to preserve the environment and protect public health and safety. By ensuring that funds available to the Texas LoanSTAR (Saving Taxes and Resources) Program equal or exceed \$95 million at all times, SECO is able to successfully conserve and protect state resources by: increasing energy education in Texas school districts; significantly impacting renewable energy and sustainability technology transfer; expanding the Texas Energy Partnership; and significantly increasing the number of state agencies involved in demand-side energy management. Beneficiaries of these services include state agencies, public schools, city and county governments, institutions of higher education, private industries, electric and gas utilities and residential energy consumers.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Major external factors impacting this strategy include the following: (1) the availability of oil overcharge funding or the prospect of gaining alternative funding to finance SECO's most critical and productive programs; (2) the state of the economy, particularly as it affects interest rates to finance energy efficiency improvements; (3) federal, state, or regional initiatives and guidelines that have a positive or negative impact on energy efficiency or renewable energy; (4) prevailing "first cost" mentality in new construction that focuses on the initial costs of building a facility and ignores lifecycle costs: initial investment plus lifetime cost to operate and maintain it; and (5) changes in energy efficiency and renewable energy technologies.

STRATEGY REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-37, Income-A.2, Age-B.3		
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GOAL: 02 To develop and administer programs that promote energy efficiency

OBJECTIVE: 01 Maintain \$95 million balance in LoanSTAR Program

STRATEGY: 02 Oil Overcharge Settlement Funds

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
OBJECTS OF EXPENSE:					
2001 Professional Fees and Services	\$11,150	\$0	\$0	\$0	\$0
2006 Rent – Building	0	600	0	0	0
2009 Other Operating Expense	9,087	9,335	0	0	0
3001 Client Services	1,002,589	663,649	0	0	0
4000 Grants	13,388,094	17,688,764	21,795,629	40,068,743	125,401
TOTAL, OBJECTS OF EXPENSE	\$14,410,920	\$18,362,348	\$21,795,629	\$40,068,743	\$125,401

METHOD OF FINANCING:

5005 GR Dedicated – Oil Overcharge Account	\$14,410,920	\$18,362,348	\$21,795,629	\$40,068,743	\$125,401
TOTAL, METHOD OF FINANCING	\$14,410,920	\$18,362,348	\$21,795,629	\$40,068,743	\$125,401

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The State Energy Conservation Office (SECO) was created to promote energy efficiency, preserve the environment, and protect public health and safety. By ensuring that funds available to the Texas LoanSTAR (Saving Taxes and Resources) Program equal or exceed \$95 million at all times, SECO is able to successfully conserve and protect state resources by: increasing energy education in Texas school districts; significantly impacting renewable energy and sustainability technology transfer; expanding the Texas Energy Partnership; and significantly increasing the number of state workers who telecommute. Beneficiaries of these services include state agencies, public schools, city and county governments, institutions of higher education, private industries, electric and gas utilities and residential energy consumers.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Major external factors impacting this strategy include the following: (1) the availability of oil overcharge funding or the prospect of gaining alternative funding to finance SECO's most critical and productive programs; (2) the state of the economy, particularly as it affects interest rates to finance energy efficiency improvements; (3) federal, state, or regional initiatives and guidelines that have a positive or negative impact on energy efficiency or renewable energy; (4) prevailing "first cost" mentality in new construction that focuses on the initial costs of building a facility and ignores its true cost: initial investment plus lifetime cost to operate and maintain it; (5) changes in energy efficiency and renewable energy technologies; and (6) the emergence of a restructured electric utility environment in Texas.

**INFORMATIONAL LISTING OF FUNDS APPROPRIATED TO THE COMPTROLLER
FOR SOCIAL SECURITY CONTRIBUTIONS AND BENEFIT REPLACEMENT PAY**

1. **Information Listing of Appropriated Funds.** The appropriations made in this and other articles of this Act to the Comptroller of Public Accounts-Social Security/Benefit Replacement Pay are subject to the following provisions. The following amounts shall be used for the purposes indicated.

