# PRODUCERS' QUESTIONNAIRE BALL BEARINGS FROM CHINA

Return completed questionnaire to:

#### UNITED STATES INTERNATIONAL TRADE COMMISSION

Office of Investigations, Room 615 500 E Street, SW, Washington, DC 20436

So as to be received by the Commission by no later than January 13, 2003

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping investigation concerning Ball Bearings from China (inv. No. 731-TA-989 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

			Zip code
	dress		
Has your fi	rm produced ball bearings (as defined in t	the instruction booklet) at any tin	me since January 1, 2000?
□□NO	(Sign the certification below and prompt	tly return only this page of the q	uestionnaire to the Commission)
YES	(Read the instruction booklet carefully, or return the entire questionnaire to the Cor	complete all parts of the question mmission)	nnaire, sign the certification, and
T certify that the in		RTIFICATION this questionnaire is complete a	nd correct to the best of my knowledge a
	CEI formation herein supplied in response to t and that the information submitted is sul	this questionnaire is complete a	
belief and underst By signing this cer provided in this qu	formation herein supplied in response to t	this questionnaire is complete a bject to audit and verification b nmission, and its employees and tion in any other import-injury in	y the Commission. contract personnel, to use the informativestigations conducted by the Commissi
belief and underst By signing this cer orovided in this que on the same or sin I acknowledge the Commission, its e maintaining the re investigations rela	formation herein supplied in response to t and that the information submitted is sul tification I also grant consent for the Com estionnaire and throughout this investigat	this questionnaire is complete a bject to audit and verification b mission, and its employees and tion in any other import-injury in at to such use, please note the co nnaire response and througho are acting in the capacity of Co ceedings for which this informat	y the Commission.  contract personnel, to use the information of the commission of t
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#### PART I.-GENERAL QUESTIONS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

	below the actua questionnaire and			and the cost to	your firm of preparing the
ho	ours		dollars		
instruction bo		ing guidelin			onnaire (see page 3 of the ded, please specify the
Do you support	ort or oppose the	· —	•		
proprietary. and an antidu Act of 1930, possible distr business prop	However, if the imping duty orde will provide a libution of any a prietary treatment	Commission cer is issued, st of firms sontidumping at of your res	n's final determine the Commission upporting the peduties that may be sponse to this qu	nation in the in I, pursuant to se tition to the Cu be collected. It estion in order	e treated as business vestigation is affirmative ection 754 of the Tariff astoms Service for f you wish to waive to make your position at list, indicate "yes"
Yes	No (that	is, I do not v	wish my position	on the petition	n to be made public)
Is your firm o	owned, in whole	or in part, b	by any other firm	1?	
No	YesLis	t the followi	ing information.		F 4 4 6
Firm name		Address			Extent of ownership

## PART I.--GENERAL QUESTIONS--Continued

1-5.	importing ball	bearings or par	ted firms, either domestic or tes thereof from China into the parts thereof from China to t	he United State	es or which are engaged
	□No	YesList	the following information.		
	Firm name		<u>Address</u>		<u>Affiliation</u>
I-6.		n have any relat ball bearings or	red firms, either domestic or parts thereof?	foreign, which	are engaged in the
	No	YesList	the following information.		
	<u>Firm name</u>		Address		<u>Affiliation</u>
PART	Γ II <u>TRADE A</u>	ND RELATEI	D INFORMATION		
			questionnaire can be obtain pply all data requested on		
II-1.	Who should b	e contacted rega	arding the requested trade ar	nd related infor	mation?
	Company con	tact:Name and	d title		
		Phone No	<u>.</u> D.	E-mail address	
II-2.	consolidations curtailment of of your operat	s, closures, or proposition becomes or organizations or organization	y plant openings, relocation rolonged shutdowns because ause of shortages of materia ation relating to the production of production of specific modern	e of strikes or eals; or any other ion of ball bear	quipment failure; r change in the character ings or parts thereof,
	□No	YesSup	ply details as to the time, na	ture, and signif	ficance of such changes.

