

Seminar 05/15/01 #OCC6231-1

Seminar 05/16/01 #OCC6231-2

## OCC's Telephone Seminar: **The Challenges of Sound Liquidity Risk Management**

### Registration Form

Registrant Name \_\_\_\_\_

Title \_\_\_\_\_

Bank/Company \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

Phone Number \_\_\_\_\_

Fax Number \_\_\_\_\_

E-mail Address \_\_\_\_\_

#### Select Seminar:

**May 15**, OCC6231-1     **May 16**, OCC6231-2

Please check here if you are *unable* to receive your materials via the Internet. We will ship you a hard copy. (To receive via the Internet, you will need Acrobat Reader, available free at [www.adobe.com](http://www.adobe.com).)

#### • Site registration and one set of written materials

National Banks: \$99

Others: \$125

#### • Audiocassette tapes and one set of written materials

National Banks: \$49 participants, \$99 non-participants

Others: \$65 participants, \$125 non-participants

#### • Check method of payment:

Check made payable to KRM     MasterCard

American Express     Discover Card

VISA

Card Number #: \_\_\_\_\_

Expiration Date: \_\_\_\_\_

Signature: \_\_\_\_\_

*Registrations after 05/04/01, please add \$8 for expedited handling.*

Total Payment: \_\_\_\_\_

## Who Should Participate?

The topic for this telephone seminar will be of particular interest to bank:

- Chief executive officers
- Directors
- Senior managers
- Compliance officers
- Risk management officers
- Consultants



Comptroller of the Currency  
Administrator of National Banks

## The Challenges of Sound Liquidity Risk Management:

OCC Expectations and Policy for Community Banks

## A Telephone Seminar

**Tuesday, May 15, 2001**  
**4:00 p.m. — 5:30 p.m. EDT**  
*and again*

**Wednesday, May 16, 2001**  
**10:30 a.m. — 12:00 p.m. EDT**

Convenient • Informative • Cost Effective

### Price

Participation is \$99 per connection for each national bank listening site and \$125 per connection for each non-national bank listening site.

### Audiocassette Tapes

Audiocassette tapes of the seminar, with supporting materials, are available for purchase. The price for national banks is \$99; for others, it is \$125.

Seminar participants (national banks and others) may order tapes for \$49 and \$65, respectively.

### Questions for Speakers?

If you would like to submit liquidity questions to the speakers prior to the seminar, please e-mail [OCCTeleSeminar@occ.treas.gov](mailto:OCCTeleSeminar@occ.treas.gov) or fax them to (415) 442-5388.

## What Is a Telephone Seminar?

This 90-minute telephone seminar allows an unlimited number of people to listen to OCC experts and to discuss with them their experiences and policy imperatives over individual telephones or with colleagues on speakerphones. The experts' interaction with you, the listener, will make the program a valuable learning experience and enjoyable to listen to. And you can ask questions from wherever you are.

There is no rush-hour traffic, no parking, no travel time or expenses. You can remain in the office and share information with a large group of colleagues for one price per site. A moderator guides the presentation and the open forum, much like participating in a talk-radio program.



Welcome by  
John D. Hawke, Jr.,  
*Comptroller of the Currency*

## How to Register

Those wishing to attend the seminar may register by:

- Filling out the online registration form at [www.occ.treas.gov](http://www.occ.treas.gov).
- Calling 800/775-7654 between the hours of 8 a.m. and 5 p.m. CDT and providing registration information.

## This Seminar Will Enable Participants to:

- Learn about current market conditions and their potential effect on liquidity.
- Understand the fundamental elements of a contingency funding plan.
- Gain insight into the OCC's expectations for liquidity risk management.
- Ask questions about sound liquidity practices and supervisory policy.
- Learn what to expect at your next examination.

## Discuss Topics:

- How has liquidity risk changed and why does this pose greater challenges today than in the past?
- Why are sound liquidity risk management processes more important now than ever before?
- How will inherent risks associated with increased reliance on wholesale funding sources affect exposure to liquidity risk?
- What are the most common examination findings at community banks?
- What are OCC expectations for board and senior management oversight, information systems, controls, and contingency funding plan processes?

- Faxing the form to 800/676-0734.
- Mailing the registration form to KRM Information Services, P.O. Box 1187, Eau Claire, WI 54702-1187.

*Registrants receive a special toll-free number and a PIN number for entrance to the telephone seminar. You will receive written materials prior to the call via the Internet or direct mail.*

## Interact with OCC Experts:



Director  
Kathryn E. Dick,  
*Treasury and Market Risk*



Deputy Comptroller  
John F. Robinson,  
*Western District*



Lead Capital Markets Expert  
Joey Johnson,  
*Southeastern District*



National Bank Examiner  
Michael Drennan,  
*Treasury and Market Risk*



National Bank Examiner  
Stephen Sage,  
*Treasury and Market Risk*