A. Goal: SOCIAL SECURITY CONTRIBUTIONS/BENEFIT REPLACEMENT PAY

A.1.1. Strategy: STATE MATCH--EMPLOYER
Provide an employer match for Social Security contributions. Estimated

A.1.2. Strategy: BENEFIT REPLACEMENT PAY
Provide Benefit Replacement Pay to eligible employees. Estimated

Total, Goal A: SOCIAL SECURITY CONTRIBUTIONS/BENEFIT REPLACEMENT PAY

**Grand Total, COMPTROLLER OF PUBLIC ACCOUNTS - SOCIAL SECURITY /
BENEFIT REPLACEMENT PAY**

	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
	\$649,597,781	\$698,749,732	\$726,979,221	\$741,518,805	\$756,349,181
	\$53,777,899	\$53,275,125	\$48,867,286	\$46,423,922	\$44,102,726
	\$703,375,680	\$752,024,857	\$775,846,507	\$787,942,727	\$800,451,907
	\$703,375,680	\$752,024,857	\$775,846,507	\$787,942,727	\$800,451,907
	\$444,414,273	\$485,210,856	\$500,713,862	\$508,385,277	\$516,456,274
	74,052,824	74,406,930	76,788,758	77,960,720	79,198,405
	78,207,204	80,373,062	82,783,121	84,211,804	85,548,729
	84,399,907	90,952,719	93,764,770	95,296,761	96,809,668
	22,301,472	21,081,290	21,795,996	22,088,165	22,438,831
	\$703,375,680	\$752,024,857	\$775,846,507	\$787,942,727	\$800,451,907

Method of Financing

General Revenue Fund, estimated

General Revenue - Dedicated, estimated

State Highway Fund No. 006, estimated

Federal Funds, estimated

Other Special State Funds, estimated

Total, Method of Financing

2. **Transfer of Social Security Contributions and Benefit Replacement Pay.** Appropriations made in this and other articles of this Act for Social Security and Benefit Replacement Pay shall be transferred by each agency from the Comptroller of Public Accounts to the agency based on estimated amounts by funding source for each fiscal year. Transfers should be made no later than September 15th of the year in which the payments are to be made. Adjustments and return of excess appropriation authority to the Comptroller's office shall be completed by October 30th of the subsequent fiscal year.

RIDER REVISIONS AND ADDITIONS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/27/08	Request Level: Base																					
Current Rider Number	Page Number In 2008-09 GAA	Proposed Rider Language																							
2	I-23	<p>Appropriation from the Compensation to Victims of Crime Auxiliary Fund. Included in amounts appropriated above in Strategy A.1.13, Subsequent CVC Claims, are funds received by the Comptroller from departments under Government Code § 76.013, for crime victims who have not made a claim for restitution during the prescribed five year period and who make a subsequent claim (estimated to be \$30,000 for the biennium). In addition to amounts identified herein and included above, all revenue collected on or after September 1, 2007-2009, is hereby appropriated for the same purpose. <u>Any unobligated balances remaining as of August 31, 2010, are hereby appropriated for the same purposes for the fiscal year beginning September 1, 2010.</u></p> <p><i>This rider provision must be updated to reflect the change in fiscal years. The rider provision has also been updated to include language clarifying that authority exists to transfer unexpended balances from one fiscal year to the following fiscal year.</i></p>																							
10	I-25	<p>Oil Overcharge Settlement Funds. In addition to funds appropriated to Strategy B.1.2., Oil Overcharge Settlement Funds, out of Oil Overcharge Account Number 5005, are funds allocated to the State of Texas through consent decrees, court decrees and administrative orders involving violation of the mandatory petroleum pricing and allocation regulations, including the interest earned on those used by the State Energy Conservation Office (SECO) for the biennium beginning September 1, 2005-2009 (estimated to be \$22,279,672 \$26,526,638). Any unexpended balances as of August 31, 2007-2009, of Oil Overcharge Funds Account No. 5005 are included in Strategy B.1.2., and are to be used by SECO for the biennium beginning September 1, 2007-2009 (estimated to be \$24,262,166 \$27,924,748). In addition to amounts identified herein and included above, all unexpended balances remaining as of August 31, 2007-2009 and all revenue generated on or after September 1, 2007-2009 are hereby appropriated for the same purpose.</p> <p>Of these estimated balances and revenues, the State Energy Conservation Office shall allocate an estimated total of \$3,528,000 \$4,425,648 over the biennium based on the designations listed below. SECO is granted the discretion to prorate Oil Overcharge funds based on these designations in the event that the total amount estimated by this allocation is not realized. The amounts below are hereby designated for the biennium beginning September 1, 2007-2009, for the following purposes:</p> <table border="0"> <thead> <tr> <th align="left"><u>State Energy Conservation Office Core Programs:</u></th> <th align="center">2008 2010</th> <th align="center">2009 2011</th> </tr> </thead> <tbody> <tr> <td>Schools/Local Government Program</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> </tr> <tr> <td>State Agencies/Higher Education Program</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> </tr> <tr> <td>Renewable Energy Program</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> </tr> <tr> <td>Housing Partnership</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> </tr> <tr> <td>Transportation Energy Program</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> </tr> <tr> <td>Alternative Fuels Program</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> <td align="right">\$294,000 <u>\$368,804</u> & U.B.</td> </tr> </tbody> </table> <p>Funds de-obligated from contracts within the above programs shall remain within the program. State Energy Program Administration funds are appropriated in Strategy B.1.1., Energy Office.</p> <p>Pursuant to Texas Government Code 2305.032 (f), funds available to the LoanSTAR Revolving Loan Program shall equal or exceed \$95,000,000 at all times. All unexpended LoanSTAR balances (estimated to be \$23,132,841 \$26,805,425 of total balances noted above) and all revenues, except depository interest earned on LoanSTAR balances, generated by funds in the LoanSTAR Program (estimated to be \$18,751,672 \$22,100,990 of total balances noted above), shall remain in the program. If a state agency or institution of higher education is a recipient of a loan under the statewide retrofit demonstration and revolving loan program, the agency or institution shall repay the loan from agency funds budgeted for the energy costs of the agency or institution.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years and estimated amounts.</i></p>			<u>State Energy Conservation Office Core Programs:</u>	2008 2010	2009 2011	Schools/Local Government Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.	State Agencies/Higher Education Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.	Renewable Energy Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.	Housing Partnership	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.	Transportation Energy Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.	Alternative Fuels Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.
<u>State Energy Conservation Office Core Programs:</u>	2008 2010	2009 2011																							
Schools/Local Government Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.																							
State Agencies/Higher Education Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.																							
Renewable Energy Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.																							
Housing Partnership	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.																							
Transportation Energy Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.																							
Alternative Fuels Program	\$294,000 <u>\$368,804</u> & U.B.	\$294,000 <u>\$368,804</u> & U.B.																							