11-3.	Does your firm produce other products on the same equipment and machinery used in the production of ball bearings or parts thereof?
	No YesList the following information.
	Product Basis for allocation of capacity data
II-4.	Please describe the constraint(s) that set the limit(s) on your production capabilities.
II-5.	Does your firm produce other products using the same production and related workers employed to produce ball bearings or parts thereof?  No YesList the following information.
	Product Basis for allocation of employment data
II-6.	Since January 1, 2000, has your firm been involved in a toll agreement (see definition in the instruction booklet) regarding the production of ball bearings or parts thereof?  No YesName firm:
II-7.	Does your firm produce ball bearings or parts thereof in a foreign trade zone (FTZ)?  No YesIdentify FTZ(s):
II-8a.	Since January 1, 2000, has your firm imported ball bearings or parts thereof?  No Yes <u>COMPLETE AND RETURN THE ENCLOSED IMPORTERS'</u> <u>QUESTIONNAIRE</u>
II-8b.	Since January 1, 2000, has your firm imported ball bearings or parts thereof from an affiliated foreign producer?  YesPlease explain in detail (on a separate piece of paper) the reasons for your imports of ball bearings or parts thereof from the affiliated foreign producer including any differences (e.g., types, sizes, degree of precision or finishing of the ball bearings or parts thereof shipped to the United States by the affiliated foreign producer, and those which your company produces in the United States.

II-9. Report your firm's production capacity, production, shipments, inventories, and employment related to the production of **COMPLETE** ball bearings in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

( <i>Quantity</i> in 1,000 bearings, <i>value</i> i	in \$1 000\		
(Qualitity III 1,000 beatings, value i		Calendar years	
Item	2000	2001	2002
AVED A CE DDODUCTION CADACITY (quantity)	2000	2001	2002
AVERAGE PRODUCTION CAPACITY (quantity)		<del> </del>	<u> </u>
BEGINNING-OF-PERIOD INVENTORIES (quantity)		1	
PRODUCTION (quantity)			
U.S. SHIPMENTS:			
Commercial shipments:	1	1	1
Quantity of commercial shipments		1	
Value of commercial shipments			
Internal consumption:			
Quantity of internal consumption			
Value <sup>1</sup> of internal consumption			
Transfers to related firms:			
Quantity of transfers to related firms			
Value <sup>1</sup> of transfers to related firms			
EXPORT SHIPMENTS: <sup>2</sup>			
Quantity of export shipments			
Value of export shipments			
END-OF-PERIOD INVENTORIES <sup>3</sup> (quantity)			
U.S. SHIPMENTS TO DISTRIBUTORS (quantity)			
U.S. SHIPMENTS TO END USERS (quantity)			
AVERAGE NUMBER OF PRWs			
HOURS WORKED BY PRWs (1,000 hours)			
WAGES PAID TO PRWs (value)			
<sup>1</sup> Internal consumption and transfers to related firms must be valued at fair m basis for valuing these transactions, please specify that basis (e.g., cost, cost plu 2000, 2001, and 2002 below:	narket value. In the last in t	he event that you u de value data usin	se a different g that basis for
<sup>2</sup> Identify your principal export markets:			
<sup>3</sup> Reconciliation of dataPlease note that the <b>quantities</b> reported above sho inventories, plus production, less total shipments, equals end-of-period inventories  Yes NoPlease explain:	es. Do the data re	eported reconcile?	-of-period

II-10. Report your firm's production capacity, production, shipments, inventories, and employment related to the production of <u>ALL ball bearing BALLS</u> (whether or not finished and whether or not heat-treated) in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

(Overtify in 4 000 helle vertice in	£4.000\		
( <i>Quantity</i> in 1,000 balls, <i>value</i> in	\$1,000) 		
Item		Calendar years	ī
	2000	2001	2002
AVERAGE PRODUCTION CAPACITY (quantity)			
BEGINNING-OF-PERIOD INVENTORIES (quantity)			
PRODUCTION (quantity)			
U.S. SHIPMENTS:			
Commercial shipments:			
Quantity of commercial shipments			
Value of commercial shipments			
Internal consumption:			
Quantity of internal consumption			
Value <sup>1</sup> of internal consumption			
Transfers to related firms:			
Quantity of transfers to related firms			
Value <sup>1</sup> of transfers to related firms			
EXPORT SHIPMENTS: <sup>2</sup>			
Quantity of export shipments			
Value of export shipments			
END-OF-PERIOD INVENTORIES <sup>3</sup> (quantity)			
U.S. SHIPMENTS TO DISTRIBUTORS (quantity)			
U.S. SHIPMENTS TO END USERS (quantity)			
AVERAGE NUMBER OF PRWs			
HOURS WORKED BY PRWs (1,000 hours)			
WAGES PAID TO PRWs (value)			
<sup>1</sup> Internal consumption and transfers to related firms must be valued at fair m basis for valuing these transactions, please specify that basis (e.g., cost, cost plu 2000, 2001, and 2002 below:	narket value. In the s, etc.) and provide	ne event that you us de value data using	se a different that basis for
<sup>2</sup> Identify your principal export markets:			
<sup>3</sup> Reconciliation of dataPlease note that the <b>quantities</b> reported above sho inventories, plus production, less total shipments, equals end-of-period inventoried Yes NoPlease explain:	es. Do the data re	eported reconcile?	of-period

