

**Notice of Post-Employment Restriction**

To: [Senior Examiner Name, Division/Department]

[Date]

**You have been identified by this Reserve Bank as a “senior examiner” as described by section 10(k) of the Federal Deposit Insurance Act, effective December 17, 2005, for the financial organization listed below.**

Under the statute and the Board’s implementing rule (12 CFR Part 264a), an examiner who served as the “senior examiner” for a depository institution or depository institution holding company for two or more months during the examiner’s final twelve months of employment with a Reserve Bank is prohibited from knowingly accepting compensation as an employee, officer, director, or consultant from that institution or holding company, or from certain related entities.

For covered examiners, the restriction applies for one year after terminating employment with the Reserve Bank. If an examiner violates the one-year restriction, the statute requires the appropriate federal bank regulatory agency to seek an order of removal and industry-wide employment prohibition for up to five years, a civil money penalty of up to \$250,000, or both.

\_\_\_\_\_ Full Corporate Name, City, State \_\_\_\_\_, being a (check one below):

- state member bank
- bank holding company
- foreign bank

Use the following table to determine the applicable prohibition.

<b><u>Senior Examiner Assignment</u></b>	<b><u>Corresponding Prohibition</u></b>
<i>If, during two or more months of your last twelve months of service, you served as the ‘senior examiner’ for a:</i>	<i>Then, for one year after leaving, you may not knowingly accept compensation as an officer, director, employee or consultant from:</i>
<b>State member bank</b>	<ul style="list-style-type: none"> <li>• The state member bank (including any subsidiary of the state member bank) or</li> <li>• Any company (including a bank holding company) that controls the state member bank.</li> </ul>
<b>Bank holding company</b>	<ul style="list-style-type: none"> <li>• The bank holding company or</li> <li>• Any depository institution controlled by the bank holding company (including any subsidiary of the depository institution).</li> </ul>
<b>Foreign bank</b>	<ul style="list-style-type: none"> <li>• The foreign bank;</li> <li>• Any U.S. branch or agency of the foreign bank; or</li> <li>• Any U.S. depository institution controlled by the foreign bank (including any subsidiary of the depository institution).</li> </ul>

Your signature below will acknowledge the receipt of this letter and understanding of your responsibilities under the rule. Please retain a copy for your records.

Name: \_\_\_\_\_ Date: \_\_\_\_\_

***Return completed form to:[designate appropriate Reserve Bank contact and phone]. Questions about this rule should be directed to:[designate appropriate Reserve Bank contact and phone].***