RIDER REVISIONS AND ADDITIONS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/27/08	Request Level: Base																		
Current Rider Number	Page Number In 2008-09 GAA	Proposed Rider Language																				
11	I-25	<p>Department of Energy (DOE) Federal Funds. In addition to funds appropriated above for administration of the State Energy Conservation Office, all funds allocated to the State of Texas by the U.S. Department of Energy to fund Pantex and State energy programs are detailed below for the biennium beginning September 1, 2007 <u>2009</u>.</p> <p>The SECO shall allocate funds based upon the designations listed below:</p> <table border="0"> <thead> <tr> <th></th> <th align="right">FY 2008 <u>2010</u></th> <th align="right">FY 2009 <u>2011</u></th> </tr> </thead> <tbody> <tr> <td colspan="3"><u>Federal Funds: Pantex Programs</u></td> </tr> <tr> <td>Agreement in Principle (Remedial Clean Up Action)</td> <td align="right">\$1,239,486 <u>\$835,975</u> & U.B.</td> <td align="right">\$1,239,486 <u>\$835,975</u> & U.B.</td> </tr> <tr> <td>Waste Isolation Pilot Plant</td> <td align="right">\$341,000 & U.B.</td> <td align="right">\$341,000 & U.B.</td> </tr> <tr> <td colspan="3"><u>Federal Funds: State Energy Program</u></td> </tr> <tr> <td>State Energy Program (SEP) Grant</td> <td align="right">\$2,028,085 <u>\$1,176,975</u> & U.B.</td> <td align="right">\$2,028,085 <u>\$1,176,975</u> & U.B.</td> </tr> </tbody> </table> <p><i>This rider provision must be updated to reflect the change in fiscal years and the amount of funds allocated to the State of Texas by the Department of Energy.</i></p>				FY 2008 <u>2010</u>	FY 2009 <u>2011</u>	<u>Federal Funds: Pantex Programs</u>			Agreement in Principle (Remedial Clean Up Action)	\$1,239,486 <u>\$835,975</u> & U.B.	\$1,239,486 <u>\$835,975</u> & U.B.	Waste Isolation Pilot Plant	\$341,000 & U.B.	\$341,000 & U.B.	<u>Federal Funds: State Energy Program</u>			State Energy Program (SEP) Grant	\$2,028,085 <u>\$1,176,975</u> & U.B.	\$2,028,085 <u>\$1,176,975</u> & U.B.
	FY 2008 <u>2010</u>	FY 2009 <u>2011</u>																				
<u>Federal Funds: Pantex Programs</u>																						
Agreement in Principle (Remedial Clean Up Action)	\$1,239,486 <u>\$835,975</u> & U.B.	\$1,239,486 <u>\$835,975</u> & U.B.																				
Waste Isolation Pilot Plant	\$341,000 & U.B.	\$341,000 & U.B.																				
<u>Federal Funds: State Energy Program</u>																						
State Energy Program (SEP) Grant	\$2,028,085 <u>\$1,176,975</u> & U.B.	\$2,028,085 <u>\$1,176,975</u> & U.B.																				
12	I-26	<p>Appropriation of Tax Refunds. As much of the respective taxes, fees, and charges, including penalties or other financial transactions administered or collected by the Comptroller as may be necessary is hereby appropriated and set aside to pay refunds, interest, and any costs and attorney fees awarded in court cases, as provided by law, subject to the following limitations and conditions:</p> <p>(a) Unless another law, or section of this Act, provides a period within which a particular refund claim must be made, funds appropriated herein may not be used to pay a refund claim made under this section after four years from the latest date on which the amount collected or received by the State was due, if the amount was required to be paid on or before a particular date. If the amount was not required to be paid on or before a particular date, a refund claim may not be made after four years from the date the amount was collected or received. A person who fails to make a refund claim within the period provided by law, or this provision, shall not be eligible to receive payment of a refund under this provision.</p> <p>(b) As a specific limitation to the amount of refunds paid from funds appropriated in this Act during the 2008-09 <u>2010-11</u> biennium, the Comptroller shall not approve claims or issue warrants for refunds in excess of the amount of revenue estimated to be available from the tax, fee, or other revenue source during the biennium according to the Biennial Revenue Estimate of the Comptroller of Public Accounts used for certification of this Act. Any claim or portion of a claim which is in excess of this limitation established by this subsection "b" shall be presented to the next legislature for a specific appropriation in order for payment to be made. The limitation established by this subsection "b" shall not apply to any taxes or fees paid under protest.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>																				

