PRODUCERS' QUESTIONNAIRE TETRAHYDROFURFURYL ALCOHOL (THFA) FROM CHINA

Return completed questionnaire to:

UNITED STATES INTERNATIONAL TRADE COMMISSION

Office of Investigations, Room 615 500 E Street, SW, Washington, DC 20436

So as to be received by the Commission by no later than March 1, 2004

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping investigation concerning THFA from China (inv. No. 731-TA-1046 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of	Name of firm								
Address									
City	City State Zip code								
	Vide Web address								
Has your	firm produced THFA (as defined in the instru	action booklet) at any time since Janua	ary 1, 2001?						
\square_{NO}	(Sign the certification below and promptly	return only this page of the question	naire to the Commission)						
YES	YES (Read the instruction booklet carefully, complete all parts of the questionnaire, sign the certification, and return the entire questionnaire to the Commission)								
	CER	TIFICATION							
belief and unders By signing this co provided in this q on the same or so	information herein supplied in response to the stand that the information submitted is subject that the information submitted is subject tification I also grant consent for the Commuestionnaire and throughout this investigation imilar merchandise. (If you do not consent the control of the c	ect to audit and verification by the Conission, and its employees and contrain in any other import-injury investigates such use, please note the certificat	ommission. ct personnel, to use the information tions conducted by the Commission tion accordingly.)						
Commission, its maintaining the l investigations re	hat information submitted in this questions employees, and contract personnel who ar records of this investigation or related proceduting to the programs and operations of the el will sign non-disclosure agreements.	re acting in the capacity of Commisedings for which this information is	sion employees, for developing or submitted, or in internal audits and						
Name and Title	of Authorized Official	Date							
		(
Signature of Ai	uthorized Official	Phone	Fax						

PART I.-GENERAL QUESTIONS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

reply to this ques				he cost to y	our firm of preparing the
hours	S	do	llars		
	let for reportin	ng guidelines). 1			onnaire (see page 3 of the led, please specify the
Do you support of	or oppose the	petition? Please	e explain.		
Support	Oppose	Take no p	oosition		
proprietary. How and an antidump Act of 1930, will possible distribut business propriet	wever, if the C ing duty orde I provide a lis tion of any an tary treatment	Commission's firms is issued, the Control of firms supporting dutient of your response.	nal determination Commission, pur rting the petition is that may be considered to this question	on in the inversuant to seen to the Cuspellected. If	treated as business vestigation is affirmative ction 754 of the Tariff stoms Service for you wish to waive to make your position at list, indicate "yes"
Yes				the petition	to be made public)
Is your firm own	ed, in whole	or in part, by any	y other firm?		
No	YesList	the following in	formation.		
Firm name		<u>Address</u>			Extent of ownership
		-			

PART I.--GENERAL QUESTIONS--Continued

1-5.	importing THFA from China into the United States or which are engaged in exporting THFA from China to the United States?					
	□No	—	he following info	ormation.		
	Firm name		Address		Affiliation	
I-6.	Does your firm production of T	•	d firms, either do	mestic or foreign, which	are engaged in the	
	No	YesList t	he following info	ormation.		
	Firm name		Address		<u>Affiliation</u>	
		,				
PAR	Γ II <u>TRADE A</u> I	ND RELATED	<u>INFORMATIO</u>	<u>N</u>		
			•	be obtained from Jai Mocalendar-year basis.	otwane (202-205-3176 or	
II-1.	Who should be contacted regarding the requested trade and related information?					
	Company contact: Name and title					
		rume und	titie			
		Phone No.		E-mail address		
II-2.	consolidations, curtailment of j of your operation	Has your firm experienced any plant openings, relocations, expansions, acquisitions, consolidations, closures, or prolonged shutdowns because of strikes or equipment failure; curtailment of production because of shortages of materials; or any other change in the character of your operations or organization relating to the production of THFA since January 1, 2001?				
	∐No	YesSuppl	ly details as to the	e time, nature, and signif	ficance of such changes.	

