

1 facility.

2 In large part at the recommendations  
3 coming out of our peer review that the Science  
4 Board oversaw, we have had a major  
5 reorganization at our Mod I laboratory. We now  
6 have the establishment of our Office of Applied  
7 Research and Safety Assessment.

8 And in addition to that, we'll be  
9 undergoing a ~~major renovation at that fact to~~  
10 ~~strengthen both our nutrition program and to~~  
11 ~~strengthen our toxicology program.~~

12 I might note here in something that  
13 you can help us with in the upcoming year is we  
14 will be as part of that reorganization  
15 recruiting a new director for that office and a  
16 lead scientist for toxicology. Both of these  
17 are scheduled to be SBRS level positions. So  
18 we're going to be ~~looking for some really~~  
19 ~~senior people~~ to help us strengthen and bring  
20 into fruition some of your recommendations.

21 I'd also like to mention that this is  
22 the third year of the ~~Presidential Food Safety~~  
23 ~~Initiative Program.~~ This has been a very

1 highly successful program in terms of both  
2 regulatory and science issues, and that would  
3 be expected since our research program is  
4 intimately integrated into our regulatory  
5 program.

6 In that regard, we have a great deal  
7 of work to accomplish this year in a number of  
8 important areas. Just to identify a few of  
9 them, we continue to work for implementation of  
10 ~~HASUP~~ <sup>HALLE</sup> both in terms of implementing our seafood  
11 program and getting our juice acid rate in a  
12 final form.

13 We have initiatives in the area of ~~egg~~  
14 ~~safety, proto safety, sprout safety~~. Each of  
15 these are both major regulatory initiatives and  
16 scientific issues as we support those  
17 activities.

18 We were called upon by the President  
19 this past summer to accelerate our activities  
20 in the area of ~~listeria monocytogenes~~, and this  
21 is both a very strong research and regulatory  
22 initiative.

23 We have continuing activities in the

1 area of antimicrobial resistance. Many of them  
2 are in close coordination with what's going on  
3 at CVM.

4 We have also had an ongoing activity  
5 in the establishment of good agricultural  
6 practices for reducing food safety concerns.

7 We've also had a number of issues that  
8 Joe talked a bit about when he met with you the  
9 last time you were together.

10 We have ongoing activities in the area  
11 of dietary supplements, and we are very pleased  
12 to see that we have been provided additional  
13 funds in this year's budget to establish a  
14 collaborative program with the University of  
15 Mississippi's Center for Natural Products  
16 Research, and that will be initiated in the  
17 upcoming year. Certainly it is a very high  
18 priority area.

19 We've seen, as Liz said, the recycling  
20 of a number of issues, too, that we're going to  
21 be taking a substantial amount of our time in  
22 the upcoming year will be methyl mercury and  
23 dioxin, two that have surfaced once again after

1 lying dormant for a year or two so we'll be  
2 devoting quite a bit of effort there.

3 And also one that has obviously been  
4 in the papers a lot is ~~GMO Foods~~ and how do we  
5 assess the safety of these products and  
6 appropriately handle them in the marketplace.

7 A second area I'd like to bring to  
8 your attention is to reinforce some of the  
9 comments that Steve made in the area of risk  
10 assessment.

11 ~~Risk assessment, while we've been~~  
12 ~~doing it in the chemical area, microbiological~~  
13 ~~risk assessments have come to the forefront.~~  
14 We have increasing activities in trying to  
15 integrate those two together because many of  
16 our risk assessment resources that we use for  
17 microbiology are tied up with our chemical risk  
18 assessment activities.

19 So we have now a very scarce resource  
20 that we now have to be able to set priorities  
21 on in terms of where are we going to take our  
22 scientists and devote their time and energies.

23 This is also -- this is where success

1 has generated its own problem. Certainly in  
2 the area of both ~~food chemical risk~~  
3 ~~assessments and in food safety microbiological~~  
4 ~~risk assessments~~, FDA has acquired an  
5 international reputation as being the leaders  
6 in this science.

7 We are called upon to assist on an  
8 international basis supporting both WHO and FAO  
9 in international risk assessments through JCFA  
10 (ph) or the newly formed ad hoc consultations  
11 on microbiological risk assessment.

12 ~~So we're trying to balance our~~  
13 ~~international commitments with our own limited~~  
14 ~~resources.~~

15 Just to give you an idea of how  
16 heavily we are involved in these international  
17 activities, Steve did mention codex  
18 alimentarius.

19 But based on my last count, 14 of the  
20 18 codex alimentarius committees are headed,  
21 the heads of delegations, are FDA. So it's  
22 taking on an increasingly important role.

23 We have, as one of our priorities,

1 ~~restructured our Advisory Committees~~ within  
2 CFSAN, expanding them substantially. This will  
3 be a challenge for us to get the best that we  
4 can to serve on these advisory committees, and  
5 I know that you have all been tapped here. So  
6 any of your recommendations of who can help us  
7 in our areas of both our General Advisory  
8 Committee, Biotechnology Subcommittee,  
9 Contaminant Subcommittee, GMO Food  
10 Subcommittee, and Dietary Supplements, we're  
11 very much looking for the best and the  
12 brightest to serve on these, to help us through  
13 some very difficult scientific issues.

14 I might note, also, as a developing  
15 area that's going to take some priority  
16 considerations is in the budget language.  
17 There has been some changes in the National  
18 Advisory Committee for microbiological criteria  
19 for food.

20 This is an interdepartmental committee  
21 that we relied on heavily to bring our  
22 microbiological issues forward.

23 There is going to be a restructuring

1 of that committee, and in the meantime we are  
2 probably going to have to expand our own  
3 Advisory Committee to deal with many of the  
4 pressing microbiological issues.

5 So, again, we are going to be looking  
6 for your help.

7 A couple of other areas that  
8 reinforcing the comments of the Center  
9 directors, ~~assessing safety~~, continues to be a  
10 major thrust for us. Two of the areas now that  
11 we're spending a lot of time on is how to  
12 ~~effectively assess the safety of allergens,~~  
13 ~~particularly in conjunction with GMO Foods,~~ and  
14 ~~also improving our adverse event reporting.~~

15 Another issue that was mentioned  
16 earlier but is taking increasing importance is  
17 the ~~validation of methodology~~.

18 We have the need to have methods that  
19 have been validated to the appropriate extent.  
20 On the other hand, very often as we respond to  
21 either regulatory or public health concerns, we  
22 have the need to rapidly validate methods as we  
23 have to deal with the thousands of different

1 food matrices that can be involved.

2 So this is going to be very much of an  
3 issue.

4 And then the final one that I'd like  
5 to mention as a priority area is the areas of  
6 ~~tracebacks and investigations~~. One that we  
7 work very closely with, ORA with.

8 Again, this is one where success  
9 brings its own problems or new challenges.  
10 During the past years we've worked closely with  
11 CDC to get things like Foodnet and Pulsenet on  
12 line and accepted around the country.

13 In the past, it used to be that food-  
14 borne disease, we'd say that about 60 percent  
15 of food-borne disease was untraceable. Well,  
16 during the last couple of years because of  
17 things like Pulsenet, many of those small  
18 outbreaks that were scattered around the  
19 country, we now know that they are outbreaks  
20 and we could begin to trace them back.

21 And that's increased tremendously the  
22 burden on our people that are involved in  
23 public health outbreak investigations.



1           And, again, we're looking for new ways  
2 of how to handle tracebacks, new ways of how to  
3 take the information that we're getting as a  
4 result of these tremendous improvements in  
5 surveillance.

6           All those, informatics, and  
7 proteonomics and genomics, all that is bringing  
8 us to the point where now we're learning the  
9 answers to questions that we've never been able  
10 to ask before, and we have to find new ways of  
11 being able to investigate those and find out  
12 the sources of the problem.

13           So I hope I've given you an impression  
14 that we're going to need your help. We have a  
15 tremendously large menu to select from this  
16 upcoming year, and we're looking forward to  
17 working with you to find ways of dealing in new  
18 ways with the ongoing problems we have.

19           DR. LANGER: Any specific comments or  
20 questions?

21           Yes.

22           DR. DOYLE: Bob, the standard methods  
23 that the Agency's been using for years has

1 traditionally been cultural procedures. With  
2 PCR and all these more advanced and available  
3 to us, will the Agency soon be adopting those  
4 methods routinely for the industry to use as  
5 markers, if you will?

6 DR. BUCHANAN: Mike, if you go back  
7 and look at damage, every so often it's  
8 updated. In the latest edition we have an  
9 increased number of methods that are what we'll  
10 call the classic rapid methods.

11 Certainly the validation of those and  
12 the ongoing validation as manufacturers,  
13 commercial kits, modify their protocols as a  
14 challenge to us.

15 But I would say that many of the  
16 standard methods that we currently use in our  
17 programs, both within CFSAN and in the field  
18 are increasingly relying on things like PCR  
19 technology or immune technology.

20 So, for example, our ability to  
21 conduct an international survey for Shigella  
22 for the first time, because we always knew that  
23 Shigella was in foods, we just didn't have a

1 cultural method that was effective, for this  
2 past year we've been able to include a survey  
3 of produce because we had a PCR method that now  
4 has become sort of the standard for the Agency.

5 So, yes, we use the best as we can,  
6 understanding that these methods do have to be  
7 validated to the point that we can use them for  
8 regulatory concerns.

9 DR. LANGER: One last presentation,  
10 David, and then we'll take a break.

11 ~~DR. FELIGAL~~ Thank you. I actually  
12 have your ears for a little bit longer this  
13 afternoon so I'll keep my remarks focused on  
14 some of the hot topics.

15 Actually, I decided to select them in  
16 an arbitrary and capricious manner. I picked  
17 the topics that we asked Congress for money for  
18 --

19 (Laughter)

20 -- and that they actually gave us  
21 money for, and not every year do they give us  
22 money for specific topics.

23 Last year, for example, the funds were

1 all earmarked for faster product review, but  
2 this year they actually earmarked money for  
3 genetic testing, the reuse of single-use  
4 devices, development of standards, and then we  
5 received some money as part of larger agency  
6 efforts in the area of bioterrorism and  
7 antimicrobial resistance, and I won't say very  
8 much in those latter two areas.

9 But I think that the first three  
10 actually illustrates some of the challenges and  
11 how the science intertwines with regulation.

12 The genetic revolution has already  
13 been talked about by many people here, and the  
14 issues for the Center for Devices range from  
15 topics dealing with developing the diagnostics  
16 that will be used with pharmacogenomics;

17 The very rapidly expanding area of  
18 genetic testing of humans for genetic traits,  
19 and,

20 Then there are many nonhuman genomic  
21 examples. In fact, you were just asking about  
22 one of them which is the applications of  
23 genetics in the microbiology rapid diagnostics.

