

**Notification of Compliance Status (NOCS) Report**

**THIS IS A SAMPLE NOTIFICATION FORM, WHICH CAN BE USED BY FACILITIES  
AT THEIR DISCRETION TO MEET COMPLIANCE  
WITH 40 CFR 63 Subpart A, §63.9(h)**

Applicable Rule: 40 CFR Part 63, Subpart A — National Emission Standards for Hazardous Air Pollutants for Source Categories, Subpart A — General Provisions. This NOCS is being made in accordance with §63.9(h).

*Note: Notification of Compliance Status (NOCS) reports must be postmarked before the close of business on the 60<sup>th</sup> calendar day following the completion of the relevant compliance demonstration activity specified in the relevant standard, unless a different reporting period is specified in the relevant standard. In the latter case, the letter shall be postmarked before the close of business on the day the report of the relevant testing or monitoring results is required to be delivered or postmarked. Notifications may be combined as long as the due date requirements are met for each notification. [§63.9(h)(2)(ii)]*

**SECTION I  
GENERAL INFORMATION**

- A. If you have been issued a Title V permit, do not complete this form. Submit your NOCS in accordance with your Title V permit. [§63.9(h)(3)]
- B. If you have not been issued a Title V permit, complete the remaining portions of this section and also complete Sections II-IX. [§63.9(h)(2)(i)]
- C. Print or type the following information for each facility for which you are making notification of compliance status:

Operating Permit Number (OPTIONAL)		Facility I.D. Number (OPTIONAL)	
Responsible Official's Name/Title			
Street Address			
City	State	ZIP Code	
Facility Name (if different from Responsible Official's Name)			
Facility Street Address (If different than Responsible Official's Street Address)			
Facility Local Contact Name	Title	Phone (OPTIONAL)	
City	State	ZIP Code	

D. Indicate the relevant standard or other requirement that is the basis for this notification and the source's compliance date: (§63.9(b)(2)(iii))

Basis for this notification (relevant standard or other requirement)		Compliance Date (mm/dd/yy)	
Sample Response.	40 CFR 63.753	Sample Response.	09/01/00

**SECTION II**

**CERTIFICATION** (Note: you may edit the text in this section as deemed appropriate)

Based upon information and belief formed after a reasonable inquiry, I, as a responsible official of the above-mentioned facility, certify the information contained in this report is accurate and true to the best of my knowledge. The above-mentioned facility \_\_\_\_\_ (has/has not) complied with the relevant standard or and other applicable requirements referenced in the relevant standard. [§63.9(h)(2)(i)(G)]

Name of Responsible Official (Print or Type)	Title	Date (mm/dd/yy)
Signature of Responsible Official		

*Note: Responsible official is defined under §63.2 as any of the following: the president, vice-president, secretary, or treasurer of the company that owns the plant; the owner of the plant; the plant engineer or supervisor; a government official if the plant is owned by the Federal, State, city, or county government; or a ranking military officer if the plant is located on a military installation.*

**SECTION III**

Describe the methods you used to determine compliance. [§63.9(h)(2)(i)(A)]

<p>Sample Response.</p> <p>This facility used three spray gun cleaning compliance options as allowed under §63.744(c). These included using enclosed system cleaning, nonatomized cleaning, and disassembled gun cleaning. All of the following methods were used to determine compliance: (1) Inspected spray gun cleaning areas to determine compliance with allowable methods in §63.744(c)(1)-(4) and posted guidance on acceptable gun cleaning practices; (2) Performed monthly inspections on enclosed spray gun cleaners in accordance with §63.751(a), recorded results in accordance with §63.751(b)(5), and repaired detected leaks within 15 days or shut down operation in accordance with §63.744(c)(1)(ii).</p>
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**SECTION IV**

Describe the results of any performance tests, opacity or visible emission observations, continuous monitoring system (CMS) performance evaluations, and/or other monitoring procedures or methods that were conducted. [§63.9(h)(2)(i)(B)]

