



U.S. Environmental Protection Agency

**EPA's Planned Compliance Assistance Activities
for
Fiscal Year 2003:
Highlights of the Final EPA Plan and Inventory**

May 2003



EPA's Planned Compliance Assistance Activities for Fiscal Year 2003: Highlights of the Final EPA Plan and Inventory



The U.S. Environmental Protection Agency (EPA) is committed to helping business, industry and government comply with regulatory requirements and improve environmental performance through compliance assistance (CA).¹ EPA provides a wide range of compliance assistance activities to help users implement new regulations; address problems with existing regulations; or address geographical, national, regional, local or facility-specific environmental issues. Compliance assistance may include written or electronic materials and tools (e.g., overviews of laws and regulations or best management practices); outreach opportunities (e.g., training, workshops, seminars, mailings); new Internet Web pages; and on-site visits.

EPA documents its planned compliance assistance activities in an annual plan and inventory. For Fiscal Year (FY) 2003, in lieu of publishing a hard copy plan and inventory, EPA has prepared summary highlights and supporting tables about CA activities planned. As of March 20, 2003, the FY 2003 plan includes 369 CA activities that EPA intends to undertake this fiscal year, 86 fewer than last fiscal year. This decrease is primarily due to a new system of projecting Regional CA activities for FY 2003. New instructions asked Regions to focus reporting on a subset of their planned CA activities. As a consequence, fewer Regional activities were captured in this year's plan. Also, Headquarters offices reported fewer activities this fiscal year. This decrease probably reflects some degree of under-reporting and also delays in receiving the final FY03 operating budget, rather than an absolute decline in planned CA.

Highlights of EPA's Planned CA Activities for FY 2003

This year's highlights are very similar to the highlights from the FY 2002 plan with two exceptions. Among types of activities planned, "Tools Developed" has increased as a relative percentage of all activities reported. And more of the CA activities reported include an effort to measure the impact or outcome of the CA, such as change in awareness of environmental requirements or the environmental performance of the regulated entity – be it business, industry or government. For further details, see attached Appendix.

- **Types of Activities:** These vary widely in scope and purpose. Two types, Tools Developed (50%) and Workshops/Trainings/Presentations (24%), account for nearly 75% of all planned CA activities.
- **New v. Ongoing:** Many CA activities (e.g., Compliance Assistance Centers and telephone hotlines) continue from year to year. Hence a significant percentage (70%) of all planned CA activities are ongoing from the previous fiscal year. About 30% are new.
- **Organizational Mix:** Depending on their mission, different EPA offices conduct different amounts of compliance assistance. EPA's Regions will implement or conduct about 74% of the total activities planned for FY 2003, while Headquarters offices will conduct 26%.
- **EPA Financial Support for Other CA Providers:** Through grants, contracts and other types of agreements, EPA funds a portion of the CA activities offered by a diverse group of states and other CA providers. Such activities represent 16% of the total CA activities reported by EPA for FY 2003.
- **Support for Agency Priorities:** Slightly more than one half of the CA activities reported for FY 2003 address national (38%) or regional priorities (20%) of EPA.
- **Chemicals and Pollutants Addressed:** EPA plans CA activities to address more than 30 chemical and pollutant categories. Among the specific pollutants most frequently addressed are solvents, oil, and non-hazardous solid waste, together accounting for 18% of planned activities.

- **Sectors Addressed:** EPA plans CA activities to address 35 industry and government sectors. The most frequently addressed of all sectors are local government (49 activities), federal facilities (39 activities), and schools and utilities (33 activities each), together accounting for 25% of all sectors reported.
- **Small Business Focus:** EPA is also addressing the needs of small business through general and sector-specific assistance. A total of sixty-three (63) CA activities address one or more small business sectors (e.g., dry cleaning, auto salvage, automobile-repair/service, etc.) representing 17% of all planned activities. Also, 14% of planned CA activities support previous EPA regulations or regulations being issued in FY 2003, that either have an impact on small entities and/or have an economically significant impact on larger businesses.
- **Measuring the Impact of CA:** Along with improved CA planning, EPA is measuring the impact of its CA activities on the regulated community. About 70% of records indicate that some type of measurement is planned. The most frequently reported type of measurement tool used is the Workshop Survey (25%), followed by On-site Visit (22%). About 20% of records indicate that measurement is planned but do not report the specific approach to be used.
- **Integrated Approaches or Strategies:** EPA is promoting strategic consideration and use of compliance assurance and enforcement tools in environmental problem solving. Related to this, the FY 2003 inventory reports 130 project descriptions (35%) that indicate the planned CA activity is part of an integrated approach, meaning an approach or strategy that combines compliance assistance, compliance monitoring, enforcement and/or compliance incentives to address the environmental problem.

