

McMillan, 2004

# Nanotechnology in U.S. - Research and education and risk governance

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Chair, Subcommittee on Nanoscience, Engineering and Technology (NSET),  
National Science and Technology Council (NSTC)

Senior Advisor for Nanotechnology, National Science Foundation

**Buxton, October 12, 2004**

# *Topics*

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- **National Nanotechnology Initiative - the program and its timeline**
- **Major changes in the first four years - setting new goals in 2004**
- **Societal implications: immediate and long term issues**
- **The international context**

# Chances and risks of technology

- **Human potential and technological development are coevolving, and quality of life has increased with technological advancements**

**However, there is a perceived tension between the society and technology (maybe because significant changes, accelerated path, larger benefits & risks)**

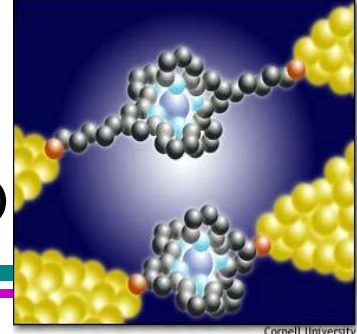
- **Technology implications are global issues (human development, EHS) that need to be addressed together**

**NNI – promotes multidomain approach, interagency and international collaborations**



# Nanotechnology

Definition on [www.nano.gov/omb\\_nifty50.htm](http://www.nano.gov/omb_nifty50.htm) (2000)



- **Working at the atomic, molecular and supramolecular levels, in the length scale of approximately 1 – 100 nm range, in order to understand, create and use materials, devices and systems with fundamentally new properties and functions because of their small structure**
- ▶ **NNI definition encourages new contributions that were not possible before.**
  - exploit novel phenomena, properties and functions at nanoscale, which are nonscalable outside of the nm domain
  - the ability to measure / control / manipulate matter at the nanoscale in order to change those properties and functions
  - integration along length scales into larger systems



# NNI - Why nanotechnology is important?

- **Reaching at the foundation of matter**  
Historical event in understanding, control and transformation of natural/living and manmade systems (natural threshold)
- **The long term societal implications – driver 2000**  
Improved knowledge, quality of life, and environment  
Create foundation for a new industrial revolution
- **Higher purpose goals than development of NT**
  - More basic and unifying science and education
  - Higher efficiency processes and novel products
  - Molecular medicine
  - Extend the limits of sustainable development
  - Increased coherence/integration of S&T policies

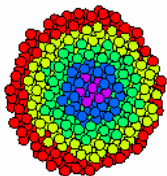
*10-20 years vision*

# Timeline for beginning of industrial prototyping and commercialization

Increased integration, system approach

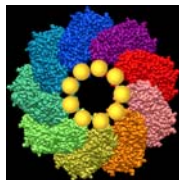
Converging science and engineering

- **1st Generation: Passive nanostructures ~ 2001**



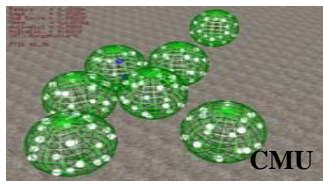
Ex: coatings, nanoparticles, nanostructured metals, polymers, ceramics

- **2nd Generation: Active nanostructures ~ 2005**



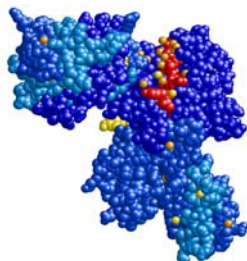
Ex: transistors, amplifiers, targeted drugs, actuators, adaptive structures

- **3rd Generation: Systems of nanosystems ~ 2010**



Ex: guided molecular assembling; 3D networking and new system architectures, robotics, supramolecular

- **4th Generation: Molecular nanosystems ~ 2020**



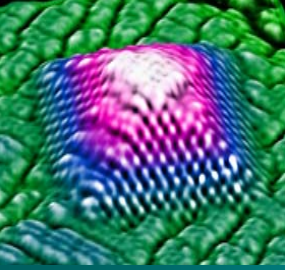
Ex: molecules as devices/components 'by design', based on atomic design, hierarchical emerging functions, evolutionary systems



# BROAD SOCIETAL IMPLICATIONS: Unexpected consequences and risks (sample of issues)



- ❑ Knowledge base: creation of organisms? philosophical issues?
- ❑ New technologies and products: industry restructuring?  
Materials beyond chemistry: new material properties? safety?  
Electronics: society as an interconnected brain? privacy?  
Pharmaceuticals: secondary effects of medication? behavior control?  
Quality of life? New chemical manufacturing methods? . . . . .
- ❑ Changing jobs and organizations. Nano-divide?
- ❑ Improved healthcare: ethical and social issues? human dignity?
- ❑ Sustainability: impact of nanostructures on environment?  
cleaning existing contaminants? What is the new population limit for  
sustainable development with nanotechnology?

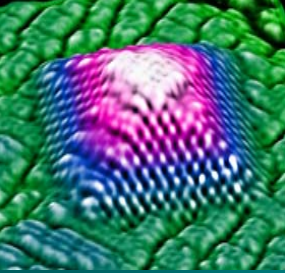


# NATIONAL NANOTECHNOLOGY INITIATIVE

## - Timeline (Preparing NNI) -

- March 1991 “Nanoparticle Synthesis and Processing” (NSF program)  
First workshop including safety of nanoparticles (Nov. 1990)
- Nov. 1996 Nanotechnology Group (bottom-up)
- **Sept. 1998** **NSTC establishes Interagency Working Group of Nanoscience and Engineering (IWGN)**
  
- March 1999 OSTP/CT presentation on NNI, Indian Treaty Room
- Oct. – Dec. 1999 OMB review – NNI the only new topic recommended  
PCAST – Letter to the President supporting NNI  
OSTP and WH Approval
- **Jan. 2000** **NNI announced by the President in Jan 2000**