II-11. Report your firm's production capacity, production, shipments, inventories, and employment related to the production of <u>ALL ball bearing PARTS other than balls</u> (whether or not finished and whether or not heat-treated)<sup>1</sup> in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

( <i>Quantity</i> in 1,000 units, <i>value</i> in \$1,000)				
M		Calendar years		
ltem	2000	2001	2002	
AVERAGE PRODUCTION CAPACITY (quantity)				
BEGINNING-OF-PERIOD INVENTORIES (quantity)				
PRODUCTION (quantity)				
U.S. SHIPMENTS:				
Commercial shipments:				
Quantity of commercial shipments				
Value of commercial shipments				
Internal consumption:				
Quantity of internal consumption				
Value <sup>2</sup> of internal consumption				
Transfers to related firms:				
Quantity of transfers to related firms				
Value <sup>2</sup> of transfers to related firms				
EXPORT SHIPMENTS:3				
Quantity of export shipments				
Value of export shipments				
END-OF-PERIOD INVENTORIES <sup>4</sup> (quantity)				
U.S. SHIPMENTS TO DISTRIBUTORS (quantity)				
U.S. SHIPMENTS TO END USERS (quantity)				
AVERAGE NUMBER OF PRWs				
HOURS WORKED BY PRWs (1,000 hours)				
WAGES PAID TO PRWs (value)				
<sup>1</sup> Describe the ball bearing parts that you manufacture:		•		
<sup>2</sup> Internal consumption and transfers to related firms must be valued at fair market value. In the event that you use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for 2000, 2001, and 2002 below:				
<sup>3</sup> Identify your principal export markets:				
<sup>4</sup> Reconciliation of dataPlease note that the <b>quantities</b> reported above should reconcile as follows: beginning-of-period nventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?  Yes NoPlease explain:				

II-12.	If you reported transfers to related firms in questions II-9, II-10, or II-11, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced by market or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.						
II-13.	Other than direct imports, has your firm otherwise pure (See definitions in the instruction booklet.)	chased <u>CO</u>	MPLETE ball	bearings since Ja	anuary 1, 2000?		
	No YesReport such purchases below	for the spe	ecified periods.	1			
	( <i>Quantity</i> in 1,000 beari	ngs, <i>valu</i> e	in \$1,000)				
	ltem			Calendar years	<u> </u>		
	item		2000	2001	2002		
PURC	CHASES FROM U.S. IMPORTERS <sup>2</sup> OF PRODUCT FROM-	-					
С	CHINA:						
	Quantity						
	Value						
Α	ALL OTHER COUNTRIES:						
	Quantity						
	Value						
PURC	CHASES FROM DOMESTIC PRODUCERS:2						
Q	Quantity						
V	/alue						
PURC	CHASES FROM OTHER SOURCES:2				_		
Q	Quantity						
V	/alue						
1	Please indicate your reasons for purchasing this product. If	your reaso	ns differ by sou	rce, please elabor	rate.		
	Please list the name of the firm(s) from which you purchase fy the source for each listed supplier.	d this produ	ct. If your supp	liers differ by sou	rce, please		

(Quantity in 1.000 k	palls, <i>value</i> in \$1,000)		
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Calendar years	
ltem	2000	2001	2002
PURCHASES FROM U.S. IMPORTERS <sup>2</sup> OF PRODUCT FRO	M		
CHINA:			
Quantity			
Value			
ALL OTHER COUNTRIES:	_		
Quantity			
Value			
PURCHASES FROM DOMESTIC PRODUCERS:2			
Quantity			
Value			
PURCHASES FROM OTHER SOURCES:2			
Quantity			
Value			
<sup>1</sup> Please indicate your reasons for purchasing this product.	. If your reasons differ by sou	rce, please elabora	te.
<sup>2</sup> Please list the name of the firm(s) from which you purcha	seed this product. If your sun	oliers differ by source	e nlease
identify the source for each listed supplier.	ised this product. If your supp	oners unter by source	e, piease

