



**GENERAL INFORMATION, INSTRUCTIONS, AND
DEFINITIONS FOR COMMISSION
PURCHASER QUESTIONNAIRES FOR THE
SECTION 204 INVESTIGATION ON**

STEEL

Investigation No. TA-204-9

***Further information.**—If you have any questions concerning the enclosed questionnaire(s) or other matters related to this investigation, you may contact the following member of the Commission's staff:*

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GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS

GENERAL INFORMATION

Background.—In 2001, the U.S. International Trade Commission (Commission) conducted an investigation on steel (Investigation No. TA-201-73) under section 202 of the Trade Act of 1974 (19 U.S.C. § 2252) (the Act).¹ Following receipt of a report from the Commission in December 2001 containing determinations and remedy recommendations by the Commission,² the President, pursuant to section 203 of the Act (19 U.S.C. § 2253),³ imposed import relief in the form of tariffs and tariff-rate quotas on imports of certain steel products for a period of 3 years and 1 day effective March 20, 2002.⁴

Section 204 (a)(1) of the Act (19 U.S.C. § 2254(a)(1)) requires that the Commission,⁵ so long as any action under section 203 of the Act remains in effect, monitor developments with respect to the domestic industry, including the progress and specific efforts made by workers and firms in the domestic industry to make a positive adjustment to import competition. Section 204 (a)(2) of the Act requires that whenever the initial period of an action under section 203 exceeds 3 years, the Commission shall submit a report on the results of the monitoring under section 204(a)(1) to the President and the Congress not later than the mid-point of the initial period of relief, or in this case by September 19, 2003. The purpose of this questionnaire is to provide the Commission with first-hand industry data for use in the monitoring report to be submitted to the President and the Congress.

Due date of questionnaire(s).—Return the completed questionnaire(s) to the United States International Trade Commission by no later than **May 19, 2003**. Use of an overnight mail service may be necessary to ensure that your response actually reaches the Commission by **May 19, 2003**. Please make sure the completed questionnaire is sent to the attention of **Cindy Cohen** at the Office of Investigations, Room 603-E, United States International Trade Commission, Washington, DC 20436.

Firms not represented by counsel should submit one copy of the completed questionnaire(s), keeping a copy for your records so that you can refer to it if the Commission staff contacts you with any questions during the course of the investigation. **Firms represented by counsel are requested to submit four copies of the producers' questionnaire, three copies of the importers' questionnaire, and two copies of the foreign producers' questionnaire. Firms represented by counsel should also provide a separate cover letter and certificate of service for each questionnaire submitted.**

Additional questionnaires will be supplied promptly upon request, or photocopies of the enclosed questionnaire(s) may be used. Address all correspondence to the United States International Trade Commission, Washington, DC 20436. Hearing-impaired individuals can obtain information regarding this investigation via the Commission's TDD terminal (202-205-1810).

¹ See, <http://www.access.gpo.gov/uscode/uscmmain.html>.

² For additional information on the Commission's section 201 investigation, report, and remedy recommendations, see <http://www.usitc.gov/steel/>.

³ See, <http://www.access.gpo.gov/uscode/uscmmain.html>.

⁴ Product groups included in investigation No. TA-201-73 determined not to be increasing or not to be a substantial cause of serious injury (or the threat of serious injury) to a domestic industry, and therefore not covered in the remedy or included the current investigation are: (1) grain oriented silicon electrical steel (GOES), (2) carbon and alloy steel ingots, billets, and blooms, (3) carbon and alloy steel rails and railway products, (4) carbon and alloy steel wire, carbon and alloy steel strand, rope, cable, and cordage, (5) carbon and alloy steel nails, staples, and woven cloth, (6) carbon and alloy steel heavy structural shapes and sheet piling, (7) carbon and alloy steel fabricated structural units, (8) carbon and alloy steel seamless products (9) welded oil country tubular goods (OCTG), (10) tool steel, all forms, (11) stainless steel ingots, billets, and blooms, (12) stainless steel cut-to-length plate, (13) stainless steel woven cloth, (14) stainless steel rope, (15) stainless steel tubular products, and (16) stainless steel flanges and fittings.

⁵ See, <http://www.access.gpo.gov/uscode/uscmmain.html>.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS--Continued

GENERAL INFORMATION--Continued

Service of questionnaire response(s).--In the event that your firm is a party to this investigation, you are required to serve a copy of the questionnaire(s), once completed, on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 206.17).⁶ A list of such parties is maintained by the Commission's Secretary and may be obtained by calling 202-205-1803. A certificate of service must accompany the copy of the completed questionnaire(s) you submit (see 19 CFR § 206.17).

Confidentiality.--The commercial and financial data furnished in response to the enclosed questionnaire(s) that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law. Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, nonnumerical characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown. In addition, confidential business information that you submit may be included in a confidential version of the report that the Commission transmits to the President, should the Commission transmit a confidential version. Any such confidential business information will be deleted from the version of the report that the Commission releases to the public.

In addition, by signing the certification on the cover page of each questionnaire(s), the signing official authorizes the Commission to use the information you provide in the questionnaire(s) and throughout the investigation in any other investigations conducted by the Commission under part 1 of Title II of the Act arising out of the relief action taken by the President as a result of the Commission's determination in investigation No. TA-201-73 on steel products. Further, by signing the certification on the cover page of each questionnaire(s), the signing official authorizes the Commission to use the information submitted to the Commission in investigation No. TA-201-73 in this investigation and in any other investigation arising out of the relief action taken by the President as a result of the Commission's determination in investigation No. TA-201-73.

Verification.--The information submitted in the enclosed questionnaire(s) is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all your workpapers and supporting documents used in the preparation of the questionnaire response(s).