Electronic Access to CA Planning Information

Tables that Support These Highlights: These Highlights are based on a series of tables showing summary statistics about the FY 03 plan and inventory. Tables 1-9 are located in the Appendix to these Highlights; these tables are available only in electronic form on EPA's Compliance Assistance Planning Web Page: <http://www.epa.gov/compliance/assistance/planning/index.html>.

Project or Activity Specific Information: More detailed information (e.g., title, brief description, contact information) about specific activities planned is available in a database searchable by business, industry, government and the general public via the Internet at: **National Environmental Compliance Assistance Clearinghouse**, <http://www.epa.gov/clearinghouse>. To search this information for FY 2003, go to the **Clearinghouse**; select the "Planned and Ongoing Activities" button in the left sidebar, select "US EPA Activities," and select "FY03 CAPD Data." Then search by organization and other criteria (e.g., sector, pollutant, geographic focus, etc.). For additional information about these materials and/or Web access to them, contact: **Ms. Rebecca A. (Becky) Barclay, U. S. EPA, Office of Enforcement and Compliance Assurance, Office of Compliance, 202-564-7063, barclay.rebecca@epa.gov.**

¹ **Compliance assistance**, for purposes of this plan and inventory, includes activities, tools or technical assistance that provides clear and consistent information for: (1) helping the regulated community understand and meet its obligations under environmental regulations; or (2) helping compliance assistance providers to aid the regulated community in complying with environmental regulations. Compliance assistance may also help the regulated community find cost-effective ways to comply with regulations and/or go "beyond compliance" through the use of pollution prevention, environmental management practices, and innovative technologies, thus improving their environmental performance. To be categorized as a compliance assistance project or activity, at least one objective must be related to achieving or advancing regulatory compliance.

APPENDIX

Analysis of EPA's Planned Compliance Assistance Activities in FY 2003

(FY 2003 data reported as of 3/20/03)

The U.S. EPA Office of Compliance (OC) within the Office of Enforcement and Compliance Assurance (OECA) documents EPA's planned compliance assistance activities in an annual plan and inventory to enable EPA Headquarters and Regional Offices to plan and use their compliance assistance (CA) resources more effectively, and to assist regulators (e.g., state, local, tribes), and other compliance assistance providers (e.g., Small Business Assistance Programs) in deciding how to focus their CA resources, avoid duplication, and complement EPA's efforts. The information contained in each annual plan also helps regulated entities (e.g., industry, federal facilities) learn about and take advantage of relevant CA opportunities.

As of March 20, 2003, the FY 2003 plan includes 369 compliance assistance activities that EPA intends to undertake this fiscal year. EPA maintains the plan in the form of records of activities with project level information in a searchable electronic database. With contractor assistance, OC has analyzed key aspects of each of these records as shown in the tables listed below. Each table summarizes a different aspect of the FY 2003 plan, such as what proportion of activities are Regional or Headquarters in origin; whether the activities are new or ongoing; the proportion that address the National or Regional priorities, etc.

