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Arizona Department of Education

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Version: 8.3

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Arizona Department of Education
Information Technologies Department
Business Analysis

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Revisions:

August 15, 2007:

- Section 4.3.1: Clarified grid rules of allowable SPED Exit reasons with year end codes.

June 26, 2007:

- Section 1.7.2: Clarified verbiage and error message to indicate there can be only one assessment per student per district per school year from July 1st up to and including December 31st of the fiscal year.
- Section 1.7.3: Clarified verbiage and error message to indicate that only students new to ELL (never been in an ELL Program in AZ) can submit an assessment in the 1st half of the fiscal year (July 1st up to and including December 31st).
- Section 1.7.4: Clarified verbiage that and error message to indicate there can be only one assessment per student per district per school year from Jan 1st up to and including June 30th of the fiscal year.

June 1, 2007:

- Section 1.4.2: Removed rule(s) of multiple 'New' designations. Revision means student may only have one and only one 'New' ELL designation.
- Section 1.4.3: Added designation to various ELL reports of 'N/A'. Defined all designations.
- Section 1.4.3.4: Removed designation of 'New' or 'Continuing' ELL participation from the Assessment 72-eries reports and Assessment 73- series reports.
- Section 1.4.3.4: Added SAIS defined designation of 'New' or 'Continuing' ELL participation to the ELL 71-series reports. This placeholder already existed. Change is specific to how designation is calculated (now calculated by SAIS...previously submitted from LEA).
- Section 1.6.1: Modified exception in rule SD-INT-LNG-003.1 to account for 'New' designations in the 2nd half of the school year.
- Section 4.1: Changed wording for Tuition Payer 4 from "*residential treatment centers*" to "*approved private residential facilities*".

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2 **1 Office of English Language Acquisition Services** 3 **(OELAS)**

4

5 **1. Overall Assessment of FEP validation – ELL** 6 **Assessment**

7

8

9 1.1.1 Validate at the transaction level, from FY 2008 forward, that an Overall
10 Assessment of Fluent English Proficient (Initial FEP, Continuing FEP, or
11 Reclassified FEP) has a corresponding Overall Proficiency level = Proficient.
12

13 1.1.1.1 Transaction Rule

14 The following rule shall be applied to the Language Assessment transaction:

15

16

ERROR: Message -@@:

17

The Overall Proficiency level must = Proficient when the submitted Overall
18 Assessment Result = Initial FEP, Continuing FEP, or Reclassified FEP.;
19 {Overall Proficiency level =; Overall Assessment Result =}
20
21

22 1.1.2 Conversely, An overall result = ELL or ELL after Reclassification may not have an
23 Overall Proficiency Level = Proficient.
24

25 1.1.2.1 Transaction Rule

26 The following rule shall be applied to the Language Assessment transaction:

27

28

ERROR: Message -@@:

29

The Overall Proficiency level must not = Proficient when the submitted
30 Overall Assessment result = ELL or ELL after Reclassification; {Overall
31 Proficiency level =; Overall Assessment Result =}
32
33
34
35

36 **2. Overall Assessment of Reclassified FEP validation**

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1 From FY 2008 and forward, a new warning indicating that the student should be exited
2 from their ELL program participation prior to the end of the school year shall be created and
3 implemented.
4
5
6

SD-INT-LNG-003.5.1	If the Overall Assessment Result is Reclassified FEP, a warning shall indicate that the student should exit the ELL program prior to the end of the school year.	WARNING message -@@ Integrity Warning: Language Group B	N	15-756.05 ref.
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7

8 **3. Continuing FEP 1 and 2**

9 ADE will be assessing students who have an Overall Assessment Result of Continuing
10 FEP for two years after they are Reclassified Fluent English Proficient (Reclassified FEP).
11 This monitoring will take the form of the AZELLA test. LEAs will need to know what year of
12 FEP the students are in to comply with the new assessment law.

13

14 1.3.1 SAIS shall identify Continuing FEP students on applicable reports as being
15 Continuing FEP year 1 or Continuing FEP year 2.

16 1.3.1.1 SdELL72 – school level Language Assessment report

17 1.3.1.2 SdELL72 – district level Language Assessment report

18 1.3.1.3 SdELL73 – school level Language Assessment totals report

19 1.3.1.4 SdELL73 – district level Language Assessment totals report

20 1.3.1.5 SdELL70 – student level ELL Assessment Search

21 1.3.1.6 SdELL70 – district level ELL Assessment Search

22

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1 1.3.2 A student shall be identified as Continuing FEP year 1 in the fiscal year which they
2 are first identified by their Overall Assessment Results as Continuing FEP.

3 1.3.3 A student shall be identified as Continuing FEP year 2 in the fiscal year which they
4 are identified by their Overall Assessment Results as Continuing FEP for the
5 second straight fiscal year.

6

7

8 **4. New and Continuing – ELL Program Participation**

9

10

11 1.4.1 SAIS shall no longer require nor accept LEA submission of a 'New' or 'Continuing'
12 Language Participation status in 013 Language Program Participation transactions
13 from FY 2008 and forward.

14 1.4.1.1 SAIS shall continue to require and accept LEA submission of a 'New' or
15 'Continuing' Language Participation status in 013 Language Program
16 Participation transactions from FY 2007 and prior.

17 1.4.1.2 SAIS shall ignore any properly formatted submission of a 'New' or 'Continuing'
18 Language Participation status in 013 Language Program Participation
19 transactions from FY 2008 and forward.

20 1.4.2 SAIS shall logically determine if the student is a new ELL participant or if they are
21 continuing.

22 1.4.2.1.1 If the successful submission of the 013 Language Program Participation for the
23 student is the only in SAIS for all of AZ over all fiscal years, the ELL participant
24 shall be classified by SAIS as 'new ELL'.

25 1.4.2.1.2 If a student has one or more successful submissions of an 013 Language
26 Program Participation recorded in SAIS, any subsequent successful submission
27 of an 013 Language Program Participation shall result in a determination of
28 'Continuing ELL' for that subsequent submission.

29 1.4.3 SAIS shall indicate on all applicable reports whether the student is a:

30 1.4.3.1 'New' ELL program participant,

31 1.4.3.1.1 *This designation indicates the student has been enrolled successfully in one*
32 *and only one ELL program throughout AZ in SAIS in any fiscal year.*

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1 1.4.3.2 **'Continuing'** ELL program participant

2 1.4.3.2.1 *This designation indicates the student has successfully been enrolled in more*
 3 *than one ELL program throughout AZ in SAIS in any combination of fiscal*
 4 *years.*

5 1.4.3.3 **'N/A'**.

6 1.4.3.3.1 *This designation indicates that the student has not yet been successfully*
 7 *enrolled in an ELL program throughout AZ in SAIS in any fiscal year.*

8 1.4.3.4 Reports for the above designations include:

9 1.4.3.4.1 *SdELL70 – school level Language Program Participation (ELL) report*

10 1.4.3.4.2 *SdELL70 – district level Language Program Participation (ELL) report*

11 1.4.3.4.3 *SdELL71 – school level Language Program Participation (ELL) report*

12 1.4.3.4.4 *SdELL71 – district level Language Program Participation (ELL) report*

13
14
15

16 **1.4.4 Transaction Elements**

17

18 The following structure describes the elements in this transaction.

field name	abbreviated name	length	type	data	Description
Transaction ID	TRANSID	3	I		ID value = 013
Vendor Defined Field	VENDORFLD	50	S		Free field to be used for any purpose defined by the vendor or submitter (e.g., to hold a vendor-generated submission record identifier); this information will not be stored in SAIS
Operation Code	OPRCD	1	S		A = Add new Program Participation C = Change existing language program participation information D = Delete Program Participation
Entity ID	ENTITYID	9	S		School identifier; CTDS code
School Student ID	SCHLSTUID	12	S		School-generated student identifier
Student ID	STUDENTID	10	I		ADE-generated student identifier

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field name	abbreviated name	length	data type	Description
Track Number	TRACK	4	I	For schools or districts with multiple tracks, identifies the track to which this enrollment applies. (If the school does not have its own calendar, it will use the district's calendar.)
First Name on Legal Document	FIRSTNM	30	S	Student first name as it appears on the legal document provided for registration
Middle Name on Legal Document	MIDDLENM	30	S	Student middle name as it appears on the legal document provided for registration
Last Name on Legal Document	LASTNM	40	S	Student last name as it appears on the legal document provided for registration
Need Code	NEEDCD	5	C	Need code <i>See Transaction Requirements: Code Values – Need</i> NOTE: At this time, the only appropriate value for this transaction is the need code for language (LEPS).
Program Code	PROGSVCCD	2	C	Type of program or service <i>See Transaction Requirements: Code Values – Language Programs</i>
Program Entry Date	PSENTRDT	10	D	The date the student entered the program/service
Program Exit Date	PSEXITDT	10	D	The date the student exited the program/service
Program Exit Reason Code	PSEXITRSNC D	2	C	Reason for exiting the language program <i>See Transaction Requirements: Code Values – Language Program Exit Reason</i>
Empty field: Formerly Language Participation Status	LANGSTAT	2	C	<i>This element is no longer collected. Properly formatted values (length and data type) in these fields will be ignored. For SDF files, no characters in the field is preferred. For XML files, no submittal of the element is preferred.</i>

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1

2 **1.4.5 Changing Elements**

3 *See the section **How to Change Specific SAIS Elements** at the end of this*
 4 *document for instructions on which transaction should be used to change particular*
 5 *data elements.*

6

7 The following elements may be changed using this transaction with a "change"
 8 operation.

- 9 • Program Exit Date
- 10 • Program Exit Reason Code
- 11 • ~~Language Participation Status (remove – from FY 2008 and forward)~~

12

13 **1.4.6 Element Optionality**

14 *This table defines whether each element is optional or required depending upon*
 15 *Operation Code.*

- 16 R: the element is required
- 17 X: any value in the incoming element will be ignored
- 18 {blank}: the element is optional; a valid value will be captured in SAIS

19

20 **Table 4 Language Program Participation Transaction Element Optionality**

<i>element</i>	<i>add</i>	<i>change</i>	<i>delete</i>
Transaction ID	R	R	R
Vendor Defined Field	X	X	X
Operation Code	R	R	R
Entity ID	R	R	R
School Student ID	X	X	X
Student ID	R	R	R
Track Number	R	R	R
First Name on legal document	X	X	X
Middle Name on legal document	X	X	X
Last Name on legal document	X	X	X
Need Code	R	R	R
Program Code	R	R	R
Program Entry Date	R	R	R
Program Exit Date	1	1, 2	X
Program Exit Reason Code	1	1, 2	X