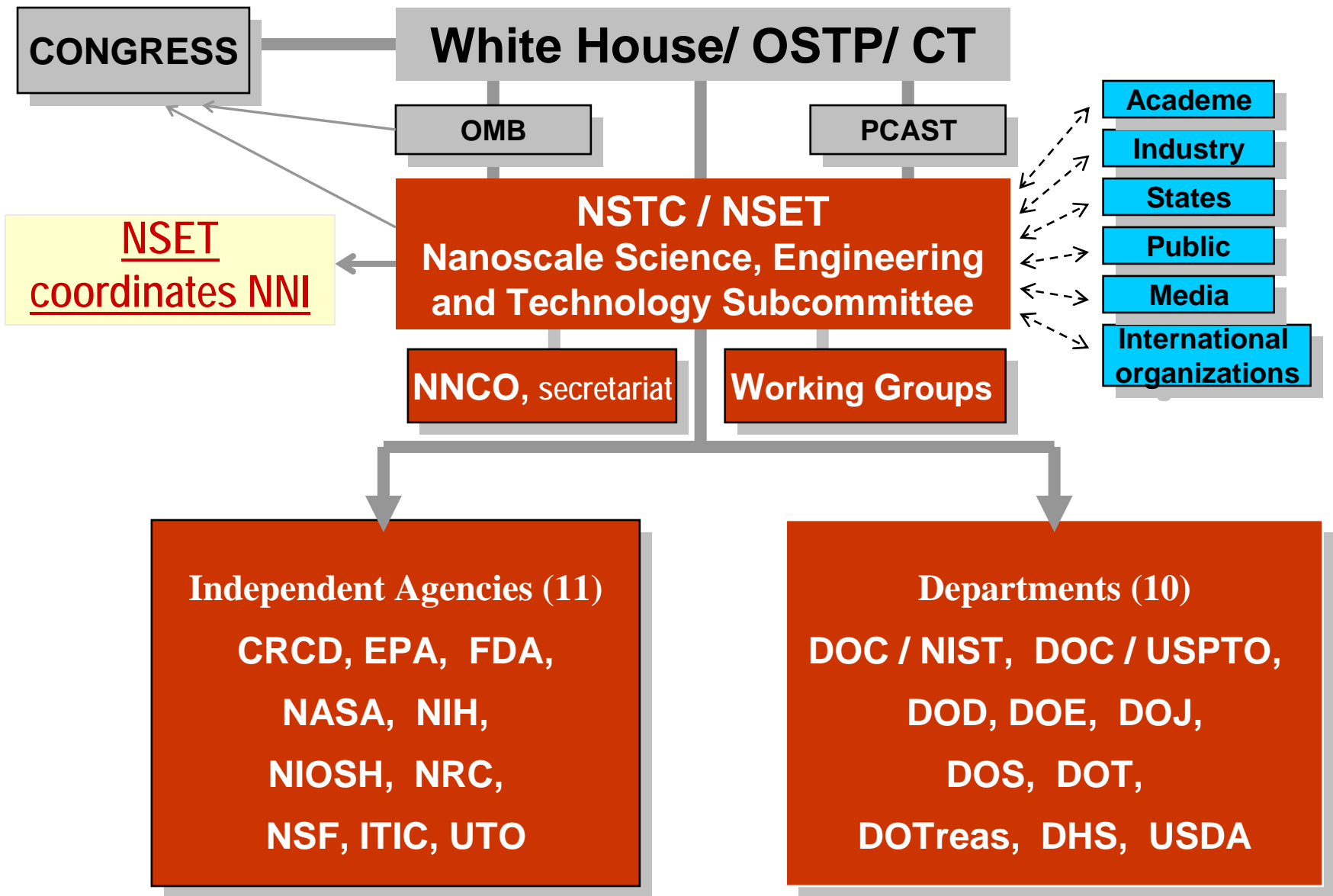




# NATIONAL NANOTECHNOLOGY INITIATIVE

- Timeline fiscal years (FYs) 2001-2004 -

- **Feb. – Dec.. 2000: WH Congress review and approve FY 2001 NNI**  
NSTC establishes NSET for implementation NNI, July 2000  
“Societal Implications” workshop in Sept. 2000
- **FY 2001**      **6 agencies; actual investment \$465M**  
Concerns about the interest, “science fiction” perception  
MOU to establish NNCO, Jan. 2001
- **FY 2002**      **12 agencies; actual investment \$697M**  
International reaction: programs in 30 countries  
Industry get involved in many sectors  
20 states and regional alliances begin to invest
- **FY 2003**      **16 agencies ; actual investment \$862M**  
Outcomes: research, education, industry and  
states investments, patents, IPO; GMO perspective
- **FY 2004**      **21 agencies, WH Request - \$961M;**  
**2 Bills in Congress for FY04-08; The President signs**  
**Public Law 108-153 “21<sup>st</sup> Century NT R&D Act”**  
OSTP-OMB: NNI is a national priority



## National Nanotechnology Initiative coordination

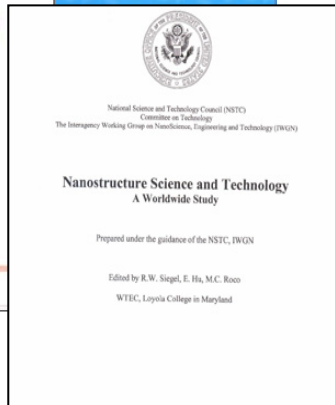
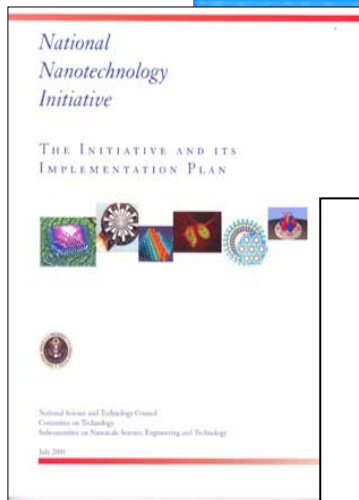
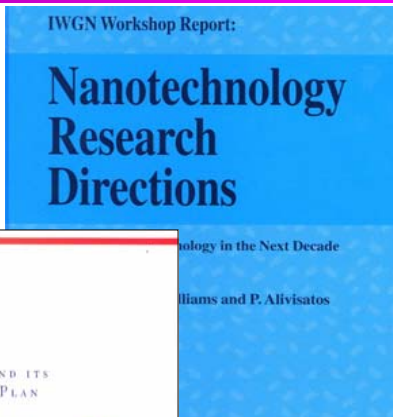
(Levels: National / Federal agencies, Each agency / Partnerships with industry, states, regional, international / Interaction with public, media)