- **Table 1:** Distribution of Planned Compliance Assistance Activities by Organization (EPA Headquarters Program Offices or Regional Offices) for FY 2003
- **Table 2:** New vs. Ongoing Compliance Assistance Activities for FY 2003
- **Table 3:** Types of Compliance Assistance Activities Planned for FY 2003
- **Table 4:** Chemical/Pollutant Categories Addressed by FY 2003 Planned Compliance Assistance Activities
- **Table 5:** Specific Sectors Addressed by FY 2003 Planned Compliance Assistance Activities
- **Table 6:** Types of Regulations Addressed by FY 2003 Planned Compliance Assistance Activities
- **Table 6a:** List of FY 2003 Planned Compliance Assistance Activities Addressing Economically Significant or SBREFA Regulations
- **Table 6b:** Final Rules Which May Employ Small Entity Compliance Guides
- **Table 7:** National and Regional Priorities Addressed by FY 2003 Planned Compliance Assistance Activities
- **Table 8:** Activity Measures Addressed by FY 2003 Planned Compliance Assistance Activities
- **Table 9:** Recipients of EPA Financed Compliance Assistance Activities for FY 2003

Table 1: Distribution of Planned Compliance Assistance Activities by Organization

EPA Regions and Headquarters Offices plan 369 compliance assistance activities for FY 2003. Regional offices represent 74% of the activities planned, with Region 6 and 2 representing the largest number of activities (68 and 55 activities, respectively). Headquarters program offices represent 26% of the planned activities, with OECA having the largest number of activities (38) for FY 2003.

Lead Headquarters Program Office	Total Responses	Percentage of Responses (%)	Lead Regional Office	Total Responses	Percentage of Responses (%)
OAR	4	4	Region 01	41	15
OECA	38	40	Region 02	55	20
OEI	10	11	Region 03	25	9
OPEI	10	11	Region 04	12	4
OPPTS	8	9	Region 05	16	6
OSWER	15	16	Region 06	68	25
OW	10	11	Region 07	24	9
Total	95	100	Region 08	17	6
OAR - Office of Air and Radiation OECA - Office of Compliance and Enforcement OEI - Office of Environmental Information OPEI - Office of Policy, Economics, and Innovation OPPTS - Office of Pollution Prevention and Toxics OSWER - Office of Solid Waste and Emergency Response OW - Office of Water			Region 09	11	4
			Region 10	5	2
			Total	274	100

Source: The Compliance Assistance Planning Database (CAPD) as of March 20, 2003

Total Records: 369
 Total Number of Responses: 369 (Response Rate: 100%)
 Total Number of Responses (lead Headquarters): 95 (Response Rate: 26%)
 Total Number of Responses (lead Regions): 274 (Response Rate: 74%)

Table 2: New vs. Ongoing Compliance Assistance Activities for FY 2003

EPA Regions and Headquarters Offices plan compliance assistance activities for FY 2003 that are either new or ongoing from the past year(s). Of the reported activities, 70% were ongoing, while 30% were new activities reported for FY 2003.

Activity Type	Total Responses	Percentage of Responses (%)
New	106	30
Ongoing	248	70
Total	354	100

Source: The Compliance Assistance Planning Database (CAPD) as of March 20, 2003

Total Records: 369
 Total Number of Responses: 354 (Response Rate: 96%)

Table 3: Types of Compliance Assistance Activities Planned for FY 2003

EPA Regions and Headquarters Offices plan a variety of compliance assistance activities for FY 2003. Tools developed are the most frequently reported activity type accounting for 50% of all activities, followed by workshop(s)/trainings and presentations at meetings at 24% and telephone hotlines and websites at 14%.

General Category	Total Responses	Percentage of Responses (%)
Tools Developed	243	50
CD-ROM(s)	11	2
Case Studies	17	3
Checklists	32	7
Fact Sheets	54	11
Guidance Materials	94	19
Newsletters	15	3
Policy Documents	16	3
Video(s)	4	1
Workshops/Trainings and Presentations at Meetings	118	24
Workshop(s)/Training Materials	118	24
Telephone Hotlines and Websites	68	14
Web Sites	61	12
Hotline(s)	7	1
On-Site Assistance	61	12
Environmental Management Systems/Review	20	4
On-site visits	41	8
Total	490	100

Source: *The Compliance Assistance Planning Database (CAPD) as of March 20, 2003*

Total Records: 369
 Total Individual Compliance Assistance Activity Types selected: 490
 Total Number of Responses: 266 (Response Rate: 72%)
 Total Number of Responses including "Other"¹: 299 (Response Rate: 81%)
 Total Number of Multiple Responses²: 127

¹ "Other" refers to a compliance assistance activity not listed in the FY 2003 Compliance Assistance Planning Database that was entered by the user.