RIDER REVISIONS AND ADDITIONS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/27/08	Request Level: Base
Current Rider Number	Page Number In 2008-09 GAA	Proposed Rider Language		
14	I-26	<p>Cash Flow Transfer. As required by Government Code, Section 403.092, for the state fiscal biennium beginning September 1, 2007-2009, the Comptroller of Public Accounts is appropriated from the General Revenue Fund the amount needed:</p> <p>a. to return any available cash that was transferred to the General Revenue Fund from a fund outside the state treasury; and</p> <p>b. to maintain the equity of the fund from which the transfer was made.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>		
15	I-26	<p>Texas Renewable Energy Resource Assessment. Out of amounts appropriated above for the Fiscal Programs of the Comptroller of Public Accounts, the State Energy Conservation Office (SECO) shall update the Texas Renewable Energy Resource Assessment prepared by the Texas Sustainable Energy Development Council in 1995. The SECO shall submit the updated report to the presiding officer of each house of the Legislature no later than January 1, 2009.</p> <p>This rider provision is not applicable to the 2010-11 biennium.</p>		

EXCEPTIONAL ITEMS REQUEST SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-37, Income-A.2, Age-B.3
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ITEM NAME: Oil Overcharge Settlement Funds
 ITEM PRIORITY: 1
 FUNDING FOR STRATEGY: 02-01-02 Oil Overcharge Settlement Funds

OBJECTS OF EXPENSE:	EXCP 2010	EXCP 2011
4000 Grants	\$0	\$13,137,918
TOTAL, OBJECTS OF EXPENSE	\$0	\$13,137,918
	=====	=====

METHOD OF FINANCING:	EXCP 2010	EXCP 2011
5005 GR Dedicated – Oil Overcharge Account	\$0	\$13,137,918
TOTAL, METHOD OF FINANCING	\$0	\$13,137,918
	=====	=====

DESCRIPTION / JUSTIFICATION:

The State Energy Conservation Office (SECO) was created to promote energy efficiency, preserve the environment and protect public health and safety. By ensuring that funds available to the Texas LoanSTAR (Saving Taxes and Resources) Program equal or exceed \$95 million at all times, SECO is able to successfully conserve and protect state resources by: increasing energy education in Texas school districts; significantly impacting renewable energy and sustainability technology transfer; expanding the Texas Energy Partnership; and significantly increasing the number of state workers who telecommute. Beneficiaries of these services include state agencies, public schools, city and county governments, institutions of higher education, private industries, electric and gas utilities and residential energy consumers.

EXTERNAL / INTERNAL FACTORS:

The Oil Overcharge Account is the primary funding source for SECO and the energy efficiency programs in the state. This reduction will inhibit and limit the office's ability to successfully promote and demonstrate energy efficiency technologies, provide continuing energy efficiency education and technical assistance and advance cost-effective solutions to ever increasing energy costs. Oil Overcharge Funds are the result of petroleum overcharge litigation relating to the overpricing of crude oil or refined petroleum products during the 1973-1981 period of mandatory federal price controls. The court settlement resulting from this litigation imposed strict controls on how the funds could be utilized. The State Energy Conservation Office is required to annually submit a State Energy Plan to the U.S. Department of Energy (DOE) detailing programs and projects for use of these funds. Approval from DOE is required before any of the funds can be expended.