# Defining the vision (I)

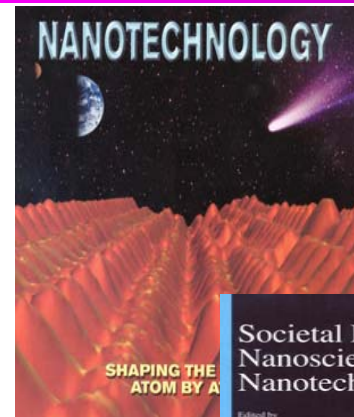
# National Nanotechnology Initiative

1999-2000

1999:  
10-year  
vision

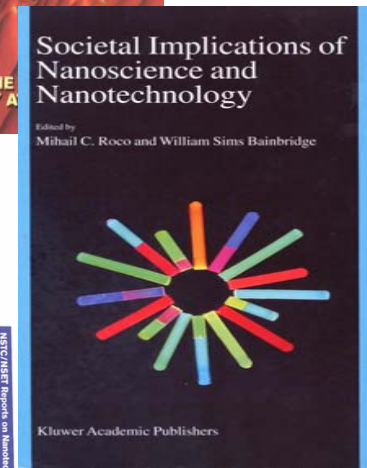


## Reports



Brochure for  
public

Societal  
implications



Government  
plan

Worldwide  
benchmark

FY 01-05: RD1 provides a foundation for annual NNI plans

June 2002: "Review of NNI" by U.S. Academies for WH/OSTP

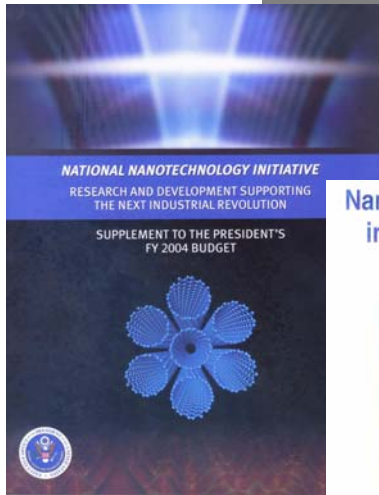
*Focus on Knowledge Creation: same principles, phenomena, tools, architectures to support innovation in various areas of relevance*

# Defining the vision (II)

# National Nanotechnology Initiative

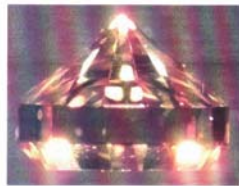
2004

2004:  
10-year  
vision



Government  
Plan (annual)

Nanomanufacturing Industry  
in the U.S. – Survey 2003



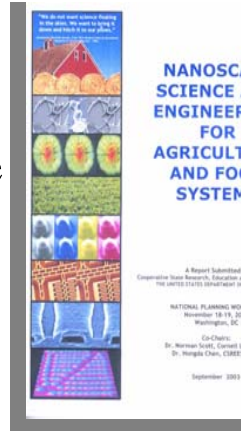
Final Report to National Science Foundation  
May 12, 2004

NSF Award #03-0130703 (Programmed by  
National Center for Manufacturing Sciences)



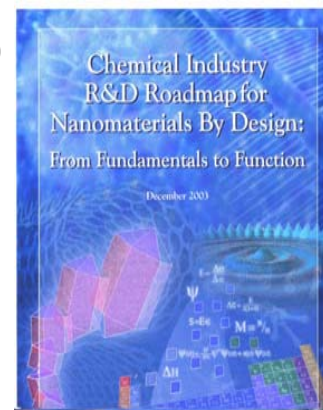
Nanomanufacturing Industry – Survey 2003

Agriculture  
and Food

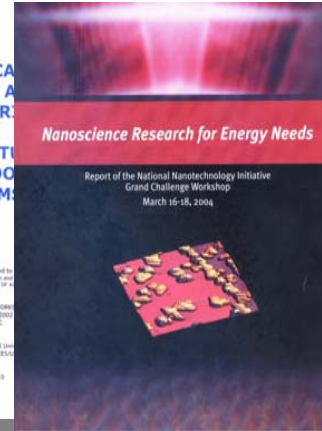


Reports

Survey  
manufacturing

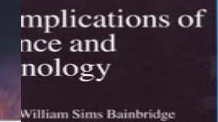


Other topical reports  
on [www.nano.gov](http://www.nano.gov)