² There are a total of 369 records in the Database. A number of records (127) report more than one compliance assistance activity type, resulting in some double-counting.

Table 4: Chemical/Pollutant Categories Addressed by FY 2003 Planned Compliance Assistance Activities

EPA Regions and Headquarters Offices plan compliance assistance activities that address more than 30 chemical and pollutant categories. Activities to address “general” categories of hazardous waste (97 activities), water pollutants (96 activities), and air pollutants (77 activities) are the most frequently reported, and together account for 23% of all chemicals / pollutants reported. Among specific pollutants most frequently addressed are solvents, oil and non-hazardous solid waste, together accounting for 18%.

Chemical/Pollutant	Total Responses	Chemical/Pollutant	Total Responses
Air Pollutants:		Toxic Substances:	
- CFCs and other ozone-depletors	13	- asbestos	21
- criteria air pollutants (VOCs, NOx, PM, SO2, CO, lead)	38	- dioxin and dioxin-like compounds	6
- general	77	- general	60
- greenhouse gases	22	- heavy metals	33
- HAPs	38	- lead and lead compounds	38
Hazardous Waste:		- mercury	36
- characteristic	34	- PB Ts as a group (persistent, bioaccumulative, & toxic)	16
- general	97	- PCBs (polychlorinated biphenyls)	10
- listed	34	- TRI-listed chemicals	34
- pathogens	10	Other Specific Chemical/Pollutants:	
Water Pollutants:		Laboratory Chemicals	49
- conventional pollutants (BOD, pH, TSS, oil & grease)	45	Oil	72
- general	96	Pesticides	52
- nutrients	17	Radioactive Materials/Waste	25
- other toxics	16	Solid Waste (non-hazardous)	71
- pathogens	27	Solvents	76
- priority pollutants	28	Total	1,191

Source: The Compliance Assistance Planning Database (CAPD) as of March 20, 2003

Total Records: 369

Total Individual Chemicals/Pollutants selected: 1,191¹

Total Number of Responses: 299² (Response Rate: 81%)

Total Number of Responses including “Other³”: 318 (Response Rate: 86%)

Total Number of Multiple Responses⁴: 173

Key:

BOD - Biochemical Oxygen Demand

HAPs - Hazardous Air Pollutants

PCBs - Polychlorinated Biphenyls

TSS - Total Suspended Solids

CFCs - Chlorofluorocarbons

NOx - Nitrogen Oxides

PM - Particulate Matter

TRI - Toxic Release Inventory

CO - Carbon Monoxide

PBTs - Persistent, Bioaccumulative Toxics

SO₂ - Sulfur Dioxide

VOCs - Volatile Organic Compounds

¹ Ten (10) records indicated “Not Applicable” in the chemical/pollutant field; “N/As” have neither been included in the total number of individual chemicals/pollutants selected, nor have they been listed in the above table.

² The total number of responses and the total number of multiple responses include those chemicals/pollutants that addressed “N/As” (10 responses).

³ “Other” refers to a chemical/pollutant not listed in the FY 2003 Compliance Assistance Planning Database that was entered by the user.

⁴ There are a total of 369 records in the Database. A number of records (173) addressed more than one chemical/pollutant, resulting in some double-counting.

Table 5: Specific Sectors Addressed by FY 2003 Planned Compliance Assistance Activities

Compliance assistance activities planned by EPA Regions and Headquarters Offices address more than 34 distinct sectors. A hundred and seventy-one (171) records address more than one sector. The most frequently addressed sectors are local government (49 activities), federal facilities (39 activities), and schools and utilities (33 activities each), together accounting for 25% of all sectors reported. Additionally, among *industry* sectors, small business, construction, and automotive repair/service are the most frequently addressed and collectively account for approximately 12% of all sectors reported.