PART II.--TRADE AND RELATED INFORMATION--Continued

II-3.	Does your firm produce other products on the same equipment and machinery used in the production of THFA?				
	□ No □ YesList t	the following information.			
	<u>Product</u>	Basis for allocation of capacity data			
II-4.	Please describe the constraint(s	s) that set the limit(s) on your production capabilities.			
II-5.	Does your firm produce other products using the same production and related workers employed to produce THFA?				
	□ No □ YesList t	the following information.			
	Product	Basis for allocation of employment data			
II-6.	Since January 1, 2001, has your firm been involved in a toll agreement (see definition in the instruction booklet) regarding the production of THFA?				
	No YesName	e firm:			
II-7.	Does your firm produce THFA in a foreign trade zone (FTZ)?				
	No YesIdent	ify FTZ(s):			
II-8.	Since January 1, 2001, has you	ar firm imported THFA?			
		APLETE AND RETURN THE ENCLOSED IMPORTERS' STIONNAIRE			

PART II.--TRADE AND RELATED INFORMATION--Continued

II-9. Report your firm's production capacity, production, shipments, inventories, and employment related to the production of THFA in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.)

(Quantity	in 1,000 pounds, <i>valu</i> e	in \$1,000)			
(Quantity	In 1,000 pourius, vaiue				
Item	Calendar years				
	2001	2002	2003		
AVERAGE PRODUCTION CAPACITY (quantity) ¹					
BEGINNING-OF-PERIOD INVENTORIES (quantity)					
PRODUCTION (quantity)					
U.S. SHIPMENTS:					
Commercial shipments:					
Quantity of commercial shipments					
Value of commercial shipments					
Internal consumption:					
Quantity of internal consumption					
Value ² of internal consumption					
Transfers to related firms:					
Quantity of transfers to related firms					
Value ² of transfers to related firms					
EXPORT SHIPMENTS ³ :					
Quantity of export shipments					
Value of export shipments					
END-OF-PERIOD INVENTORIES ⁴ (quantity)					
U.S. SHIPMENTS TO DISTRIBUTORS (quantity)					
U.S. SHIPMENTS TO END USERS (quantity)					
AVERAGE NUMBER OF PRWs					
HOURS WORKED BY PRWs (1,000 hours)					
WAGES PAID TO PRWs (value)					
The production capability (see definitions in instruction booklet) reported is based on operating hours per week, weeks per year. Please describe the methodology used to calculate production capability, and explain any changes in reported capacity (use additional pages as necessary). Internal consumption and transfers to related firms must be valued at fair market value. In the event that you use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for 2001, 2002, and 2003 below: Acconciliation of data.—Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?					
Yes NoPlease explain:					

PART II.--TRADE AND RELATED INFORMATION--Continued

II-10. If you reported transfers to related firms in question II-9, please indicate the nature of the relationship be your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were market value or by a non-market formula, whether your firm retained marketing rights to all transfers, ar whether the related firms also processed inputs from sources other than your firm.								
II-11.	Other than direct imports, has your firm otherwise purchased THFA since January 1, 2001? (See definitions in the instruction booklet.)							
	No YesReport such purchas	ses below for th	e specified po	eriods. ¹				
	(<i>Quantity</i> in 1,	000 pounds, va	<i>lu</i> e in \$1,000)				
	ltem			Calendar years				
	item		2001	2002	2003			
PURC	CHASES FROM U.S. IMPORTERS ² OF PRODUC	T FROM						
С	OUNTRY:							
	Quantity							
	Value							
Α	LL OTHER COUNTRIES:							
	Quantity							
	Value							
PURC	CHASES FROM DOMESTIC PRODUCERS:2							
Q	uantity							
V	alue							
PURC	CHASES FROM OTHER SOURCES:2	•						
Q	uantity							
V	alue							
1	Please indicate your reasons for purchasing this p	roduct. If your re	easons differ	by source, please elabo	orate.			
	Please list the name of the firm(s) from which you by the source for each listed supplier.	purchased this p	product. If you	ur suppliers differ by so	urce, please			
1								

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to David Boyland (202-708-4725)

and/or have	actual knowledge of this r	esponse.	
Company co	ontact:		
	Name and title	г.,	
	Phone No.	Ext. Fax No.	E-mail address
Your compa	any's World Wide Website	:	
Briefly desc	eribe your Financial Accou	nting system.	
A. When do	oes your fiscal year end (mo	onth and day)?	
If your f	iscal year changed during t	he periods examined, explai	in below:
		perations (e.g., plant, divisioned that include subject merc	
		loss statements for the subje	
		arent company) prepare fina	
		e check relevant items below	
Auc	dited unaudited an	nual reports10K's	_ 10Q's
MIO:	nthly quarterly ser	ni-annuallyannually cash tax other com	nrehensive (specify)
1100	ounting outlies. Of it is		prenensive (speerly)
	Commission <u>may request</u> yo ling internal profit/loss stat	ur company to submit copie ements.	rs of your financial statem
Briefly desc	cribe your Cost Accounting	system (e.g., standard cost,	job order cost, etc.)
			, ,
		odology including allocation of other income and expense	
	xA, and interest expense an	d other income and expense	
Other produ	<u>ıcts</u> Please list any other p	products you produced in the	e facilities in which you
		e of net sales accounted for b	
produced Ti most recent	fiscal year:		