INSTRUCTIONS

Answer all questions.--Do not leave any question or section blank unless a questionnaire expressly directs you to skip over certain questions or sections. If the answer to any question is "none," write "none." **If information is not readily available from your records in exactly the form requested, furnish carefully prepared estimates--designated as such by the letter "E"--and explain the basis of your estimates.** Answers to questions and any necessary comments or explanations should be supplied in the space provided or on separate sheets attached to the appropriate page of the questionnaire(s). If your firm is completing more than one questionnaire in connection with this investigation (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions in the questionnaires.

Consolidate all U.S. establishments.--Report the requested data for your establishment(s) located in the United States. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

⁶ See, http://www.access.gpo.gov/nara/cfr/waisidx_02/19cfrv3_02.html.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS

Subject steel.—Steel products subject to the President’s section 203 remedy (both tariffs and tariff rate quotas) announced on March 20, 2002, **regardless of whether on or after March 20, 2002, subject steel products were (1) excluded from payment of tariffs or (2) excluded from payment of tariffs up to a specified quota.** Unless otherwise specified, subject steel does not include products that were excluded from the scope of the section 201 investigation.

Carbon and alloy steel.—Steel, including “other alloy steel” but excluding “stainless steel” and “tool steel” as defined below.

Other alloy steel.—Steels not complying with the definition of stainless steel and containing by weight one or more of the following elements in the proportion shown:

- 0.3 percent or more of aluminum,
- 0.0008 percent or more of boron,
- 0.3 percent or more of chromium,
- 0.3 percent or more of cobalt,
- 0.4 percent or more of copper,
- 0.4 percent or more of lead,
- 1.65 percent or more of manganese,
- 0.08 percent or more of molybdenum,
- 0.3 percent or more of nickel,
- 0.06 percent or more of niobium,
- 0.6 percent or more of silicon,
- 0.05 percent or more of titanium,
- 0.3 percent or more of tungsten (wolfram),
- 0.1 percent or more of vanadium,
- 0.05 percent or more of zirconium, or
- 0.1 percent or more of other elements (except sulfur, phosphorus, carbon, and nitrogen), taken separately.

Stainless steel.—Alloy steels containing, by weight, 1.2 percent or less of carbon and 10.5 percent or more of chromium, with or without other elements.

Tool steel.—Alloy steels which contain the following combinations of elements in the quantity by weight respectively indicated:

- (i) more than 1.2 percent carbon and more than 10.5 percent chromium; or
- (ii) not less than 0.3 percent carbon and 1.25 percent or more but less than 10.5 percent chromium; or
- (iii) not less than 0.85 percent carbon and 1 percent to 1.8 percent, inclusive, manganese; or
- (iv) 0.9 percent to 1.2 percent, inclusive, chromium and 0.9 percent to 1.4 percent, inclusive, molybdenum; or
- (v) not less than 0.5 percent carbon and not less than 3.5 percent molybdenum; or
- (vi) not less than 0.5 percent carbon and not less than 5.5 percent tungsten.

Semifinished products.—Continuous cast products of solid section, whether or not subjected to primary hot-rolling; and other products of solid section, which have not been further worked than subjected to primary hot-rolling or roughly shaped by forging, including blanks for angles, shapes, or sections.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

Flat-rolled steel.—Rolled steel of solid rectangular (other than square) cross-section, which does not conform to the definition of semifinished products, in the form of:

- (i) coils of successively superimposed layers, or
- (ii) straight lengths, which if of a thickness less than 4.75 mm are of a width measuring at least 10 times the thickness or if of a thickness of 4.75 mm or more are of a width which exceeds 150 mm and measures at least twice the thickness.

Flat-rolled steel includes steel with patterns in relief derived directly from rolling (for example, grooves, ribs, checkers, tears, buttons, or lozenges) and steel which has been perforated, corrugated, or polished.

Flat-rolled steel may be of a shape other than rectangular or square, such as circles or sketches.

Tubular products.—Tubular products includes pipes and tubes and hollow profiles. Pipes and tubes are concentric hollow products, of uniform cross-section having the same form on both the inner and outer surfaces. Steel tubes may have circular, oval, rectangular, or other convex polygonal cross-sections. Tubes may have been subject to certain finishing operations, including upsetting, polishing, coating, bending, threading (with or without couplings attached), drilled, waisted, expanded, cone shaped, or fitted with flanges, collars, or ring. Hollow profiles are hollow products which may have different forms on the inner and outer surfaces.

Products excluded from the scope of the section 201 investigation.—Certain products listed in U.S. note 11(a) and (b)(i)-(ix) in chapter 99 subchapter III of the HTS,⁷ were excluded from investigation No. TA-201-73 and from the section 203 remedy resulting from it and therefore are excluded from this section 204 investigation with respect to producer questionnaires and foreign producer questionnaires; **however in order to adjust official import statistics to eliminate these products, certain information regarding these products excluded from the scope of the 201 investigation is requested of importers.**⁸ The products that were excluded from the original section 201 investigation are as follows:

- Products covered by the separate section 203 remedy actions on wire rod and line pipe, as announced on February 16 and February 18, 2000, respectively, which terminated effective March 1, 2003 (U.S. note 11(a)(i)). Also not included are those wire rod and line pipe products which were specifically excluded in the remedies on these products (U.S. note 11(b)(i) and 11(b)(ii), respectively).
- Products of ball bearing steel provided for in HTS heading 7225, 7226, 7227, or 7228 (U.S. note 11(a)(ii)).
- Steel tubing, of a diameter not exceeding 114.3 mm, galvanized, internally coated or lined with a non-electrically insulating coating material, suitable for use as electrical conduit (see U.S. note 11(a)(iii)).

⁷ See, <http://dataweb.usitc.gov/scripts/tariff/0301c99.pdf>, pp. 99-45-99-51 of the HTS.

⁸ U.S. importers should report information on products excluded from the scope of the section 201 investigation only as specified in questions II-1-E, II-2-E, II-3-E, and II-4-E of the enclosed importers' questionnaire.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

Products specifically excluded by the Office of the U.S. Trade Representative (USTR) in its request, as listed in Annex II to its letter. The complete detailed list of exclusions is available on the USITC web site.⁹ In broad summary, the following products are included on the list. Please refer to the web site for exact specifications of excluded products.