<i>element</i>	<i>add</i>	<i>change</i>	<i>delete</i>
<i>Empty field: formerly Language Participation Status</i>	X	X	X

- 1 1 If *either* of these fields is submitted, then both must be included for a
- 2 valid "add" or "change" operation.
- 3 2 Note that although these are changeable fields, values are not
- 4 required, because the "change" might be intended to remove an
- 5 existing Program Exit Date and Program Exit Reason Code from the
- 6 SAIS database.
- 7
- 8
- 9

10 **5. New and Continuing – ELL Assessment**

11

12 The Arizona English Language Learner Assessment (AZELLA) shall return an ELL

13 Participation level for students. Previously, these identified if a student was continuing ELL

14 or new ELL. From FY 2008 forward, these separate designations will be determined

15 internally within the SAIS system. Users shall use the following Overall Assessment Result

16 codes from, including from FY 2008 and forward only an ELL designation:

17

18

19

20 **1.5.1 Overall Assessment Result (Language)**

21 Transaction **Student Assessment**; Element name **Assessment Result Code**

22 Transaction **Language Program Participation**; Element name **Assessment Result**

23 **Code**

24

25

26 | *The Assessment Results are identified by ADE's Accountability Division.*

Code in transaction	Short Description	Long Description	Effective Dates ²
1	New English Language Learner (New ELL)	The overall result of this assessment qualifies the student to be eligible to participate in an ELL program for the first time in Arizona. Note: A student should only be classified as a New ELL in Arizona one time.	FY 2005 – FY 2007

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Code in transaction	Short Description	Long Description	Effective Dates ²
2	Continuing English Language Learner (Continuing ELL)	The overall result of this assessment qualifies the student to continue to be eligible to participate in an ELL program. This is not the first assessment that shows the student to be eligible to participate in an ELL program and the student has never been Reclassified FEP by an assessment in Arizona.	FY 2005 – FY 2007
3	Reclassified Fluent English Proficient (Reclassified FEP)	The overall result of this assessment shows the ELL student to be proficient. This student previously participated in an ELL program.	
4	Initial Fluent English Proficient (Initial FEP)	The overall result of this assessment shows the student to be proficient. This student has never participated in an ELL program in Arizona.	
5	ELL After Reclassification (ELLAR)	The overall result of this assessment qualifies the student to be eligible to participate in an ELL program again. This student was previously Reclassified FEP in Arizona.	
6	Continuing Fluent English Proficient (Continuing FEP)	This student was previously Reclassified FEP by assessment and is being monitored. Note: Students must be monitored for two years after being Reclassified FEP.	FY2005 TO PRESENT
7	English Language Learner (ELL)	The overall result of this assessment qualifies the student to be eligible to participate in an ELL program.	FY 2008 TO PRESENT

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5 **6. ELL Assessment at End of Year**

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7 ARS now requires LEAs to assess English Language Learners at the 'end of the year'.
8 However, 'end of year' is not explicitly identified or defined in statute. Therefore, the
9 OELAS has defined the following rules.

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- 1 1.6.1 SAIS currently validates that a student in an ELL program's latest available
2 assessment is on or prior to the ELL Program start date. This validation shall have
3 an exception from FY 2008 and forward.
4

SD-INT-LNG-003.1 From FY 2008 and forward	<p>If a language program has been submitted for a student who does not have an Assessment within the current or past fiscal year with an assessment date on or prior to the language program start date, fail the language program participation.</p> <p>Exception: If one or more assessments exist and the latest assessment is after Dec. 31st of the current fiscal year, ignore for validation purposes. Use the most recent assessment data on or prior to Dec. 31st of the current fiscal year to validate.</p>	<p>ERROR message -44401</p> <p>Integrity Failure: Language Group B</p>
--	--	--

5

- 6 1.6.1.1.1 The goal of the above validation is to handle 'end of year' assessments while
7 keeping intact the rule that we must validate against the latest assessment.
8
9

10 **7. More than One ELL Assessment**

11
12 Beginning in FY 2008, the ADE's Office of English Language Acquisition Services (OELAS)
13 will be defining initial (new) assessment and reassessment periods for the administration of
14 AZELLA.

15
16 Previously, an OELAS rule at ADE prevented more than one language assessment per
17 year be performed on a student. From FY 2008 forward, more than one language
18 assessment can be performed if the student was new to ELL in Arizona the first ½ of the
19 fiscal year.

20
21 New Assessment Period:

- 22
23 July 1st – December 31st
24 • New ELL students
25 • KG students
26

27 Reassessment Period (EOY):

- 28
29 January 1st – end of school year (*note that the ADE's OELAS department prefers February*
30 *2nd – end of school year (EOY) :*
31 • New ELL students
32 • KG students
33 • Continuing ELL students
34 • Continuing FEP students (year 1 and 2 of continuing FEP)
35

36 More specific details and policies will be shared by the OELAS department to the LEAs
37 ELL Coordinators. But systematically, a validation is being removed and two others added

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1 to accommodate the possibility of more than one language assessment being given to
 2 students and test results being submitted in SAIS.

3
 4 Essentially, new students and KG (as they are most likely new to the program) will be
 5 assessed twice in one school year (once before Dec 31st, once on or after Jan 1st,
 6 preferably on or after Feb 2nd). If they are new but not assessed until after Dec 31st, then
 7 they shall not be tested a second time that school year.

8 1.7.1 The following Language Assessment transaction validation shall be removed from
 9 FY 2008 and forward:

10

<p>SD-TX012-AST-008.3A.5</p> <p>Remove FY08 and forward</p>	<p>Only one oral, reading, and writing assessment will be allowed to be recorded in SAIS per student per fiscal year for any individual district or charter holder. Attempts to add an assessment record with a different date from a record that exists on SAIS within the same fiscal year from the same school district or charter will fail on import.</p>	<p>ERROR -21017: Student has an existing assessment within the same district/charter holder this fiscal year.–</p>
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11

12 1.7.2 The following Language Assessment transaction validation shall be applicable from
 13 FY 2008 and forward:

14

15

<p>SD-TX012-AST-008.3A.5.@@</p> <p>Add for FY08 and forward</p>	<p>One oral, reading, and writing assessment may be recorded in SAIS per student per fiscal year for an individual district or charter holder on or before Dec 31st of the fiscal year.</p>	<p>ERROR -@@: Student has an existing assessment within the same district/charter holder on or before Dec 31st this fiscal year.</p>
--	--	--

16

17 1.7.3 The following Language Assessment transaction validation shall be applicable from
 18 FY 2008 and forward:

19

20

<p>SD-TX012-AST-008.3A.5.@@</p> <p>Add for FY08 and forward</p>	<p>Only students who are not currently nor have previously been a participant in an ELL Program may submit an oral, reading, and writing assessment for any individual district or charter holder on or before Dec 31st of the fiscal year.</p>	<p>ERROR -@@: Student is not eligible for assessment on or before Dec 31st this fiscal year due to prior ELL Program Participation.</p>
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21

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- 1 1.7.4 The following Language Assessment transaction validation shall be applicable from
 2 FY 2008 and forward:
 3
 4

<p>SD-TX012-AST-008.3A.5.@@</p> <p>Add for FY08 and forward</p>	<p>Only one oral, reading, and writing assessment may be recorded in SAIS per student per fiscal year for an individual district or charter holder on or after Jan. 1st of the fiscal year.</p>	<p>ERROR -@@: Student has an existing assessment within the same district/charter holder on or after Jan. 1st this fiscal year.</p>
--	--	--

5 **8. Remove TX012-AST-004**

- 6
 7 7.10.1 The following Language Assessment rule shall be removed from the Transaction
 8 validations for FY 2008 and forward:
 9
 10
 11

<p>SD-TX012-AST-004</p> <p>Remove FY08 and forward</p>	<p>Evaluation of Fluent English Proficient (FEP) students after exit from ELL programs will be monitored in SAIS using pseudo-assessment transactions. Assessment result code of "Continuing FEP" and assessment score of '999' will be captured to flag the student as having exited the ELL program.</p>
---	---

12

13 **9. Remove TX012-AST-007.1 and 7.2 for Continuing FEP**
 14 **and 999**

- 15
 16
 17 From FY 2008 and forward, Continuing FEP students must be assessed for two years after
 18 their designation. Therefore, SAIS shall collect and accept actual scores for those students
 19 who are Continuing FEP.

20 1.9.1

21

22 SD-TX012-AST-007.2

23

24 ~~To successfully use an Overall Assessment Result code 6 (Continuing FEP), the Overall~~
 25 ~~Assessment score must contain a numeric value of 999.~~

26

27 ~~FAILURE -24018: The Overall Assessment Score for transactions with an Overall~~
 28 ~~Assessment Result code of 'Continuing FEP' must contain the numeric value 999.~~

29

1 ~~FAILURE -24019: The Overall Assessment Result Code for transactions with an Overall~~
2 ~~Assessment Score numeric value of 999 must contain the code value of 6 (Continuing~~
3 ~~FEP).~~
4
5
6

7 **10. Remove validation in SD-INT-MEM-014.2 for Language**
8 **Group B Integrity**
9

10 1.10.1 The OELAS department of the ADE has determined that SAIS shall allow a student
11 who is participating in an ELL program to be absent on the first day of program
12 enrollment.

13
14 The following validation shall be removed from ELL Integrity from FY 2008 and forward.
15

SD-INT-MEM-014.2 Remove validation from October Enrollment and Language Group B Integrity from FY 2008 forward	If the absence date on the student absence record = the date of attendance or withdrawal, AND the absence amount of the student absence record >= the <u>Student Membership FTE</u> , then report the discrepancy. <p style="text-align: center;">Integrity failure:</p> <ul style="list-style-type: none"> • If date <= 40th: ADM 40th, ADM 100th, ADM 200th, ADM EOY. • If date > 40th AND <= 100th: ADM 100th, ADM 200th, ADM EOY. • If date > 100th: ADM 200th, ADM EOY <p>• October Enrollment • Language / Group B</p>	ERROR: system-message -44103:
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20 **2 Early Childhood Education (ECE)**
21

22 **1. Re-implementation of Error -39009**
23

24 In FY 2007, a workaround was necessary to allow a more relaxed submission of Early
25 Childhood assessment data. The workaround was to not implement the below validation.
26 The transaction level validation will now be implemented for FY 2008 and forward.