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If you take a look at the way the genetic tests developed and what the challenges are, you'll see how this interplays with the regulations.

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Historically, genetic conditions in humans were found typically by looking at the biochemical markers that the disease created so tests for cystic fibrosis, tests for Tay-Sach's disease existed before we had any way of directly tapping the genes; in fact, probably before we knew exactly where those genes are.

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Those kinds of tests, historically, have been produced as kits. They've been regulated as in vitro diagnostics, approved by the FDA and used broadly.

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What's happened with genetic testing that's based on actually tapping the human genome is there's been an explosion from a few hundred tests a few years ago to now, over a thousand that are listed as available in the NIH's database of human genetic tests.

23

The interesting thing about these

1 thousand genetic tests is that not even 10 of  
2 them are traditionally developed in vitro  
3 diagnostics. These are all offered as services  
4 by individual laboratories.

5 In fact, the majority of them by  
6 university laboratories.

7 The methodology, the test itself, does  
8 not travel in interstate commerce, if you will.  
9 The blood travels to the laboratory, and the  
10 information is returned as a service.

11 And the complexity of the issues  
12 around genetic testing and the question of why  
13 should something as important as the  
14 information from genetic testing, which  
15 unfortunately is bad news. There aren't that  
16 many genes that you can tape into that tell you  
17 something that you really wanted to know that  
18 was good.

19 The issues of informed consent, the  
20 issues of population screening, the issues of  
21 discrimination, have led the Secretary,  
22 Dr. Shalala, to form a Secretary's Advisory  
23 Committee on genetic testing to explore these

1 issues, and one of the strong recommendations  
2 of that group is that these tests actually  
3 require oversight, and the ability of the  
4 regulatory process to assure that these have  
5 the same consumer protections that we do for  
6 other in vitro diagnostics.

7 But the thing that we have to say as a  
8 starter is that we can't do business as usual.  
9 Normally, in a typical year, we might have a  
10 dozen or so novel tests that are regulated with  
11 premarket approvals, and to actually look at a  
12 thousand novel tests and look at the volume of  
13 applications and the kind of evidence that's  
14 required and the challenges with some of the  
15 conditions which are rare.

16 We've been looking with other agencies  
17 and in the public forum to actually develop a  
18 paradigm to phase in the regulation of these  
19 tests that's based both on the science of how  
20 they're conducted and also the public health  
21 needs.

22 But then of course when we look at  
23 these tests we realize that other technologies,

1 such trip (ph) technologies and snips (ph) and  
2 many of these things may make some of these  
3 technologies rapidly irrelevant, and it's going  
4 to be a real challenge for us to keep up.

5 As Dr. Woodcock mentioned, one of the  
6 real fundamental questions for us is how do we  
7 obtain and manage expertise and what's our role  
8 and what's the role of other groups.

9 In this area, we've tried to leverage  
10 our own expertise by working with many of the  
11 societies that deal with molecular clinical  
12 pathology, that deal with genetic testing, and  
13 patient groups that are advocates for this  
14 year.

15 We switched to the re-use area.  
16 Genetic testing is a high-tech area. Re-use  
17 must be a very low-tech area. You're  
18 essentially talking about washing off and  
19 cleaning things and using them over again.

20 But, in fact, re-use is actually every  
21 intertwined with all of the high-tech  
22 developments in device development because the  
23 types of products that there's the most



1 economic incentive to re-use are the expensive,  
2 difficult to manufacture.

3 Often, things that have used  
4 miniaturization and have used complex material  
5 such as new plastics or new coatings.

6 And the ability to be sure that these  
7 products will perform after a hospital has  
8 cleaned them is a key area.

9 We began to get concerned about this  
10 area a couple of years ago as we saw more and  
11 more products that looked like they'd be very  
12 difficult to re-use, and our own laboratories  
13 began a collaborative project with Walter Reed  
14 Hospital to look at devices which had been used  
15 and what were their characteristics and how  
16 have they changed, and in what ways were they  
17 not -- what types of things were likely to be  
18 unsuitable as a starting material to  
19 remanufacture a device.

20 We've had to put forward a paradigm of  
21 how we would deal with this problem. It has  
22 some parallels in terms of the regulatory  
23 challenges, just as the home brew of the

1 University laboratories doing their own genetic  
2 testing gives us five or six thousand new  
3 manufacturers we've never had to deal with  
4 before, if we look at all of the high  
5 complexity, clinical laboratories capable of  
6 doing this.

7 Re-use gives us the challenge of  
8 dealing with the hospitals and surgery centers.  
9 Again, another five to ten thousand  
10 institutions that perform these practices, and  
11 how do we make sure that the devices that are  
12 used in these centers are of high quality?

13 We think that our role, actually, in  
14 the laboratory stimulated much of the work  
15 which has now been picked up by industry, which  
16 has been picked up by some of the third-party  
17 reproprocessors, and by some of the original  
18 equipment manufacturers that want to  
19 demonstrate why their products are  
20 appropriately labeled as single-use medical  
21 devices, and this is an area, in fact, if we  
22 did not have the ability to identify what the  
23 scientific issues were, it would sound more

1 like arguing over technicalities about whether  
2 something is labeled a certain way, does it  
3 have to be used a certain way, and what are the  
4 legal requirements and liabilities.

5           The third area that I would like to  
6 just touch on very briefly is the whole area of  
7 standards and standards development, and  
8 interestingly this was even labeled on the  
9 budget as science development.

10           The Center has had a very strong  
11 commitment to working with standard-setting  
12 organizations.

13           We have members on the Board of  
14 Directors of ANSI, NCCLS, ASTM, and we  
15 participate in many of the ISO committees,  
16 including the committees that are looking at  
17 the revision of ISO 9000 and the series that  
18 are sort of the underpinning for the  
19 requirements you need to get a CE Mark for  
20 approvals in Europe.

21           So we take this very seriously. And  
22 as part of the changes that occurred in the  
23 Center's re-engineering program, some of it

1 codified in the modernization act, we have  
2 incorporated the standard's process into the  
3 regulatory process.

4           You know that FDA's motto historically  
5 has been "In God We Trust, Everyone Else Sends  
6 in Data." In the Center for Devices, you also  
7 can substitute conformance with the standard  
8 where a standard has been recognized for  
9 sending in the data that showed you are  
10 accomplishing the same thing that the standard  
11 has been accepted for.

12           And we've had a process of identifying  
13 and recognizing standards to assist not just in  
14 the application for new products but also it's  
15 used in the third party program, the third  
16 party review program; in programs such as  
17 mutual recognition efforts which are slowly  
18 coming along and in many of the international  
19 harmonization efforts.

20           So the standards movement has had a  
21 very important role.

22           Often we're in the position of  
23 evaluating the standards and the basis for the

1 standards that's worked with the others. In  
2 fact, that's the majority of the cases.

3 But there also are times where, in  
4 fact, our laboratories provide the data that  
5 are used by the standard organizations in order  
6 to set the standards.

7 And, obviously, we tend to pick the  
8 things that either strongly affect medical  
9 devices or affect the radiological health.

10 So this is kind of a -- just as this  
11 morning has been kind of a potpourri of issues,  
12 we could actually go on about a dozen others,  
13 but I thought I would at least begin the  
14 discussion with three areas that Congress has  
15 funded.

16 We have all the same issues that the  
17 other Centers do, which is how do we deal with  
18 managing expertise.

19 How do we attract it, how do we  
20 maintain core competencies. How do we teach  
21 people that their job is to find the expertise  
22 they need to make a science-based regulatory  
23 decision.

1           That may be within the Center. That  
2 may be tapping resources within the Agency.  
3 That may be extending out more broadly as other  
4 Centers do to the NIH, to the Center for  
5 Disease Control.

6           That may be using our academic  
7 collaborations and other efforts.

8           The culture that we want to build in  
9 the Center is a culture that recognizes that  
10 this is a science-based endeavor, that this is  
11 science-based and evidence-based regulatory  
12 decision-making, and it's the scientist's job  
13 to bring the best science to bear on the issue.

14  
15           Sometimes that will be from their own  
16 core, expertise and competencies, but other  
17 times there will be a contractor of knowledge,  
18 if you will. It will be their job to make sure  
19 that we have the information and background to  
20 make the decisions.

21           So I'll be coming back to some of  
22 these themes this afternoon, so let me stop  
23 now. If there are questions, I'll be happy to

1 take them.

2 DR. LANGER: I think what we'll do is  
3 we'll take a 15-minute break, and then we'll  
4 come back and we can discuss specific points  
5 and then of a more general discussion we'll put  
6 on a slide to help focus that.

7 Why don't we take a 15-minute break.

8 (Recess.)

9 DR. LANGER: One comment before we get  
10 started, and I wanted to put that slide up. If  
11 everyone could activity their microphones  
12 before they speak, that would be good.

13 I was just checking to see that I had  
14 done my job.

15 So why don't we get started.

16 I wanted to put a discussion slide up.

17  
18 Before we start on that, were they  
19 comments, specifically, or questions on David's  
20 talk?

21 DR. SCOLNICK: I wondered, given the  
22 huge volume of genes that are being sequenced,  
23 identified with disease, and the kind of

1 logarithmic pace of that, what is it that you  
2 actually propose to regulate, specifically?

3 I see this as a rather formidable  
4 problem, and I wondered, since your comments  
5 were general, what is it that you're actually  
6 suggesting that you're going to regulate?

7 DR. FEIGAL: I think it depends on  
8 what the claim for the genetic test is. If  
9 it's detecting the gene, then it's comparable  
10 to other kinds of diagnostics where you're  
11 dealing with the accuracy of the -- the  
12 analytic accuracy of the test.

13 If you claim that you can predict  
14 development of breast cancer because you've  
15 detected the gene, it's not just enough to say  
16 that you've accurately detected the gene.

17 So those are probably the two  
18 extremes. There's some genes where the  
19 information that it conveys is very  
20 straightforward, and in fact how to even work  
21 with that information in the community is very  
22 straightforward.

23 Like, for example, sickle cell.



1 That's something that if the technology for  
2 detecting that gene changes and improves,  
3 that's a relatively low standard. But at the  
4 other end, we're probably looking at needing to  
5 have clinical follow-up information, and then  
6 there's everything in between.

7 DR. SCOLNICK: If a university lab  
8 today tests a patient for Huntington's disease  
9 gene-related information with the complexity of  
10 what that means with regard to the length of  
11 the glutamine repeat, what is it that you would  
12 regulate, given what a university lab would be  
13 able to say to a patient, having done a precise  
14 molecular experiment?

15 DR. FEIGAL: Well, there's been an  
16 attempt to sort the tests by the  
17 characteristics that makes them either at --  
18 the information at high risk or puts it into a  
19 lower-risk category.