II-15.	Other than direct imports, has your firm otherwise purchase that require heat treatment since January 1, 2000?	ed <u>UNFINISHED</u> ball	bearings BALL	S from China
	No YesReport such purchases below for	the specified periods. <sup>1</sup>		
	(Quantity in 1,000 balls, v	<i>alu</i> e in \$1,000)		
	Hom		Calendar years	
	ltem	2000	2001	2002
PURC	HASES FROM U.S. IMPORTERS <sup>2</sup> OF PRODUCT FROM			
С	HINA:	_		_
	Quantity			
	Value			
firm.	Please list the name of the firm(s) from which you purchased thi f your suppliers differ by source, please identify the source for e	s product and state whe each listed supplier.	ther they are affil	iated with your
ı <del></del>				
i <u></u>				

II-16.	Other than direct imports, has your firm otherwise purchased <u>ball bearing <b>PARTS other than BALLS</b></u> (whether or not finished and whether or not heat-treated) since January 1, 2000? (See definitions in the instruction booklet.)				
	No YesReport such purchases be	low for the sp	ecified periods.2	2	
	(Quantity in 1,000	units, <i>valu</i> e i	n \$1,000)		
				Calendar years	
	Item		2000	2001	2002
PURC	CHASES FROM U.S. IMPORTERS <sup>3</sup> OF PRODUCT F	ROM		_	
	CHINA:				
	Quantity				
	Value				
	ALL OTHER COUNTRIES:				
	Quantity				
	Value				
PURC	CHASES FROM DOMESTIC PRODUCERS:3				
	Quantity				
	Value				
PURC	CHASES FROM OTHER SOURCES:3				
	Quantity				
	Value				
	<sup>1</sup> Describe the ball bearing parts that you purchase:				
,					
	<sup>2</sup> Please indicate your reasons for purchasing this produc	t. If your reaso	ns differ by sourc	e, please elaborate	
the so	<sup>3</sup> Please list the name of the firm(s) from which you purch urce for each listed supplier.	ased this produ	ict. If your supplie	ers differ by source,	please identify

II-17.	Other than direct imports, has your firm otherwise purchase <b>BALLS from China that require heat-treatment</b> <sup>1</sup> since J	d <u>UNFINISHED</u> bal anuary 1, 2000?	1 bearing PARTS	other than		
	No YesReport such purchases below for t	he specified periods.	2			
	(Quantity in 1,000 units, va	alue in \$1,000)				
	Calendar years					
	item	2000	2001	2002		
PURC	HASES FROM U.S. IMPORTERS <sup>3</sup> OF PRODUCT FROM					
	CHINA:					
	Quantity					
	Value					
	<sup>1</sup> Describe the ball bearing parts that you purchase:					
_						
	<sup>2</sup> Please indicate your reasons for purchasing this product. If your	reasons differ by sourc	e, please elaborate			
If your	<sup>3</sup> Please list the name of the firm(s) from which you purchased this suppliers differ by source, please identify the source for each listed	product and state whet supplier.	her they are affiliat	ed with your firm.		

II-18. Report your firm's U.S. commercial shipments of ball bearings, balls, and other ball bearing parts during 2000-2002, as described below.

(Quantity	in 1,000 units)		
Item	2000	2001	2002
J.S. commercial shipments of:	•		
Ball bearings OD 30 mm and under:			
Not ABEC rated (including unground)			
ABEC 1			
ABEC 3			
ABEC 5 and above			
Total			
OD over 30mm through 52 mm:			
Not ABEC rated (including unground)			
ABEC 1			
ABEC 3			
ABEC 5 and above			
Total			
OD over 52 mm:			
Not ABEC rated (including unground)			
ABEC 1			
ABEC 3			
ABEC 5 and above			
Total			
Balls			
Ground			
Unground			
Ball bearing parts			

II-19. Report your firm's internal consumption/company transfers of ball bearings, balls, and other ball bearing parts during 2000-2002, as described below.

(Quantity in 1,000 units)			
Item	2000	2001	2002
ternal consumption/company transfers:			
Ball bearings OD 30 mm and under:			
Not ABEC rated (including unground)			
ABEC 1			
ABEC 3			
ABEC 5 and above			
Total			
OD over 30mm through 52 mm:			
Not ABEC rated (including unground)			
ABEC 1			
ABEC 3			
ABEC 5 and above			
Total			
OD over 52 mm:			
Not ABEC rated (including unground)			
ABEC 1			
ABEC 3			
ABEC 5 and above			
Total			
Balls			
Ground			
Unground			
Ball bearing parts			

II-20.	What percentage of your U.S. shipments of complete ball bearings in 2002 were of the following types
	Angular contact
	Thrust
	Linear
	Radial
	Integral shaft
	Other