<p>Sample Response.</p> <p>This facility does not conduct any operations that are subject to performance testing, opacity or visible emissions observations, or continuous monitoring system (CMS) performance evaluations under 40 CFR 63.751. During the reporting period, this facility followed</p>
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monitoring procedures under §63.751(a) – spray gun cleaning using enclosed systems. We obtained the following results for monitoring conducted between 9/1/00 – 2/28/01:							
Source ID	Source Location	Result (Sep 00)	Result (Oct 00)	Result (Nov 00)	Result (Dec 00)	Result (Jan 01)	Result (Feb 01)
CLEAN-1	Bldg 510, PaintShop	9/1 – OK no leaks detected	10/1 – seal broke (repaired 10/10)	11/1 – OK no leaks detected	12/1 – OK no leaks detected	1/1 – OK no leaks detected	2/1 – OK no leaks detected
CLEAN-3	Hangar 2	9/1 – OK no leaks detected	10/1 – valve broke (shut down 10/13)	11/1 – shut down	12/1 – shut down	1/1 – OK (repaired 12/31)	2/1 – OK no leaks detected

**SECTION V**

Describe the methods you will use to determine continuous compliance, including a description of monitoring and reporting requirements and test methods. [§63.9(h)(2)(i)(C)]

<p>Sample Response.</p> <p>This facility will determine continuous compliance with applicable requirements by continuing to use monitoring methods as identified in Section III and Section IV. In addition, the facility plans to do the following: (1) perform periodic unannounced inspections in areas where work practice measures were implemented; (2) submit periodic compliance reports signed by a responsible official in accordance with all applicable requirements in §63.753(b) – spray gun cleaning.</p>
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**SECTION VI**

Describe the type and quantity of hazardous air pollutants (HAP) emitted by the source (or surrogate pollutants if specified in the relevant standard), reported in units and averaging times and in accordance with the test methods specified in the relevant standard. [§63.9(h)(2)(i)(D)]

<p>Sample Response.</p> <p>The following hazardous air pollutants (HAPs) were emitted by affected sources at this facility during the period 9/1/00 – 2/28/01. Please note that this facility has chosen to segregate out it's emissions according to it's affected sources (as defined by the relevant standard) due to ease of reporting and recordkeeping (by that we mean that is how we kept our records). [Owners or operators may choose other groupings of emission points]</p>				
Source ID	Source Location	Source Description	HAPs Used	HAPs Emitted (tons)
CLEAN – 1	Bldg 510	Spray gun cleaning operations	Toluene; xylene; MEK, ethylbenzene	0.068
CLEAN – 3	Hangar 2	Spray gun cleaning operations	Toluene; xylene; MEK, ethylbenzene	0.227

**SECTION VII**

If the relevant standard applies to both major and area sources, present an analysis demonstrating whether the affected source is a major source (using the emissions data generated for this notification. [§63.9(h)(2)(i)(E)]

Sample Response.

As illustrated in Section VI, the emissions during the reporting period were below major source thresholds for affected sources subject to the relevant standard. This facility does not expect these sources to emit HAPs in quantities greater than the major source thresholds. However, this facility is classified as a major source due to its potential plant-wide emissions of trichloroethylene in quantities greater than 10 tons per year.

**SECTION VIII**

Describe the air pollution control equipment (or method) for each emission point, including each control device (or method) for each hazardous air pollutant and the control efficiency (percent) for each control device (or method). [§63.9(h)(2)(i)(F)]

Sample Response.

The following pollution control equipment is used at affected sources within this facility. Additionally, this facility uses other compliance methods that do not involve using pollution control equipment. These include using compliant cleaners and work practice standards.

Source ID	Source Location	Equipment Type	Control Device	Control Efficiency	HAPs Controlled
CLEAN – 1	Bldg 510	Gun Cleaner #SV738	Enclosed system	Meets all requirements of §63.744(c)(1)(i)	Toluene; xylene; MEK, ethylbenzene
CLEAN – 3	Hangar 2	Gun Cleaner #16104	Enclosed system	Meets all requirements of §63.744(c)(1)(i)	Toluene; xylene; MEK, ethylbenzene

**SECTION IX**

A. Did you submit an application for construction or reconstruction under §63.5(d) that contained preliminary or estimated data? [§63.9(h)(5)]

Yes ???? No ???? Not applicable ?? (did not submit an application for construction or reconstruction).

B. If you answered yes, provide actual emission data or other corrected information below.

**END OF FORM. A Responsible Official must sign this form – See Section II.**