Section 2305.022, Texas Government Code, states that money in the account may be used only by the Governor and the Comptroller's office to implement and operate programs authorized in Chapter 2305. These programs are the: LoanSTAR Revolving Loan Program, State Energy Program, State Agencies Program, Alternative Fuels Program, Housing Partnership Program, Renewable Energy Demonstration Program, Local Government Energy Program and the Small Hospitals Energy Management Program. A loss of an estimated \$13.1 million in Oil Overcharge interest and loan repayment funds in fiscal 2011 will impede the ability of the state to fund various energy efficiency programs.

EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-37, Income-A.2, Age-B.3
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ITEM NAME: Oil Overcharge Settlement Funds

ALLOCATION TO STRATEGY: 02-01-02 Oil Overcharge Settlement Funds

	EXCP 2010	EXCP 2011
OBJECTS OF EXPENSE:		
4000 Grants	\$0	\$13,137,918
TOTAL, OBJECTS OF EXPENSE	<u>\$0</u>	<u>\$13,137,918</u>
	=====	=====
METHOD OF FINANCING:		
5005 GR Dedicated – Oil Overcharge Account	\$0	\$13,137,918
TOTAL, METHOD OF FINANCING	<u>\$0</u>	<u>\$13,137,918</u>
	=====	=====
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0

EXCEPTIONAL ITEMS STRATEGY REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-37, Income-A.2, Age-B.3
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GOAL: 02 To develop and administer programs that promote energy efficiency

OBJECTIVE: 01 Maintain \$95 million balance in LoanSTAR Program

STRATEGY: 02 Oil Overcharge Settlement Funds

OBJECTS OF EXPENSE:	EXCP 2010	EXCP 2011
4000 Grants	\$0	\$13,137,918
TOTAL, OBJECTS OF EXPENSE	<u>\$0</u>	<u>\$13,137,918</u>
	=====	

METHOD OF FINANCING:	EXCP 2010	EXCP 2011
5005 GR Dedicated – Oil Overcharge Account	\$0	\$13,137,918
TOTAL, METHOD OF FINANCING	<u>\$0</u>	<u>\$13,137,918</u>
	=====	

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Oil Overcharge Settlement Funds interest and loan repayments.

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2008 BUD 2009 BL 2010 BL 2011

5005 Acquisition of Information Resource Technologies

1/1 Advanced Tax Compliance - Daily Operations

OBJECTS OF EXPENSE - CAPITAL

2009 Other Operating Expenses	\$54,990	\$0	\$0	\$0
5000 Capital Expenditures	899,998	0	0	0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 001	<u>\$954,988</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
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SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 001	<u><u>\$954,988</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>
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TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund	\$954,988	\$0	\$0	\$0
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CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 001	<u>\$954,988</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
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SUBTOTAL, TYPE OF FINANCING, PROJECT 001	<u><u>\$954,988</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>
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CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
 PROJECT NUMBER / NAME
 OOE / TOF / MOF CODE

EST 2008 BUD 2009 BL 2010 BL 2011

5005 Acquisition of Information Resource Technologies
 2/2 Advanced Tax Compliance - Interactive Voice Response System

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services	\$0	\$255,000	\$0	\$0
2009 Other Operating Expenses	124,976	0	218,067	227,834
5000 Capital Expenditures	2,021,894	0	0	0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 002	<u>\$2,146,870</u>	<u>\$255,000</u>	<u>\$218,067</u>	<u>\$227,834</u>
=====				
SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 002	<u>\$2,146,870</u>	<u>\$255,000</u>	<u>\$218,067</u>	<u>\$227,834</u>
=====				

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund	\$2,146,870	\$255,000	\$218,067	\$227,834
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CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 002	<u>\$2,146,870</u>	<u>\$255,000</u>	<u>\$218,067</u>	<u>\$227,834</u>
=====				
SUBTOTAL, TYPE OF FINANCING, PROJECT 002	<u>\$2,146,870</u>	<u>\$255,000</u>	<u>\$218,067</u>	<u>\$227,834</u>
=====				

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
 PROJECT NUMBER / NAME
 OOE / TOF / MOF CODE

EST 2008 BUD 2009 BL 2010 BL 2011

5005 Acquisition of Information Resource Technologies

3/3 Advanced Tax Compliance - Treasury Modernization

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services	\$0	\$3,530,787	\$2,244,138	\$0
2004 Utilities	0	0	128,725	16,790
2009 Other Operating Expenses	331,649	826,540	205,623	207,767