PART III.--FINANCIAL INFORMATION--Continued

III-6. Operations on THFA.—Report the revenue and related cost information requested below on the THFA operations of your U.S. establishment(s). Note that internal consumption and transfers to related firms must be valued at fair market value and purchases from related firms must be at cost. Provide data for your three most recently completed fiscal years in chronological order from left to right.

<u> </u>	Quantity in 1,000 pounds, value in	ι ψ ι,υου /		
Itom		Fiscal years		
Item	2001	2002	2003	
Net sales quantities: ²				
Commercial sales				
Internal consumption				
Transfers to related firms				
Tolling				
Total net sales quantities				
Net sales values: ²				
Commercial sales				
Internal consumption				
Transfers to related firms				
Tolling				
Total net sales values				
Cost of goods sold (including internal consumptio	n and transfers to related firms):			
Raw materials ³				
Direct labor				
Natural gas				
Other factory costs				
Total cost of goods sold				
Gross profit or (loss)				
Selling, general, and administrative (SG&A) expens	ses:	•		
Selling expenses				
General and administrative expenses				
Total SG&A expenses				
Operating income or (loss)				
Other income and expenses:	•	•		
Interest expense				
All other expense items				
All other income items				
All other income or expenses, net				
Net income or (loss) before income taxes				
Depreciation/amortization included above				

¹ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

² Less discounts, returns, and allowances. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ The cost of raw material purchased by your company and used to produce THFA. Raw material provided to your company by a tollee for production into THFA (and which does not represent a cost to your company) should not be reported.

PART III.--FINANCIAL INFORMATION--Continued

- III-7. With respect to the natural gas costs reported in table III-6, please answer the following using a separate attachment:
 - A. Describe how natural gas is used in the production of THFA
 - B. Describe how natural gas costs were allocated to THFA.
 - C. For each period describe the extent to which hedging instruments for the purchase of natural gas were or were not used.
 - D. Describe how your natural gas purchasing policy during the period examined affected THFA manufacturing costs.
- III-8. <u>Capital expenditures, research and development expenditures, and asset values.</u>—Report your firm's capital expenditures and research and development expenditures on THFA, and the values of the property, plant, and equipment used in the production of THFA. Provide data for your three most recently completed fiscal years in chronological order from left to right.

(<i>Valu</i> e in \$1,000)					
	Fiscal years				
Item	2001	2002	2003		
Capital expenditures					
Research and development expenditures					
Property, plant, and equipment:					
Original cost					
Book value					

PART III.--<u>FINANCIAL INFORMATION</u>--Continued

III-9.	investment or i efforts (includi	1, 2001, has your firm experienced any actual negative effects growth, investment, ability to raise capital, existing deving efforts to develop a derivative or more advanced version investments as a result of imports of THFA from China?	velopment and production on of the product), or the
	No	YesMy firm has experienced actual negative effect	s as follows:
		Cancellation or rejection of expansion projects	
		Denial or rejection of investment proposal	
		Reduction in the size of capital investments	
		Rejection of bank loans	
		Lowering of credit rating	
		Problem related to the issue of stocks or bonds	
		Other (specify)	
III-10.	Does your firm	anticipate any negative impact of imports of THFA from	China?
	No	YesMy firm anticipates negative effects as follows	: :

Producers' Questionnaire - THFA

PART IV.--PRICING AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Eric Forden (202-205-3235 or eforden@usitc.gov).

IV-1.	. Who should be contacted regarding the requested pricing and related information?		
	Company contact:		
		Name and title	
		Phone No.	E-mail address

Section IV-A.--PRICE DATA

This section requests quarterly price and quantity data concerning your firm's U.S. commercial shipments to unrelated U.S. customers of the following products during January 2001-December 2003:

<u>Product 1</u>.—Tetrahydrofurfuryl alcohol in 55 gallon drums <u>Product 2</u>.—Tetrahydrofurfuryl alcohol in bulk containers

Please report separately for sales to end users and to distributors. Please note that total dollar values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Total dollar values should reflect the <u>FINAL NET</u> amount paid to you (i.e., should be net of all deductions for discounts or rebates).