Sector	Total Responses	Sector	Total Responses
Agriculture - animal feeding operations	11	Iron & Steel	5
Agriculture - general	18	Laboratories	9
Auto Salvage	8	Leather Tanning and Finishing	4
Automobile - manufacturing	6	Local Government	49
Automobile - repair/service	24	Metal Services/Finishers	17
Chemical - distributors	4	Metals - Other than Al or Cu	1
Chemical - general	19	Metals - Secondary Al	2
Chemical - inorganics	10	Metals - Secondary Copper	0
Chemical - organics	17	Mineral/Mining/Processing	6
Computers & Electronics	13	Petroleum	22
Construction	26	Pharmaceuticals	8
Dry Cleaning	7	Printing	11
Federal Facilities	39	Pulp/Paper/Forestry	7
Food Processing	10	Schools	33
Garment and Textile	3	Small Business	28
General Public/Citizens	13	State Government	27
Hazardous Waste Management	12	Transportation	19
Health Care	21	Tribal	29
All Sectors	54	Utilities	33
Total			625

Source: The Compliance Assistance Planning Database (CAPD) as of March 20, 2003

Total Records: 369
 Total Individual Sectors selected: 625¹ (including “All Sectors”)
 Total Number of Responses: 320² (Response Rate: 87%)
 Total Number of Responses including “Other”³: 346 (Response Rate: 94%)
 Total Number of Multiple Responses⁴: 171

¹ Fourteen (14) records indicated “N/A - Not Industry Specific” in the sector field; “N/As” have neither been included in the total number of individual sectors selected, nor have they been listed in the above table.

² The total number of responses and the total number of multiple responses include those sectors that addressed “N/As” (14 responses).

³ “Other” refers to a sector not listed in the FY 2003 Compliance Assistance Planning Database that was entered by the user.

⁴ There are a total of 369 records in the Database. A number of records (171) address more than one sector, resulting in some double-counting.

Table 6: Types of Regulations Addressed by FY 2003 Planned Compliance Assistance Activities

A total of 53 (14%) of compliance assistance activities planned by EPA Regions in the FY 2003 Compliance Assistance Planning Database support previous or upcoming regulations that impact small entities and/or have an economically significant impact on the regulated community.

Regulations	Total Responses	Percentage of Responses (%)
Economically Significant	21	40
SBREFA	32	60
Total	53	100

Source: The Compliance Assistance Planning Database (CAPD) as of March 20, 2003

Total Records: 369
 Total Individual Regulations selected: 53
 Total Number of Responses: 35 (Response Rate: 9%)
 Total Number of Responses including "Other"¹: 69 (Response Rate: 19%)
 Total Number of Multiple Responses²: 20

Key:
 SBREFA - Small Business Regulatory Enforcement Act

¹ Other" refers to a regulation not listed in the FY 2003 Compliance Assistance Planning Database that was entered by the user.

² There are a total of 369 records in the Database. Twenty (20) of the records addressed more than one type of regulation, resulting in some double-counting.

**Table 6a: List of FY 2003 Planned Compliance Assistance Activities
addressing Economically Significant or SBREFA Regulations**