Excluded products from Annex II of USTRs letter requesting the section 201 investigation:

- Oil country tubular goods: casing and tubing containing 10.5 percent or more of chromium (U.S. note 11(b)(iii)).
- Certain stainless steel bar and wire rod: certain proprietary grades (U.S. note 11(b)(iv)).
- Certain semifinished rounds, 250 to 680 mm in diameter, limited to certain carbon and alloy steel grades (U.S. note 11(b)(v)).
- Certain clad flat rolled products (U.S. note 11(b)(vi)).
- Certain coated carbon steel described in Annex II (U.S. note 11(b)(vii)(A-J)).
- Certain flapper valve steel (U.S. note 11(b)(viii)(B)).
- Certain shadow mask steel (U.S. note 11(b)(viii)(A)).
- Certain aperture mask steel (U.S. note 11(b)(viii)(E)).
- Certain nonoriented silicon electrical steel (U.S. note 11(b)(viii)(D)).
- Certain ultra thin gauge strip steel (U.S. note 11(b)(vii)(C), cold-rolled drawing steel, and black plate (U.S. note 11(b)(viii)(H-M)).
- Certain bright-finish sheet (U.S. note 11(b)(viii)(F)).
- Certain “blued steel” coil (also known as “steamed blue steel” or “blue oxide”) (U.S. note 11(b)(viii)(N)).
- Certain band saw steel (U.S. note 11(b)(viii)(Q)).
- Certain transformation-induced plasticity (TRIP) steel (U.S. note 11(b)(viii)(R)).
- Certain cold-rolled steel containing 0.15 to 0.35 percent copper, by weight (U.S. note 11(b)(viii)(S)).
- Certain porcelain enameling sheet (U.S. note 11(b)(viii)(O and T)).
- Certain cold-rolled steel in coils accompanied by a certificate of analysis per CSI specification 96012 and meeting the description found in U.S. note 11(b)(viii)(G).
- Certain cold-rolled steel described in U.S. note 11(b)(viii)(P).
- Certain “tin-free” steel (U.S. note 11(b)(ix)).

Products described above are excluded from the section 203 remedy provided as a result of investigation No. TA-201-73 and are described in U.S. notes 11(a) and 11(b) of chapter 99 of the HTS to ensure correct application of the relief. Other products listed in U.S. note 11(b) were excluded from the relief in the implementing proclamation (Presidential Proclamation 7529 of March 5, 2002, 67 FR 10553).

Additionally, numerous exclusions have been granted subsequent to the implementation of the section 203 relief. These exclusions are described in U.S. note 11(c) to subchapter III of chapter 99 of the HTS. The Harmonized Tariff Schedule of the United States (HTS) in PDF format is one of the publications available on the Commission’s web site at <http://www.usitc.gov>.¹⁰ To ensure correct application of the relief, and because of the structure of the HTS, a rate line was created in subchapter III of chapter 99 for each excluded product, regardless of the basis of the exclusion.

⁹ See, <http://www.usitc.gov/steel/ER0622Y1.pdf>.

¹⁰ Specifically see, <http://www.usitc.gov/taffairs.htm>.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

Exempt countries.—Countries exempt from the President’s section 203 remedy include Canada, Israel, Jordan, Mexico, and the following developing countries (with certain exceptions noted below):

Albania, Angola, Antigua and Barbuda, Argentina, Bahrain, Bangladesh, Barbados, Belize, Benin, Bolivia, Botswana, Brazil, Bulgaria, Burkina Faso, Burundi, Cameroon, Central African Republic, Chad, Chile, Colombia, Congo (Brazzaville), Congo (Kinshasa), Costa Rica, Cote d’Ivoire, Croatia, Czech Republic, Djibouti, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Estonia, Fiji, Gabon, the Gambia, Georgia, Ghana, Grenada, Guatemala, Guinea, Guinea Bissau, Guyana, Haiti, Honduras, Hungary, India, Indonesia, Jamaica, Jordan, Kenya, Kyrgyzstan, Latvia, Lesotho, Lithuania, Macedonia, Madagascar, Malawi, Mali, Mauritania, Mauritius, Moldova, Mongolia, Morocco, Mozambique, Namibia, Niger, Nigeria, Oman, Pakistan, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Poland, Romania, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Senegal, Sierra Leone, Slovakia, Solomon Islands, South Africa, Sri Lanka, Suriname, Swaziland, Tanzania, Thailand, Togo, Trinidad and Tobago, Tunisia, Turkey, Uganda, Uruguay, Venezuela, Zambia, and Zimbabwe.

The following are developing countries with products that are **NOT** exempt from the remedy:

Brazil:	slabs and flat steel (except for tin mill products)
India:	carbon flanges
Moldova:	rebar
Romania:	carbon flanges
Thailand:	carbon flanges, welded pipe
Turkey:	rebar
Venezuela:	rebar

Subject countries.—Includes all other countries not identified as exempt countries (see definition above).

The following are developing countries considered subject countries with respect to the products identified below:

Brazil:	slabs and flat steel (except for tin mill products)
India:	carbon flanges
Moldova:	rebar
Romania:	carbon flanges
Thailand:	carbon flanges, welded pipe
Turkey:	rebar
Venezuela:	rebar

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

The following are the subject steel products examined in this investigation and their respective HTS statistical reporting numbers,¹¹ as well as temporary HTS subheadings for them established by proclamation pursuant to trade legislation or by USTR Federal Register notice:¹²

A. CARBON AND ALLOY FLAT STEEL

- 1. Slabs.**—Semifinished steel produced by continuous casting or by hot rolling or forging. Slabs of carbon steel have a rectangular cross-section with a width at least two times the thickness. Slabs of other alloy steel have a width at least four times the thickness. Carbon and alloy steel slabs are provided for in the following HTS statistical reporting numbers:

7207.12.0010 7207.12.0050 7207.20.0025 7207.20.0045 7224.90.0055

Temporary HTS subheadings for slabs established by proclamation pursuant to trade legislation comprise:

- (1) 9903.72.30 for products outside the scope of the 201 investigation and therefore excluded from the 203 remedy, and 9903.72.31 for other products excluded from the 203 remedy,
- (2) 9903.72.38, 9903.72.42, 9903.72.46, 9903.74.30, and 9903.74.31 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.72.40, 9903.72.44, and 9903.72.48 for products imported in excess of the tariff-rate quota trigger quantities and therefore incurring, respectively, 30% additional tariffs through March 19, 2003, 24% additional tariffs through March 19, 2004, and 18% additional tariffs through March 20, 2005.