Energy

Societal  
Implications  
2004



2004: Update 10 year vision, and develop strategic plan

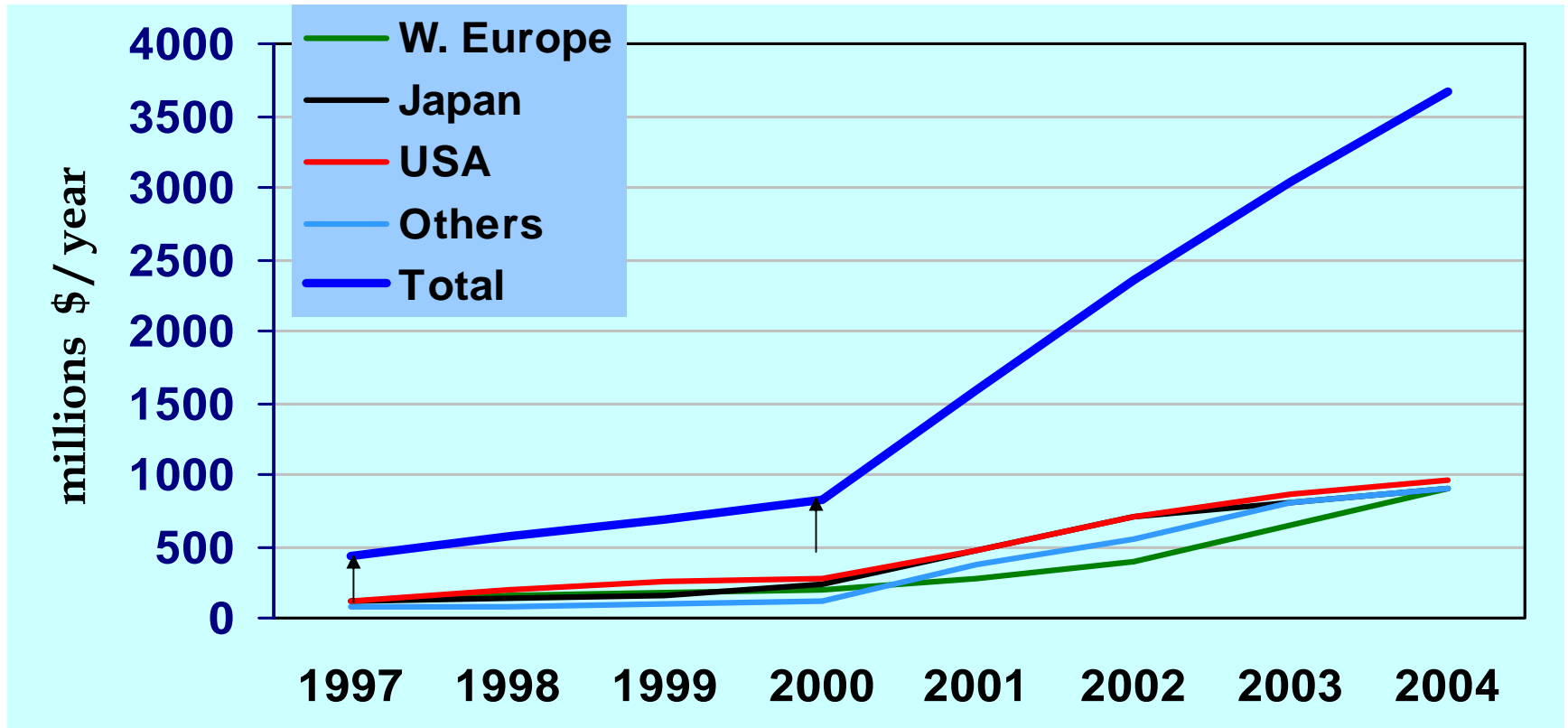
# NNI: R&D Funding by Agency

<i>Fiscal year</i> (all in million \$)	<i>2000</i> Actual	<i>2001</i> Enact/Actual	<i>2002</i> Enact/Actual	<i>2003</i> Enact/Actual	<i>2004</i> Req./ Enact	<i>2005</i> Req
National Science Foundation	97	150 /150	199 /204	221 /221	249 /254	305
Department of Defense	70	110 /125	180 /224	243 /322	222 /315	276
Department of Energy	58	93 /88	91.1 /89	133 /134	197 /203	211
National Institutes of Health	32	39 /39.6	40.8 /59	65 /78	70 /80	89
NASA	5	20 /22	35 /35	33 /36	31 /37	35
NIST	8	10 /33.4	37.6 /77	66 /64	62 /63	53
EPA	-	/5.8	5 /6	5 /5	5 /5	5
Homeland Security (TSA)	-		2 /2	2 /1	2 /1	1
Department of Agriculture	-	/1.5	1.5 /0	1 /1	10 /1	5
Department of Justice	-	/1.4	1.4 /1	1.4 /1	1.4 /1	1
<b>TOTAL</b>	<b>270</b>	<b>422 /465</b>	<b>600 /697</b>	<b>770 /862</b>	<b>849 /961</b>	<b>982</b>
		+72%	+50%	+24%		

- Industry, state and local organizations: about 1.5 times NNI budget in 2003
- 21 NSET departments / agencies, including: OSTP, NSTC, OMB, DOC, DOS, DOT, DOTreas, FDA, NRC, DHS, IC, NIOSH, USPTO; partnerships with others
- NNI budget: 65% to academia; 25% - R&D labs; 10% - industry (7% SBIR)

# Context – Nanotechnology in the World

## Past government investments 1997-2004 (est. NSF)



### Note:

- U.S. begins FY in October, six months in advance of EU & Japan (in March/April)



# About 50% of the highly cited papers

## in key journals originate in US, and about 35% in Europe

(“nano\*” keyword search, after NNI Report, 2005)