20 One of the other factors is the rarity  
21 of the condition, whether or not we're dealing  
22 with rare diseases, and the ability to make the  
23 diagnosis with other kinds of information that

1 supplement the particular test.

2           So, in fact, the Secretary's Advisory  
3 Committee has actually been struggling with an  
4 algorithm to divide the tests between those  
5 which would rely mostly on analytic accuracy  
6 versus those which would require clinical  
7 information and clinical follow-up.

8           The situation where it's a rare gene  
9 that's a familial gene, not seen very often, is  
10 obviously one extreme.

11           We're using a gene for population  
12 screening or newborn screening or for making a  
13 reproductive decision, then that puts it in a  
14 different category.

15           But the issues you raise in terms of  
16 the volume of information and the amount, just  
17 starts when you look at the thousand  
18 universities that have genetic tests.

19           When you think about the paradigm of  
20 evaluating a gene chip, which might have a  
21 thousand tests on the gene chip, and you think  
22 of the normal way that you evaluate even  
23 analytic specificity, you have a set of

1 reference samples for a specific piece of  
2 information.

3 And now if you have a chip that wants  
4 to simultaneously assess 10,000 pieces of  
5 information, we won't be able to address that  
6 in the same way. We're not going to be able to  
7 say that you've got to go point by point, and  
8 those are the issues that we need to discuss.

9 This is still a work in progress, and  
10 in fact we have an advisory panel on genetic  
11 testing that will meet with us to publicly  
12 discuss these issues and get feedback. And the  
13 Secretary's Advisory Committee has been a  
14 public committee that's discussing this.

15 DR. SCOLNICK: I guess you know what  
16 I'm getting at. If I'm a physician and I have  
17 a patient with Huntington's and they want some  
18 test done or it's appropriate to do some test  
19 to try to assess the risk in another family  
20 member or an unborn human, potential human, and  
21 I find that there are 38 repeats or 42 repeats,  
22 are you going to try to write regulations which  
23 are going to govern the words I use to describe

1 the implications of that data to that family?

2 And are you going to try to do that  
3 now for all of the genetic markers that are  
4 going to exist for all of the diseases that are  
5 going to have genetic predispositions as the  
6 genome information rolls out?

7 I see that as an impossible task.

8 DR. FEIGAL: Well, there are some  
9 common elements, and this actually comes back  
10 to where we leverage off of other groups.

11 If you look at the setting of the  
12 issue of informed consent for familial  
13 conditions, there's some common threads that  
14 come across that.

15 For example, if you're looking for a  
16 carrier state and you detect it in a child and  
17 the issue has actually been brought up for  
18 Huntington's, what do you do in a situation  
19 where a Father who has it on his side of the  
20 family doesn't want to know if he's going to  
21 develop Huntington's, but the wife wants to  
22 know which of the children are at risk?

23 But if any of the children are found

1 to have Huntington's, you have just unmasked  
2 him.

3 So the whole issues of how to do that  
4 are things so that where we see the role and  
5 where we see the partnerships with the genetics  
6 groups is for them to develop prototypes for  
7 common clinical scenarios with genetic  
8 information for how to deal with these  
9 situations, for how to deal with what should be  
10 the standards for genetic testing.

11 At the other end of the spectrum, the  
12 CLIA regulations, the Clinical Laboratories  
13 Improvement Act, requires that if information  
14 is going to be used clinically, it be done in a  
15 high complexity laboratory.

16 That's probably not even the case now  
17 for all genetic tests. There are probably some  
18 of these that are being done in research  
19 laboratories that do not meet the requirements  
20 of that act.

21 There also have been situations where  
22 laboratories have had to recall and try to  
23 contact thousands of patients who have been

1 screened because they found that the test  
2 didn't have the sensitivity that they thought  
3 they did, and they informed patients that they  
4 were not carriers for a condition, and then  
5 were notified the fact that they were when they  
6 had a child with the condition they were trying  
7 to avoid.

8           So there are a whole series of complex  
9 layers. I think what works in our favor is,  
10 although, there are thousands of genes in  
11 genetic informations, there are some common  
12 themes and some common threads, and rather than  
13 treat these all individually which would swamp  
14 us and would slow and make us the roadblock for  
15 all of us, we need to look at the way we solve  
16 problems, a chunk of information, have the  
17 right regulatory tool for the problem we're  
18 trying to deal with.

19           Right now, for example, many  
20 laboratories don't appear to have a way of  
21 tracking the errors that are reported back to  
22 them in their testing. And that's just one of  
23 the standard things that's expected of a

1 regulated in vitro diagnostic, whether it's  
2 approved by CLIA, the State of New York, or by  
3 us.

4 So it'll be a process where we'll need  
5 to phase these in, but the issue of the  
6 multiplicity information is one we've thought a  
7 lot about. It's a real challenge.

8 We're not going to be able to do it  
9 the way we've always done it, and we need to  
10 find the ways that -- we introduce this in a  
11 way -- I think part of the challenge is to  
12 introduce it in a way that we don't lose the  
13 public's trust.

14 They're very skittish about  
15 information, about who's going to use this,  
16 who's going to have this, particularly when  
17 many employers are self-employed so your  
18 employer carries your health insurance and you  
19 order a diagnostic test and it goes back to  
20 your employer, many of those types of issues.

21 So there will be a continuing process  
22 in this area of having ongoing public meetings  
23 to discuss these issues, to get feedback, to

1 hear from groups, to see what's needed in these  
2 areas.

3 Many of these issues, there's things  
4 we've learned in the past, the confidentiality  
5 issues are reminiscent of the discrimination  
6 issues with HIV testing and screening. And so  
7 what we need to do is leverage off the things  
8 we've learned in the past, how to do these  
9 things.

10 DR. LANGER: Yes.

11 DR. DAVIS: It seems to me -- a  
12 totally different subject -- that Dr. Jacobson  
13 listed a daunting list of issues, and what came  
14 across as I listened to the Center directors,  
15 each seemed to have picked out one or two  
16 issues, primarily more fundamentally science-  
17 based to talk about, what seems to be lacking  
18 to me, and maybe that wasn't the intent of the  
19 presentations, there are some issues that seem  
20 to go across all the Centers, and there didn't  
21 seem to be a tie together.

22 For instance, people sort of spoke,  
23 one or two mentioned bioterrorism. There's the



1 whole issue of informatics, microbial  
2 resistance, et cetera.

3 Is that at your level going to be some  
4 programs that stretch across these Centers that  
5 will tie issues together? Otherwise, you're  
6 going to get into redundancies and you'll get  
7 people approaching the same problem in  
8 different ways, so you won't have a cohesive  
9 program. Is that an issue or not?

10 DR. JACOBSON: Yes. There are  
11 actually a number of answers to your question.

12 It wasn't the intent today to try to  
13 tie everything together. We were trying to  
14 give sort of an overview of all of the various  
15 issues and let people see the different sides  
16 that those issues might take.

17 For issues that cross the Agency, for  
18 example, bioterrorism; as Dr. Henney said this  
19 morning, we've just instituted an office in the  
20 Commissioner's office that is going to do that  
21 kind of coordination across the Agency so we  
22 have a coordinated effort there.

23 In some of the other areas, wherever

1 we have issues that touch every Center, we are  
2 trying to do some coordination there.  
3 Sometimes it's not as straightforward as it  
4 might seem because different Centers operate  
5 under different legislative authorities, and so  
6 they may have to do things one way as opposed  
7 to the way another Center would do it.

8 But the intent, certainly, is not to  
9 have the right hand not knowing what the left  
10 hand is doing.

11 One of the things we wanted to talk  
12 about today was which of these issues do you  
13 want to hear more about in the future? Because  
14 we'd like to pick off a few of them each Board  
15 meeting and talk about them, and if you wanted  
16 to start, for example, with some of the  
17 crosscutting ones, that might be a nice way to  
18 proceed.

19 DR. LANGER: We may want to continue.  
20 We will want to continue this discussion,  
21 obviously, but along those lines in the 4:00  
22 discussion one of the goals is to figure out  
23 what will be in other meetings.

1           So, actually, that will be very useful  
2 to get some thoughts on that.

3           Yes?

4           DR. NEREM: Yes, I sort of wanted to  
5 pyramid on his comment. When we talk about  
6 research, I realize it's very small compared to  
7 the program. In the outside world,  
8 disciplinary research is the name of the game.

9           When you think about FDA where you're  
10 going to have all kinds of products, therapies,  
11 that will be a combination of a device, a  
12 biologic device, a drug system; is there actual  
13 inter-center research taking place or is each  
14 Center doing its own thing?

15           And that may relate to how do we  
16 attract the people?

17           DR. LANGER: I think this is a good  
18 way to get into -- that's a good question --  
19 sort of a good way to get into the slide up  
20 there.

21           It looks like there's several people  
22 from FDA that want to comment.

23           So, yes, if you want to start, and

1 then Bern wanted to comment, too.

2 DR. JACOBSON: I think that's exactly  
3 one of the issues that we want to talk about  
4 and to start dealing with, is this whole  
5 interdisciplinary. I mentioned it in the  
6 discussion that it raises interesting science  
7 questions and interesting regulatory questions.

8 In terms of ongoing research, right  
9 now, I guess, I have to look at the Center  
10 Directors; I would say most of it is probably  
11 Center driven, but we do have a number of  
12 projects, especially in areas that have  
13 multiple --

14 DR. NEREM: Research projects are more  
15 or less coordination of activity.

16 DR. JACOBSON: Well, for example, we  
17 have just started a project, a coordination in  
18 the microarray area, where we're getting the  
19 people together across the Agency who are  
20 working in the area to see what should our  
21 research program look like in that area; what  
22 should we be doing as an Agency rather than  
23 having everybody go off and do individual.

1 DR. DAVIS: Let's just do a  
2 fundamental question. I am very familiar with  
3 Dan and the group at NCTR, but part of my  
4 dilemma is what percent of the Centers you  
5 would say are research based.

6 DR. JACOBSON: It's different for  
7 every Center.

8 DR. DAVIS: That's right. And so I'm  
9 trying to get a sense of what percent of the  
10 FDA presently would be labeled researchers. It  
11 might be different for every Agency, every  
12 Center, but there's got to be some FDA; you've  
13 got X number of people and a certain percentage  
14 of those people where researchers --

15 DR. JACOBSON: Yes. I don't have that  
16 number.

17 DR. DAVIS: -- in the more stricter  
18 sense of this.

19 DR. JACOBSON: I mean, we could go  
20 down the row and we could have each of the  
21 Centers tell you what percentage it is, but we  
22 can also get you that kind of information.

23 DR. ANDERS: Can I hop in on this?

1 Because I think Harold and I see some of the  
2 Agency sort of a prism of NCTR where we've both  
3 served.

4 DR. JACOBSON: Yes.

5 DR. ANDERS: And one thing I know I  
6 was impressed with over the years, that the  
7 Agency appeared to lack an orderly means of  
8 setting priorities for research that would cut  
9 across the Centers and to which the -- frankly  
10 impressive resources at the NCTR could  
11 contribute.