¹¹ HTS statistical reporting numbers shown include not only those currently in effect but also expired statistical reporting numbers that existed during the April 1, 2000-March 31, 2003, period examined in this investigation.

¹² There have been a number of changes to the temporary HTS subheadings since the 203 relief went into effect. These changes primarily reflect new temporary subheadings to cover additional exclusions from the relief or new subheadings to cover additional products identified as eligible to enter in quantities up to stated limits without additional tariffs. However, other changes reflect the addition or removal of stated quantity limits to already existent temporary subheadings as well as a variety of corrections, many of them effective retroactively. Temporary HTS subheadings shown herein include only those currently in effect plus certain no-longer-existent subheadings for which there were known imports entered under these no-longer-existent subheadings. These provisions primarily cover goods whose temporary subheadings were moved to sequences of subheadings on goods of a particular product group.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS--Continued

DEFINITIONS--Continued

A. CARBON AND ALLOY FLAT STEEL--Continued

- 2. Plate (including cut-to-length plate and clad plate).**—Cut-to-length plate is flat-rolled steel of rectangular cross-section, having a thickness of 4.75 mm or more and a width which exceeds 150 mm and measures at least twice the thickness. It is flat (i.e., not in coils, and may be of any shape (rectangular, circular, or other). It may have been produced by rolling on a sheared-plate mill or by flattening and cutting-to-length a coiled plate. It may have patterns-in-relief derived directly from rolling (e.g., floor plate). It may be perforated, corrugated, or polished. Plate may also have been subject to heat-treatment and have been descaled or pickled. Clad plate is a flat-rolled product of more than one metal layer, of which the predominating metal is non-alloy steel, and the layers are joined by molecular interpenetration of the surfaces in contact. The metal other than non-alloy steel may be stainless steel, titanium, or any other metal. The product may be in the form of a flat plate or a coiled plate, may be of any thickness, and may be either hot- or cold-rolled. Carbon and alloy steel cut-to-length and clad plate are provided for in the following HTS statistical reporting numbers:

7208.40.3030	7208.51.0045	7208.90.0000	7211.14.0030	7225.40.3050
7208.40.3060	7208.51.0060	7210.90.1000	7211.14.0045	7225.50.6000
7208.51.0030	7208.52.0000	7211.13.0000	7225.40.3005	7226.91.5000

Temporary HTS subheadings for plate (a term not used in the HTS) established by proclamation pursuant to trade legislation comprise:

- (1) 9903.72.50 through 9903.72.54, 9903.74.38 through 9903.74.42, 9903.74.45 through 9903.74.49, 9903.74.54, 9903.74.58 through 9903.74.60, 9903.74.70,¹³ and 9903.78.25 through 9903.78.28 for products excluded from the 203 remedy,
- (2) 9903.74.43, 9903.74.44, 9903.74.50 through 9903.74.53, 9903.74.55 through 9903.74.57, 9903.74.69,¹³ 9903.74.73,¹³ and 9903.78.29 through 9903.78.32 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.72.60, 9903.72.61, and 9903.72.62 entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing for products incurring, respectively, 30% additional tariffs through March 19, 2003, 24% additional tariffs through March 19, 2004, and 18% additional tariffs through March 20, 2005.

¹³ Although this no-longer-existent temporary HTS subheading was originally categorized as hot-rolled sheet and strip (including plate in coils) as described on the following page, it is believed that all imports entered under this subheading were indeed plate as described on this page.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

A. CARBON AND ALLOY FLAT STEEL--Continued

- 3. Hot-rolled sheet and strip (including plate in coils).**—Includes carbon and alloy flat-rolled steel of rectangular cross-section, produced by hot-rolling. If in coils, it may be of any thickness. If in straight lengths, it is of a thickness of less than 4.75 mm and a width measuring at least 10 times the thickness. It may have patterns-in-relief derived directly from rolling (e.g., floor plate). It may be perforated, corrugated, or polished; may be either unpickled or pickled; may have been subject to various processing after hot reduction, including pickling or descaling, rewinding, flattening, temper rolling, heat treatment; and may have been cut into shapes other than rectangular. Carbon and alloy steel hot-rolled sheet and strip (including plate in coils) is provided for in the following HTS statistical reporting numbers:

7208.10.1500	7208.27.0060	7208.39.0015	7211.19.1500	7225.30.3005
7208.10.3000	7208.36.0030	7208.39.0030	7211.19.2000	7225.30.3050
7208.10.6000	7208.36.0060	7208.39.0090	7211.19.3000	7225.30.7000
7208.25.3000	7208.37.0030	7208.40.6030	7211.19.4500	7225.40.7000
7208.25.6000	7208.37.0060	7208.40.6060	7211.19.6000	7226.91.7000
7208.26.0030	7208.38.0015	7208.53.0000	7211.19.7530	7226.91.8000
7208.26.0060	7208.38.0030	7208.54.0000	7211.19.7560	
7208.27.0030	7208.38.0090	7211.14.0090	7211.19.7590	

Temporary HTS subheadings for hot-rolled sheet and strip (terms not used in the HTS) established by proclamation pursuant to trade legislation comprise:

- (1) 9903.72.65 through 9903.72.73, 9903.74.61, 9903.74.63, 9903.74.64, 9903.74.74 through 9903.74.76, 9903.74.78 through 9903.74.84, 9903.74.86 through 9903.74.88, 9903.74.94, 9903.74.95, 9903.74.97, 9903.74.98, 9903.75.02, 9903.75.03, 9903.75.09, 9903.75.12, 9903.78.40 through 9903.78.47, 9903.78.57, 9903.78.58, 9903.78.60, and 9903.78.63 for products excluded from the 203 remedy,
- (2) 9903.72.74 through 9903.72.76, 9903.74.62, 9903.74.65, 9903.74.77, 9903.74.85, 9903.74.89 through 9903.74.91, 9903.74.96, 9903.74.99 through 9903.75.01, 9903.75.04 through 9903.75.08, 9903.75.10, 9903.75.13, 9903.75.14, 9903.78.48 through 9903.78.56, 9903.78.59, 9903.78.61, and 9903.78.62 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.72.80, 9903.72.81, and 9903.72.82 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 30% additional tariffs through March 19, 2003, 24% additional tariffs through March 19, 2004, and 18% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS--Continued

DEFINITIONS--Continued

A. CARBON AND ALLOY FLAT STEEL--Continued

- 4. Cold-rolled sheet and strip other than GOES (grain-oriented electrical steel).**—Includes carbon and alloy flat-rolled steel of rectangular cross-section, produced by cold rolling. If in coils, may be of any thickness. If in straight lengths, is of a thickness of less than 4.75 mm and a width measuring at least 10 times the thickness. It may have patterns-in-relief derived directly from rolling. It may be perforated, corrugated, or polished. May have been subject to various processing after cold reduction, including flattening, temper rolling, heat treatment, and may have been cut into shapes other than rectangular. Carbon and alloy steel cold-rolled sheet and strip other than GOES is provided for in the following HTS statistical reporting numbers:

7209.15.0000	7209.18.2510	7211.23.2000	7211.29.4500	7226.19.1000
7209.16.0030	7209.18.2550	7211.23.3000	7211.29.6030	7226.19.9000
7209.16.0060	7209.18.6000	7211.23.4500	7211.29.6080	7226.92.5000
7209.16.0090	7209.25.0000	7211.23.6030	7211.90.0000	7226.92.7005
7209.17.0030	7209.26.0000	7211.23.6060	7225.19.0000	7226.92.7050
7209.17.0060	7209.27.0000	7211.23.6075	7225.50.7000	7226.92.8005
7209.17.0090	7209.28.0000	7211.23.6085	7225.50.8010	7226.92.8050
7209.18.1530	7209.90.0000	7211.29.2030	7225.50.8015	
7209.18.1560	7211.23.1500	7211.29.2090	7225.50.8085	

Temporary HTS subheadings for cold-rolled sheet and strip (terms not used in the HTS) other than GOES established by proclamation pursuant to trade legislation comprise:

- (1) 9903.72.85 for products outside the scope of the 201 investigation and therefore excluded from the remedy, and 9903.72.86 through 9903.72.90, 9903.72.92 through 9903.72.96, 9903.75.15 through 9903.75.19, 9903.75.27, 9903.75.30 through 9903.75.46, 9903.75.48, 9903.75.49, 9903.75.51, 9903.75.53, 9903.75.56, 9903.75.57, 9903.75.59, 9903.75.60, 9903.75.68 through 9903.75.72, and 9903.75.76 through 9903.75.97 for other products excluded from the 203 remedy,
- (2) 9903.72.97 through 9903.73.00, 9903.75.20 through 9903.75.26, 9903.75.28, 9903.75.29, 9903.75.50, 9903.75.52, 9903.75.54, 9903.75.55, 9903.75.58, 9903.75.62 through 9903.75.67, and 9903.75.73 through 9903.75.75 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.73.02, 9903.73.03, and 9903.73.04 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 30% additional tariffs through March 19, 2003, 24% additional tariffs through March 19, 2004, and 18% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

A. CARBON AND ALLOY FLAT STEEL--Continued

- 5. Corrosion-resistant and other coated sheet and strip.**—Flat-rolled carbon or alloy steel with a metallic or nonmetallic coating, other than tin or tin-free steel, and other than clad. Includes, galvanized, aluminized, zinc-aluminum alloy coated, galvanized (heat-treated after coating), terns plate and terns coated sheets, painted, and coated with plastic. Carbon and alloy steel corrosion-resistant and other coated sheet and strip is provided for in the following HTS statistical reporting numbers:

7210.20.0000	7210.61.0000	7210.90.6000	7212.30.5000	7225.92.0000
7210.30.0030	7210.69.0000	7210.90.9000	7212.40.1000	7225.99.0010
7210.30.0060	7210.70.3000	7212.20.0000	7212.40.5000	7225.99.0090
7210.41.0000	7210.70.6030	7212.30.1030	7212.50.0000	7226.93.0000
7210.49.0030	7210.70.6060	7212.30.1090	7212.60.0000	7226.94.0000
7210.49.0090	7210.70.6090	7212.30.3000	7225.91.0000	7226.99.0000

Temporary HTS subheadings for corrosion-resistant and other coated sheet and strip (terms not used in the HTS) established by proclamation pursuant to trade legislation comprise:

- (1) 9903.73.07 and 9903.73.08 for products outside the scope of the 201 investigation and therefore excluded from the 203 remedy, and 9903.73.09 through 9903.73.14, 9903.76.00 through 9903.76.09, 9903.76.11 through 9903.76.13, 9903.76.17 through 9903.76.19, 9903.76.21 through 9903.76.25, 9903.79.60 through 9903.79.71, 9903.79.77, 9903.79.79, and 9903.79.80 for other products excluded from the 203 remedy,
- (2) 9903.76.10, 9903.76.14 through 9903.76.16, 9903.76.20, 9903.79.72 through 9903.79.76, and 9903.79.78 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.73.21, 9903.73.22, and 9903.73.23 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 30% additional tariffs through March 19, 2003, 24% additional tariffs through March 19, 2004, and 18% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS--Continued