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- 1 2.1.1 Validate at the transaction level, from FY 2008 forward, that Preschool Assessment
- 2 Transaction will not be accepted unless the Preschool Student either has a
- 3 Preschool Program Participation OR has a Preschool SPED Participation.

- 4 2.1.1.1 **ERROR message -39009: Preschool Assessment must have the related**
- 5 **Program Participation or SPED Participation. Please submit via the 014 SPED**
- 6 **Program or 023 Preschool Program transaction prior to submitting a PS**
- 7 **Assessment.**

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2. **ECE Program additions for FY 2008**

Additional ECE Program Participation titles/categories are necessary to accommodate more accurately the actual programs being offered and that are needed to be reported on state and federal levels.

2.2.1 SAIS shall accommodate, from FY 2008 and forward, the following additional ECE Programs:

2.2.1.1 [Title I Preschool](#)

2.2.1.2 [Tuition-Based Preschool or Early Childhood Program](#)

2.2.1.3 [Other Preschool or Early Childhood Program](#)

Early Childhood Preschool Programs

Transaction **Early Childhood Preschool Program Participation**;
Element name: **Preschool Program Type**

Code in transaction	Short Description	Description	Effective Dates ²
1	Early Childhood Block Grant	Child enrolled in Early Childhood Block Grant preschool program and has met eligibility guidelines.	<ul style="list-style-type: none"> • FY 2007 to present
2	State Family Literacy	State Family Literacy eligible child and family enrolled in a state funded Family Literacy program. Child receives services in a preschool setting in conjunction with family members receiving adult education.	<ul style="list-style-type: none"> • FY 2007 to present
3	Title I Even Start	Even Start eligible child and family enrolled in a federally funded Even Start program. Child receives services in a preschool setting in conjunction with family member(s) receiving adult education.	<ul style="list-style-type: none"> • FY 2007 to present
4	Migrant Education Even Start	Migrant eligible child and family enrolled in a family literacy program. Child receives services in a preschool setting in conjunction with family members receiving adult education.	<ul style="list-style-type: none"> • FY 2007 to present

Code in transaction	Short Description	Description	Effective Dates ²
5	Title I Preschool	Child enrolled in a Title I targeted program or Title I school. Different from Title I Even Start.	<ul style="list-style-type: none"> FY 2008 to present
6	Tuition-Based Preschool or Early Childhood Program	Child enrolled in District administered program. Tuition or fee is paid by parent or family.	<ul style="list-style-type: none"> FY 2008 to present
7	Other Preschool or Early Childhood Program	Child enrolled in other program that does not meet preschool or early childhood programs previously described.	<ul style="list-style-type: none"> FY 2008 to present

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3. Adult Education ID

2.3.1

Early Childhood Program Participation

Early Childhood Program Participation transaction is designed to capture the elements that are directly related to the Programs in which Preschool students participate.

The following structure describes the elements in this transaction.

field name	abbreviated name	length	type	data	description
Transaction ID	TRANSID	3	I		ID value = 023
Vendor Defined Field	VENDORFLD	50	S		Free field to be used for any purpose defined by the vendor or submitter (e.g., to hold a vendor-generated submission record identifier); this information will not be stored in SAIS, but will be sent back to the submitter with any system-generated error or warning message
Operation Code	OPRCD	1	S		A = Add New Preschool Program Participation C = Change Existing Preschool Program Participation information D = Delete a Preschool Program Participation

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field name	abbreviated name	length	data type	description
Entity ID	ENTITYID	9	S	School identifier; CTDS code, where student is participating in the Preschool program
School Student ID	SCHLSTUID	12	S	School-generated student identifier
Student ID	STUDENTID	10	I	ADE-generated student identifier (SAIS ID)
Track Number	TRACK	4	I	For schools or districts with multiple tracks, identifies the track to which this membership applies. (If the school does not have its own calendar, it will use the district's calendar.)
First Name on Legal Document	FIRSTNM	30	S	Student first name as it appears on the legal document provided for registration
Middle Name on Legal Document	MIDDLNM	30	S	Student middle name as it appears on the legal document provided for registration
Last Name on Legal Document	LASTNM	40	S	Student last name as it appears on the legal document provided for registration
Preschool Program Type	PSPROGTYP E	2	C	Program in which student is participating <i>See Code Values – Preschool Program Type</i>
Program Entry Date	PGMENTRYD ATE	10	D	The date the student entered the program
Program Exit Date	PGMEXITDA TE	10	D	The date the student exited the program
Program Partner ID	PROGPARTI D	9	S	School identifier; CTDS code, of district, charter or other agency reporting data on Entity's behalf, OR with which the Entity has a relationship
Family Income Indicator	FMLYINCIND	1	L	Used for students enrolled in the Title I Even Start or State Family Literacy Program to who whether the family is at or below the poverty level
Living in Household Indicator	LIVHSHLDIN D	2	C	Used for students enrolled in the Early Childhood Block Grant Program to determine parental employment status <i>See Code Values - Preschool Early Childhood Block Grant - Living in Household Indicator</i>
Mother Employment Status	NEWSPECEN REND	1	L	Used in conjunction with Living in Household Indicator if living with Mother or Both
Father Employment Status	OLDTUITPAY RCD	1	L	Used in conjunction with Living in Household Indicator if living with Father or Both
Adult Education ID	ADULTEDID	10	A	ADE-generated Adult Education identifier. Used in conjunction with Preschool Program types State Family Literacy and Title I Even Start only.
Exempt from PPVT III or PALS-PreK		2	C	Used for students enrolled in the Early Childhood State Family Literacy or Title I Even Start programs who are exempt from taking the PPVT III or PALS-PreK assessment(s). <i>See Code Values - Preschool Early Childhood Exemptions</i>

1

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1

2 **2.3.2 Changing Elements**

3 See the section **How to Change Specific SAIS Elements** at the end of this
 4 document for instructions on which transaction should be used to change particular
 5 data elements.

6

7 The following elements will be changed with a "change" operation.

- 8 • Preschool Exit Date
- 9 • Program Partner ID
- 10 • Family Income Indicator
- 11 • Living in Household Indicator
- 12 • Mother Employment Status
- 13 • Father Employment Status
- 14 → • **Adult Education ID**
- 15 • Exempt from PPVT III or PALS-PreK

16

17 **2.3.3 Element Optionality**

18 This table defines whether each element is required or optional.

19

20 *Note: When conditions state that a change will be made on SAIS, any changeable*
 21 *field on the submitted transaction that does not contain a value — rather, it*
 22 *contains nulls or spaces — will replace the value on SAIS with nulls.*
 23

24 R: the element is required

25 X: any value in the submitted element will be ignored

26 {blank}: the element is optional; a valid value will be captured in SAIS

27

28

Preschool Program Participation Transaction Element Optionality

<i>element</i>	<i>add</i>	<i>change</i>	<i>delete</i>
Transaction ID	R	R	R
Vendor Defined Field	X	X	X
Operation Code	R	R	R
Entity ID	R	R	R
School Student ID	X	X	X
Student ID	R	R	R
Track Number	R	R	R
First Name on Legal Document	X	X	X
Middle Name on Legal Document	X	X	X
Last Name on Legal Document	X	X	X
Preschool Program Type	R	R	R
Program Entry Date	R	R	R
Program Exit Date		3	X

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<i>element</i>	<i>add</i>	<i>change</i>	<i>delete</i>
Program Partner ID		3	X
Family Income Indicator	4	3	X
Living in Household Indicator	1	3	X
Mother Employment Status	2	3	X
Father Employment Status	2	3	X
Adult Education ID	4	3	X
Exempt from PPVT III or PALS-PreK	5	3	X

- 1 1 *If Preschool Program Type is Early Childhood Block Grant, then this*
- 2 *field is required, otherwise it will be ignored.*
- 3 2 *If Living in Household Indicator is provided, these fields could be*
- 4 *required dependant on the value of the indicator*
- 5 3 *At least one of these elements must be different on SAIS for this to be*
- 6 *a valid change*
- 7 4 ***If Preschool Program Type is Title I Even Start or State Family***
- 8 ***Literacy, then this field is required, otherwise it will be ignored***
- 9 5 *If Preschool Program Type is Title I Even Start or State Family*
- 10 *Literacy, then this field is optional, otherwise it will be ignored*
- 11

12 **2.3.4 Transaction Rule(s)**

13

14 2.3.4.1 The following rule shall be applied to the Early Childhood Program Participation

15 transaction.

16

17

18 SD-TX023-ECP-002

19

20 An Adult Education ID must be submitted when the Preschool Program type is

21 either State Family Literacy or Title I Even Start.

22

23 **ERROR: Message -@@:**

24 *An Adult Education ID must be submitted when the Preschool Program*

25 *type is either State Family Literacy or Title I Even Start.*

26

27 2.3.4.2 SAIS shall allow submission of transaction 23's FY 2008 format in prior fiscal

28 years (*note that FY 2007 was the first year for this transaction*).

29 2.3.4.2.1 *If a FY 2008 format is submitted for a prior FY, SAIS shall ignore the Adult*

30 *Education ID field and the Exempt from PPVT III or PALS-PreK field.*

31

32

33

34

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1 **4. Title I Even Start and State Family Literacy - Exempt**
 2 **from Assessment but in Program**

3
 4 USED requires states to report the number of children exempt from the testing requirement
 5 for the PPVT III and PALS-PreK assessments who are enrolled in Title I Even Start or State
 6 Family Literacy Early Childhood programs.
 7

8 2.4.1 An additional field to the 23 ECE Program Participation transaction shall be added
 9 for FY 2008 and forward.

10 2.4.1.1 This field shall be 2 characters max in length and have a data type = code value.

11 2.4.2 A new codes value table shall be created entitled 'Early Childhood Preschool
 12 Exemptions' which shall include the following:
 13

14 **2.4.3 Early Childhood Preschool Exceptions for Title I Even Start and State Family**
 15 **Literacy**

16
 17 **Transaction Early Childhood Preschool Programs;**
 18 **Element name Exempt from PPVT III or PALS-PreK**
 19

Code in transaction	Short Description	Description	Effective Dates
1	Hearing Impairment Exemption	Child participating in Title I Even Start or State Family Literacy early childhood program who is exempt from the PPVT III or PALS-PreK assessments due to hearing impairment.	• FY 2008 to present
2	Vision Impairment Exemption	Child participating in Title I Even Start or State Family Literacy early childhood program who is exempt from the PPVT III or PALS-PreK due to vision impairment.	• FY 2008 to present
3	Language Barrier Exemption	Child participating in Title I Even Start or State Family Literacy early childhood program who is exempt from the PPVT III or PALS-PreK due to a language barrier.	• FY 2008 to present
4	Age Exemption	Child participating in Title I Even Start or State Family Literacy early childhood program who is exempt from the PPVT III or PALS-PreK because they are not 5 years old by September 1 st of the following fiscal year.	• FY 2008 to present
5	Less than 6 Months of Instruction	Child participating in Title I Even Start or State Family Literacy early childhood program who is exempt from the PPVT III or PALS-PreK because they have not received at least 6 months of service participation	• FY 2008 to present

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Early Childhood Program Participation

Early Childhood Program Participation transaction is designed to capture the elements that are directly related to the Programs in which Preschool students participate.