II-21.	What percentage of	your U.S. shipment	s of complete ball be	earings in 2002 had an EMO	2 rating?	%
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II-22. Provide the value of your U.S. shipments in 2002 of complete ball bearings to end users in the following sectors:

SIC Code	Description	Value of Shipments (1,000 dollars)
3714	Motor Vehicle Parts and Accessories	
3751	Motorcycles, Bicycles, and Parts	
3531	Construction Machinery and Equipment	
3523	Farm Machinery and Equipment	
3524	Lawn and Garden Tractors and Equipment (includes lawn mowers and snow blowers)	
354, 355	Other Industrial Machinery (includes metalworking machinery, textile machinery, woodworking machinery, and printing trades machinery)	
372	Aircraft and Parts	
381, 382 3841, 3843	Measuring, Analyzing, and Controlling Instruments; Surgical, Medical, and Dental Instruments	
3572	Computer Storage Devices (includes disk drives)	
363	Household Appliances (includes refrigerators, laundry equipment, vacuum cleaners, and dishwashing machines)	
3944	Games, Toys, and Children's Vehicles (includes scooters, tricycles, and wagons)	
3949	Sporting and Athletic Goods, Not Elsewhere Classified (includes skates and skateboards)	
3842	Orthopedic, Prosthetic, and Surgical Appliances and Supplies (includes wheel chairs)	
	OTHER	

II-23.	What percentage of your U.S. shipments of complete ball bearings were to the defense sector in 2002?
	<u>%</u>

II-24.	In 2002, did your firm interna	lly transfer (captively consume) any portion of its production of
	<b>COMPLETE</b> ball bearings, b	all bearing BALLS, and/or ball bearing PARTS other than balls to produce
	downstream product(s) (other	than to produce complete ball bearings)?
	NoSkip to Part III.	YesComplete questions II-25 through II-28.

Approximately what portion ( <i>in percent</i> ) of the volume bearings, ball bearing <b>BALLS</b> , and/or ball bearing <b>PA</b> internally for the production of downstream product(s)	ARTS other than balls in 2002 was transferred
Please identify the downstream products that your firm bearing <b>BALLS</b> , and/or ball bearing <b>PARTS</b> other that group and percent to each.	
Were all of the <b>COMPLETE</b> ball bearings, ball bearing balls that your company transferred for internal process	
	COMPLETE ball bearings, ball earing PARTS other than balls were sold in the type of product sold, the quantity and value sold
What share of the raw material cost of producing your <b>COMPLETE</b> ball bearings, ball bearing <b>BALLS</b> , and	
Product	Share of raw material cost (in percent)
COMPLETE ball bearings	
Ball bearing <b>BALLS</b>	
Ball bearing <b>PARTS</b> other than balls	

## PART III.--FINANCIAL INFORMATION

E-mail address  The control of the c	n the basis The statem please subble on the	of: nents or mit copies of World Wide W
m are prepared or Other (specify) the prepare any of estigation? If so, as they are available's EDGAR site).	ng reported in the basis If the statem please sub	of: nents or mit copies of World Wide W
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1 1 7771 1 777 1 7		
rld Wide Web?	YES	NO
	_	
)		
ction of ball bear	rings.	_
Sha	re of sales	s
		<u> </u>
p	re internal reports  produced in the fa	re internal profit-and-loss reaction of ball bearings. re internal reports indicating produced in the facilities in sales accounted for by thes  Share of sales

#### PART III.--FINANCIAL INFORMATION--Continued

III-6. Operations on ball bearings.--Report the revenue and related cost information requested below on the ball bearings operations of your U.S. establishment(s). Note that internal consumption and transfers to related firms must be valued at fair market value and purchases from related firms must be at cost. Provide data for your three most recently completed fiscal years in chronological order from left to right.

(Value in	\$1,000)			
		Fiscal years ended		
Item	20	20	20	
Net sales values: <sup>2</sup>	'	1		
Commercial sales				
Internal consumption				
Transfers to related firms				
Total net sales values				
Cost of goods sold (including internal consumption and transfe	rs to related firms):	•	•	
Raw materials				
Direct labor				
Other factory costs				
Total cost of goods sold				
Gross profit or (loss)				
Selling, general, and administrative (SG&A) expenses:	•			
Selling expenses				
General and administrative expenses				
Total SG&A expenses				
Operating income or (loss)				
Other income and expenses:	•	•	•	
Interest expense				
All other expense items				
All other income items				
All other income or expenses, net				
Net income or (loss) before income taxes				
Depreciation/amortization included above				

<sup>&</sup>lt;sup>1</sup> Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

<sup>&</sup>lt;sup>2</sup> Less discounts, returns, allowances, and prepaid freight. The values should approximate the corresponding shipment values reported in Part II of this questionnaire.