Project ID	Contact Office / Region	Title	Economically Significant	SBREFA
03-0351	OAR	Reinforced Plastics MACT	-	✓
03-0354	OAR	Surface Coatings MACTs	-	✓
03-0341	OECA	Compliance Assistance Center. Local Government Environmental Assistance Network (LGEAN)	✓	✓
03-0358	OECA	Construction Industry Compliance Assistance Center - www.CICAcenter.org	✓	✓
03-0340	OECA	Environmental Management System Pilot Program for Local Governments - Third Flight	✓	✓
03-0339	OECA	Public Entity EMS Resource Center (PEER Center)	✓	✓
03-0342	OECA	Small Local Governments Compliance Assistance Policy	✓	✓
03-0258	Region 01	Marinas - Facilitate Regional Workgroup	-	✓
03-0241	Region 01	Small Drinking Water Systems - Distribute New Compliance Assistance Tools	✓	-
03-0243	Region 01	Small Drinking Water Systems - Treatment Tech-logy Exchange	✓	-
03-0242	Region 01	Small Drinking Water Systems -Sector-specific Drinking Water Outreach Campaigns	✓	-
03-0216	Region 02	Creating, Maintaining, and Participating in Small Business Comprehensive Assistance Networks	-	✓
03-0033	Region 02	EPA Region 2 on-line GIS Cumulative Impacts Assessment Tool	✓	✓
03-0003	Region 03	Compliance assistance for auto service/body shops in EJ neighborhood of D.C.	✓	✓
03-0146	Region 04	Pretreatment Training Course	-	✓
03-0080	Region 04	Secondary Aluminum MACT on-Site visits	✓	✓
03-0048	Region 04	Secondary Aluminum MACT Workshop/Training	✓	✓
03-0204	Region 05	Pretreatment Presentations at Workshops & Seminars	✓	✓
03-0170	Region 05	Public Education & Outreach to Tribes on the §§1018 Real Estate-tification and Disclosure rule & §§406(b) Re-vation & Remodeling Information rule.	-	✓
03-0212	Region 05	Regional/Local Implementation of Metal Finishing Strategic Goals Program	-	✓
03-0111	Region 06	Assistance on Clean Air Act (CAA) Regulations	✓	✓
03-0151	Region 06	Coordinate Clean Air Act outreach activities and participate in training with ADEQ	✓	✓
03-0152	Region 06	Coordinate Clean Air Act outreach activities and participate in training with LDEQ	✓	✓
03-0153	Region 06	Coordinate Clean Air Act outreach activities and participate in training with NMED	✓	✓
03-0154	Region 06	Coordinate Clean Air Act outreach activities and participate in training with ODEQ	✓	✓
03-0119	Region 06	Coordinate Clean Air Act outreach activities and participate in training with TCEQ	✓	✓
03-0139	Region 06	Tyler Area Builders Association	-	✓
03-0090	Region 07	Compliance Assistance Visits for Air, RCRA, CWA, and EPCRA	✓	✓
03-0084	Region 07	Microbial Rules	-	✓
03-0181	Region 08	Pollution Prevention - Regional P2RX center	✓	✓
03-0190	Region 08	The Black Hills/SDOT UIC Initiative	-	✓
03-0191	Region 08	The Colorado UIC Class V Well Inventory	-	✓
03-0306	Region 10	Compliance Assistance Visits, Compliance Assistance Outreach	-	✓
03-0223	Region 10	Concentrated Animal Feeding Operations (CAFO): New Rules & Regulations	-	✓
03-0221	Region 10	Education & Outreach on NPDES Storm Water Permit Regulations	-	✓

Source: The Compliance Assistance Planning Database (CAPD) as of March 20, 2003

Total Records: 369

Total Number of Responses: 35 (Response Rate: 9%)

Table 6b: Final Rules Which May Employ Small Entity Compliance Guides

The table below includes information on federal regulations for which EPA plans to develop compliance guides or self-audit checklists in fiscal year 2003, according to information entered into the Compliance Assistance Planning Database (CAPD). Compliance guides are being developed because the regulations have a significant economic impact on a substantial number of small entities as defined under the Small Business Regulatory Enforcement Fairness Act (SBREFA).

The rules listed in this table are either expected to be final in 2003 or are already final rules and will have compliance guides completed in 2003. This table does not, therefore, list the potential universe of SBREFA regulations for which future compliance guides may be developed.

The regulations on this table are current as of March 18, 2003. The list of regulations is not final and is continually changing based on alterations to the regulation's projected publication date and further economic analysis.

Office	Title	Projected Date of Rule	Projected Date of Guide	CAPD ID (HQ Only)
OAR	SAN-4355: Heavy-Duty Engine Emission Standards & Diesel Fuel Sulfur Control Requirements	01/18/2001	12/00/2003	-
	SAN-4211: Tier II Light-Duty Vehicle and Light-Duty Truck Emission Standards and Gasoline Sulfur Standards	02/10/2000	04/00/2003	-
	SAN-4154: Emissions from Nonroad Spark-Ignition Engines and Standards for Recreational Spark-Ignition Engines	11/08/2002	03/00/2003	-
	SAN-3326: NESHAP: Reinforced Plastic Composites Production	05/00/2003	08/00/2003	03-0351
OPPTS	SAN-3244: Lead-Based Paint Activities Rules; Training, Accreditation, and Certification Rule and Model State Plan Rule	08/29/1996	05/00/2003	-
OW	SAN-4153: National Pollutant Discharge Elimination System Permit Regulation and Effluent Guidelines and Standards for Concentrated Animal Feeding Operations (CAFOs)	01/02/2003	05/00/2003	-
	SAN-2806: Effluent Guidelines and Standards for the Metal Products and Machinery Category, Phases 1 and 2	04/00/2003	07/00/2003	-
	SAN-2807: Arsenic and Clarifications to Compliance and New Source Contaminants Monitoring Rule	01/22/2001	02/05/2003	-