The following structure describes the elements in this transaction.

field name	abbreviated name	length	data type	description
Transaction ID	TRANSID	3	I	ID value = 023
Vendor Defined Field	VENDORFLD	50	S	Free field to be used for any purpose defined by the vendor or submitter (e.g., to hold a vendor-generated submission record identifier); this information will not be stored in SAIS, but will be sent back to the submitter with any system-generated error or warning message
Operation Code	OPRCOD	1	S	A = Add New Preschool Program Participation C = Change Existing Preschool Program Participation information D = Delete a Preschool Program Participation
Entity ID	ENTITYID	9	S	School identifier; CTDS code, where student is participating in the Preschool program
School Student ID	SCHLSTUID	12	S	School-generated student identifier
Student ID	STUDENTID	10	I	ADE-generated student identifier (SAIS ID)
Track Number	TRACK	4	I	For schools or districts with multiple tracks, identifies the track to which this membership applies. (If the school does not have its own calendar, it will use the district's calendar.)
First Name on Legal Document	FIRSTNM	30	S	Student first name as it appears on the legal document provided for registration
Middle Name on Legal Document	MIDDLENM	30	S	Student middle name as it appears on the legal document provided for registration
Last Name on Legal Document	LASTNM	40	S	Student last name as it appears on the legal document provided for registration
Preschool Program Type	PSPROGTYP E	2	C	Program in which student is participating <i>See Code Values – Preschool Program Type</i>
Program Entry Date	PGMENTRYD ATE	10	D	The date the student entered the program
Program Exit Date	PGMEXITDA TE	10	D	The date the student exited the program
Program Partner ID	PROGPARTI D	9	S	School identifier; CTDS code, of district, charter or other agency reporting data on Entity's behalf, OR with which the Entity has a relationship

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field name	abbreviated name	length	data type	description
Family Income Indicator	FMLYINCIND	1	L	Used for students enrolled in the Title I Even Start or State Family Literacy Program to who whether the family is at or below the poverty level
Living in Household Indicator	LIVHSHLDIND	2	C	Used for students enrolled in the Early Childhood Block Grant Program to determine parental employment status <i>See Code Values - Preschool Early Childhood Block Grant - Living in Household Indicator</i>
Mother Employment Status		1	L	Used in conjunction with Living in Household Indicator if living with Mother or Both
Father Employment Status		1	L	Used in conjunction with Living in Household Indicator if living with Father or Both
Adult Education ID	ADULTEDID	10	I	ADE-generated Adult Education identifier. <i>Used in conjunction with Preschool Program types State Family Literacy and Title I Even Start only.</i>
PPVT III or PALS-PreK Exempt		2	C	Used for students enrolled in the Early Childhood State Family Literacy or Title I Even Start programs who are exempt from taking the PPVT III or PALS-PreK assessment(s). <i>See Code Values - Early Childhood Preschool Exemptions</i>

1
2

3 **2.4.4 Changing Elements**

4 See the section ***How to Change Specific SAIS Elements*** at the end of this
5 document for instructions on which transaction should be used to change particular
6 data elements.

7

8 The following elements will be changed with a "change" operation.

- 9 • Preschool Exit Date
- 10 • Program Partner ID
- 11 • Family Income Indicator
- 12 • Living in Household Indicator
- 13 • Mother Employment Status
- 14 • Father Employment Status
- 15 • Adult Education ID
- 16 • **PPVT III or PALS-PreK Exempt**

17

18 **2.4.5 Element Optionality**

19 This table defines whether each element is required or optional.

20

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1 *Note: When conditions state that a change will be made on SAIS, any changeable*
 2 *field on the submitted transaction that does not contain a value — rather, it*
 3 *contains nulls or spaces — will replace the value on SAIS with nulls.*
 4

- 5 R: the element is required
 6 X: any value in the submitted element will be ignored
 7 {blank}: the element is optional; a valid value will be captured in SAIS
 8
 9

Preschool Program Participation Transaction Element Optionality

<i>element</i>	<i>add</i>	<i>change</i>	<i>delete</i>
Transaction ID	R	R	R
Vendor Defined Field	X	X	X
Operation Code	R	R	R
Entity ID	R	R	R
School Student ID	X	X	X
Student ID	R	R	R
Track Number	R	R	R
First Name on Legal Document	X	X	X
Middle Name on Legal Document	X	X	X
Last Name on Legal Document	X	X	X
Preschool Program Type	R	R	R
Program Entry Date	R	R	R
Program Exit Date		3	X
Program Partner ID		3	X
Family Income Indicator	4	3	X
Living in Household Indicator	1	3	X
Mother Employment Status	2	3	X
Father Employment Status	2	3	X
Adult Education ID	4	3	X
PPVT III or PALS-PreK Exempt	5	3	X

- 10 → 1 *If Preschool Program Type is Early Childhood Block Grant, then this*
 11 *field is required, otherwise it will be ignored.*
 12 2 *If Living in Household Indicator is provided, these fields could be*
 13 *required dependant on the value of the indicator*
 14 3 *At least one of these elements must be different on SAIS for this to be*
 15 *a valid change*
 16 4 *If Preschool Program Type is Title I Even Start or State Family*
 17 *Literacy, then this field is required, otherwise it will be ignored*
 18 → 5 ***If Preschool Program Type is Title I Even Start or State Family***
 19 ***Literacy, then this field is optional, otherwise it will be ignored***
 20
 21
 22

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1 **2.4.6 Transaction**

2

3 2.4.6.1 SAIS shall validate the use of exemption code 4 (age). To successfully submit an
 4 exemption code = 4, SAIS shall validate that the student is not 5 years old by
 5 September 1st of the following fiscal year.

6

7

ERROR: Message -@@@:

8

Student must not reach the age of 5 by September 1st of the following fiscal
 year to use the age exemption; (BirthDate).

9

10

11 2.4.6.2 SAIS shall allow submission of transaction 23's FY 2008 format in prior fiscal
 12 years (*note that FY 2007 was the first year for this transaction*).

13 2.4.6.2.1 *If a FY 2008 format is submitted for a prior FY, SAIS shall ignore the Adult
 14 Education ID field and the Exempt from PPVT III or PALS-PreK field.*

15

16

17 **2.4.7 Definitions**

18 Following are definitions for data elements used in the Early Childhood Program
 19 Participation transaction.

Element	Description
School CTDS (Required)	CTDS number of the school where the program is being provided. All Head Start / private schools providing preschool services must apply to ADE to receive a CTDS number.
Program Type (Required)	This field would determine which PS programs a student is participating in, for example: Early Childhood Block Grant, State Family Literacy, Title I Even Start, and/or Migrant Education Even Start. <i>Note: A preschool Student may participate in multiple programs. an Early Childhood Program Participation transaction will need to be submitted to SAIS for each program.</i>
Program Entry Date (Required)	The date that the student enters the program defined in Program Type
Program Exit Date (Optional)	The date that the student is exited/withdrawn from the program defined in Program Type
Family Income Indicator (Required, only if PS Program Type is Even Start or Family Literacy)	This will be a Y/N (1, 0) indicator to show whether a family is at or below the poverty level (100%).
Living in Household Indicator (Required only if Program Type is Early Childhood Block Grant)	This indicator is a required field if the Program Type is Early Childhood Block Grant. If required, the values of this indicator can be: <ul style="list-style-type: none"> • Mother - If the indicator is marked as Mother,

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Element	Description
* <i>Status at the time of school enrollment*</i>	<p>then the Mother Employment Status below becomes a required field and must be entered</p> <ul style="list-style-type: none"> • Father - If the indicator is marked as Father, then the Father Employment Status below becomes a required field and must be entered • Both - If the indicator is marked as Both, then both the Mother Employment Status and the Father Employment Status fields must be entered • Not living with either parent - If the indicator is marked as Not living with either parent, then neither the Mother Employment Status nor Father Employment Status must be entered
Mother Employment Status <u>(Optional, depending on Living in Household Indicator above)</u>	This is needed for Federal reporting for the Early Childhood Block Grant. This will be a Yes / No indicator.
Father Employment Status <u>(Optional, depending on Living in Household Indicator above)</u>	This is needed for Federal reporting for the Early Childhood Block Grant. This will be a Y/N (1, 0) indicator.
Program Partner ID <u>(Optional)</u>	<p>CTDS number of the district, charter, or other agency that is entering the data on behalf of the entity OR CTDS number of the district or charter with which the entity shares a relationship.</p> <p>This field will be used in cases where: A district, charter or other agency is designated to report data to SAIS on behalf of the entity, to ensure there is way to determine who submitted the data in SAIS, and for the submitting district, charter or other agency to be able to view the data. When an entity has a relationship with a district or charter school, this field should be used to report the CTDS of that district or charter.</p>
<u>Adult Education ID</u>	
Exempt from PPVT III or PALS-PreK	Child is exempt from assessment on the PPVT-III and the PALS PreK if the child is participating in Title I Even Start or State Family Literacy, but does not meet the age requirement to be assessed, has not received 6 months of instruction, or is a child with a hearing impairment, a vision impairment or a language barrier.

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2 **3 School Finance**

3

4 **1. Graduated Integrity Warnings**

5

6 SF – Activate the following validations as WARNINGS from FY 2008 and forward (*note,*
7 *these had previously been active failure level validations before being commented out*
8 *some time ago*):

9

10 3.1.1 Withdrawn as graduated:

11

12 SD-INT-MEM-008.4.10

13 From FY 2008 forward, SAIS shall issue a warning if the student was previously withdrawn
14 with a W7 (Graduated) code. This validation shall start with enrollments/re-enrollments
15 submitted for FY2007, and check for the W7 (Graduated) code in the current fiscal year,
16 and the three fiscal years prior.