12 So I understand there's bits of  
13 research, there's pieces of research going on  
14 in all the Centers.

15 There's one Center devoted fully to  
16 research and not regulation. How do the  
17 priorities get set for the Agency?

18 DR. JACOBSON: Well, I mean -- does  
19 anybody want to take a crack at that?

20 Right now, it's pretty much Center-  
21 driven. For example, NCTR, as you said, is  
22 essentially 100 percent research.

23 DR. CASCIANO: About 35 percent of our

1 research are directly related to chemicals that  
2 are nominated by the various Centers for cancer  
3 bioassay and risk assessment. So there's quite  
4 a bit of coordination between the Centers  
5 regarding cancer bioassay.

6 There's a lot of discussion  
7 investigator-to-investigator between the  
8 various Centers.

9 So there's some understanding  
10 regarding the kinds of activities that are  
11 going on in each of the Centers, and it needs  
12 to be better, that's for sure.

13 But there's a concerted effort now I  
14 think where the Center Directors are  
15 communicating to a much higher degree in the  
16 last year because of constraints on funding and  
17 the desire to not duplicate what's going on in  
18 various Centers.

19 I think we're moving in the direction  
20 to approach.

21 DR. JACOBSON: I just also want to  
22 give you another number, though, and Janet it  
23 is probably even lower than this. But CDRH

1 research capability of about a thousand people,  
2 there are, what, fewer than a hundred that are  
3 actually at the bench?

4 DR. FEIGAL: I think it's about 150  
5 assigned to that group. About a third of their  
6 time is not for research (no mic -- inaudible).

7 DR. JACOBSON: So that's a big  
8 difference.

9 DR. DAVIS: The nature of my question  
10 was if you're trying to attract talent, one of  
11 the questions, how do we attract people.

12 You take CBER with Kathy; are we  
13 talking about attracting bench-level scientists  
14 who do work to go into a group of 20 percent  
15 staff, researchers, or are we talking about  
16 attracting a single person to come in who  
17 understands the scientific arena and be a  
18 scientific force but not necessarily do work?

19 So without knowing percentages, I  
20 don't have any idea what kind of people we're  
21 talking about attracting.

22 DR. LANGER: Kathy.

23 DR. ZOON: Just to address your



1 question, it actually involves several levels  
2 in the Center for Biologics.

3 We have full-time reviewers, and then  
4 we have research reviewers. And I would put  
5 the research reviewers in the class of both  
6 lab-based and non-laboratory-based research  
7 reviewers.

8 If you look at the level of effort in  
9 our Center, we have about 820 FTEs, and  
10 probably between -- we have between -- probably  
11 it varies from time to time, but probably  
12 between 150 to 200 FTEs on research-related  
13 regulatory activities. And that would include  
14 product testing. That's lab-based activities.

15  
16 I would be more encompassing and say  
17 those are lab-based activities.

18 So that kind of gives you a feel.  
19 When we have research reviewers, they do  
20 research and review. The amount of time that a  
21 research reviewer will spend on research, used  
22 to be in the order of, I would say, 60, 70  
23 percent. That's now in the order of probably

1 more like 40 to 50 percent.

2           There's more review responsibilities  
3 than there have been in the past so that number  
4 will fluctuate based on the regulatory workload  
5 of the Center. But their fundamental work in  
6 their area of discipline, we use the Staff  
7 Fellowship Program, which is -- and the ERDA  
8 Program, which are training programs, to bring  
9 in new young people into the organization.

10           The staff Fellows will do research and  
11 review. We just modified the ERDA program to  
12 allow ERDAs which are post-docs, intramural  
13 training program, to do some part of their time  
14 of doing review work.

15           In the past, ERDAs weren't allowed to  
16 do any review work. They were only allowed to  
17 work in the lab.

18           So what we're looking at is an  
19 opportunity to bring young people in, the best  
20 of those young people we'll retain. Those  
21 people will turn over and then we'll bring new  
22 people in to train. So we use this as sort of  
23 a way to look at enhance new science in the

1 organization by bringing people in from new  
2 disciplines that we think they're needed in,  
3 and then we try to retain the best.

4 Now getting more senior people into  
5 the organization, which is the other issue is  
6 far more challenging. I think it's much more  
7 difficult to recruit people into the Agency at  
8 a senior level. We've met with challenges in  
9 that area and that's a lot more difficult that  
10 I think to get really top-notch people from the  
11 outside of the Agency, to come in take over  
12 these research and review responsibilities.

13 So I think that's where we need the  
14 most help.

15 DR. LANGER: Bern wanted to make a  
16 comment.

17 DR. SCHWETZ: In response to your  
18 question about the number of people, let me  
19 give you some ball park estimates of the  
20 numbers and some different kinds of jobs.

21 As we've looked to define how many  
22 researchers do we have, how many laboratory  
23 workers do we have, how many scientists do we

1 have in the Agency, we have concluded that it's  
2 very difficult to use the personnel  
3 classification system to give you information.  
4

5 Because it doesn't accurately reflect,  
6 necessarily, what a person is doing today,  
7 because a person might have been hired as a  
8 chemist 15 years ago and they're working as a  
9 chemist today, but they're still classified as  
10 a chemist. So the numbers are not accurate.

11 But as we've gone through and have  
12 tried to reconstruct what we look like, out of  
13 our 9,400 people, we estimate that between 6,500  
14 and 7,000 is what you would call scientists,  
15 scientists being clinicians, engineers,  
16 epidemiologists, and the more traditional  
17 scientists that you would consider.

18 So about 6,500, 7,000 of the 9,400  
19 employees that we have.

20 We estimate that between 2,000 and  
21 2,500 are involved in laboratory work. That's  
22 not all research because a lot of that is  
23 support work for the field work, support work

1 within NCTR, within CBER, within CDER.

2 So these are not people who are doing  
3 practical-driven, investigator-initiated  
4 research, these are laboratory workers doing  
5 routine analytical work, so that's about 2,000  
6 to 2,500 of the 9,400.

7 Then if you the ask the question,  
8 well, how many of these are really doing  
9 research of the type that you would say are  
10 investigator-initiated, mission-oriented  
11 applied research or fundamental or basic,  
12 however you want to do it, it's quite a bit  
13 less than half of those 2,000, so it's probably  
14 closer to a third of those 2,000 people that  
15 are actually involved in that kind of research.

16 But I would also say that we have  
17 very, very few people whose job it is to come  
18 in and write protocols and do the best science  
19 you can. You can count those people on one or  
20 two hands.

21 For the most part, they're brought in  
22 to work on a very specific question, so the  
23 research may be investigator-initiated, but

1 it's mission-oriented to a problem that Kathy  
2 has with a vaccine or a problem that Dennis has  
3 with the method or whatever it is. Does that  
4 help?

5 DR. DAVIS: Um-hum.

6 DR. SCOLNICK: I guess a related  
7 question I have really relates to  
8 instrumentation. Let me just give you some  
9 booked.

10 If you look at the budget slide that  
11 one of you put on, increase in (inaudible) at  
12 NIH and industry versus the funding at FDA,  
13 it's a rather telling slide, which I'm sure  
14 you're all aware of.

15 You're well aware that everybody says  
16 it's kind of a new revolution going on in  
17 biology, which is true. The last time we went  
18 through a real technological change in  
19 biology was in the late '70s when cloning  
20 technology came in, molecular cloning  
21 technology came into biology, it really  
22 revolutionized biology for the next 10 or 15  
23 years.

1           That technology was relatively cheap.  
2           It was easy to do. Kind of traditional comment  
3           that somebody make is you could teach a high  
4           school student to do it and they could do it in  
5           their garage, if they really wanted to do it  
6           after a week's training.

7           Things that are happening today  
8           related to genome sequencing and array  
9           technologies which are developing proteomic  
10          technologies which are in their infancy in  
11          technology, the high technology machines that  
12          are being used, MSMS, LCMS, MS, those are very  
13          expensive, hard-to-learn technologies.

14          And then you add on to that the IT  
15          technologies that are needed in order to  
16          interpret the data or to begin to interpret the  
17          data, and the dearth of people who exist, who  
18          can help you develop the algorithms, how in the  
19          world, or what is the FDA plan, for trying to  
20          get whether you can really do this in house  
21          with that kind of budget or whether you're  
22          going to have to develop a completely different  
23          kind of paradigm to be able to have the

1 expertise to judge the information that's going  
2 to be coming in, maybe not in the next couple  
3 years, but certainly over the next 10 to 50  
4 years.

5 I think that's the most formidable  
6 challenge you face. What's your plan for  
7 dealing with that?

8 DR. LANGER: Kathy.

9 DR. ZOON: Well, I don't have the  
10 whole solution --

11 (Laughter) --

12 DR. ZOON: -- but I have a tiny piece  
13 of it and one that's worth exploring.

14 I think one of the things I think --  
15 and Dan Casciano and I had this talk a number  
16 of  
17 times -- to think that FDA will have an entire  
18 bioinformatics system that's going to be able  
19 to do this all by ourselves and maintain this  
20 is out of the question.

21 So the question is how do you deal and  
22 integrate into what's going on at the moment.  
23 There's several things that have possibilities.



1  
2           One is NIH and particularly the NCI  
3 has set up this huge bioinformatics program.  
4 They're using a contractor, actually, to do it.  
5 And so one way, we've met the contractor and we  
6 may be able to facilitate our interaction into  
7 this, put data into the system, be able to  
8 leverage the data, the whole system by feeding  
9 into this particular type of contract  
10 operation.

11           And that's not all encompassing but  
12 rather than developing our own which would be  
13 enormously expensive and probably out of the  
14 question, if you can get into a piece of what's  
15 already going on and just pay your share of  
16 what it is but have access to the whole  
17 database, then that's a much more practical  
18 application of this.

19           So those are the kind of opportunities  
20 I think we need to look at in the different  
21 areas where there's information, and there may  
22 be different bioinformatic systems for  
23 different types of problems.

1 DR. LANGER: Yes.

2 DR. COLWELL: I think it's an  
3 opportune time for a lot more interagency  
4 cooperation and address the point that you  
5 raise.

6 It seems to me that we need to find a  
7 way for perhaps the Center directors or  
8 representatives to sit in on panels for some of  
9 the areas of funding that we do so that you  
10 could see what is coming up and actually be  
11 able to be informed and to be able to discuss  
12 with those investigators who do get funded, or  
13 perhaps if those investigators, one or two,  
14 don't get funding, funded, than what would be  
15 appropriate for you to pick it up.

16 So I think this interaction can be  
17 very very productive, and I think there are a  
18 number of ways we can go about it.