Source Data: The Compliance Assistance Planning Database (CAPD) as of March 20, 2003

**Table 7: National and Regional Priorities Addressed by
FY 2003 Planned Compliance Assistance Activities**

Approximately thirty-eight percent (38%) of the total 369 records in the FY 2003 Compliance Assistance Planning Database address national priorities, while 20% of the total address regional priorities. Sixty-three (63) records addressed National OECA MOA Core, accounting for 45% of the total national priorities (140) and 17% of the total responses to this question.

National and Regional Priority	Total Responses	Percentages of Responses (%)
National Priority	140	100
National OECA MOA Priority	40	28.5
National OECA MOA Core	63	45
Other Program (OAR, OW, OPPTS, OSWER) MOA Priority	25	18
Other Cross-Programmatic Priority (e.g., children's health, EJ, etc.)	12	8.5
Regional Priority	74	100
Regional MOA Priority	74	100

Source: *The Compliance Assistance Planning Database (CAPD) as of March 20, 2003*

Total Records: 369

Total Number of Responses: 214 (Response Rate: 58%)

Key:

EJ - Environmental Justice

OECA - Office of Enforcement and Compliance Assurance

OSWER - Office of Solid Waste and Emergency Response

OAR - Office of Air and Radiation

OPPTS - Office of Prevention, Pesticides and Toxic Substances

OW - Office of Water

Table 8: Activity Measures Addressed by FY 2003 Planned Compliance Assistance Activities

The most frequently reported measurement tool is workshop survey (65) accounting for approximately 25% of all activity measures selected. Workshop survey, on-site visit (59) and planned (but not specified) measurements (56), cumulatively account for 180 (68%) of the all activity measures selected.

Measurement Type	Total Responses	Percentage of Responses (%)
E-mail Survey	14	5
Mail Survey	16	6
On-site Revisits	11	4
On-site Visit	59	22
Phone Survey	32	12
Planned (Specifics Unknown)	56	21
Pre/post Test	12	5
Workshop Survey	65	25
Total	265	100

Source: *The Compliance Assistance Planning Database (CAPD) as of March 20, 2003*

Total Records: 369

Total Individual Activity Measures selected: 265¹

Total Number of Responses: 280² (Response Rate: 76%)

Total Number of Multiple Responses³: 62

¹ Ninety-nine (99) records indicated “None Planned” in the measurement type field; these responses have neither been included in the total number of individual activity measures selected, nor have they been listed in the above table.

² The total number of responses and the total number of multiple responses include those measurement types that addressed “None Planned” (99 responses).

³ There are a total of 369 records in the Database. A number of records (62) addressed more than one measurement type, resulting in some double-counting.

Table 9: Recipients of EPA Financed Compliance Assistance Activities for FY 2003

EPA Regions and Headquarters Offices plan to finance a variety of compliance assistance activities for FY 2003. The most frequently addressed recipients are state/state programs accounting for 40% of all recipients, followed by nonprofit organizations at 26% and academic/universities at 10%.

Recipients of EPA Financed Activities	Total Responses	Percentage of Responses (%)
Academic/University	6	10
Environmental justice or community groups	1	2
Federal department or agency	2	3
Federally-recognized Indian Tribe	2	3
Industry Trade Association	4	6
Local Government	1	2
Nonprofit Organization	16	26
State/State Program	25	40
Tribal Association or Organization	5	8
Total	62	100

Source: *The Compliance Assistance Planning Database (CAPD) as of March 20, 2003*

Total Records: 369

Total Number of Responses: 62 (Response Rate: 17%)

Total Number of Responses including "Other"¹: 66 (Response Rate: 18%)

¹ "Other" refers to a recipient not listed in the FY 2003 Compliance Assistance Planning Database that was entered by the user.