17 Integrity warning:

- 18 • If date =< 40th: ADM 40th, ADM 100th, ADM 200th, ADM EOY.
- 19 • If date > 40th AND =< 100th: ADM 100th, ADM 200th, ADM EOY.
- 20 • If date > 100th: ADM 200th, ADM EOY
- 21 • October Enrollment
- 22 • Year End Enrollment

23

24 WARNING message @@. Student was previously withdrawn with a W7 (Graduated) by
25 {CTDS} on {Withdrawal Date}

26

27

28 3.1.2 Year-end status as graduated:

29

30 SD-INT-MEM-008.4.11

31 From FY 2008 forward, SAIS shall issue a warning if the student was reported with a Year
32 End Status code of "G" (Graduated) for the prior school year.

33 Integrity warning:

- 34 • If date =< 40th: ADM 40th, ADM 100th, ADM 200th, ADM EOY.
- 35 • If date > 40th AND =< 100th: ADM 100th, ADM 200th, ADM EOY.
- 36 • If date > 100th: ADM 200th, ADM EOY
- 37 • October Enrollment
- 38 • Year End Enrollment

39

40 WARNING message -@@: Student was reported with a Year End Status code of "G"
41 (Graduated) by {CTDS} in prior school year

42

43

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1 3.1.3 Summer Withdrawal as graduated:

2

3 SD-INT-MEM-008.4.12 Synchronize Activity Codes

4 From FY 2008 forward, SAIS shall issue a warning if the student was reported with a

5 Summer Withdrawal code of "S7" (Graduated) for the current school year.

6 Integrity warning:

7 • If date =< 40th: ADM 40th, ADM 100th, ADM 200th, ADM EOY.

8 • If date > 40th AND =< 100th: ADM 100th, ADM 200th, ADM EOY.

9 • If date > 100th: ADM 200th, ADM EOY

10 • October Enrollment

11 Year End Enrollment

12

13 WARNING message -@@: Student was reported with a Summer Withdrawal code of "S7"

14 (Graduated) by {CTDS} in current school year

15

16

17

18 4 Exceptional Student Services (ESS)

19

20

21 1. **Tuition Payer 4**

22

23 4.1.1 Tuition Payer 4 Code values

24 4.1.1.1 The new Tuition Payer code 4 shall be fiscal year based and be effective from FY
25 2008 and forward.

26 4.1.1.2 The new Tuition Payer code 4 shall be documented in the Codes Values
27 Document. The section for the Tuition Payer Codes shall be published as
28 follows:

29

30 Tuition Payer

31 Transaction **Student Enrollment**; Element name **Tuition Payer Code**

32 Transaction **Student Readmission**; Element name **Tuition Payer Code**

33 Transaction **Student Payer Factors**; Element name **Tuition Payer Code**

34

35 *Tuition Payer is used to signify which memberships will generate State funding and*
36 *which ones will not. It is set by ADE's School Finance Department.*

37

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Code in transaction	Description	Note	Effective Dates ²
1	The "normal" setting for Arizona school children who generate state funding.	This includes students attending school in their own district of residence, as well as Tuition-in students (students attending school outside their district of residence as defined in Arizona statute, but whose tuition is paid by the district or ADE), and students with a special enrollment situation (see the subject "Special Enrollment" earlier in this document).	
2	Privately paid tuition, no tuition charged, or not eligible for state funding.	This includes students not eligible for state funding as defined in Arizona statute, e.g., students age 22 and older, students less than 3-years-old, and preschool children without disabilities. It also includes students with private-paid tuition, e.g., tuition is paid by parents.	
3	Foreign exchange student	Student is a foreign exchange student. These students are not eligible for state funding.	FY2005 to present
4	Non-special education (NSE) students in residential treatment centers	Non-special education (NSE) students placed in approved private residential facilities; funding is paid through the voucher system, not ADM.	FY2008 to present

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1 4.1.2 Transaction Level Validations

2 Transaction Level changes associated with the Tuition Payer 4 code:

3

4 4.1.2.1 Tuition Payer code value 4 shall be allowed for FY 2008 and forward. Therefore,
5 from FY 2008 and forward, the following Transaction Level validations must take
6 into account the new Tuition Payer code value of 4.

7 4.1.2.2

8

-11033	Unallowed value in element: Tuition Payer Code
--------	--

9

10 4.1.2.3

11

-11014	Missing required element: Tuition Payer Code
--------	--

12

13

14 4.1.3 Integrity Level Validations

15

16 Tuition Payer code value 4 shall be allowed for FY 2008 and forward. Therefore, from FY
17 2008 and forward, the following Integrity Level validations must take into account the new
18 Tuition Payer code value of 4.

19

20 4.1.3.1

21

SD-INT- MEM- 006.1	<p>Tuition Payer</p> <p>Tuition Payer dates cannot overlap</p> <p style="padding-left: 40px;">Integrity failure:</p> <ul style="list-style-type: none"> • If date <= 40th: ADM 40th, ADM 100th, ADM 200th, ADM EOY. • If date > 40th AND <= 100th: ADM 100th, ADM 200th, ADM EOY. • If date > 100th: ADM 200th, ADM EOY 	<p>ERROR message -43802</p> <p>Reported Tuition Payer overlaps with another Tuition Payer for this membership.</p>
--------------------------	--	--

22

23 4.1.3.2

24

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SD-INT-MEM-006.2	<p>Tuition Payer Tuition Payer dates cannot have a gap</p> <p style="text-align: center;">Integrity failure:</p> <ul style="list-style-type: none"> • IF DATE =< 40TH: ADM 40TH, ADM 100TH, ADM 200TH, ADM EOY. • IF DATE > 40TH AND =< 100TH: ADM 100TH, ADM 200TH, ADM EOY. • IF DATE > 100TH: ADM 200TH, ADM EOY 	<p>ERROR Message - 43801</p> <p>Tuition Payer assignment is missing for all or part of this membership.</p>
------------------	--	--

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3 4.1.4 Student Details Reports

4 4.1.4.1 All Student Details reports that currently return a Tuition Payer code value and/or
5 description shall, from FY 2008 forward, also return the code value and/or
6 description for the Tuition Payer 4 value.

7

8

9 4.1.5 Aggregation / Data Push

10 4.1.5.1 Student's who are labeled as Tuition Payer 4 shall not be paid state funds via
11 ADM.

12

13 5.1.1.1 Student's who are labeled as Tuition Payer 4 shall have their aggregate data
14 placed in the Non-Aid Student Counts table (Proddata1:
15 ScADMSNonAidMemberships).

16

17

18 **2. SPED Exit Reason Codes**

19

20 **4.2.1 Expelled but still Receiving SPED Services**

21 4.2.1.1 SAIS shall allow a SPED Exit Reason code that designates when a student is
22 expelled yet is continuing to receive SPED services.

23

24

25

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Code in trans-action	Short Description	Description	Valid for pre-school	Valid for stated ages	Effective Dates ²
11	Expelled but still receiving services	Student was expelled from school, but is still receiving SPED services.	yes	yes	• FY 2008 to present

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4.2.2 Exit one Need but Continue in another

4.2.2.1 SAIS shall allow a SPED Exit Reason code that allows student to exit a SPED Service Participation for one need while continuing in a SPED Service Participation for a different need.

Code in trans-action	Short Description	Description	Valid for pre-school	Valid for stated ages	Effective Dates ²
12	Exit from one Need but continuing in another	Student is exiting from one particular Need and associated SPED service participation but continues to receive SPED services for a different need.	yes	yes	• FY 2008 to present

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4.2.2.1.1 Integrity rule (from FY 2008 forward):

ERROR: Message -@@:
Student must have a SPED Student Participation after the date of SPED Exit with Reason Code 12 (exit from one need but continuing in another).

- State SPED Integrity
- Federal SPED Integrity

4.2.3 School's Out SPED Exit Reason

4.2.3.1 SAIS shall allow a SPED Exit Reason code that designates that a student is exiting his SPED Services due to the school year ending.

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Code in trans-action	Short Description	Description	Valid for pre-school	Valid for stated ages	Effective Dates ²
13	School is Out	SPED Participation is ending due to the school's calendar year ending. This SPED Exit Reason code should be used if the student participates through the end of the year.	yes	yes	<ul style="list-style-type: none"> FY 2008 to present

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3. SPED Year End Exit Codes

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4.3.1 SAIS shall require that all SPED service participations are exited accordingly at the end of the participation. This now includes exiting the SPED service participation at the end of the school year.

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4.3.1.1 The SPED Exit code must be associated with the student's regular membership year end or withdrawal code according to the following charts:

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		Year End Codes							
		G- Graduated	C- Completed	A- Attended	SA-Still Enrolled (AIMS)	SC-Still Enrolled (course req)	SE-Still Enrolled (met no req)	P-Promoted	R- Retained
SPED Exit Codes	1-Transfer to regular education	no	no	no	no	no	no	yes	yes
	2- Graduated	yes	no	no	no	no	no	no	no
	3- Reached maximum age	no	no	no	no	no	no	no	no
	4-Died	no	no	no	no	no	no	no	no
	5- Moved, known to be continuing	no	no	no	no	no	no	yes	yes
	7-Dropout	no	yes	yes	no	no	no	no	no
	8-Transition to kindergarten	no	no	no	no	no	no	no	no
9-Ends one SPED service but starts another	no	no	no	no	no	no	no	no	

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	10- Withdrawn by parent request and no longer enrolled	no	no	no	no	no	no	no	no
	11-Expelled but still receiving services	no	no	no	no	no	no	no	no
	12-Exit from one need but continuing in another	no	no	no	no	no	no	no	no
	13-End of school year	no	no	no	yes	yes	yes	yes	yes

Note that 'J' service code participations cannot be validated against Year End code as they are private school students and do not receive ADM

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Withdrawal Codes

		W1- Transfer	W2- Illness	W3- Expelled or long-term suspension	W4- Absence status unknown	W5- Dropout	W6-Age	W7- Graduated	W8- Deceased	W9- Transfer to be hometaug ht	W10- Transfer to detention	W11- GED	W12- Vocational school	W13- Completer (AIMS)
		SPED Exit Codes	1-Transfer to regular education	no	no	no	no	no	no	no	no	no	no	no
2-Graduated	no		no	no	no	no	no	yes	no	no	no	no	no	no
3- Reached maximum age	no		no	no	no	no	yes	no	no	no	no	no	no	no
4-Died	no		no	no	no	no	no	no	yes	no	no	no	no	no
5- Moved, known to be continuing	yes		no	no	no	no	no	no	no	yes	yes	no	no	no
7-Dropout	no		yes	yes	yes	yes	no	no	no	no	no	yes	yes	yes
8-Transition to kindergarten	no		no	no	no	no	no	no	no	no	no	no	no	no
9-Ends one SPED service but starts another	no		yes	yes	no	no	no	no	no	yes	no	no	no	no
10-Withdrawn by parent request and no longer enrolled	no		no	no	no	no	no	no	no	no	no	no	no	no
11-Expelled but still receiving services	no		no	yes	no	no	no	no	no	no	no	no	no	no

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	12-Exit from one need but continuing in another	no	no	no	no	no	no	no	no	no	no	no	no	no
	13-End of school year	no	no	no	no	no	no	no	no	no	no	no	no	no

1 Note that service code J participations cannot be validated against withdrawal codes as they are private school students and do not receive ADM.
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1 4.3.1.2 SAIS shall validate that the student's latest SPED Participation per school per
2 fiscal year has a SPED Exit Reason that 'matches' with a student's regular
3 membership year end or withdrawal membership status per the charts above.