19 Also, it would seem to me that we  
20 could develop workshops that we could co-fund  
21 that would address perhaps the microarray chip  
22 question. What would be the appropriate way  
23 for FDA would limit the resources to be able to

1 move appropriately and effectively in this  
2 direction.

3 I don't think it in any way would  
4 deflect from the basic research mission of the  
5 NSF. It would simply be a very nice way to  
6 partner.

7 We do have a program in bioengineering  
8 with the Veteran Administration. There we look  
9 at esthetic devices and looking at neurological  
10 research that our investigators and theirs can  
11 collaborate on.

12 Clearly, no single agency is going to  
13 have enough money to do anything completely.

14 Obviously, at least to me,  
15 collaborations are critical.

16 You're gong to have a heck of a time  
17 getting information technology expertise.  
18 Every agency has been reading about it in the  
19 newspapers. We don't pay competitively, but  
20 there's some attempt to try to step out of the  
21 box on that, and it may happen. But in the  
22 meantime, it seems to me that again we ought to  
23 be able to team up in a way that we could

1 provide perhaps expertise that we jointly  
2 contract out or work on obtaining.

3 We don't try to maintain our in-house  
4 computer systems. We do that by contract. But  
5 there may be some way of being able to carry  
6 that out.

7 In any case, I think there's some very  
8 good opportunities to enhance the research  
9 capability of the FDA by this kind of  
10 partnership and a more effective, maybe a  
11 tripartite partnership with the NIH in the  
12 basic research areas that are keen interest and  
13 great importance for the FDA.

14 DR. SCHWETZ: Rita, we would very much  
15 like to engage in those discussions with you to  
16 figure out how we can bring these two parallel  
17 organizations together with bridges.

18 DR. COLWELL: Let's do that. We'll  
19 follow-up on that.

20 DR. SCHWETZ: We'd like to do that.

21 DR. COLWELL: Yes.

22 DR. LANGER: Yes?

23 DR. ROSENBERG: In terms of tapping

1 expertise as you have on the slide, that would  
2 also help solve that problem because I think  
3 one of the places you could very nicely attract  
4 from is the NIH, and I don't think you partner  
5 well with them at all. I don't think they know  
6 you exist.

7 In fact, the industry knows you exist  
8 far more than the NIH knows that you exist, and  
9 yet given the structure and how you do business  
10 the NIH scientist would want to move to this  
11 kind of a field and this kind of analysis, this  
12 would be a much more attractive switch for the  
13 NIH-type scientist. And I think you're missing  
14 out on that opportunity by not doing more of  
15 this as well.

16 DR. LANGER: Mike.

17 DR. DOYLE: Following up on what Rita  
18 said, I think she's right on, but there are  
19 bigger opportunities than just NSF and NIH. I  
20 mean, DoD is also considering getting into the  
21 microarray area and tremendous amounts of  
22 dollars will probably be invested into that in  
23 terms of infectious diseases and all, and I

1 could just see an excellent partnership there  
2 with FDA and USDA and those interested in food  
3 safety.

4 DR. DAVIS: Further goes to my  
5 question about the organization of programs and  
6 processes across the agencies. And it speaks  
7 of even outside of the Agency, because these  
8 are going to be such daunting issues that the  
9 costs and the amount of material to be  
10 regulated or controlled is just tremendous.

11 As Ed was mentioning, the kind of  
12 thing, the data that we're going to be  
13 generating with microarrays and who's going to  
14 have the resources to generate all that, I  
15 firmly believe if the FDA thinks they have  
16 trouble now with clinical data and all that  
17 stuff that's just sitting there, that it would  
18 be wonderful if somebody could go through it  
19 and make heads or tails out of it.

20 It's going to get even worse with the  
21 amount of data that we're going to be  
22 generating in the future.

23 FEMALE VOICE: Yes, I agree.

1 DR. DAVIS: You won't have a place to  
2 store it all.

3 And so I think it's imperative that  
4 there be some cross-center, cross-agency  
5 initiative, and I wouldn't leave industry out,  
6 especially for those of us who are regulating.  
7 I think perhaps trying to get in early to help  
8 drive where we're going and how we're going to  
9 be regulated and getting some agreement on what  
10 processes ought to say and what data we ought  
11 to submit, how that data ought to come in, et  
12 cetera.

13 So you might be able to get some  
14 partnership there with resources and expertise.

15 DR. LANGER: Bob and then Rita.

16 DR. NEREM: Yes. Two comments, first  
17 picking up on Rita's comment.

18 NSF has engineering research centers,  
19 has science and technology centers. Some of  
20 those centers are operated in areas very  
21 pertinent to FDA so that's another place to  
22 partner.

23 Beyond that, I want to ask Liz a

1 question.

2 Do you have in your new position a  
3 budget for crosscutting science initiatives or  
4 is all the research money out there in the  
5 Centers as part of their -- whatever they get  
6 from Congress? I don't know how this works.

7 DR. JACOBSON: I have requested a  
8 small budget for sort of seed projects, seed  
9 money, to get cross-agency things going, but  
10 no, I don't have a budget.

11 DR. NEREM: Never request a small  
12 amount. You should always request a large  
13 amount.

14 (Laughter)

15 DR. JACOBSON: At FDA, we only have  
16 small budgets.

17 DR. LANGER: Bob will be a special  
18 consultant. He knows how to ask for a lot of  
19 money.

20 DR. NEREM: And get it.

21 (Laughter)

22 DR. LANGER: Rita.

23 DR. COLWELL: Actually, there is an



1 interagency initiative on information  
2 technology.

3 I want to pick up on Mike's comments  
4 about a lot of money put into it. Indeed,  
5 there is. And again the comment about the  
6 across the agency, even that initiative within  
7 the NSF is an agency-wide initiative because it  
8 involves every single aspect of it.

9 Building databases and research on  
10 building databases and searching databases is  
11 worth putting a lot of money into in DARPA,  
12 particularly putting a lot of money into it.

13 Now this is a political issue, but it  
14 seems to me that the FDA ought to find a way to  
15 get into the interagency information technology  
16 initiative. Serving the FY 2001 budget is  
17 closed, but the FY 2002 is in the preparation  
18 and your appropriate political contacts. I  
19 don't know what they are but, in any case, I  
20 think an argument can be made for new money or  
21 database building and searching and for  
22 software development that can be done  
23 collaboratively as part of the interagency

1 initiative.

2 DR. NESTLE: I don't know about  
3 anybody else, but I'm just enormously alarmed  
4 by this morning's discussion.

5 We've heard three things at this  
6 meeting that put together, have me in a  
7 complete panic about this.

8 One is the budgetary situation.

9 The second is the Agency's budget is  
10 determined by the Agriculture Committee not by  
11 a committee that deals with health.

12 And the third is the collection of  
13 demands on the agency that we've heard about  
14 this morning. This is obviously a crisis  
15 situation and the kinds of suggestions that are  
16 being made are band-aids dealing with a  
17 situation that seems to me is overwhelmingly  
18 alarming.

19 I'm a consumer representative on this  
20 Committee and, as such, I get to say outrageous  
21 things like this --

22 (Laughter)

23 -- but I think this is a national

1 crisis.

2 That's how I see it from what I've  
3 heard this morning.

4 We have a situation in which all of  
5 these new technologies and new devices and new  
6 drugs and new everything are coming on the  
7 market with absolutely no ability to determine  
8 whether they're safe or not for the public.

9 Something has to be done about this  
10 and I think it's great to talk about  
11 interagency cooperation but I think it's going  
12 to take a great deal more than that, and we  
13 ought to be talking about it if we can.

14 DR. COLWELL: Yes. There's no  
15 question. I don't think anybody around the  
16 table is going to argue about the budget not  
17 being sufficient for FDA, otherwise, we  
18 wouldn't be here. But we have to face the  
19 political reality.

20 I don't think the interagency  
21 collaboration is a band-aid. I think having  
22 been involved in various panels and committees  
23 and things for FDA over the last 15 years, it's

1 very clear that a major infusion of money from  
2 Congress is not on the horizon.

3 It isn't on the horizon, really, for  
4 any one agency, but I think we have to find  
5 innovative ways to address just the issues that  
6 you're raising, the budget shortfalls, this  
7 burgeoning of science and technology that's  
8 occurring.

9 It's changing. That is somehow  
10 changing the whole pharmaceutical approach to  
11 human health. It's turning into a very much  
12 complicated kind of thing. It's  
13 nutraceuticals, pharmaceuticals, it's  
14 preventive medicine in a different way, which  
15 means engaging the public and the consumer in a  
16 much more informed way, which means that  
17 probably the FDA is going to have to develop a  
18 really good web site for information for the  
19 consumer. That's another step to take.

20 So there isn't any simple solution.  
21 But we can't just wring our hands. We've got  
22 to find ways to help out.

23 DR. NESTLE: Don't get me wrong, I'm

1 not opposed to interagency collaboration, I  
2 just wouldn't underestimate its difficulty.

3 DR. COLWELL: Oh, I agree with you.

4 DR. DAVIS: I'd also like to say I  
5 share your alarm; however, I wouldn't want to  
6 sit here quietly and say I feel that the drugs  
7 that are coming out on the market, that we have  
8 no way of attesting to their safety, et cetera.

9 As a representative of a company who  
10 provides drugs to the public, I feel very  
11 comfortable that what we do allows us to be  
12 somewhat comfortable with the drugs that we're  
13 putting on. I think FDA does a great job in  
14 that.

15 So I am not -- I don't share your  
16 concern that we have no way of attesting for  
17 the safety of the products we're putting on the  
18 market.

19 I am alarmed that the task that is  
20 before us with incorporating science as it is  
21 developing is a daunting one, and we better do  
22 something tremendous with or dramatic to fix  
23 that problem; but I don't go to sleep at night

1 concerned that we're putting unsafe drugs on  
2 the market.

3 DR. LANGER: Other comments?

4 It sounds like there are a few.

5 Bob and then Liz.

6 DR. BUCHANAN: As you wrestle with  
7 coming up with recommendations, I do offer a  
8 note of caution, having been in an involvement  
9 the last three years in a very large  
10 interagency type of activity.

11 The reality of budgetary increases,  
12 which we all like to get, is that they tend to  
13 be small, and more often you're slated to do  
14 something which means that you have to stop  
15 something else.

16 So, really, what we're looking for  
17 often is advice on budget optimization not just  
18 relying on budgetary increases. So one of the  
19 things that we have to wrestle with is if we're  
20 going into genomics or proteonomics or all of  
21 the other new technologies, what in our mission  
22 are we going to stop doing; for example, do we  
23 quit looking in the food field, warehouses?

1 That's an issue.

2 How often do we get to go in and  
3 inspect a warehouse, because in most situations  
4 it's a zero sum gain.

5 If you put more money into the foods  
6 program, who are you going to take it away  
7 from? Again, that's the issues that we've been  
8 wrestling.