Section IV-A.--<u>PRICE DATA</u>--Continued

COPY THIS PAGE AS NECESSAU	RY. Complete a separate page for each of the specified products
produced and sold by your firm. Repo	ort separately for sales to distributors and end users.

	Sales to Di	stributors	Sales to E	nd users	
Period of shipment	Quantity	Value ²	Quantity	Value ²	
2001:					
January-March					
April-June					
July-September					
October-December					
2002:					
January-March					
April-June					
July-September					
October-December					
2003:					
January-March					
April-June					
July-September					
October-December					
1 If your product does the specified product, prov			ifications but is con	npetitive witl	

Section IV-B.--PRICE-RELATED QUESTIONS

IV-B-1.	Please describe how your firm determines the prices that it charges for sales of THFA (transaction by transaction negotiation, contracts for multiple shipments, set price lists, etc.). If your firm issues price lists, please include a copy of a recent price list with your submission. If your price list is large, please submit sample pages.						
IV-B-2.	Please describe your firm's discount policy (quantity discounts, annual total volume discounts, etc.).						
IV-B-3.	What are your firm's typical sales terms for its U.Sproduced THFA (e.g., 2/10 net 30 days)? On what basis are your prices of domestic THFA usually quoted (e.g., f.o.b. warehouse, or delivered)?						
IV-B-4.	Approximately what percentage of your firm's sales of its U.Sproduced THFA are on a contract (percent) vs. spot sales (percent) basis? If you sell on a contract basis, please answer the following questions with respect to provisions of a typical contract.						
	(a) What is the average duration of a contract?						
	(b) How frequently are contracts renegotiated?						
	(c) Does the contract fix quantity, price, or both?						
	(d) Does the contract have a meet or release provision?						
	(e) If contracts have meet-or-release provisions, has your firm actually changed prices for an existing contract? Yes No If yes, please estimate the percentage of your firms contract sales for which your firm changed prices during an existing contract since January 2000						
	(f) What are the standard quantity requirements, if any?						
	(g) What is the price premium for sub-minimum shipments? percent						
IV-B-5.	What is the average lead time between a customer's order and the date of delivery for your firm's sales of THFA?						
IV-B-6.	What is the approximate percentage of the total delivered cost of THFA that is accounted for by transportation costs? percent. Who generally arranges the transportation to your customers' locations? Your firm or purchaser (check one). What proportion of your sales occur within 100 miles of your storage or production facility? percent. 101 to 1,000 miles? percent. Over 1,000 miles? percent.						

Section IV-B.--PRICE-RELATED QUESTIONS--Continued

IV-B-7.	What is the geographic market area in the United States served by your firm's THFA?
IV-B-8.	What other products may be substitutes for THFA?
H/D 0	
IV-B-9.	Describe the end uses of the THFA that you manufacture. For each end use product, what percentage of the total cost is accounted for by THFA?
IV-B-10.	How has the demand within the United States (and outside the United States if known) for THFA changed since January 1, 2001? What were the principal factors affecting changes in demand?
IV-B-11.	Domestic producer Penn Specialty Chemicals filed for bankruptcy protection in July 2001, and emerged from bankruptcy in July 2002. What effect, if any, did this have on the U.S. market for THFA?
IV-B-12.	What impact, if any, does imported THFA that is internally consumed by an importer to make downstream products have on the sales or prices of THFA (domestic or imported) that is sold to unrelated customers?

Section IV-B.--PRICE-RELATED QUESTIONS--Continued

IV-B-13.	Have there been any significant changes in the product range or marketing of THFA in the past five years?						
	No YesPlease describe.						
IV-B-14.	Does your firm sell THFA over the internet?						
	No Yes-Please describe, noting the estimated percentage of your firm's total sales of THFA in 2003 accounted for by internet sales.						
IV-B-15.	Are the U.Sproduced and imported THFA from China used interchangeably (i.e., can they physically be used in the same applications)?						
	Yes NoPlease explain.						
IV-B-16.	Are the U.Sproduced and <u>NONSUBJECT</u> imported THFA (i.e., product imported from countries/firms not subject to this investigation) generally used interchangeably?						
	Yes NoPlease explain, by country.						
IV-B-17.							
	Yes NoPlease explain, by country.						