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This rule and its validation shall change from a WARNING on FY 2008 to a FAILURE in FY 2009.

13 4.3.1.2.1 SAIS shall validate this rule beginning April 1st of each fiscal year with the
14 validation continuing forward. The validation shall be for all of the current fiscal
15 year.

16 4.3.1.2.2 ***The Integrity validation is for the FEDERAL SPED Integrity flag only.***

17 4.3.1.3 If student has SPED Participation within the fiscal year and the student's regular
18 membership year end or withdrawal status at the same school changes, SAIS
19 shall set the student's FEDERAL SPED Integrity flag to NULL and trigger
20 FEDERAL SPED integrity to be run.

21 4.3.1.4 SAIS shall check to ensure that both a SPED Exit Reason and SPED Exit Date
22 when the student is exited from a SPED program. If both have not been
23 submitted, then:

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This rule and its validation shall change from a WARNING on FY 2008 to a FAILURE in FY 2009.

32 4.3.1.4.1 SAIS shall validate this rule beginning April 1st of each fiscal year with the
33 validation continuing forward. The validation shall be for all of the current fiscal
34 year

35 4.3.1.4.2 ***The Integrity validation is for the FEDERAL SPED Integrity flag only.***

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1 **4. SPED Service Codes**

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3 **4.4.1 Code Values**

4 4.4.1.1 Modify the SPED Service Codes to be the following (note pre FY 2008 vs FY
5 2008) and forward:

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Service Codes Ages 3-5 (preschool)		Service Codes Ages 6-21 (school age)	
<= FY 2007	>= FY 2008	<= FY 2007	>= FY 2008
A, R	PA	A, S	A
B	PB	B	B
C	PC	C	C
-	PD	D, E	D
D, E	PE	F, G, V	E
F, G, V	PG	"	EA
H	PH	"	EB
J	PJ	"	EC
S	PS	F, S	FA
		F	FB
		F	FC
		H	H
		I	I
		J	J

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9 4.4.1.2 New SPED Service Codes shall be fiscal year based. The new codes shall be
10 effective from FY 2008 and forward.

11 4.4.1.2.1 *SPED Service Codes from FY 2007 and prior shall still be available for those
12 applicable fiscal years.*

13

14 4.4.1.3 Definitions of the of the new SPED Service Code values shall be indicated in the

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1 FY 2008 Code Values document: The section for the SPED Service Codes shall be published as follows:

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GRADE	SERVICE CODE	DESCRIPTION	STATE	FEDERAL	Self Contained or Resourced
all but PS	A	Inside Regular Class 80% or more of the day. (These are children who received special education and related services outside the regular class for less than 21% of the school day.) This may include children placed in: regular class with special education/related services provided within regular classes; regular class with special education/related services provided outside the regular classes; or regular class with special education services provided in resource rooms.	yes	yes	R
all but PS	B	Inside Regular Class for no more than 79% of day and no less than 40% of the day. (These are children who received special education and related services outside the regular classroom for at least 21% but no more than 60% of the school day.) This may include children placed in: resource rooms with special education/related services provided within the resource room; or resource rooms with part-time instruction in a regular class.	yes	yes	R
all but PS	C	Inside Regular Class less than 40% of the day. (These are children who received special education and related services outside the regular classroom for more than 60% of the school day.) This may include children placed in: self-contained special classrooms with part-time instruction in a regular class; or self-contained special classrooms with full-time special education instruction on a regular school campus.	yes	yes	SC
all but PS	D	Public or Private Separate Day School for greater than 50% of the school day. This may include children placed in: public and private day schools for students with disabilities; public and private day schools for students with disabilities for a portion of the school day (greater than 50%) and in regular school buildings for the remainder of the school day; or public and private residential facilities if the student does NOT LIVE at the facility.	yes	yes	SC
all but PS	E	Public or Private Residential Facility for greater than 50% of the school day. Receives education programs and lives in the public or private residential facilities during the school week. This may include children placed in: public and private residential schools for students with disabilities; or public and private residential schools for students with disabilities for a portion of the school day (greater than 50%) and in separate day schools or regular school buildings for the remainder of the school day.	yes ¹	yes	SC

¹ State funding for students with disabilities is provided through voucher funds to the private or public facility serving the students.

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all but PS	EA	Public or Private Residential Facility for greater than 50% of the school day – placed by another state agency (not an IEP team decision) with code A. Placed in public or private residential facility receiving services inside regular class 80% or more of the day. Receives education programs and lives in the public or private residential facilities during the school week. This may include children placed in: public and private residential schools for students with disabilities; or public and private residential schools for students with disabilities for a portion of the school day (greater than 50%) and in separate day schools or regular school buildings for the remainder of the school day.	Yes ¹	yes	R
all but PS	EB	Public or Private Residential Facility for greater than 50% of the school day – placed by another state agency (not an IEP team decision) with code B. Placed in public or private residential facility receiving services inside regular class 40-79% of the day. Receives education programs and lives in the public or private residential facilities during the school week. This may include children placed in: public and private residential schools for students with disabilities; or public and private residential schools for students with disabilities for a portion of the school day (greater than 50%) and in separate day schools or regular school buildings for the remainder of the school day.	Yes ¹	yes	R
GRADE	SERVICE CODE	DESCRIPTION	STATE	FEDERAL	Self Contained or Resourced
all but PS	EC	Public or Private Residential Facility for greater than 50% of the school day – placed by another state agency (not an IEP team decision) with code C. Placed in public or private residential facility receiving services inside regular class less than 40% of the day. Receives education programs and lives in the public or private residential facilities during the school week. This may include children placed in: public and private residential schools for students with disabilities; or public and private residential schools for students with disabilities for a portion of the school day (greater than 50%) and in separate day schools or regular school buildings for the remainder of the school day.	yes ²	yes	SC
all but PS	FA	Correctional Facilities with code A. Receives special education inside regular class 80% or more of the day in: short-term detention facilities (community-based or residential); or correctional facilities.	yes	yes	R
all but PS	FB	Correctional Facilities with code B. Receives special education inside regular class 40-79% of the day in: short-term detention facilities (community-based or residential); or correctional facilities.	yes	yes	R

² State funding for students with disabilities is provided through voucher funds to the private or public facility serving the students.

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all but PS	FC	Correctional Facilities with code C. Receives special education inside regular class less than 40% of the day in: short-term detention facilities (community-based or residential); or correctional facilities.	yes	yes	SC
all but PS	H	Homebased/homebound/hospital program. Receives education programs in homebased/homebound/hospital environment that includes children with disabilities placed in and receiving special education and related services in: hospital programs; homebound or homebased programs.	yes	yes	R
all but PS	I	Services provided in a regular classroom. The only disability categories that can be reported here are MD, A, SMR, and OI.	Yes	yes	SC
all but PS	J	Private School placement, enrolled by parent(s). Students enrolled by parents or guardians in regular parochial or other private schools who receive special education and related services under a service plan. There is no entitlement to special education and related services. However, PEA must expend proportionate amount of federal funding on students in this type of private placement. This also includes children that are homeschooled.	no	yes	R

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GRADE	SERVICE CODE	DESCRIPTION	STATE	FEDERAL	Self Contained or Resourced
PS	PA	Inside Regular Early Childhood Program at least 80% of the time. A program that includes at least 50% nondisabled children. This may include, but is not limited to special education and related services provided in: Head Start; kindergarten; reverse mainstream classrooms; private preschools; preschool classes offered to an eligible pre-kindergarten population by the public school system; or group child care.	yes	yes	R

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PS	PB	Inside Regular Early Childhood Program 40-79% of the time. A program that includes at least 50% nondisabled children. This may include, but are not limited to special education and related services provided in: Head Start; kindergarten; reverse mainstream classrooms; private preschools; preschool classes offered to an eligible pre-kindergarten population by the public school system; or group child care.	yes	yes	R
PS	PC	Inside Regular Early Childhood Program less than 40% of the time. A program that includes at least 50% nondisabled children. This may include, but is not limited to special education and related services provided in: Head Start; kindergarten; reverse mainstream classrooms; private preschools; preschool classes offered to an eligible pre-kindergarten population by the public school system; or group child care.	yes	yes	SC
PS	PD	Separate Class. Attends a special education program in a class that includes 49% or more children with disabilities. This may include, but are not limited to, special education and related services provided in: special education classrooms in regular school buildings; special education classrooms in child care facilities; hospital facilities on an outpatient basis; or other community-based settings.	yes	yes	SC
PS	PE	Public or Private Separate Day School at public expense for greater than 50% of the school day. Receives all special education and related services in education programs in private day schools designed specifically for children with disabilities.	yes	yes	SC
PS	PG	Public or Private Residential Facility at public expense for greater than 50% of the school day. Receives all special education and related services in publicly or privately operated residential schools or residential medical facilities on an inpatient basis.	yes ³	yes	SC
PS	PH	Home at least 360 minutes per week. Receives all special education and related services in the principal residence of the child's family or caregivers and who did not attend an early childhood program or a special education program provided in a separate class, separate school, or residential facility. Include children who receive special education both at home and in a service provider location.	yes	yes	R
PS	PJ	Private School placement, enrolled by parent(s). Students enrolled by parents or guardians in regular parochial or other private schools who receive special education and related services under a service plan. There is no entitlement to special education and related services. However, PEA must expend proportionate amount of federal funding on students in this type of private placement. This also includes children that are homeschooled.	no	yes	R

³ State funding for students with disabilities is provided through voucher funds to the private or public facility serving the students.