9  
10 So as you think of recommendations,  
11 think also of the impact those recommendations  
12 are going to have if we don't get budgetary  
13 increases.

14 DR. LANGER: Liz.

15 DR. JACOBSON: I just wanted to say  
16 that with respect to the things that you said,  
17 Dr. Nestle, I'd like to think of it in terms of  
18 urgency.

19 The whole point of going through this  
20 kind of a description of what we're facing is  
21 to bring out and make more public, make more  
22 obvious what are the problems that FDA is  
23 facing, and I think one of the things that

1 interagency collaborations can do for us is to  
2 help get our problems on other people's agenda.

3           It's really difficult, though. I  
4 agree with you. And our priorities, our  
5 mission, isn't necessarily the other Agency's  
6 mission, and so it's difficult to get  
7 attention, but we kind of have to deal the hand  
8 that we're dealt, and right now we have a lot  
9 of incredibly pressing technologies and  
10 products that are coming at us.

11           I also disagree with what you said,  
12 that you know we don't have any way to know  
13 that anything is safe. I think we would all  
14 disagree with that.

15           We also have to sit and sort of try to  
16 strategically think, how are we going to change  
17 the way we're doing business because I think we  
18 are sort of in a paradigm shift. We can't keep  
19 doing things according to the old paradigm.  
20 That simply isn't going to work.

21           Meetings like this one, one of the  
22 things we're hoping to get is some discussion  
23 and some advice on directions that we should be



1 moving and, frankly, ideas for ways to move  
2 out.

3 DR. SCOLNICK: I would ask you back a  
4 question, and it's a tough question.

5 Do you have a strategic planning  
6 process in place within the agency to try to  
7 address the kinds of questions that the Board  
8 is asking you about this morning?

9 If you do, you know, are you in a  
10 position in some period of time to come back to  
11 the Science Board with an overview of that plan  
12 as to how the Agency is planning to spend its  
13 money and change how its doing, whatever it's  
14 doing. Or if you don't have that process in  
15 place, what would it take to put it in place?  
16 A really good strategic plan for the FDA in the  
17 new millennium?

18 DR. LANGER: Do you want to answer it  
19 and then Janet has a comment.

20 DR. SCHWETZ: Jeff Weber, the head of  
21 our Budget Management Office, may like to  
22 comment more on this, but one of the things  
23 we've been trying to turn around in the last

1 few years is to move away from the budget  
2 determining what you do to us determining what  
3 the budget needs to be for the things we need  
4 to do.

5           There's been a lot more attention paid  
6 to that in the last few years than there were  
7 in the previous years that I've been in the  
8 agency, so we're trying to get that turned  
9 around through the large number of meetings  
10 that we have to talk about priorities and needs  
11 and what can we not do if we're going to change  
12 priorities before the budget numbers are sorted  
13 out.

14           So we've been going through that  
15 process pretty religiously in the last few  
16 years to get people to share ideas early on  
17 about what the priorities are to be able to  
18 integrate them between and across the Centers  
19 and the rest of the Agency.

20           So there's big progress in that area.  
21 But even when we come up with a budget and the  
22 pieces get lopped off a lot of that planning  
23 comes to no avail when the budget isn't near as

1 big as what we thought it needed to be.

2 DR. LANGER: Jeff.

3 JEFF: Right. I've been here for one  
4 full budget cycle now and the tail end of the  
5 last budget cycle, and what I see in the  
6 beginning of the cycle what we are trying to do  
7 is some strategic planning, although it's  
8 short-term strategic planning as opposed to  
9 long-term strategic planning.

10 And what myself and the head of the  
11 planning office have done recently is gone  
12 around and met with each one of the Center  
13 directors and each one of the deputies to try  
14 and design an approved process for future  
15 years.

16 And one of the continuing themes that  
17 keep coming out is that we do need to do some  
18 type of long-range strategic planning, and  
19 eventually we're going to come to the  
20 leadership team and make a recommendation on a  
21 revised process based on everything that we've  
22 heard from the Center directors.

23 There is long-term strategic planning

1 in each one of the Centers now, or most of the  
2 Centers, are either have a strategic plan or on  
3 the verge of completing a strategic plan, so  
4 it's probably a good idea for the Center to get  
5 into some long-range strategic planning as  
6 well.

7 I also want to touch on the point that  
8 Dr. Schwetz made. We can have all the  
9 strategic planning and all the budgeting up  
10 front in advance, but when we start with a  
11 budget that we submit to the Department it gets  
12 whittled down, it goes to OMB, then it goes to  
13 Congress.

14 When we finally get our budget back,  
15 we're lucky to get enough resources to continue  
16 to pay the salaries of our employees, because  
17 we have not been getting our current services  
18 paid for so we have to absorb a 3.7 percent pay  
19 raise this year, plus within grades and  
20 promotions if you want to keep your good  
21 employees, awards, et cetera, otherwise, the  
22 attrition will be even greater than it is now.  
23 And that's about \$42 million.

1           So that right off the top whatever  
2 Congress gives us this year, we're spending \$42  
3 million to maintain our existing staff.

4           So there really isn't much left to try  
5 and shuffle around between priorities even if  
6 they don't give you sufficient resources.

7           And I'll give you one perfect example.

8           Congress decided this year that  
9 antimicrobial resistance was a very high  
10 priority for them. We have resources requested  
11 in our budget, six or seven million dollars,  
12 but they added \$3 million on top of that for  
13 CVM, well needed, we'll put it to good use.

14           However, in doing that, they decided  
15 they're just going to take the \$3 million away  
16 from CBER. So CBER now has less money for  
17 blood work, less money for vaccine work, less  
18 money for tissue work, without any consultation  
19 with FDA as to how do we want to re-prioritize  
20 those resources.

21           So we can prioritize all we want, but  
22 when those decisions are made without our  
23 consultation, it's very difficult.

1           We've had conversations internally,  
2 where do we want to shift resources if CBER  
3 needs help because they have to do that work as  
4 well.

5           And everybody is so strapped that  
6 there really is no other place to take the  
7 money from to providing that additional support  
8 to CBER.

9           So those are the kind of issues that  
10 we're dealing with. I mean, it sounds simple  
11 on the surface but it is very difficult to  
12 juggle the dollars when you don't really have  
13 enough to do your basic mission to begin with.

14           DR. LANGER: Janet, do you want to  
15 make a comment?

16           DR. WOODCOCK: Yes. I just wanted to  
17 provide a couple points of clarification with  
18 respect to what Dr. Nestle said.

19           As far as drugs, we probably, right  
20 now, given the science have the greatest  
21 predictive ability ever for humans to predict  
22 drug safety post-marketing, but it isn't ideal  
23 and we're still not where we should be. So it

1 isn't that we can't predict drugs toxicity  
2 profile after marketing, it's just we aren't at  
3 the level.

4 Society, I think, is required,  
5 demanding a higher level of assurance and  
6 confidence than they have in the past and we  
7 need to keep pushing our ability to do that.

8 With respect to what Dr. Davis asked,  
9 CDER, I think my calculations are correct, 3  
10 percent of our employees are engaged in  
11 laboratory research. It's a very small number  
12 of people. I would say they are fully-  
13 leveraged right now.

14 So given our budgetary constraints,  
15 it's very difficult for us to do any more  
16 cross-collaborations than we are doing.

17 For example, with spectroscopy, we use  
18 St. Louis University and other partners that we  
19 have to give us access to advance techniques.  
20 That's probably the only way we can do it with  
21 just a handful of people.

22 So our ability, actually, to leverage  
23 further with further cross-organization

1 collaborations is fairly limited, simply by the  
2 number of people we have.

3 DR. LANGER: Other comments?

4 (No response.)

5 Why don't we take a break. We'll get  
6 back together at 1:00 for a couple of comments.

7

8 (Luncheon recess.)

9

10



## A F T E R N O O N   S E S S I O N

(1:08 p.m.)

DR. LANGER: We're going to start now with Public Comments, and we have Doris Haire, from the American Foundation for Maternal and Child Health would like to make some comments.

## Open Public Comment

MS. HAIRE: Good afternoon. I appreciate this opportunity to share my concerns with the members of the FDA Science Board and to ask the Board to urge the FDA to create an Interdisciplinary Obstetric Advisory Board comprised of pediatricians, pediatric neurologists, behavioral scientists, midwives, obstetric nurses, and obstetricians to evaluate the safety of drugs to be administered to pregnant and parturient women.

The FDA cannot expect a Maternal Health Drug Advisory Committee made up almost exclusively of obstetricians to be objective about the drugs they have administered to their patients.

Such a group does not have the

1 training or expertise to determine the delayed,  
2 long-term effects of the drugs they administer  
3 to parturients or on the long-term development  
4 of the exposed offspring.

5 I've heard obstetricians in that  
6 Committee remind the group that there would be  
7 serious repercussions for obstetricians if the  
8 Committee were to recommend that a drug  
9 previously approved by the committee to be  
10 removed from the market.

11 The fact that the makers of Pitocin,  
12 Marcaine, Sublimaze, and other drugs commonly  
13 used in obstetric care have chosen to remove  
14 their labels from the Physicians Desk  
15 Reference, only adds to our conviction that  
16 they wish to withhold ready information  
17 regarding the risk of their products not only  
18 from the public but from the doctors and other  
19 health care providers.

20 Most nurses and midwives that I have  
21 discussed this with tell me that when they have  
22 asked the hospital pharmacist for a copy of the  
23 package insert they are refused.

1 I am concerned that as the number of  
2 children with learning disability, autism,  
3 dyslexia, attention deficit disorder and  
4 hyperactivity continue to mount to a  
5 frightening number, the FDA does not appear to  
6 make a strong endeavor to see if obstetric  
7 drugs contribute to these problems.

8 For some reason, the scientists seem  
9 to have let the science of human parturition  
10 slip through the cracks. As evidence of this  
11 scientific vacuum, a recent *Report of the Task*  
12 *Force on the NIH Women's Health Research Agenda*  
13 *for the 21st Century* failed to mention the need  
14 to improve the safety of childbirth for the  
15 woman and her baby and the potential adverse  
16 effects of obstetric drugs and interventions on  
17 the neurologic development of the offspring.

18 In light of the soaring rate of  
19 autism, 500 percent in 10 years in some states,  
20 it behooves the FDA to question whether  
21 cervical wideners, uterine stimulants and  
22 various pain-relieving drugs administered to  
23 the parturient permanently alter the brain

1 circuitry of the fetus and newborn sufficiently  
2 to interfere with the normal dendritic  
3 arborization within the infant's brain.

4 Virtually all drugs administered to  
5 the parturient cross the placenta, enter the  
6 circulatory system and brain of the fetus and  
7 newborn infant where the drugs and their  
8 metabolites may remain for days if not weeks.