**Journal ISI with high  
Impact Factors (2001):**

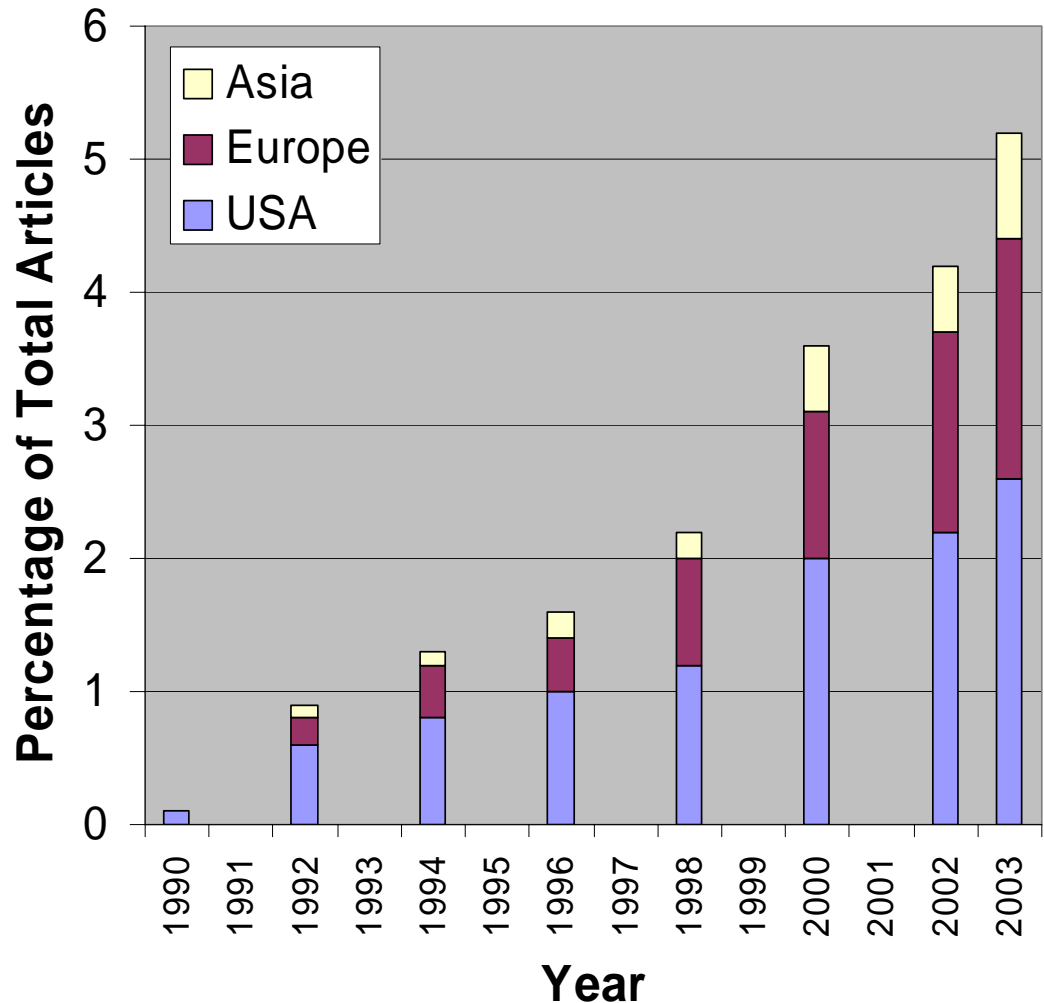
**Nature 27.9**

**Science 23.3**

**Physics Review Letters 6.6**

All others journals have  
impact factors under 4

Correlates well with  
the overall papers  
with ISI high impact

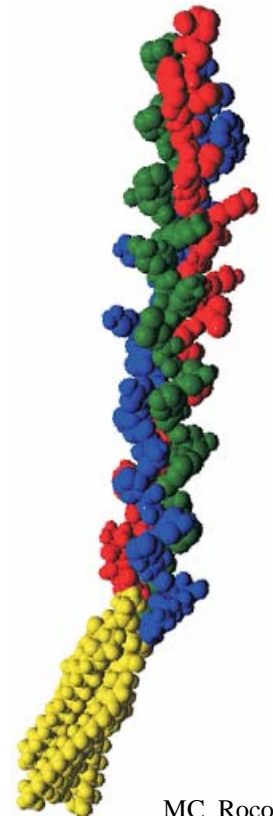
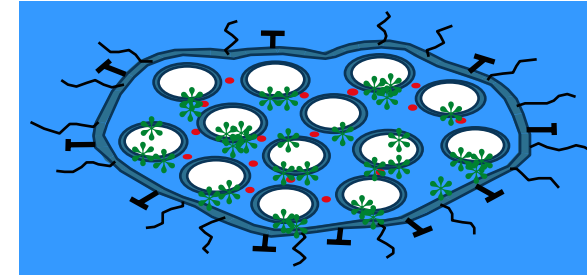


Example:

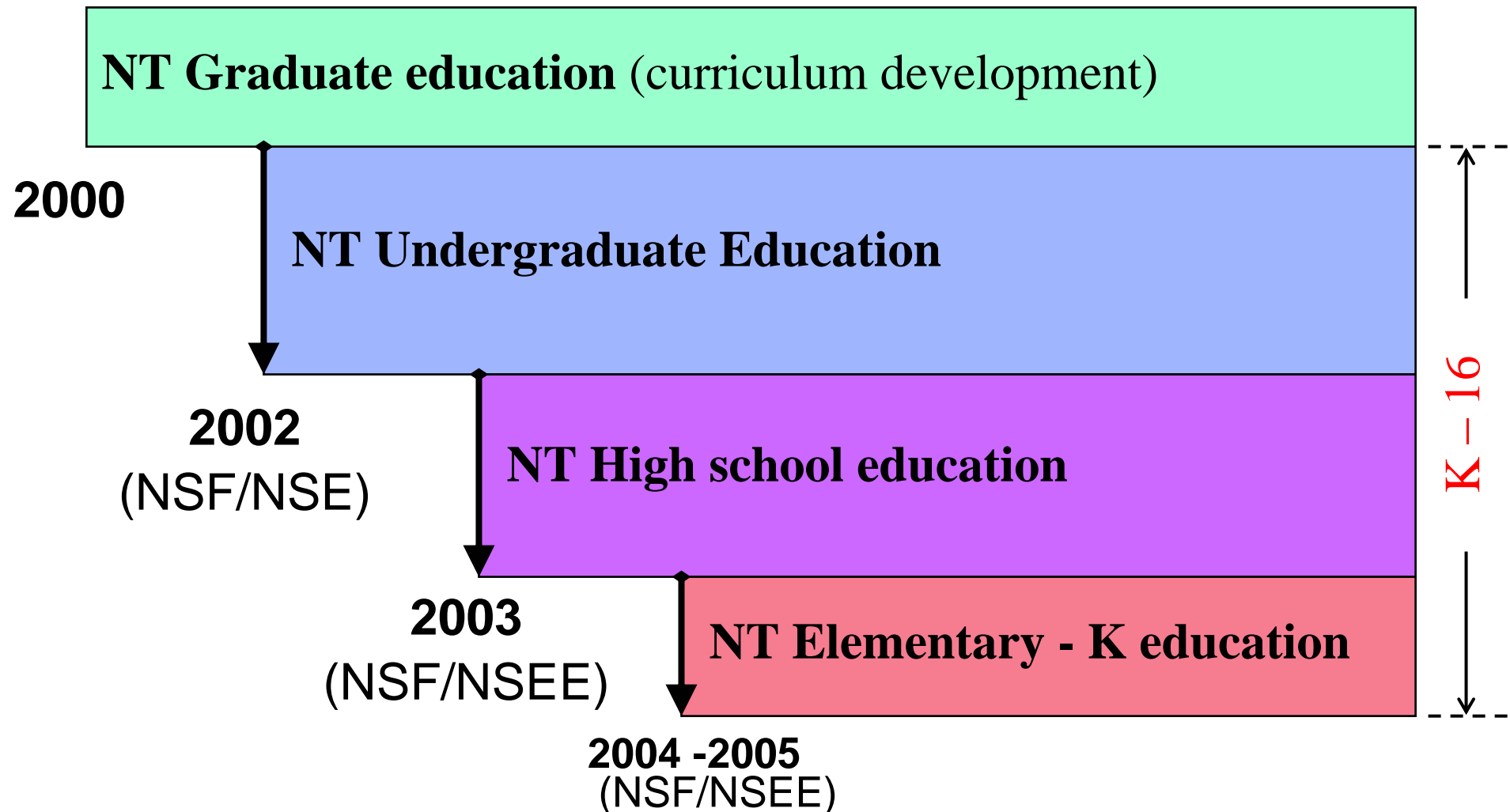
# Synthesis and control of nanomachines

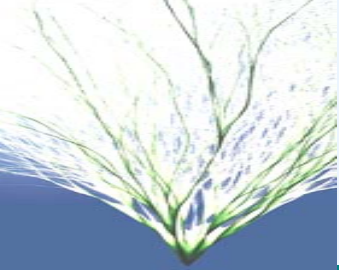
(examples NSE in 2004, [www.nseresearch.org](http://www.nseresearch.org) - 250 projects)

- ❑ **Self-assembly processing** of nanoscale bio-materials and devices for micromachines components (UCSB)
- ❑ Chemistry to synthesize components of **nano machines to work on surfaces** and be activated by external electromagnetic fields (UCB)
- ❑ **Light driven molecular motors** (U. Nevada)
- ❑ **Combinatorial engineering of nanomachines**, with application to membranes and filters (U. Penn.)
- ❑ **Nanoengineering surfaces** for probing viral adhesion (UC Davis)