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PS	PS	Service Provider Location for less than 360 minutes per week. Receives all special education and related services from a service provider and who did not attend an early childhood program or a special education program provided in a separate class, separate school, or residential facility. Services received at private clinicians' office; clinicians' offices located in school buildings; hospital facilities on an outpatient basis; or libraries and other public locations.	yes	yes	R
----	----	--	-----	-----	---

Service code should be determined by location (not by amount of SPED services received).

To calculate the percentage of time inside regular classroom for school age children: divide the number of hours the youth spends inside the regular classroom by the *total number of hours in the school day* (including lunch, recess and study periods). The result is multiplied by 100. Time spent outside the regular classroom receiving services unrelated to the youth's disability (e.g.; time receiving ELL services) should be considered time inside the regular classroom. Educational time spent in age-appropriate community-based settings that include individuals with and without disabilities, such as college campuses or vocational sites, should be counted as time spent *inside the regular classroom*.

To calculate percentage of time inside early childhood programs for preschoolers: divide the amount of time per week the child spends in a regular early childhood program by the *total number of hours (up to 8 hours per day/40 hours per week) the child spends in a regular early childhood program PLUS any time the child spent receiving special education and related services outside of the regular early childhood program*. The result is multiplied by 100.

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1 **4.4.2 Transaction Level Validations**

2 Transaction Level changes associated with the new FY 2008 SPED Service codes

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4 4.4.2.1

<i>prior to FY 2008</i>	<i>Rule and rule number identifier not in existence prior to FY 2008</i>	ERROR message -@@@; n/a
SD-TX014-SPD-xxx.xx.x FY 2008	From FY 2008 forward, if the Service Code on the transaction record is PA, PB, PC, PD, PE, PG, PH, PJ, or PS, the SPED grade must be PS (Preschool).	ERROR message -@@@; The SPED Grade must be PS when submitting a SPED Service Code of {} TRX

5 4.4.2.2

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<i>prior to FY 2008</i>	<i>Rule and rule number identifier not in existence prior to FY 2008</i>	ERROR message -@@@; n/a
SD-TX014-SPD-xxx.xx.x FY 2008	From FY 2008 forward, if the Service Code on the transaction record is A, B, C, D, E, EA, EB, EC, FA, FB, FC, H, or J, the SPED grade cannot be PS (Preschool).	ERROR message -@@@; The SPED Grade cannot be PS when submitting a SPED Service Code of {} TRX

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8 **4.4.3 Integrity Level Validations**

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10 The Integrity validations listed in this section shall be changed accordingly to reflect the
11 new SPED service codes.

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13 4.4.3.1

<i>SC-INT-SPD-001.5.1 Prior to FY 2008</i>	<i>CEC is NOT valid for Service Code F, V, or J</i> Integrity failure: • <i>State SPED</i>	ERROR message -44311: Service Codes F, V, and J may not have a CEC.
SC-INT-SPD-001.5.1 FY 2008	CEC is NOT valid for Service Code E, EA, EB, EC, FA, FB, FC, J, PG, or PJ Integrity failure: State SPED	ERROR message - @@@: Service Codes E, EA, EB, EC, FA, FB, FC, J, PG, and PJ may not have a CEC.

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1 4.4.3.2

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<p><i>SC-INT-SPD-001.6 Prior to FY 2008</i></p>	<p>Other Entity / Service Code Rules The table below identifies additional rules to be validated for entity/service code combinations</p> <div style="text-align: center;">  <p>H:\SAIS Documents\ SPEDSvcTypeEntityXr</p> </div> <p>If service codes submitted for LEA types listed above do not match the decision matrix, report the discrepancy. Integrity failure:</p> <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1) 	<p>ERROR message -44326 Invalid DOA and/or DOR for this Service Code.</p>
---	--	--

Entity	A	B	C	D	E	F	G	H	I	J	R	S	V
AZ Dept of Juvenile Corrections - 211001	yes	yes	yes	no	no	no	no	no	no	no	no	yes	no
AZ Dept of Corrections - 211002	yes	yes	yes	no	no	no	no	no	no	no	no	yes	no
Juvenile Detention Centers - type 10	yes	yes	yes	no	no	no	no	no	no	no	no	yes	no
Sheriffs Offices - type 60	yes	yes	yes	no	no	no	no	no	no	no	no	yes	no
AZ School for the Deaf & Blind - 001202	yes	yes	yes	yes	no	yes	no	no	no	no	yes	no	no
AZ State Hospital - 001219	no	no	no	no	no	yes	no	no	no	no	no	no	no
Headstarts - type 26 (PS only)	yes	yes	no	no	no	no	no	yes	no	no	no	yes	no
Private Schools - type 21	no	no	no	no	yes	no	yes	no	no	no	no	no	yes
Virtual Schools (distance learning)	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes

Prior to FY 2008

<p><i>SC-INT-SPD-001.6 FY 2008</i></p>	<p>Other Entity / Service Code Rules The table below identifies <u>additional</u> rules to be validated for entity/service code combinations</p> <div style="text-align: center;">  <p>H:\Copy of FY08_entity_svccode</p> </div> <p>If service codes submitted for LEA types listed above do not match the decision matrix, report the discrepancy. Integrity failure:</p> <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1) 	<p>ERROR message -44326 or @@</p>
--	---	--

Entity	A	B	C	D	E	EA	EB	EC	FA	FB	FC	H	I	J
AZ Dept of Juvenile Corrections - 211001	no	no	no	no	no	no	no	no	yes	yes	yes	no	no	no
AZ Dept of Corrections - 211002	no	no	no	no	no	no	no	no	yes	yes	yes	no	no	no
Juvenile Detention Centers - type 10	no	no	no	no	no	no	no	no	yes	yes	yes	no	no	no
Sheriffs Offices - type 60	no	no	no	no	no	no	no	no	yes	yes	yes	no	no	no
AZ School for the Deaf & Blind - 001202	yes	yes	yes	yes	yes*	no	no	no	no	no	no	no	no	no
AZ State Hospital - 001219	no	no	no	no	no	yes*	yes*	yes*	no	no	no	no	no	no
Private Schools - type 21	no	no	no	yes	yes*	yes*	yes*	yes*	no	no	no	no	no	no
Virtual Schools (distance learning)	yes	yes	yes	no	no	no	no	no	no	no	no	no	yes	no

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* voucher funding only - funding from state funding

PS only- Entity	PA	PB	PC	PD	PE	PG	PH	PJ	PS
AZ School for the Deaf & Blind - 001202	yes	yes	yes	yes	yes*	yes*	no	no	no
Headstarts - type 26 (PS only)	yes	yes	no	no	no	no	yes	no	yes
Private Schools - type 21	no	no	no	no	yes*	yes*	no	no	no

* voucher funding only - funding from state funding

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3 4.4.3.3

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<p><i>SC-INT-SPD-001.10.2</i> <i>Prior to FY 2008</i></p>	<p><i>If the DOA = HEAD START, then the Service Code must be A, B, H, or S</i> Integrity failure:</p> <ul style="list-style-type: none"> • <i>State SPED</i> • <i>Federal SPED (12/1)</i> 	<p>ERROR message -44324 Invalid Service Code for this type of DOA.</p>
<p><i>SC-INT-SPD-001.10.2</i> <i>FY 2008</i></p>	<p>If the DOA=HEAD START, then the Service Code must be PA, PB, PH, PS Integrity failure:</p> <p style="padding-left: 40px;">State SPED Federal SPED (12/1)</p>	<p>ERROR message -@@ Invalid Service Code for this type of DOA.</p>

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6 4.4.3.4

<p><i>SC-INT-SPD-001.10.3</i> <i>Prior to FY 2008</i></p>	<p><i>If the DOA county is AZ Department of Corrections and all county type 21, then the Service Code must be A, B, C, or S.</i> Integrity failure:</p> <ul style="list-style-type: none"> • <i>State SPED</i> • <i>Federal SPED (12/1)</i> 	<p>ERROR message -44325 Invalid Service Code for this county.</p>
<p><i>SC-INT-SPD-001.10.3</i> <i>FY 2008</i></p>	<p>If the DOA county is type 21 (Arizona Department of Corrections or Arizona Department of Juvenile Corrections or Juvenile Detention centers) or is type 60 (Sheriff's Offices), then the Service Code must be FA, FB, FC Integrity failure:</p> <p style="padding-left: 40px;">State SPED Federal SPED (12/1)</p>	<p>ERROR message -@@ Invalid Service Code for this county.</p>

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1 4.4.3.5

<p>SC-INT-SPD-001.10.4 Prior to FY 2008</p>	<p>If the Service Code is E or G, the DOA must be PRIVATE/SPED and the DOR must be PUBLIC or CHARTER. Before fiscal year 1998-99, Service Code G could also have DOA = ACCOMMODATION.</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1) 	<p>ERROR message -44326</p> <p>Invalid DOA and/or DOR for this Service Code.</p>
<p>SC-INT-SPD-001.10.4 FY 2008</p>	<p>If the Service Code is D or PE, the DOA must be PUBLIC, CHARTER, PRIVATE/SPED, PUBLIC SPED INSTITUTION, and the DOR must be PUBLIC or CHARTER.</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1) 	<p>ERROR message -@@</p> <p>Invalid DOA and/or DOR for this Service Code.</p>

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5 4.4.3.6

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<p>SC-INT-SPD-001.10.5 Prior to FY 2008</p>	<p><i>If the Service Code is equal to A, B, C, I or S and the DOA is not the same as the DOR, the DOA must be equal to PUBLIC or HEAD START and the DOR must be equal PUBLIC or STATE INSTITUTE.</i></p> <p>Integrity failure:</p> <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1) 	<p>ERROR message -44326</p> <p>Invalid DOA and/or DOR for this Service Code.</p>
<p>SC-INT-SPD-001.10.5.1 FY 2008</p>	<p>If the Service Code is equal to A, B, C, or I and the DOA is not the same as the DOR, the DOA must be equal to PUBLIC or CHARTER and the DOR must be equal to PUBLIC.</p> <p>Integrity failure:</p> <p style="padding-left: 40px;">State SPED Federal SPED (12/1)</p>	<p>ERROR message -@@</p>
<p>SC-INT-SPD-001.10.5.2 FY 2008</p>	<p>If the Service Code is equal to A, B, C, PA, PB, PC, or PS and the DOA is not the same as the DOR, the DOA must be equal to PUBLIC or HEAD START and the DOR must be equal PUBLIC or STATE INSTITUTE.</p> <p>Integrity failure:</p> <p style="padding-left: 40px;">State SPED Federal SPED (12/1)</p>	<p>ERROR message -@@</p>
<p>SC-INT-SPD-001.10.5.3 FY 2008</p>	<p>If the Service Code is equal to I and the DOA is not the same as the DOR, the DOA must be equal to PUBLIC and the DOR must be equal PUBLIC or STATE INSTITUTE.</p> <p>Integrity failure:</p> <p style="padding-left: 40px;">State SPED Federal SPED (12/1)</p>	<p>ERROR message -@@</p>