Fiscal years ended--

#### PART III.--FINANCIAL INFORMATION--Continued

Item

III-7. <u>Capital expenditures, research and development expenditures, and asset values.</u>—Report your firm's capital expenditures and research and development expenditures on ball bearings, and the values of the property, plant, and equipment used in the production of ball bearings. Provide data for your three most recently completed fiscal years in chronological order from left to right.

(Value in \$1,000)

			20	20	20		
Capit	al expenditures	S					
Rese	arch and devel	opment expenditures					
Prope	erty, plant, and	equipment:					
Or	riginal cost						
Вс	ook value						
III-8.	Since January 1, 2000, has your firm experienced any actual negative effects on its return on investment or its growth, investment, ability to raise capital, existing development and production efforts (including efforts to develop a derivative or more advanced version of the product), or the scale of capital investments as a result of imports of ball bearings from China?						
	No	YesMy firm has experienced actual negative effects as follows:					
		Cancellation or rejection of expansion projects					
		Denial or rejection of investment proposal					
		Reduction in the size of capital investments					
		Rejection of bank loans					
		Lowering of credit rating					
		Problem related to the issue of stocks or bonds					
		Other (specify)					
III-9.	Does your firm anticipate any negative impact of imports of ball bearings from China?						
	No	YesMy firm anticipates negative effects as	follows:				

#### PART IV.--PRICING AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Joshua Levy (202-205-3236).

IV-1. Who should be contacted regarding the requested pricing and related information		g and related information?	
	Company contact:		
	1 2	Name and title	
		Phone No.	E-mail address

#### **Section IV-A.--PRICE DATA**

This section requests quarterly price and quantity data concerning your firm's U.S. commercial shipments to unrelated U.S. end users and distributors of the following products during January 2000-December 2002:

**Product 1**.--608ZZ-Radial ball bearing, single row, deep groove. 8 mm bore, 22 mm OD, 7 mm width, with two shields. ABEC 1 tolerance.

**Product 2**.--608ZZ-Radial ball bearing, single row, deep groove. 8 mm bore, 22 mm OD, 7 mm width, with two shields. ABEC 3 tolerance.

<u>Product 3.</u>–6000 2RS. Ball bearing, single row, radial, 10mm bore, 28mm OD, 8mm width, with two contact seals. ABEC 1 tolerance.

<u>Product 4</u>.--6000 2RS. Ball bearing, single row, radial, 10mm bore, 28mm OD, 8mm width, with two contact seals. ABEC 3 tolerance.

<u>Product 5</u>.--608 2RS. Ball bearing, single row, radial, 8mm bore, 24mm OD, 9mm width with two contact seals. ABEC 1 tolerance.

<u>Product 6</u>.--608 2RS. Ball bearing, single row, radial, 8mm bore, 24mm OD, 9mm width with two contact seals. ABEC 3 tolerance.

<u>Product 7</u>.--6203ZZ-Radial ball bearing, single row, deep groove. 17 mm bore, 40 mm OD, 12 mm width, with two shields. ABEC 1 tolerance.

<u>Product 8</u>.--6203ZZ-Radial ball bearing, single row, deep groove. 17 mm bore, 40 mm OD, 12 mm width, with two shields. ABEC 3 tolerance.

**Product 9**.–6203 2RS. Ball bearing, single row, radial, 17mm bore, 40mm OD, 12mm width, with two contact seals. ABEC 1 tolerance.

**Product 10**.–6203 2RS. Ball bearing, single row, radial, 17mm bore, 40mm OD, 12mm width, with two contact seals. ABEC 3 tolerance.

#### Section IV-A.--PRICE DATA--Continued

**Product 11**.–204RR6. Ball bearing, 0.75 inch bore, 1.78 inch OD, 0.610 inch width, with two rubber seals, ABEC 1 tolerance.

<u>Product 12</u>.—RA100RR. Wide inner ring ball bearing (extended inner ring type). 1 inch bore, 2.0472 inch OD (cylindrical), 0.844 inch inner ring width, 0.591 inch outer ring width, with self-locking collar. ABEC 1 tolerance.