Section IV-B.--PRICE-RELATED QUESTIONS--Continued

IV-B-18.	Are there any differences in product characteristics or sales conditions between U.Sproduced THFA and THFA imported from China that are a significant factor in your firm's sales of THFA?
	No YesPlease describe any such advantages or disadvantages of the domestic product vis-a-vis the imported product (e.g., quality, availability, transportation network, product range, technical support, etc.).
IV-B-19.	Are there any differences in product characteristics or sales conditions between U.Sproduced THFA and NONSUBJECT imported THFA that are a significant factor in your firm's sales of THFA?
	No YesPlease describe any such advantages or disadvantages of the domestic product vis-a-vis the nonsubject imported product, by country of origin.
IV-B-20.	Are there any differences in product characteristics or sales conditions between MONSUBJECT imported THFA and imported THFA from China that are a significant factor in your firm's sales of THFA?
	No YesPlease describe, by country, any such advantages or disadvantages of the nonsubject imported product vis-a-vis the imported product from China.

Section IV-B.--PRICE-RELATED QUESTIONS--Continued

IV-B-21. Please list your quarterly natural gas quantities and prices used in the production of THFA since January 2001 in the table below:

Period	Quantity (MMBtu)	Price (per MMBtu)	Period	Quantity (MMBtu)	Price (per MMBtu)
2001 JanMar.			2003 JanMar.		
2001 AprJune			2003 AprJune		
2001 July-Sep.			2003 July-Sep.		
2001 OctDec.			2003 OctDec.		
2002 JanMar.					
2002 AprJune					
2002 July-Sep.					
2002 OctDec.					

Section IV-C.--CUSTOMER IDENTIFICATION

Please identify below the names and addresses of your firm's 10 largest customers for THFA during 2001-2003. Please also provide the name and telephone number of a contact person and the share of the quantity of your firm's total shipments of THFA that each of these customers accounted for in 2003.

No.	Customer's name	Street address (<u>not</u> P.O. box), state, and zip code	Contact person	Area code and telephone number	Share of 2003 sales (%)
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

Section IV-D.--COMPETITION FROM IMPORTS--LOST REVENUES

THIS SECTION IS TO BE COMPLETED ONLY BY NON-PETITIONERS (Note: petitioners may provide

	olving quotes made <u>AFTER</u> the filing of		TOTVERS. (Note: pentioners <u>may</u> provide
Since January	1, 2001: To avoid losing sales to comp	etitors selling TF	HFA from China, did your firm:
	Reduce prices	Yes	\square No
	Roll back announced price increases	Yes	□No
allegations of l	ost revenues whenever possible (docume	entation could inc	for each affected transaction. Document such clude copies of invoices, sales reports, or letters irms named to verify the allegations reported.
	Customer name, contact person, phone	and fax numbers	8
	Specific product(s) involved		
	Date of your initial price quotation		
	Quantity involved	tal dalivarad val	ua)
	Your initial <i>rejected</i> price quotation (to Your <i>accepted</i> price quotation (total de		ue)
	The country of origin of the competing	,	of .
	The competing price quotation of the in		

Customer name, contact person, phone and fax numbers	Product	Date of quote	Quantity (Pounds)	Initial rejected U.S. price (total value <i>dollar</i> s)	Accepted U.S. price (total value dollars)	Country of origin	Competing import price (total value-dollars)

Section IV-E.--COMPETITION FROM IMPORTS--LOST SALES

THIS SECTION IS TO BE COMPLETED <u>ONLY</u> BY NON-PETITIONERS.	(Note:	petitioners m	ay provide
allegations involving quotes made AFTER the filing of the petition.)			

allegations involving quotes ma	de AFTER the	filing of the	e petition.)	,		. 1
Since January 1, 2001: Did yo	our firm lose sal	es of THFA	A to imports o	of these products fr	om China?	
Yes	No					
If yes, please furnish as much o allegations of lost sales whenev customers). Please note that the	er possible (doc	umentation	n could includ	le copies of invoice	es, sales reports,	or letters from
Customer name		n, phone an	d fax number	s		
Specific produc	` '					
Date of your pr Quantity involve						
Your rejected p		total delive	ered value)			
The country of				ct		
-	•			total delivered valu	ie)	
						Accented

Customer name, contact person, phone and fax numbers	Product	Date of quote	Quantity (Pounds)	Rejected U.S. price (total valuedollars)	Country of origin	Accepted import price (total value-dollars)