# Introducing earlier nanotechnology education (NSF: Nanoscale Science and Engineering Education)





# Infrastructure Outcomes of 2001-2004: R&D Networks and User Facilities

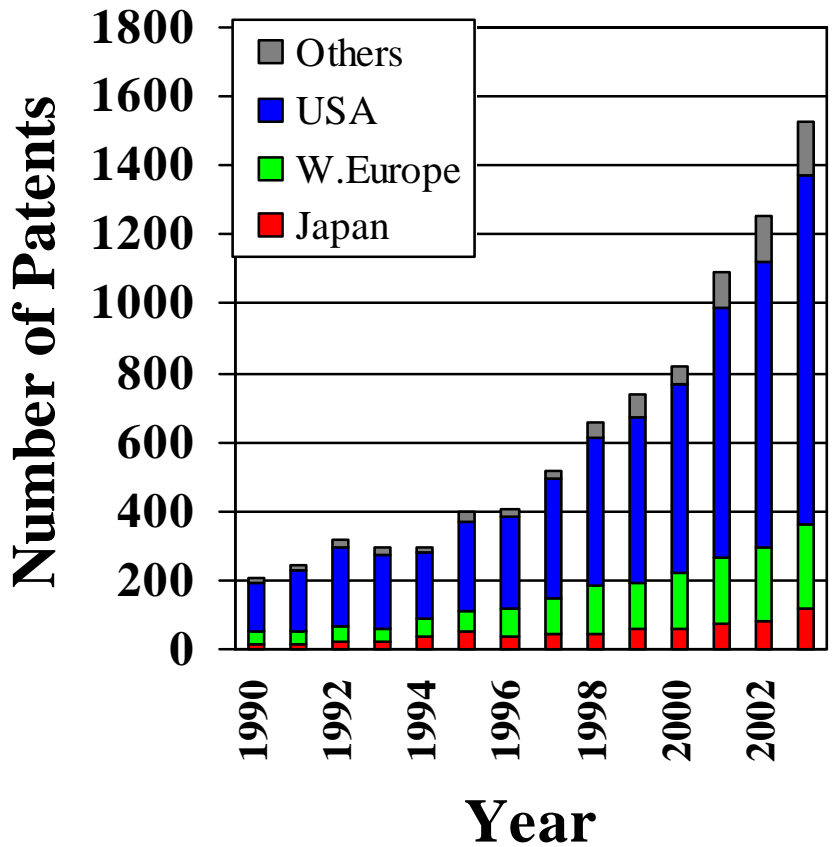
- **Network for Computational Nanotechnology (NCN)**  
7 universities (Purdue as the central node)  
Nanoelectronic device simulation/modeling
- **National Nanotechnology Infrastructure Network (NNIN)**  
13 universities with user facility  
Development measuring & manufacturing tools, including NEPM  
Education and societal implications
- **Oklahoma Nano Net (EPSCoR award)**
- **DOE network for large scale facilities: 5 National Labs**

31 new centers and networks supported by NNI since 2001:  
19 NSF, 5 DOE, 3 DOD, 4 NASA (at universities); continuing MRSECs

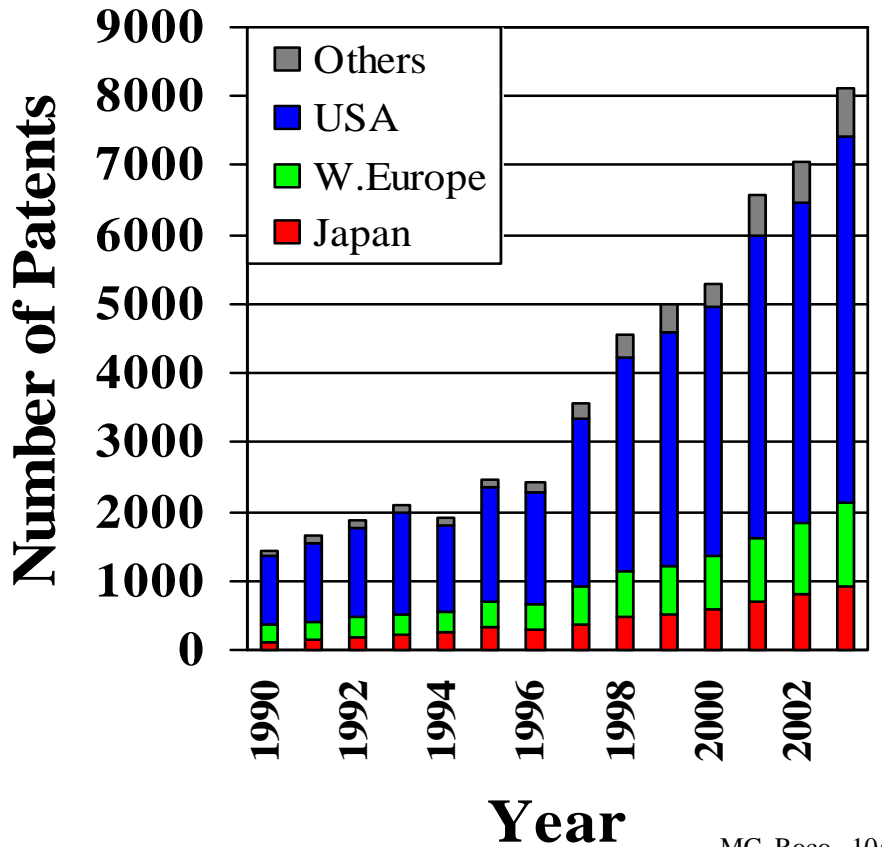
# US has about 61% of world NT Patents (USPTO database)

using “Title-claims” and “Full-text” search for nanotechnology by keywords  
 (using intelligent search engine, after J. Nanoparticle Research, 2004, Vol. 6, Issue 4)

## “Title-claims” search: nanotechnology claims



## “Full-text” search: nanotechnology claims, or/and NSE tools and methods



# NNI-Industry Consultative Boards for Advancing Nanotech

Key for development of nanotechnology, Reciprocal gains

## ❑ **NNI-Electronic Industry (SRC lead), October 2003**

Collaborative activities in key R&D areas

5 working groups, Periodical joint actions and reports

NSF-SRC agreement for joint funding; other joint funding



## ❑ **NNI-Chemical Industry (CCR lead)**

Joint road map for nanomaterials R&D

2 working groups, including on EHS

Use of NNI R&D results, and identify R&D opportunities



## ❑ **NNI – Organizations and business (IRI lead)**

Joint activities in R&D technology management

2 working groups (nanotech in industry, EHS)