DEFINITIONS--Continued

A. CARBON AND ALLOY FLAT STEEL--Continued

- 6. Tin mill products.**—Flat-rolled products of carbon or alloy steel, plated or coated with tin or with chromium oxides or with chromium and chromium oxides. May be either in coils or in straight lengths. Carbon and alloy steel tin mill products are provided for in the following HTS subheadings:

7210.11.00 7210.12.00 7210.50.00 7212.10.00

Temporary HTS subheadings for tin-mill products established by proclamation pursuant to trade legislation comprise:

- (1) 9903.73.26 for products outside the scope of the 201 investigation and therefore excluded from the 203 remedy, and 9903.73.27 through 9903.73.31, 9903.76.26 through 9903.76.28, 9903.76.30, 9903.76.31, 9903.76.35, 9903.76.37, and 9903.76.38 for other products excluded from the 203 remedy,
- (2) 9903.73.32, 9903.73.33, 9903.76.29, 9903.76.32 through 9903.76.34, 9903.76.36, 9903.76.39, and 9903.76.40 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.73.37, 9903.73.38, and 9903.73.39 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 30% additional tariffs through March 19, 2003, 24% additional tariffs through March 19, 2004, and 18% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

B. CARBON AND ALLOY LONG PRODUCTS

7. **Hot-rolled bar and light shapes.**—Bars are products which have a solid cross-section in the shape of circles, segments of circles, ovals, rectangles (including squares), triangles, or other convex polygons (including “flattened circles” and “modified rectangles,” of which two opposite sides are convex arcs, the other two sides being straight, of equal length, and parallel). Includes bars of a diameter of 19 mm or more in irregularly wound coils. Excludes carbon and alloy steel (including free-machining alloy steel) wire rod having a diameter of 5 mm or more but less than 19 mm. (These products are covered by section 203 relief on wire rod.) Includes free-machining carbon steel and high-nickel alloy steel bars and rods of any diameter. Includes angles, shapes, and sections (such as U, I, or H sections) not further worked than hot-rolled, hot-drawn, or extruded, with no linear dimension of 80 mm or greater when measured through a solid portion of the cross section. Includes hollow drill bars and rods of which the greatest external dimension of the cross-section exceeds 15 mm but does not exceed 52 mm, and of which the greatest internal dimension does not exceed one half of the greatest external dimension. Hollow bars and rods of iron or steel not conforming to this definition are included in pipe and tubing. Carbon and alloy steel hot-rolled bar and light shapes are provided for in the following HTS statistical reporting numbers:

7213.20.0000	7214.99.0030	7216.21.0000	7227.20.0090	7228.40.0000
7213.99.0060	7214.99.0045	7216.22.0000	7227.20.0095	7228.60.6000
7213.99.0090	7214.99.0060	7216.50.0000	7227.90.6005	7228.70.3020
7214.10.0000	7214.99.0075	7216.61.0000	7227.90.6051	7228.70.3040
7214.30.0000	7214.99.0090	7216.69.0000	7227.90.6058	7228.70.3060
7214.91.0015	7215.90.1000	7216.91.0000	7227.90.6059	7228.70.3080
7214.91.0060	7215.90.5000	7216.99.0000	7228.20.1000	7228.70.6000
7214.91.0090	7216.10.0010	7227.20.0000	7228.30.8005	7228.80.0000
7214.99.0015	7216.10.0050	7227.20.0010	7228.30.8050	

Please note that hot-rolled bars of ball-bearing steel (HTS 7227.90.1030, 7227.90.2030, 7228.30.2000, and 7228.60.1030), which were included in this category in investigation TA-201-73, were excluded from the remedy and are, therefore, NOT included in the hot-rolled bar and light shapes category for purposes of this investigation.

Temporary HTS subheadings for hot rolled bar and light shapes established by proclamation pursuant to trade legislation comprise:

- (1) 9903.73.42 for products outside the scope of the 201 investigation and therefore excluded from the 203 remedy, and 9903.73.43 through 9903.73.46, 9903.76.52 through 9903.76.54, 9903.76.56 through 9903.76.66, 9903.76.69 through 9903.76.74, 9903.76.76 through 9903.76.78, 9903.76.80 through 9903.76.85, 9903.80.40 through 9903.80.63, 9903.80.71, 9903.80.73 through 9903.80.81, 9903.80.83, and 9903.80.84 for other products excluded from the 203 remedy,
- (2) 9903.76.51, 9903.76.55, 9903.76.67, 9903.76.68, 9903.76.75, 9903.76.79, 9903.80.64 through 9903.80.70, 9903.80.72, and 9903.80.82 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.73.50, 9903.73.51, and 9903.73.52 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 30% additional tariffs through March 19, 2003, 24% additional tariffs through March 19, 2004, and 18% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS--Continued

DEFINITIONS--Continued

B. CARBON AND ALLOY LONG PRODUCTS--Continued

- 8. Cold-finished bar.**—Bars, as defined by shape above, not in coils, which have been subjected to a cold-finishing operation such as cold rolling, cold drawing, grinding, or polishing. Carbon and alloy steel cold-finished bars are provided for in the following HTS statistical reporting numbers:

7215.10.0000	7215.50.0060	7215.90.3000	7228.50.5005	7228.60.8000
7215.50.0015	7215.50.0090	7228.20.5000	7228.50.5050	

Please note that cold-finished bars of ball-bearing steel (HTS 7228.50.1010), which were included in this category in investigation TA-201-73, were excluded from the remedy and are, therefore, NOT included in the cold-finished bar category for purposes of this investigation.