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2 4.4.3.7

<p>SC-INT-SPD-001.10.7 Prior to FY 2008</p>	<p><i>If the Service Code = V, then the DOA must be PRIVATE/SPED and the DOR must be PUBLIC.</i></p> <p>Integrity failure:</p> <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1) 	<p>ERROR message -44326</p> <p>Invalid DOA and/or DOR for this Service Code.</p>
<p>SC-INT-SPD-001.10.7 FY 2008</p>	<p>If the Service Code = E or PG, then the DOA must be PRIVATE/SPED or PUBLIC SPED INSTITUTION and the DOR must be PUBLIC.</p> <p>Integrity failure:</p> <p style="padding-left: 40px;">State SPED Federal SPED (12/1)</p>	<p>ERROR message -@@</p>

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1 4.4.3.8

<p>SC-INT-SPD-001.10.8</p> <p>Remove from FY08 forward (still in effect prior to FY08)</p>	<p>If the Service Code = E or PG, then the DOA must be PUBLIC SPED INSTITUTION.</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> — State SPED — Federal SPED (12/1) 	<p>ERROR message 44328 REMOVE__MERGE WITH 1.10.7</p>
<p><i>FY 2008</i></p>	<p><i>Rule will not be applicable in FY 2008</i></p>	<p><i>ERROR message - @@;</i> <i>n/a</i></p>

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3 4.4.3.9

<p>SC-INT-SPD-001.10.9</p> <p>Remove from FY08 forward (still in effect prior to FY08)</p>	<p>If the Service Code = R, then the grade must be PS.</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> — State SPED — Federal SPED (12/1) 	<p>ERROR message 44332 Invalid grade for preschool-only Need Code.</p>
<p><i>FY 2008</i></p>	<p><i>Rule will not be applicable in FY 2008</i></p>	<p><i>ERROR message - @@;</i> <i>n/a</i></p>

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7 4.4.3.10

<p>SC-INT-SPD-001.10.12</p> <p>Remove from FY08 forward (still in effect prior to FY08)</p>	<p>If the entity ID is 211001000 (Arizona Department of Juvenile Corrections) or 211002000, SPED Service Codes must be "A", "B", "C", or "S". All others are to be disallowed. This rule to be implemented FY2004 forward.</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> — State SPED — Federal SPED (12/1) 	<p>ERROR message 44365: SPED Service Code (SPED Service Code) is not valid for entity (entity ID)</p>
<p><i>FY 2008</i></p>	<p><i>Rule will not be applicable in FY 2008</i></p>	<p><i>ERROR message - @@;</i> <i>n/a</i></p>

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1 4.4.3.11

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<p>SC-INT-SPD-001.10.14</p> <p>Remove from FY08 forward</p> <p>(still in effect prior to FY08)</p>	<p>For Need Code EDP, if DOA = ACCOMMODATION, Service Code must be D.</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1) 	<p>ERROR message -44329</p> <p>Invalid Service Code for this Need Code at this type of DOA.</p>
<p>FY 2008</p>	<p>Rule will not be applicable in FY 2008</p>	<p>ERROR message -@@;</p> <p>n/a (covered in SC-INT-SPD-001.10.16)</p>

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4 4.4.3.12

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<p>SC-INT-SPD-001.10.15</p> <p>Remove from FY08 forward</p> <p>(still in effect prior to FY08)</p>	<p>For Need Code EDP, if DOA = PUBLIC SPED INSTITUTION, Service Code must be F</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> — State SPED — Federal SPED (12/1) 	<p>ERROR message -44329</p>
<p>FY 2008</p>	<p>Rule will not be applicable in FY 2008</p>	<p>ERROR message -@@;</p> <p>n/a</p>

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7 4.4.3.13

<p>SC-INT-SPD-001.10.16</p>	<p>If the Need Code = EDP the Service Code must be equal to C, D, E, F, G, or V. Exceptions: DOA county = Arizona Department of Corrections (21).</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1) 	<p>ERROR message -44330</p> <p>Invalid Service Code for this Need Code.</p>
<p>FY 2008</p>	<p>If the Need Code = EDP the Service Code must be equal to D, E, or FC.</p> <p>Integrity failure:</p> <ul style="list-style-type: none"> State SPED Federal SPED (12/1) 	<p>ERROR message -@@</p>

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1 4.4.3.14

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<i>prior to FY 2008</i>	<i>Rule and rule number identifier not in existence prior to FY 2008</i>	ERROR message -@@; <i>n/a</i>
SC-INT-SPD-001.10.17 NEW RULE for FY08	If DOA = Arizona State Hospital, Service Code must be EC. Integrity failure: State SPED Federal SPED (12/1)	ERROR message -@@:

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4 4.4.3.15


SC-INT-SPD-001.11.4 Remove from FY08 forward (still in effect prior to FY08)	If the Service Code = F , the Need Code cannot be PMD, PSD, or PSL . Integrity failure: State SPED Federal SPED (12/1)	ERROR message -44327
<i>FY 2008</i>	<i>Rule will not be applicable in FY 2008</i>	ERROR message -@@; <i>n/a</i>

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6 4.4.3.16

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SC-INT-SPD-001.11.4 <i>Prior to FY 2008</i>	SPED Need/Service/Grade Relationship <i>The matrix attached below summarizes the relationship of grades, SPED service codes, and SPED needs. Where there is a discrepancy between verbal validation descriptions, and the matrix, the matrix should rule.</i> Integrity failure: <ul style="list-style-type: none"> • State SPED • Federal SPED (12/1)  H:\SAIS Documents\ SPEDSvcGradeNeedX	
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Grade	Service Code	A	ED	EDP	HI	MD	MDSSI	MIMR	MOMR	OHI	OI	PMD	PSD	PSL	SLD	SLI	SMR	TBI	VI
all but PS	A	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	B	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	C	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	D	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	E	yes	no	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	F	yes	no	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	G	yes	no	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	H	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	I	yes	no	no	no	yes	no	no	no	no	no	no	no	no	no	no	yes	no	no
all but PS	J	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	S	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	V	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
PS	A	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	B	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	C	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	D	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	E	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	F	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	G	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	H	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	J	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	R	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	S	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	V	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes

Prior to FY 2008

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SC-INT-SPD-001.11.4
FY 2008

SPED Need/Service/Grade Relationship
The matrix attached below summarizes the relationship of grades, SPED service codes, and SPED needs. Where there is a discrepancy between verbal validation descriptions, and the matrix, the matrix should rule.
Integrity failure:
State SPED
Federal SPED (12/1)


 H:\Copy of
 FY08_entity_svccode

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4

Grade	Service Code	A	ED	EDP	HI	MD	MDSSI	MIMR	MOMR	OHI	OI	PMD	PSD	PSL	SLD	SLI	SMR	TBI	VI
all but PS	A	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but	B	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes

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PS																			
all but PS	C	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	D	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	E	yes	no	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	EA	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	EB	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	EC	yes	yes	yes	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	FA	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	FB	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	FC	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	H	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes
all but PS	I	yes	no	no	no	yes	no	no	no	no	yes	no	no	no	no	no	yes	no	no
all but PS	J	yes	yes	no	yes	yes	yes	yes	yes	yes	yes	no	no	no	yes	yes	yes	yes	yes

Need code ED permitted for svc codes EA,EB,EC only if DOA is type 21

Grade	Service Code	A	ED	EDP	HI	MD	MDSSI	MIMR	MOMR	OHI	OI	PMD	PSD	PSL	SLD	SLI	SMR	TBI	VI
PS	PA	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	PB	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	PC	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	PD	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	PE	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	PG	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	PH	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	PJ	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes
PS	PS	no	no	no	yes	no	no	no	no	no	no	yes	yes	yes	no	no	no	no	yes

1

2 4.4.3.17

3

SC-INT-SPD-001.15.2 Prior to FY 2008	If the student is in more than one SPED service in the same district during a single point of time AND if more than one SPED service code is reported during that time	WARNING message -244307
SC-INT-SPD-001.15.2 From FY 2008 fwd.	If the student is in more than one SPED service in the same district during a single point of time AND if more than one SPED service code is reported during that time	FAILURE message -@@@

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5 4.4.4 Student Details Reports

6

7 The following Student Details Reports shall accurately represent, if applicable, the new
8 service codes on a fiscal year basis from FY 2008 and forward.

9

10 Service codes for prior fiscal years shall be returned based on fiscal year applicability.

11

12 4.4.4.1 District Level

13

14 SDIEP71 – Initial IEP Report

15 SDSPED71 – SPED Report

16 SDSPED72-1 – SPED by DOA Report

17 SDSPED72-2 – SPED by DOR Report

18

19 4.4.4.2 School Level

20

21 SDSPED71 – SPED Report

22

23

24 4.4.5 Data Push

25 4.4.5.1 SAIS shall push all valid new and modified SPED Service Code data to the
26 Student Counts system for FY 2008 and forward. SPED Service Code validity is
27 by fiscal year.

28 4.4.5.2 SAIS shall push all valid SPED Service Code data to the Student Counts system
29 for FY 2007 and prior. SPED Service Code validity is by fiscal year.

30

31

32

33 4.4.6 Student Counts Reports

34

35 The following Student Counts Reports shall accurately represent, if applicable, the new
36 service codes on a fiscal year basis from FY 2008 and forward.

37

38 Service codes for prior fiscal years shall be returned based on fiscal year applicability.

39

40 SPED 02 – SPED Edit Update Report

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- 1 SPED 04 – SPED Report – CECA by DOA
- 2 SPED 04 – SPED Report – CECA by DOR
- 3 SPED 04 – SPED Report – CECB by DOA
- 4 SPED 04 – SPED Report – CECB by DOR
- 5 SPED 04-1 – SPED Report by DOR
- 6 SPED 04-3 – SPED Report by DOA
- 7 SPED 28-1 – SPED Student ADM
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