**Product 13**.—Ball made of chrome steel, 9/16 inch (14.288mm) in diameter, grade 25.

**Product 14**.—Ball made of chrome steel, 25/32 inch in diameter, grade 25.

**Product 15**.—Ball made of chrome steel, 5/16 inch in diameter, grade 5.

## Section IV-A.--PRICE DATA--Continued

COPY THIS PAGE AS NECESSARY. Complete a separa		
products produced and sold by your firm to each of the speci		oution.
Product 1 Product 2 Product 3 Product	ct 4 Product 5	Product 6
Product 7 Product 8 Product 9 Product	10 Product 11	Product 12
End user Distribu	itor 🗌	
( <i>Quantity</i> in bearings, <i>value</i>	e in dollars)	
Period of shipment	Quantity	fob Value <sup>1</sup>
2000:		
January-March		
April-June		
July-September		
October-December		
2001:		
January-March		
April-June		
July-September		
October-December		
2002:		
January-March		
April-June		
July-September		
October-December		
<sup>1</sup> Fob your U.S. point of shipment(s) (gross value less all discouthe value of returned goods.	ounts, rebates, allowances	s, prepaid freight, and

October-December

#### PART IV.--PRICING AND RELATED INFORMATION--Continued

Section IV-APRICE DATAContinued		
COPY THIS PAGE AS NECESSARY. Complete a products produced and sold by your firm to each of the		
Product 13 Product <sup>2</sup>	14 Product 15	
End user D	istributor	
( <i>Quantity</i> in 1,000 ball	s, <i>valu</i> e in dollars)	
Period of shipment	Quantity	fob Value <sup>1</sup>
2000:	•	
January-March		
April-June		
July-September		
October-December		
2001:	•	
January-March		
April-June		
July-September		
October-December		
2002:	<u>.</u>	
January-March		
April-June		
July-September		

<sup>&</sup>lt;sup>1</sup> Fob your U.S. point of shipment(s) (gross value less all discounts, rebates, allowances, prepaid freight, and the value of returned goods.

#### Section IV-B.--PRICE-RELATED QUESTIONS

1V-B-1.	(transaction by transaction negotiation, contracts for multiple shipments, set price lists, etc.).  If your firm issues price lists, please include a copy of a recent price list with your submission If your price list is large, please submit sample pages.				
IV-B-2.	Please describe your firm's discount policy (quantity discounts, annual total volume discounts, etc.).				
IV-B-3.	What are your firm's typical sales terms for its U.Sproduced ball bearings (e.g., 2/10 net 30 days)? On what basis are your prices of domestic ball bearings usually quoted (e.g., f.o.b. warehouse, or delivered)?				
IV-B-4.	Approximately what percentage of your firm's sales of its U.Sproduced ball bearings are on a contract ( percent) vs. spot sales ( percent) basis? If you sell on a contract basis, please answer the following questions with respect to provisions of a typical contract.				
	(a) What is the average duration of a contract?				
	(b) How frequently are contracts renegotiated?				
	(c) Does the contract fix quantity, price, or both?				
	(d) Does the contract have a meet or release provision?				
	(e) What are the standard quantity requirements, if any?				
	(f) What is the price premium for sub-minimum shipments? percent				
IV-B-5.	What is the average lead time between a customer's order and the date of delivery for your firm's sales of ball bearings?				
IV-B-6.	What is the approximate percentage of the total delivered cost of ball bearings that is accounted for by transportation costs? percent. Who generally arranges the transportation to your customers' locations? Your firm or purchaser (check one). What proportion of your sales occur within 100 miles of your storage or production facility? percent. 101 to 1,000 miles? percent. Over 1,000 miles? percent.				

## Section IV-B.--PRICE-RELATED QUESTIONS--Continued

IV-B-7.	What is the geographic market area in the United States served by your firm's ball bearings?
IV-B-8.	What other products may be substitutes for ball bearings?
IV-B-9.	Describe the end uses of the ball bearings that you manufacture. For each end use product, what percentage of the total cost is accounted for by ball bearings?
IV-B-10.	How has the demand within the United States (and outside the United States if known) for ball bearings changed since January 1, 2000? What were the principal factors affecting changes in demand?
IV-B-11.	Have there been any significant changes in the product range or marketing of ball bearings in the past five years?  No YesPlease describe.
IV-B-12.	Does your firm sell ball bearings over the internet?  No Yes-Please describe, noting the estimated percentage of your firm's total sales of ball bearings in 2002 accounted for by internet sales.