Temporary HTS subheadings for cold-finished bar established by proclamation pursuant to trade legislation comprise:

- (1) 9903.76.87 through 9903.76.93, 9903.76.95 through 9903.77.27, 9903.77.29, 9903.81.00 through 9903.81.03, 9903.81.05 through 9903.81.09, and 9903.81.13 for products excluded from the 203 remedy,
- (2) 9903.76.86, 9903.76.94, 9903.77.28, 9903.81.04, and 9903.81.10 through 9903.81.12 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.73.60, 9903.73.61, and 9903.73.62 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 30% additional tariffs through March 19, 2003, 24% additional tariffs through March 19, 2004, and 18% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

B. CARBON AND ALLOY LONG PRODUCTS--Continued

- 9. Rebar.**—Hot-rolled products which have a solid cross-section as described for bars above, and which contains indentations, ribs, grooves, or other deformations produced during the rolling process or twisted after rolling, for the purpose of improving the bond with concrete. Carbon and alloy steel rebar is provided for in the following HTS subheadings:

7213.10.00 7214.20.00

Temporary HTS subheadings for rebar (a term not used in the HTS) established by proclamation pursuant to trade legislation comprise:

- (1) 9903.73.70 through 9903.81.73 for products excluded from the 203 remedy, and
- (2) 9903.73.69, 9903.73.70, and 9903.73.71 for products not excluded from relief and incurring, respectively, 15% additional tariffs through March 19, 2003, 12% additional tariffs through March 19, 2004, and 9% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

C. CARBON AND ALLOY TUBULAR PRODUCTS AND FITTINGS

10. Welded tubular products other than OCTG.—Tubular products that are produced by bending flat-rolled steel products to form the hollow product with overlapping or abutting seams. Most such products are fastened along the seam by welding, although clipping, riveting, and forging are also used to fasten a seam. The seam produced by the fastening method may run either longitudinally or spirally along the length of the product. Excludes OCTG and carbon quality steel welded line pipe of an outside diameter that does not exceed 406.7 mm (the latter product is covered by section 203 relief on line pipe). Carbon and alloy steel welded tubular products other than OCTG are provided for in the following HTS statistical reporting numbers:

7305.11.1030	7305.19.5000	7306.30.1000	7306.30.5055	7306.50.5070
7305.11.1060	7305.31.2000	7306.30.5010	7306.30.5085	7306.60.1000
7305.11.5000	7305.31.4000	7306.30.5015	7306.30.5090	7306.60.3000
7305.12.1030	7305.31.6000	7306.30.5020	7306.50.1000	7306.60.5000
7305.12.1060	7305.39.1000	7306.30.5025	7306.50.3000	7306.60.7060
7305.12.5000	7305.39.5000	7306.30.5032	7306.50.5010	7306.90.1000
7305.19.1030	7305.90.1000	7306.30.5035	7306.50.5030	7306.90.5000
7305.19.1060	7305.90.5000	7306.30.5040	7306.50.5050	

Temporary HTS subheadings for welded tubular products other than OCTG established by proclamation pursuant to trade legislation comprise:

- (1) 9903.73.74 and 9903.73.75 for products outside the scope of the 201 investigation and therefore excluded from the 203 remedy, and 9903.73.77, 9903.73.78, 9903.77.30, 9903.77.31, 9903.77.33 through 9903.77.35, 9903.77.37, 9903.77.38, 9903.77.40 through 9903.77.42, and 9903.82.90 through 9903.82.98 for other products excluded from the 203 remedy,
- (2) 9903.77.32, 9903.77.36, 9903.77.39, 9903.82.99, and 9903.83.00 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.73.84, 9903.73.85, and 9903.73.86 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 15% additional tariffs through March 19, 2003, 12% additional tariffs through March 19, 2004, and 9% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

C. CARBON AND ALLOY TUBULAR PRODUCTS AND FITTINGS—Continued

11. Flanges and fittings.—Includes fittings of carbon or alloy steel, mainly used for connecting the bores of two pipes or tubes together, or for connecting a pipe or tube to some other apparatus, or for closing the tube aperture. Does not include valves, or articles used for installing pipes and tubes but which do not form an integral part of the bore (e.g., hangers, stays, and similar supports, clamping or tightening bands, or collars (hose clips) used for clamping flexible tubing or hose to rigid piping, taps, connecting pieces, etc.) Carbon and alloy steel flanges and fittings are provided for in the following HTS statistical reporting numbers:

7307.91.5010	7307.91.5070	7307.92.9000	7307.93.9030	7307.99.5045
7307.91.5030	7307.92.3010	7307.93.3000	7307.93.9060	7307.99.5060
7307.91.5050	7307.92.3030	7307.93.6000	7307.99.5015	

Temporary HTS subheadings for flanges and fittings established by proclamation pursuant to trade legislation comprise:

- (1) 9903.77.51 for products excluded from the 203 remedy,
- (2) 9903.77.50 for products entered in quantities up to a stated limit without additional tariffs, and
- (3) 9903.73.93, 9903.73.94, and 9903.73.95 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 13% additional tariffs through March 19, 2003, 10% additional tariffs through March 19, 2004, and 7% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

D. STAINLESS STEEL PRODUCTS

12. Bar and light shapes.—Includes bars and rods not in irregularly wound coils; also includes hot-rolled bars and rods in irregularly wound coils of circular cross section with a diameter of 19 mm or more. (Bars are products which have a solid cross-section in the shape of circles, segments of circles, ovals, rectangles (including squares), triangles or other convex polygons (including “flattened circles” and “modified rectangles,” of which two opposite sides are convex arcs, the other two sides being straight, of equal length, and parallel)). Also includes angles, shapes, and sections (such as U, I, or H sections) with no linear dimension of 80 mm or greater when measured through a solid portion of the cross section, not further worked than hot-rolled, hot-drawn, or extruded. Stainless steel bar and light shapes are provided for in the following HTS subheadings:

7221.00.0045	7222.19.0050	7222.30.0000	7222.40.3045	7222.40.3085
7222.11.0005	7222.20.0005	7222.40.3020	7222.40.3060	7222.40.6000
7222.11.0050	7222.20.0045	7222.40.3025	7222.40.3065	
7222.19.0005	7222.20.0075	7222.40.3040	7222.40.3080	