9 It is ironic that women who do not  
10 wish to become pregnant are provided a package  
11 insert with their contraceptive drugs to ensure  
12 that they understand the risk of taking the  
13 drug. Yet the woman who wishes to have a safe  
14 birth and experience for her baby as well as  
15 herself receives no package insert advising her  
16 of the known and potential risk to her and her  
17 baby.

18 I urge the Science Board to recommend  
19 that the FDA require package inserts to be made  
20 available on request to all expectant mothers  
21 who wish to know about the drugs they will be  
22 offered during pregnancy, labor, delivery, and  
23 postpartum.

1           Consider the information the doctor  
2 receives regarding the risk of oxytocin. The  
3 manufacturer of oxytocin warns the provider in  
4 the package insert: "Maternal deaths due to  
5 hypertensive episodes, subarachnoid hemorrhage,  
6 rupture of the uterus, fetal deaths, and  
7 permanent central nervous system or brain  
8 damage of the infant due to various causes have  
9 been reported to be associated with the use of  
10 parental oxytocic drugs for induction of labor  
11 or for the augmentation in the first and second  
12 stages of labor."

13           In addition to the more benign effects  
14 of uterine stimulants, the American  
15 manufacturer of Pitocin points out in the  
16 package insert that oxytocin can cause maternal  
17 hypertensive episodes, subarachnoid hemorrhage,  
18 anaphylactic reaction, postpartum hemorrhage,  
19 cardiac arrhythmias, fatal afibrinogenemia,  
20 premature ventricular contractions, pelvic  
21 hematoma, uterine hypertonicity, uterine spasm,  
22 tetanic contractions, uterine rupture,  
23 increased blood loss, convulsions, coma, and

1 fatal oxytocin-induced water intoxication.

2 Yet, I don't hesitate to say that none  
3 of the women, or virtually none of the women in  
4 this country have any idea of the risks of  
5 oxytocin.

6 The following adverse effects of  
7 maternally administered oxytocin have been  
8 reported for the infant and the fetus --  
9 bradycardia, premature ventricular  
10 contractions, and other arrhythmias, low one-  
11 minute and five-minute Apgar scores, neonatal  
12 jaundice, neonatal retinal hemorrhage,  
13 permanent central nervous system damage or  
14 brain damage, and fetal death.

15 Uterine stimulants which foreshorten  
16 the oxygen-replenishing intervals between  
17 contractions by making the contractions too  
18 long, too strong, and perhaps even more  
19 important, too close together increase the  
20 likelihood that fetal brain cells will die.

21 All of these effects increase the  
22 possibility of neurologic insult to the fetus.  
23 No one really knows how often these adverse

1 effects occur because, as you know, there is no  
2 law or regulation in any country which requires  
3 the doctor to report an adverse drug reaction  
4 to the country's drug regulating agency even if  
5 the patient dies.

6 I don't know how many of you saw  
7 yesterday's *Los Angeles Times*, but I was very  
8 pleased that they included that in the  
9 information on the front page.

10 There are growing indications that  
11 oxytocin may contribute to the incidence of  
12 autism. As I said, a 500 percent increase in  
13 10 years in some of the states.

14 But is oxytocin or is it the drug used  
15 in epidurals that then precipitates the need  
16 for oxytocin?

17 Considering the following information  
18 which the U.S. Food and Drug Administration  
19 currently requires the manufacturer of  
20 bupivacaine, the drug most commonly used in  
21 epidurals. The government-approved labeling  
22 for bupivacaine, which is produced by Marcaine,  
23 reads:

1           "Local anesthetics rapidly cross the  
2 placenta and when used for epidural, caudal, or  
3 pudendal block anesthesia, can cause varying  
4 degrees of maternal, fetal and neonatal  
5 toxicity. Adverse reactions in the parturient  
6 fetus and neonate involve alterations in the  
7 central nervous system, peripheral vascular  
8 tone, and cardiac function."

9           But this is even more interesting:

10           "Neurological effects following  
11 epidural or caudal anesthesia may include  
12 spinal block of varying magnitude --including  
13 high or total spinal block-- hypotension  
14 secondary to spinal block; urinary retention;  
15 fecal and urinary incontinence; loss of  
16 perineal sensation and sexual function;  
17 persistent anesthesia; paresthesia; weakness;  
18 paralysis of the lower extremities and loss of  
19 sphincter control, all of which may have slow,  
20 incomplete, or no recovery; headache; backache;  
21 septic meningitis; meningismus; slowing of  
22 labor; increased incidence of forceps delivery;  
23 and cranial nerve palsies due to traction on



1 nerves and the loss of cerebral spinal fluid."

2 "Neurologic effects following other  
3 procedures or routes of administration may  
4 include persistent anesthesia, paresthesia,  
5 weakness, paralysis, all of which may have  
6 slow, incomplete, or no recovery."

7 I'm here because I have tried to do  
8 all the proper things first, and my husband  
9 always, being a lawyer, always says, "Do the  
10 proper things first, and when that doesn't  
11 work, try something else." So that I hope that  
12 the Science Board of the FDA will encourage the  
13 FDA to move to require package inserts for all  
14 obstetric-related drugs.

15 Rosenblatt and her fellow  
16 investigators found the bupivacaine  
17 administered to the mother during labor can  
18 have a prolonged effect on the subsequent  
19 development of the exposed offspring. The  
20 investigators found that newborn infants, when  
21 greater exposure to bupivacaine in utero were  
22 more likely to be cyanotic and nonresponsive.  
23 They also found that visual skills and

1 alertness decreased significantly with  
2 increases in the cord blood concentrations of  
3 bupivacaine, particularly in the first day of  
4 life, but also throughout the next six weeks.

5 Adverse effects of bupivacaine levels  
6 on the infant's motor organization, his ability  
7 to control his own state of consciousness, and  
8 his physiologic response to stress were also  
9 observed.

10 Sepkowski and Barry Braselton carried  
11 out a similar investigation after that, and  
12 they found all of their data supported the  
13 findings of Rosenblatt.

14 As early as 1975, the FDA acknowledged  
15 in its *General Considerations for the Clinical*  
16 *Evaluation of Drugs in Infants and Children*  
17 that drugs trapped in the infant's brain at  
18 birth have the potential to adversely affect  
19 the rapidly developing nerve circuitry of the  
20 brain and central nervous system by altering  
21 the rate at which the nerve cells in the brain  
22 mature; the process by which the brain cells  
23 develop individual characteristics and capacity

1 to carry out specific functions; the process by  
2 which the brain cells are guided into the  
3 proper place within the brain and central  
4 nervous system; the interconnection of the  
5 branch-like nerve fibers as the circuitry of  
6 the brain is formed; and the forming of the  
7 insulating sheath of myelin as a fat-like  
8 substance around the nerve fibers which help to  
9 assure the nerve impulses -- the messages to  
10 and from the brain -- will travel their normal  
11 routes in their normal rate of speed.

12 Now the work of Zheng, Heintz, and  
13 Hatten reaffirm that the migration of neurons  
14 among the glial fibers within the brain can be  
15 altered by changing the normal chemistry of the  
16 rapidly-developing brain.

17 At no other time in an individual's  
18 life is his or her brain more vulnerable to  
19 alteration, trauma and permanent injury during  
20 the hours which surround that individual's  
21 life.

22 And, yet, the FDA it seems has  
23 completely disregarded the potential of these

1 drugs to affect the baby, and I hope that -- I  
2 have much more that I would like to say but I  
3 realize that my time is up -- but I hope that  
4 the Board of Science -- there doesn't seem to  
5 be any other source that we can turn to at the  
6 FDA -- but I hope that the Board of Science  
7 will see to it that the FDA begins to look at  
8 the effect of obstetric-related drugs on the  
9 well-being of the infant.

10 We all have an investment in these  
11 children, and I doubt whether there's anyone in  
12 this room that doesn't know some child who is  
13 learning disabled or autistic, and there is a  
14 good chance that we can prevent this.

15 Thank you.

16 DR. LANGER: Are there any comments?

17 (No response.)

18 Thank you very much.

19 MS. HAIRE: You're welcome.

20 DR. LANGER: We'll make sure that this  
21 gets to the appropriate places.

22 Are there any other public comments  
23 that anyone wants to make?

1 (No response.)

2 It looks like there are not. Why  
3 don't we continue with the agenda and have  
4 David talk about the programmatic peer review  
5 for the Center of Devices and Radiologic  
6 Health.

7 DR. JACOBSON: While David's getting  
8 set up, let me just make a comment here.

9 CDRH is the next Center at FDA that's  
10 going to undergo a peer review process, and  
11 David's going to talk to you about that.  
12 CFSAN, CBER, and NCTR have already done a peer  
13 review process, and at the last meeting we  
14 talked about the results of the CFSAN process,  
15 and after that meeting Drs. Fennema and Schwetz  
16 put together some guidelines to be used for the  
17 peer review process, and I just wanted to tell  
18 you two things:

19 (1) The guidelines are in your  
20 notebooks so you can see what they look like;  
21 and,

22 (2) Don't surprised that the  
23 presentation you're going to hear right now is

1 not following all of the guidelines because  
2 CDRH was already underway as those were being  
3 put together, and we sort of see that process  
4 as a transition, and we didn't want to delay  
5 their effort by holding them up.

6 David.

7 DR. FEIGAL: Thanks very much.

8 **Programmatic Peer Review - CDRH**

9 DR. FEIGAL: What I'd like to do today  
10 is present to you work that's been in progress.  
11 When I came to the Center a year and a half  
12 ago, one of the first things I did was ask the  
13 senior leadership to begin a process of  
14 evaluating how we use science in the Center.

15 I was in the Center for Biologics at  
16 the time of the external review, and I was  
17 actually eager to have our Center participate  
18 in the review and talk to Bern Schwetz about  
19 the possibility that we be up next.

20 And we're in a process here where we  
21 have formulated a fairly mature proposal, but  
22 it's not cast in stone. We can modify it, we  
23 look for your suggestions about how to make

1 this more useful. I think you'll see we have  
2 incorporated some of the suggestions that have  
3 come up from the time of the other reviews.

4 I wanted to actually begin this by  
5 providing a little bit of background about the  
6 Center and a little bit of background about  
7 another process which has been going on at the  
8 same time, which is a strategic review.

9 An appropriate place to start in  
10 talking about the Center is to talk about our  
11 mission. It's actually a mission that's very  
12 close to the heart of the employees in the  
13 Center. CDRH promotes and protects the health  
14 of the public by insuring the safety and  
15 effectiveness of medical devices and the safety  
16 of radiological products.

17 It's a very broad mandate. It  
18 includes thousands of types of products, both  
19 therapeutic products and consumer products in  
20 the case of radiological products.