## Section IV-B.--PRICE-RELATED QUESTIONS--Continued

Are the U.Sproduced and imported ball bearings from China used interchangeably (i.e., can they physically be used in the same applications)?						
Yes NoPlease explain.						
Are the U.Sproduced and <u>NONSUBJECT</u> imported ball bearings (i.e., product imported from countries/firms not subject to this investigation) generally used interchangeably?						
Yes NoPlease explain, by country.						
Are <u>NONSUBJECT</u> imported ball bearings and imported ball bearings from China used interchangeably?						
Yes NoPlease explain, by country.						
Are there any differences in product characteristics or sales conditions between U.Sproduced ball bearings and ball bearings imported from China that are a significant factor in your firm's sales of ball bearings?						
No YesPlease describe any such advantages or disadvantages of the domestic product vis-a-vis the imported product (e.g., quality, availability, transportation network, product range, technical support, etc.).						

## Section IV-B.--PRICE-RELATED QUESTIONS--Continued

IV-B-17.	Are there any differences in product characteristics or sales conditions between U.Sproduce ball bearings and <u>NONSUBJECT</u> imported ball bearings that are a significant factor in your firm's sales of ball bearings?					
	No YesPlease describe any such advantages or disadvantages of the domestic product vis-a-vis the nonsubject imported product, by country of origin.					
IV-B-18.	Are there any differences in product characteristics or sales conditions between <a href="NONSUBJECT">NONSUBJECT</a> imported ball bearings and imported ball bearings from China that are a significant factor in your firm's sales of ball bearings?					
	No YesPlease describe, by country, any such advantages or disadvantages of the nonsubject imported product vis-a-vis the imported product from China.					
IV-B-19.	Please estimate the share of your firm's sales since January 2000 that were subject to "Buy American" restrictions.					
	Percent					

#### Section IV-C.--CUSTOMER IDENTIFICATION

Please identify below the names and addresses of your firm's 10 largest customers for ball bearings during 2000-2002. Please also provide the name and telephone number of a contact person and the share of the quantity of your firm's total shipments of ball bearings that each of these customers accounted for in 2002.

No.	Customer's name	Street address ( <u>not</u> P.O. box), state, and zip code	Contact person	Area code and telephone number	Share of 2002 sales (%)
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

#### Section IV-D.--COMPETITION FROM IMPORTS--LOST REVENUES

## PLEASE <u>DO NOT RE-SUBMIT</u> ALLEGATIONS PROVIDED IN THE PRELIMINARY PHASE OF THIS INVESTIGATION.

Since January 1, 2000: To avoid losing China, did your firm:  Reduce prices	sales t	to competito		ling ball	bearings	s or parts	thereof from
Roll back announced price increase	ses	Yes		No			
If yes, please furnish as much of the follow Document such allegations of lost revenue invoices, sales reports, or letters from cust firms named to verify the allegations re	es whe	enever possi s). <b>Please n</b>	ble (d	locument	ation co	ould inclu	ide copies of
Customer name, contact person, p	hone	and fax num	ıbers				
Specific product(s) involved							
Date of your initial price quotation	n						
Quantity involved							
Your initial <i>rejected</i> price quotation	on (to	tal delivered	l valu	e)			
Your accepted price quotation (to	tal de	livered value	e)				
The country of origin of the comp	eting	imported pr	oduct				
The competing price quotation of	the in	nported proc	duct (t	total deli	vered va	ılue)	

Customer name, contact person, phone and fax numbers	Product	Date of quote	Quantity (1,000 bearings)	Initial rejected U.S. price (total value dollars)	Accepted U.S. price (total value dollars)	Country of origin	Competing import price (total value dollars)

## Section IV-E.--COMPETITION FROM IMPORTS--LOST SALES

## PLEASE <u>DO NOT RE-SUBMIT</u> ALLEGATIONS PROVIDED IN THE PRELIMINARY PHASE OF THIS INVESTIGATION.

Since January 1, 2000: products from China?	Did your firm lose sales of ball bearings or parts thereof to imports of these
Yes	No
Document such allegatio invoices, sales reports, or	much of the following information as possible for each affected transaction. ns of lost sales whenever possible (documentation could include copies of r letters from customers). Please note that the Commission may contact the he allegations reported.
Customer name,	contact person, phone and fax numbers
Specific product	(s) involved
Date of your price	ce quotation
Quantity involve	ed .
Your rejected pri	ice quotation (total delivered value)
The country of o	rigin of the competing imported product
The accepted pri	ce quotation of the imported product (total delivered value)

Customer name, contact person, phone and fax numbers	Product	Date of quote	Quantity (1,000 bearings)	Rejected U.S. price (total value dollars)	Country of origin	Accepted import price (total value dollars)