Temporary HTS subheadings for stainless steel bar and light shapes established by proclamation pursuant to trade legislation comprise:

- (1) 9903.73.97 for products outside the scope of the 201 investigation and therefore excluded from the 203 remedy, and 9903.73.98, 9903.77.62 through 9903.77.67, 9903.77.70, 9903.77.72, 9903.77.75, 9903.77.77, 9903.77.79 through 9903.77.84, 9903.82.10, 9903.82.11, and 9903.82.13 through 9903.82.15 for other products excluded from the 203 remedy,
- (2) 9903.77.61, 9903.77.68, 9903.77.69, 9903.77.73, 9903.77.74, 9903.77.76, 9903.77.78, 9903.82.12, 9903.82.16, and 9903.82.17 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.74.04, 9903.74.05, and 9903.74.06 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 15% additional tariffs through March 19, 2003, 12% additional tariffs through March 19, 2004, and 9% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

D. STAINLESS STEEL PRODUCTS--Continued

13. Rod.—Stainless steel of solid cross-section in irregularly wound coils. If of circular cross-section, having a diameter of less than 19 mm. If of alloy containing 24 percent or more of nickel, by weight, or of a shape other than circular, may be of any size. Stainless steel rod is provided for in the following HTS statistical reporting numbers:

7221.00.0005 7221.00.0015 7221.00.0030 7221.00.0075

Temporary HTS subheadings for stainless steel rod established by proclamation pursuant to trade legislation comprise:

- (1) 9903.74.08 for products outside the scope of the 201 investigation and therefore excluded from the 203 remedy, and 9903.74.09 and 9903.77.85 for other products excluded from the 203 remedy,
- (2) 9903.77.86 through 9903.77.89 for products entered in quantities up to stated limits without additional tariffs, and
- (3) 9903.74.14, 9903.74.15, and 9903.74.16 for products entered in excess of quantities specified in (2), above, and products not covered by any exclusion; all of the foregoing incurring, respectively, 15% additional tariffs through March 19, 2003, 12% additional tariffs through March 19, 2004, and 9% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

D. STAINLESS STEEL PRODUCTS--Continued

14. Wire.—Cold-formed products in coils, of any uniform solid cross-section along their whole length, which do not conform to the definition of flat-rolled products. Stainless steel wire is provided for in the following HTS statistical reporting numbers:

7223.00.1015	7223.00.1045	7223.00.1075	7223.00.5000	7223.00.9000
7223.00.1030	7223.00.1060			

Temporary HTS subheadings for stainless steel wire established by proclamation pursuant to trade legislation comprise:

- (1) 9903.78.10 through 9903.78.16 for products excluded from the 203 remedy, and
- (2) 9903.74.22, 9903.74.23, and 9903.74.24 for products not excluded from relief and incurring, respectively, 8% additional tariffs through March 19, 2003, 7% additional tariffs through March 19, 2004, and 6% additional tariffs through March 20, 2005.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

Firm.—An individual proprietorship, partnership, joint venture, association, corporation (including any subsidiary corporation), business trust, cooperative, trustee in bankruptcy, or receiver under decree of any court.

Related firm.—A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

Establishment.—Each facility of a firm in the United States involved in the production, importation, and/or purchase of steel products (as listed and defined above), including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

United States.—For purposes of this investigation, the 50 States, Puerto Rico, the U.S. Virgin Islands, and the District of Columbia.

Importer.—Any person or firm engaged, either directly or through a parent company or subsidiary, in importing steel products (as listed and defined above) into the United States from a foreign manufacturer or through its selling agent.

Imports.—Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

Import quantities.—Quantities reported should be net of returns.

Import values.—Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

Purchaser.—Any person or firm engaged, either directly or through a parent company or subsidiary, in purchasing steel products (as listed and defined above) from another firm that produces, imports, or otherwise distributes steel products.

Purchases.—Purchases from all sources, NOT including direct imports from foreign producers (which should be reported in the importer questionnaire).

Purchase quantities.—Quantities reported should be net of returns.

Purchase values.—Values reported should be net values (i.e., gross purchase values less all discounts, allowances, rebates, and the value of returned goods), delivered to your U.S. receiving point.

Shipments.—Shipments of products produced in or imported by your U.S. establishment(s). Include shipments to the contracting firm of product produced by your firm under a toll agreement.

Shipment quantities.—Quantities reported should be net of returns.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

Shipment values.—Values reported should be net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your U.S. producing establishment(s) (for PRODUCER QUESTIONNAIRES) or U.S. point of shipment (for IMPORTER QUESTIONNAIRES). The value of domestic shipments to the contracting firm under a toll agreement is the conversion fee (including profit).

Types of shipments:

U.S. shipments.—Commercial shipments and company transfers within the United States.

Commercial shipments.—Shipments, other than company transfers, within the United States.

Company transfers.—Shipments made to related domestic firms, including product consumed internally by your firm.

Export shipments.—Shipments to destinations outside the United States, including shipments to related firms.

Inventories.—Finished goods inventory, not raw materials or work-in-progress.

The following definitions apply only to the PRODUCER QUESTIONNAIRE.

Average production capability.—The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

Toll agreement.—Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

Production.—All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Processing.—For purposes of this questionnaire, the term “processing” is used interchangeably with the word “production.”

PRWs.—Production and related workers, including working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant’s own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed.—Add the number of employees, both full-time and part-time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12.

GENERAL INFORMATION, INSTRUCTIONS, AND DEFINITIONS—Continued

DEFINITIONS—Continued

Hours worked.—Include time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight-time hours.

Wages paid.—Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

Fiscal year.—The 12-month period between settlement of your firm's financial accounts.

Purchases other than direct imports.—Purchases from U.S. producers, U.S. importers, and other U.S. sources.