Exchange information, use NNI results, support new topics



## ❑ **In developments: NNI - Pharmaceuticals (Pharma lead) NNI - Automotive industry**



# Industry surveys

## - Companies working in nanotechnology

Survey by **Small Times** in 2004, based on individual contacts and direct verification:

875 nanotech companies

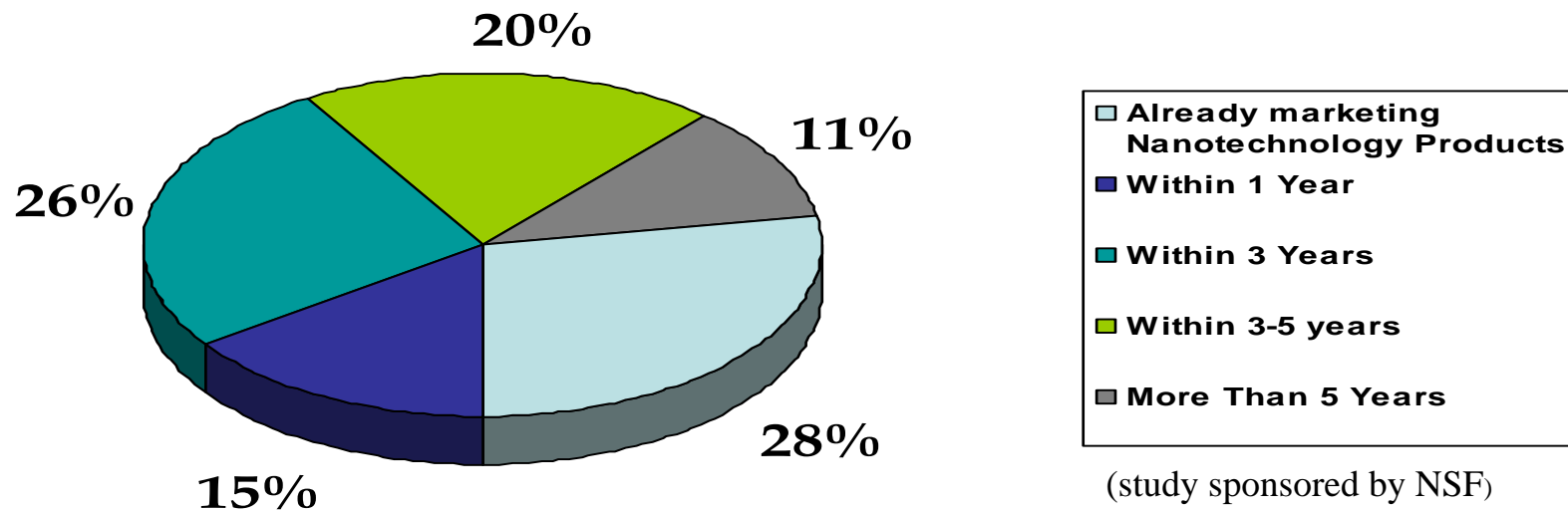
475 products in 215 companies

## - Timeline for commercialization

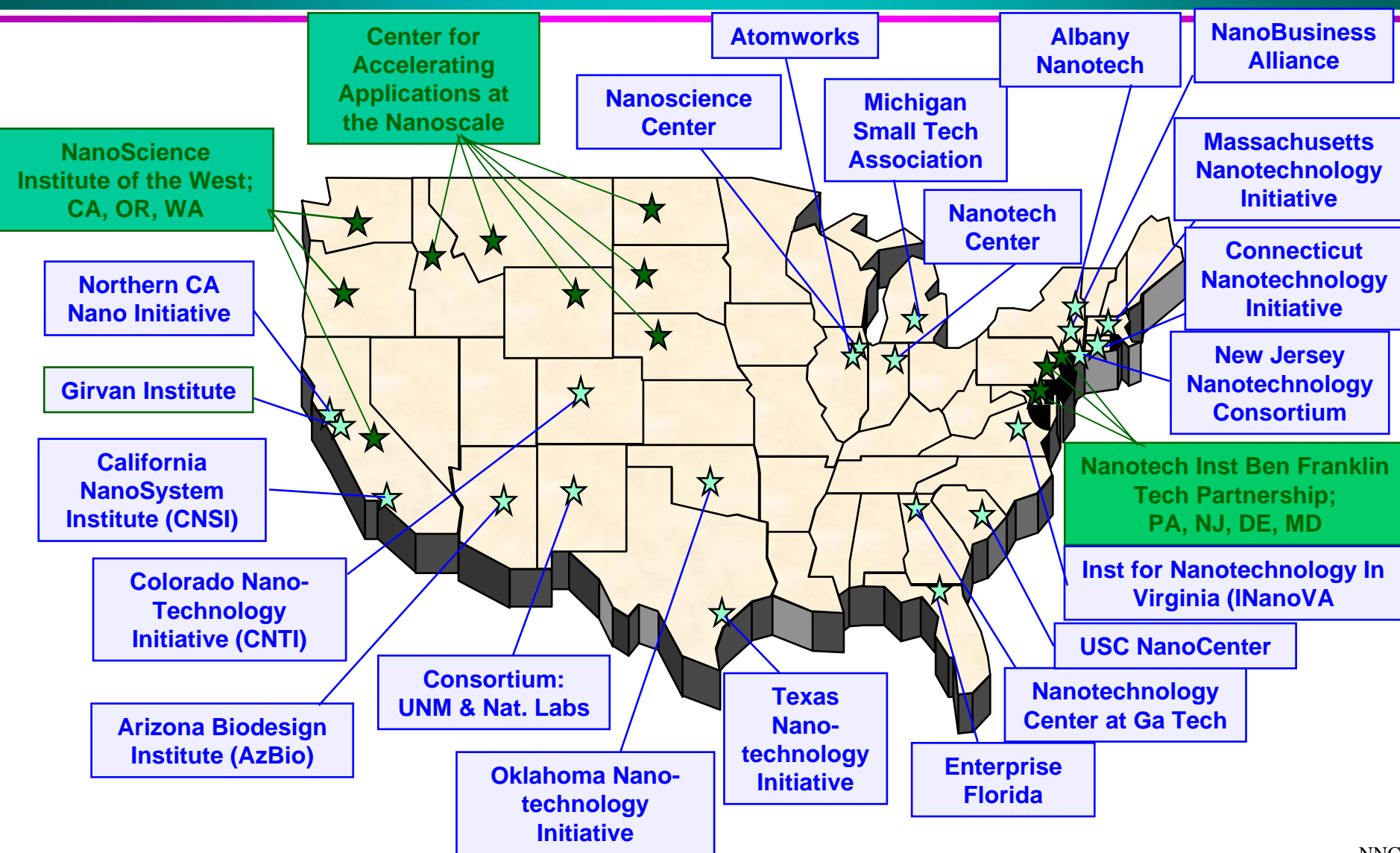
Survey by **National Center for Manufacturing Sciences:**