21 The consumer protection, our sort of  
22 modus operandis is similar to other therapeutic  
23 products. One large category of consumer

1 protections and where we apply our science is  
2 in the area of risk management.

3 As in the case for drugs and  
4 biologics, there are devices that require  
5 clearance before first human use in the form of  
6 an investigational device exemption.

7 We of course are concerned about the  
8 safe experimental use during product  
9 development and of the evidence needed to be  
10 sure that the risk of widespread use that  
11 occurs with market approval are warranted and  
12 in the post-marketing period we had programs  
13 that evaluate the adverse experiences.

14 The other -- and I think probably the  
15 crosscutting, and I'll make this case a little  
16 bit later -- is that the really fundamental  
17 underpinning of the way that we do business is  
18 as a science based, regulatory decision body.

19 The majority of that historically has  
20 been evidence based. But then as I mentioned  
21 this morning, increasingly, we're utilizing  
22 standards.

23 Then, finally, there's part of the



1 operation that has to do more with the  
2 assurance of the integrity of products, the  
3 enforcement sides of dealing with fraudulent  
4 products, products which are poorly  
5 manufactured, or inappropriate and bad clinical  
6 practices.

7           The Center for Devices, actually  
8 looking around the posters around the room,  
9 Center for Drugs forgot that FDA stands for the  
10 Federal Device Administration. They left off  
11 the 1976 Device Amendments.

12           (Slide.)

13           Before 1976, devices that were  
14 regulated were regulated as drugs, with new  
15 drug applications.

16           But in '76, device amendments were  
17 passed which defined devices, gave us  
18 authorities to move against violative products,  
19 established a tiered system of control based on  
20 risk, and established standards of evidence for  
21 marketing claims.

22           I think you really have to sort of  
23 understand a little bit of the background of

1 the system to understand what the scientific  
2 task is we deal with.

3 In 1976, there were probably as many  
4 as 100,000 medical devices already on the  
5 market, and these are sometimes referred to as  
6 pre-amendment marketed devices. And there was  
7 a process of classifying them by risk, from the  
8 highest classification, Class 3, down to the  
9 Class 2 and Class 1.

10 And there was a process for bringing  
11 products onto the market by establishing that  
12 they were substantially equivalent to a  
13 previously-marketed device.

14 All devices have certain types of  
15 controls. There are general controls that  
16 include facility, registration, and product  
17 listing;

18 Premarket notification except for the  
19 majority of the Class 1 devices which are  
20 exempt;

21 A requirement to follow good  
22 manufacturing practices or quality systems;  
23 and,

1           A system for reporting device  
2 failures.

3           (Slide.)

4           The intermediate risk classes are the  
5 first area we actually begin requiring  
6 information that's specific to the device. If  
7 you look at the Class 1 devices, the controls  
8 there are very broad and general controls and  
9 do not relate to the performance of the  
10 specific device.

11           So the largest category of devices  
12 that are on the market and that are approved  
13 today are based on establishing that they are  
14 substantially equivalent to a predicate, to a  
15 product that was marketed before 1976, and when  
16 a product is cleared it joins that group of  
17 predicates.

18           (Slide.)

19           To give you an idea of the size of  
20 this, these are the number of applications over  
21 the last 20 years.

22           These are the number of premarket  
23 notifications of 510(k) applications, and if

1 you work this out to a roughly 200-day business  
2 day, it means that day in/day out there are  
3 about 20 510(k) approvals or clearances per day  
4 by the Center.

5 This is the typical application, or  
6 about 40 hours, if you take the average number  
7 of hours, the number of FTEs working in this  
8 program, to evaluate this group of products.

9 Then in addition to these four to five  
10 thousand number of new devices that come into  
11 the market every year, there are an approximate  
12 equal number of devices which are exempt.

13 One of our basic challenges is how do  
14 we work within this framework and how do we  
15 provide consumer protection and science-based  
16 regulation for this group of products.

17 The other group of products, and  
18 probably one that you will see more and be  
19 highlighted more in terms of the review because  
20 the more novel ones are more of a challenge to  
21 us, scientifically, are the products which  
22 require premarket applications, the Class 3  
23 products.

1           And when there's not substantial  
2           equivalence to a predicate then you must  
3           establish that the product is safe and  
4           effective.

5           This is the volume of the PMAs, and  
6           the thing to note on this is that the scale is  
7           one log smaller. The other scale went up to  
8           about 9,000, this scale goes up to about 900.

9           (Slide.)

10          The blue line at the bottom is the  
11          number of new PMAs approved per year, and it's  
12          actually about the same volume as the number of  
13          new molecular entity drugs. It runs between 60  
14          to about 100 per year.

15          The red line are the supplements to  
16          those PMAs, and they have a structure that's  
17          very similar to a drug supplement. If there's  
18          a new indication or some other significant  
19          modification that requires a supplemental  
20          application.

21          Then the green bar are the actual  
22          number of IDEs. These are equivalent to INDs,  
23          if you're more familiar to the drug process,

1 and it actually shows you the relatively small  
2 volume of products that actually are required  
3 to have FDA supervision for the clinical  
4 studies.

5 In fact, one of the things that shapes  
6 the scientific challenges for the Center is  
7 that of devices that come to the market, fully  
8 90 percent of them will not have human testing,  
9 and quite appropriately so.

10 So we need to take a look at what it  
11 is that we need to evaluate these family of  
12 devices.

13 When we think of the organization of  
14 the programs and of the resources, it's often  
15 phrased in terms of a premarket program and a  
16 postmarket program. In fact, I think we used  
17 those words this morning.

18 And the consumer protections are  
19 sometimes grouped under that. If you look at  
20 the premarket consumer protection controls, we  
21 think of the requirements for safe  
22 experimentation, premarket safety, the  
23 standards to establish effectiveness before a

1 product is marketed, the process of inspecting  
2 research and IRBs.

3 On the postmarket side, there are all  
4 of the laws, actually some of the oldest  
5 authorities that the agency has, about truthful  
6 promotion, systems for adverse event reporting,  
7 postmarket studies, and manufacturing  
8 inspections.

9 Now, as we thought a little bit about  
10 the paradigm for reviewing the science, one  
11 logical way is to, and I think there's an  
12 element in some of the past reviews, is to  
13 think of this basic system, to think of our  
14 laboratory and our research programs, and then  
15 have the science review come in and take a look  
16 at that.

17 (Slide.)

18 If you look at where we've actually  
19 got our resources deployed, though, and you can  
20 take a look at primarily fiscal year '98, if  
21 you look at '95, it's similar, although you'll  
22 see a shifting of all areas into the premarket  
23 program.

1           But you'll see if we actually just  
2 showed you the programs that were strictly  
3 doing research projects and science and  
4 laboratory projects and epidemiology and some  
5 of the other areas, that we would actually only  
6 be showing you less than 10 percent of the  
7 activities of the Center.

8           As a science based and an evidence  
9 based regulatory agency, we sort of asked  
10 ourselves, is there another way to better share  
11 the vision of how we see ourselves as a  
12 science-based organization.

13           So instead of premarket/post-market,  
14 we took a step back, because these are awfully  
15 regulatory terms and an inventor doesn't say,  
16 "Gee, I'd like to have a premarket device or a  
17 premarket invention or a post-market  
18 inventions," we thought a little bit of the  
19 whole process of the life cycle of a device.

20           Device begins as a concept.  
21 Prototypes are built. Preclinical work, if  
22 it's needed, is done. A clinical program is  
23 begun. Again, this won't be needed for every



1 type of device, but this information is  
2 compiled together to begin scale-up for full  
3 commercial manufacturing and commercial  
4 marketing.

5           There's a period of commercial use for  
6 the product, and then there's a period where  
7 the product is obsolete and it's replaced by  
8 another product. It may gracefully fade from  
9 the market or it may be withdrawn or it may  
10 more dramatically be given the hook but that's  
11 usually not the case.

12           So, in fact, if we think about in a  
13 non-regulatory sense the entities we're dealing  
14 with we're really dealing with a product  
15 lifecycle, and it's a product lifecycle that  
16 it's intensely interconnected.

17           One of the difficulties of trying to  
18 do these things separately is what you learn  
19 from the preclinical phases or from the  
20 prototype informs the way you design your  
21 clinical program or has an impact on your  
22 manufacturing experiences.

23           (Slide.)

1           Similarly, manufacturing experiences  
2           are going to feed back to the choices you may  
3           make about the way that you're going to  
4           prototype the next generation of the device so  
5           that, in fact, even this kind of an  
6           interconnected diagram of product development  
7           really reflects for devices a more complex  
8           process, which is that of a pipeline.

9           One of the things that's different  
10          about devices than drugs is that the agency has  
11          no authority to grant any type of patent  
12          extension or exclusivity, not even orphan drug  
13          exclusivity.

14          The one mechanism we have,  
15          Humanitarian Device Exemption, is actually much  
16          more like a treatment IND, and it does not  
17          grant any marketing exclusivity.

18          The average life expectancy -- or not  
19          life expectancy -- average time on the market  
20          for many devices is about 15 to 18 months, and  
21          in fact, there's some types of devices that are  
22          replaced by another version about every six  
23          months. If you've ever tried to versions of a

1 PC, two copies of a PC about four months apart,  
2 it's a little disconcerting to have that brand  
3 new PC you bought no longer manufactured, but  
4 the device manufacturing world is very very  
5 much like that.

6 As we thought about this process and  
7 thought about how different that was than the  
8 drug process where if you're lucky with a drug  
9 you get it marketed early in its patent life  
10 and you'll have a prolonged period where you  
11 can do post-marketing studies.

12 It's almost irrelevant in some ways to  
13 talk about post-marketing studies for devices  
14 because the product will be off the market and  
15 replaced by the next generation before you can  
16 really launch those products.

17 And so that as we began looking at  
18 this and saying to ourselves what is it about  
19 devices and device regulation that we need to  
20 make different it was that we need to think  
21 about all the connections and we need to think  
22 of them as a family of products across multiple  
23 generations.

1           Part of this actually came from a  
2 comment about in vitro diagnostics for an  
3 infectious disease screening agent where  
4 someone from the Center for Disease Control  
5 said, you know, you only get over other version  
6 of this product.

7           In Europe, they market -- every time  
8 they update it and make it a little more  
9 accurate, it goes on the market, but your  
10 regulatory cycle is too long and there isn't  
11 time to get them on the market so they actually  
12 give you every other version of that. And that  
13 didn't strike me as something that fit well  
14 with our public health mission of promoting  
15 rapid access to product improvements and  
16 product corrections.

17           Where does scientific work come from?  
18 This is another way of sort of organizing and  
19 sort of saying, what are we trying to get ready  
20 for as we look for an external review of our  
21 science.

22           Some of the work is very product  
23 specific; so, for example, if you take the