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 003	<u>\$331,649</u>	<u>\$4,357,327</u>	<u>\$2,578,486</u>	<u>\$224,557</u>
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SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 003	=====	=====	=====	=====
	\$331,649	\$4,357,327	\$2,578,486	\$224,557
	=====	=====	=====	=====

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund	\$331,649	\$4,357,327	\$2,578,486	\$224,557
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CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 003	<u>\$331,649</u>	<u>\$4,357,327</u>	<u>\$2,578,486</u>	<u>\$224,557</u>
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SUBTOTAL, TYPE OF FINANCING, PROJECT 003	=====	=====	=====	=====
	\$331,649	\$4,357,327	\$2,578,486	\$224,557
	=====	=====	=====	=====

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
 PROJECT NUMBER / NAME
 OOE / TOF / MOF CODE

EST 2008 BUD 2009 BL 2010 BL 2011

5005 Acquisition of Information Resource Technologies
 4/4 Advanced Tax Compliance - Tax System Modernization

OBJECTS OF EXPENSE - CAPITAL

5000 Capital Expenditures	\$1,865,837	\$0	\$0	\$0
CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 004	<u>\$1,865,837</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 004	===== \$1,865,837 =====	===== \$0 =====	===== \$0 =====	===== \$0 =====

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund	\$1,865,837	\$0	\$0	\$0
CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 004	<u>\$1,865,837</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
SUBTOTAL, TYPE OF FINANCING, PROJECT 004	===== \$1,865,837 =====	===== \$0 =====	===== \$0 =====	===== \$0 =====

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME PROJECT NUMBER / NAME OOE / TOF / MOF CODE	EST 2008	BUD 2009	BL 2010	BL 2011
Capital Subtotal, Category 5005	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
Informational Subtotal, Category 5005	0	0	0	0
TOTAL, CATEGORY 5005	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
=====				
AGENCY TOTAL - CAPITAL	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
AGENCY TOTAL - INFORMATIONAL	0	0	0	0
AGENCY TOTAL	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
=====				
METHOD OF FINANCING - CAPITAL				
0001 General Revenue Fund	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
TOTAL, METHOD OF FINANCING - CAPITAL	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
=====				
TOTAL, METHOD OF FINANCING	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
=====				
TYPE OF FINANCING - CAPITAL				
CA Current Appropriations	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
TOTAL, TYPE OF FINANCING - CAPITAL	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
=====				
TOTAL, TYPE OF FINANCING	\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
=====				

CAPITAL BUDGET PROJECT INFORMATION – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
PROJECT NUMBER / NAME

5005 Acquisition of Information Resource Technologies

2/2 Advanced Tax Compliance – Interactive Voice Response System

PROJECT DESCRIPTION:

The Interactive Voice Response project was established to replace current outdated call center technology with a Unified Contact Center Solution.

Number of Units / Average Unit Cost: N/A

Estimated Completion Date: Ongoing

Additional Capital Expenditure Amounts Required:

2012		2013
N/A		N/A

Type of Financing: CA Current Appropriations

Projected Useful Life: Ongoing

Estimated / Actual Project Cost: \$2,847,771

Length of Financing / Lease Period: N/A

Estimated / Actual Debt Obligation Payments: N/A

Revenue Generation / Cost Savings: N/A

Explanation: N/A

Project Location: The agency's computer operations are located in Austin, Texas.

Beneficiaries: Staff and all customers of the agency, including taxpayers, the Legislature, and other state agencies benefit from the agency's mainframe computing capacity.

Frequency of Use and External Factors Affecting Use: The agency's computing operations are in use 24 hours a day, 7 days a week.

CAPITAL BUDGET PROJECT INFORMATION – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
PROJECT NUMBER / NAME

5005 Acquisition of Information Resource Technologies

3/3 Advanced Tax Compliance – Treasury Modernization

PROJECT DESCRIPTION:

The Treasury Modernization project was established to replace outdated and obsolete systems that are critical to ensuring that the state’s assets, cash receipts and warrants are properly secured, processed, deposited and accounted for.

Number of Units / Average Unit Cost: N/A

Estimated Completion Date: December 31, 2009

Additional Capital Expenditure Amounts Required:

2012		2013
N/A		N/A

Type of Financing: CA Current Appropriations

Projected Useful Life: Ongoing

Estimated / Actual Project Cost: \$7,492,019

Length of Financing / Lease Period: N/A

Estimated / Actual Debt Obligation Payments: N/A

Revenue Generation / Cost Savings: N/A

Explanation: N/A

Project Location: The agency’s computer operations are located in Austin, Texas.

Beneficiaries: Staff and all customers of the agency, including taxpayers and the Legislature, will benefit from the system.

Frequency of Use and External Factors Affecting Use: The agency’s computing operations are in use 24 hours a day, 7 days a week.

CAPITAL BUDGET ALLOCATION TO STRATEGIES - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / NAME		GOAL					
PROJECT NUMBER / NAME		OBJECTIVE		EST 2008	BUD 2009	BL 2010	BL 2011
STRATEGY NAME		STRATEGY					
5005	Acquisition of Information Resource Technologies						
	1/1	Advanced Tax Compliance - Daily Operations					
Capital		Advanced Tax Compliance	01-01-12	\$954,988	\$0	\$0	\$0
		TOTAL, PROJECT		\$954,988	\$0	\$0	\$0
	2/2	Advanced Tax Compliance - Interactive Voice Response System					
Capital		Advanced Tax Compliance	01-01-12	\$2,146,870	\$255,000	\$218,067	\$227,834
		TOTAL, PROJECT		\$2,146,870	\$255,000	\$218,067	\$227,834
	3/3	Advanced Tax Compliance - Treasury Modernization					
Capital		Advanced Tax Compliance	01-01-12	\$331,649	\$4,357,327	\$2,578,486	\$224,557
		TOTAL, PROJECT		\$331,649	\$4,357,327	\$2,578,486	\$224,557
	4/4	Advanced Tax Compliance - Tax System Modernization					
Capital		Advanced Tax Compliance	01-01-12	\$1,865,837	\$0	\$0	\$0
		TOTAL, PROJECT		\$1,865,837	\$0	\$0	\$0
		TOTAL CAPITAL, ALL PROJECTS		\$5,299,344	\$4,612,327	\$2,796,553	\$452,391
		TOTAL INFORMATIONAL, ALL PROJECTS		0	0	0	0
		TOTAL, ALL PROJECTS		\$5,299,344	\$4,612,327	\$2,796,553	\$452,391

FEDERAL FUNDS SUPPORTING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CFDA NUMBER / STRATEGY	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
00.000.001 Miscellaneous Claims					
01-01-02 Miscellaneous	\$27,324	\$0	\$0	\$0	\$0
TOTAL, ALL STRATEGIES	\$27,324	\$0	\$0	\$0	\$0
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$27,324	\$0	\$0	\$0	\$0
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
81.041.000 State Energy Conservation					
02-01-01 Promote and manage energy programs	\$569,303	\$957,915	\$957,915	\$1,367,724	\$1,367,724
TOTAL, ALL STRATEGIES	\$569,303	\$957,915	\$957,915	\$1,367,724	\$1,367,724
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$569,303	\$957,915	\$957,915	\$1,367,724	\$1,367,724
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
81.092.000 Pantex – Environmental Restoration – AIP					
02-01-01 Promote and manage energy programs	\$171,430	\$217,459	\$217,459	\$239,642	\$239,642
TOTAL, ALL STRATEGIES	\$171,430	\$217,459	\$217,459	\$239,642	\$239,642
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$171,430	\$217,459	\$217,459	\$239,642	\$239,642
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0

FEDERAL FUNDS SUPPORTING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CFDA NUMBER / STRATEGY	EXP 2007	EST 2008	BUD 2009	BL 2010	BL 2011
81.106.000 Transport of Transuranic Wastes to the Waste Isolation Plant – WIPP					
02-01-01 Promote and manage energy programs	\$28,568	\$34,000	\$34,000	\$34,000	\$34,000
TOTAL, ALL STRATEGIES	\$28,568	\$34,000	\$34,000	\$34,000	\$34,000
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$28,568	\$34,000	\$34,000	\$34,000	\$34,000
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS					
00.000.001 Miscellaneous Claims	\$27,324	\$0	\$0	\$0	\$0
81.041.000 State Energy Conservation	569,303	957,915	957,915	1,367,724	1,367,724
81.092.000 Pantex – Environmental Restoration – AIP	171,430	217,459	217,459	239,642	239,642
81.106.000 Transport of Transuranic Wastes to the Waste Isolation Plant – WIPP	28,568	34,000	34,000	34,000	34,000
TOTAL, ALL STRATEGIES	\$796,625	\$1,209,374	\$1,209,374	\$1,641,366	\$1,641,366
TOTAL, ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$796,625	\$1,209,374	\$1,209,374	\$1,641,366	\$1,641,366
TOTAL, ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0

ASSUMPTIONS AND METHODOLOGY

The State Energy Conservation Office (SECO) applied for and received federal Department of Energy (DOE) funding for the State Energy Program (SEP). This program is located under CFDA number 81.041.000. The purpose of this program is to promote energy conservation and efficiency and reduce the rate of growth of energy demand by developing and implementing comprehensive state energy conservation plans supported by federal financial and technical assistance.

POTENTIAL LOSS OF FEDERAL FUNDS

The State Energy Program Grant (SEP) from the U.S. Department of Energy (DOE) described above requires a twenty percent match by the recipient. Failure by the State Energy Conservation Office to provide this match would result in a loss of federal funds. Continued and future federal funding for this program (CFDA number 81.041.000) is dependent upon the DOE federal budget for future years. Continued and future federal funding for the Pantex Program (CFDA numbers 81.092.000 and 81.106.000) is also dependent upon the DOE federal budget for future years.

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts

Approved Reduction Amount:

\$63,943,295

Biennial Application of Ten Percent Reduction

Rank	Reduction Item	GR	GR Dedicated	Federal	Other	All Funds	FTE Reductions FY10	FY11	Revenue Impact	Cumulative GR-Related Reduction as a Percent of Approved Base
1	1-1-8 Unclaimed Property	\$32,675,940	\$0	\$0	\$0	\$32,675,940	0.0	0.0	N	5.1%
2	1-1-4 Mixed Beverage	25,934,425	0	0	0	25,934,425	0.0	0.0	N	9.2%
3	2-1-2 Oil Overcharge Funds	0	5,332,930	0	0	5,332,930	0.0	0.0	N	10.0%
AGENCY BIENNIAL TOTAL		\$58,610,365	\$5,332,930	\$0	\$0	\$63,943,295	0.0	0.0		
AGENCY BIENNIAL TOTAL (GR + GR-D)		\$63,943,295								

EXPLANATION OF IMPACT TO PROGRAMS AND REVENUE COLLECTIONS:

1 1-1-8 Unclaimed Property

Collectively, the Unclaimed Property and Mixed Beverage Tax programs make up the bulk of the funds appropriated to the Comptroller’s Fiscal Programs. From a dollars appropriated standpoint, other strategies are not material by comparison. For this reason, the entire 10 percent reduction in General Revenue Funds is being taken from the two largest programs. The monies appropriated in the Unclaimed Property strategy are used to pay claims against the State of Texas. The majority of the recipients of these funds are local governments or citizens and expenditures are driven by claims requests. The reduction amount is needed to pay an estimated \$32.7 million in unclaimed property claims in the 2010-11 biennium.

2 1-1-4 Reimbursement – Mixed Beverage

Collectively, the Unclaimed Property and Mixed Beverage Tax programs make up the bulk of the funds appropriated to the Comptroller’s Fiscal Programs. From a dollars appropriated standpoint, other strategies are not material by comparison. For this reason, the entire 10 percent reduction in General Revenue Funds is being taken from the two largest programs. The monies appropriated in the Mixed Beverage strategy are used to reimburse counties. Expenditures in this strategy are driven by receipts collected within the counties or incorporated municipalities. The reduction amount is needed to pay an estimated \$25.9 million in county mixed beverage reimbursements.

3 2-1-2 Oil Overcharge Settlement Funds

General Revenue dedicated funds are primarily appropriated to the State Energy Conservation Office (SECO). The Oil Overcharge Account is the primary funding source for SECO and the energy efficiency programs in the state. Any reduction will inhibit and limit the office’s ability to successfully promote and demonstrate energy efficiency technologies, provide continuing energy efficiency education and technical assistance and advance cost-effective solutions to ever increasing energy costs. Oil Overcharge Funds are the result of petroleum overcharge litigation relating to the overpricing of crude oil or refined petroleum products during the 1973-1981 period of mandatory federal price controls. The court settlement resulting from this litigation imposed strict controls on how the funds could be utilized. The State Energy Conservation Office is required to annually submit a State Energy Plan to the U.S. Department of Energy (DOE) detailing programs and projects for use of these funds. Approval from DOE is required before any of the funds can be expended.

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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Section 2305.022, Texas Government Code, states that money in the account may be used only by the Governor and the Comptroller's office to implement and operate programs authorized in Chapter 2305. These programs are the: LoanSTAR Revolving Loan Program, State Energy Program, State Agencies Program, Alternative Fuels Program, Housing Partnership Program, Renewable Energy Demonstration Program, Local Government Energy Program and the Small Hospitals Energy Management Program. A reduction of an estimated \$5.3 million in Oil Overcharge settlement funds in fiscal 2010/11 would impede the ability of the state to fund various energy efficiency programs.