81 manufacturing companies:

89% expect products in less than 5 years



# Sampling of Current Regional, State, & Local Initiatives in Nanotechnology



# Societal Implications: Follow-up of the September 2000 report

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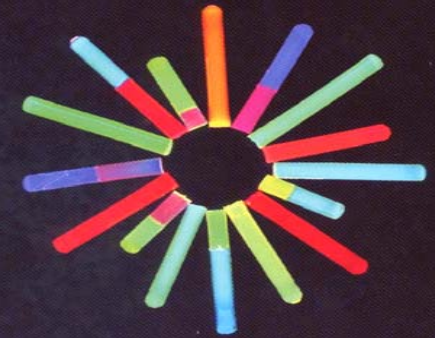
- Make support for social, ethical, and economic research studies a priority:
  - (a) New theme in the NSF program s
  - (b) Centers with societal implications
  - (c) Initiative on the impact of technol

NBIC, HSD

- NNCO – communicate with the public and address EHS, unexpected consequences
- International links: Americas, Asia, Europe
- Periodical Societal Implications / Env. meetings

Societal Implications of  
Nanoscience and  
Nanotechnology

Edited by  
Mihail C. Roco and William Sims Bainbridge



Kluwer Academic Publishers

<http://nano.gov>

# Key issues in long term

- Respect human right to: access to knowledge and welfare; human integrity, dignity, health and safety
- Balanced and equitable R&D nanotechnology investment
- Environment protection and improvement (water, air, soil)  
Sustainable development, life-cycle of products, global effects (weather), eliminate pollution at the source
- Economic, legal, ethical, moral, regulatory, social and international (developed-developing countries) aspects  
Interacting with the public and organizations
- Adaptive/corrective approach for a complex system

## Immediate and continuing issues:

- *EHS in research laboratories and industrial units*
- *Harmonizing nomenclatures, norms and standards*
- *Primary data and methodology for risk analysis*

# NNI activities

## for Environmental, Health and other Societal Implications

- A. Align R&D investment with societal implications*
- B. Evaluate and implement regulatory standards*
- C. Coordinated measures for EHS and ELES*
- D. Periodical meeting for grantees,  
setting research targets, and  
interaction with industry and the public*
- E. International collaboration (International Dialog  
for Responsible R&D of Nanotechnology)*

## A. NNI coordination for R&D investments

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- **NSF** research grants on environmental and societal implications  
*All basic R&D areas, fate and transport of particles;  
Programs since 2000*
- **NIH** research on effects of nanoscale materials in the body
- **EPA** research grants on environmental implications of manufactured nanomaterials
- **National Toxicology Program (NIEHS, NCTR, NIOSH)**  
*Project to study toxicity of nanotubes, quantum dots, and titanium dioxide*
- **NIST** development of standards and measurements for nanoscale particles
- **FDA and USPTO** training and specialized activities
- **USDA and DOE** support fate and transport studies
- **DOD** supports exposure studies
- **Solicitations (SI):** NSF (ENV SI) EPA-NSF-NIOSH USDA NIH



# Investment in societal (ethical, economic, etc.), educational and environmental implications

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**Increased NNI investments are planned**, because of (a) creation of new nanostructures and advancing knowledge; (b) nanotechnology products move to the market; and (c) growth of interdisciplinary societal implication research

## a. For societal and educational implications

(Cross-cutting, including contributions from student fellowships).

**FY 2004 ~ \$45M** NSF (\$37M+\$3M = \$40M), DOD (\$2M), NIH (\$2M), NASA (\$1.2M), NIST, EPA

**Note: \$45M is ~ 4-5% of \$960M**

## b. For nanoscale R&D with relevance to environment/health/safety

(Crosscutting, including env. processes, benign nano-manufacturing, implications)

**FY 2004 ~ \$95M** NSF (\$41M), NIH (\$33M), EPA (\$5M), DOE, USDA, NIST, NASA, NIOSH, FDA  
(It includes relevant basics and implications)

**Note: \$95M is ~ 10% of \$960M**

**(\$142M including +\$47M for NIH health applications)**

# NSF **environmental centers** and interdisciplinary groups with research and education at the nanoscale

<b>Center</b> (details on <a href="http://www.nsf.gov/home/crssprgm/nano/nni01_03_env.htm">www.nsf.gov/home/crssprgm/nano/nni01_03_env.htm</a> )	<b>Institution</b>
<b>Fundamental Studies of Nanoparticles Formation in Air Pollution</b>	<b>Worcester Polytechnic Institute (\$2.7M)</b>
<b>Center for Advanced Materials for Water Purification</b>	<b>University of Illinois at Urbana (\$20.1M)</b>
<b>Center for Environmentally Responsible Solvents and Processes</b>	<b>University of North Carolina at Chapel Hill (\$25.0M)</b>
<b>Nanoscience in Biological and Environmental Engineering (estimated 50% in environment)</b>	<b>Rice University (\$11.8M)</b>
<b>Environmental Molecular Science Institute</b>	<b>Univ. of Notre Dame (\$5M)</b>
<b>NIRT: Investigating Nano-carbon Particles in the Atmosphere: Formation and Transformation</b>	<b>University of Utah (\$1.7M)</b>
<b>NIRT: Nanoscale Processes in the Environment - Atmospheric Nanoparticles</b>	<b>Harvard University (\$1.6M)</b>
<b>Center for Advanced Computational Environment</b>	<b>SUNY Buffalo (\$5.5M)</b>
<b>NIRT: Nanoscale Sensing Device for Measuring the Supply of Iron to Phytoplankton in Marine Systems</b>	<b>University of Maine (\$0.9M)</b>

# Environmental Molecular Science Institute

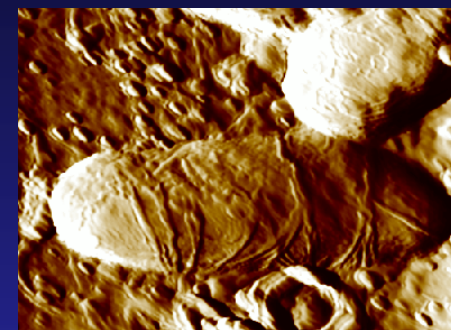
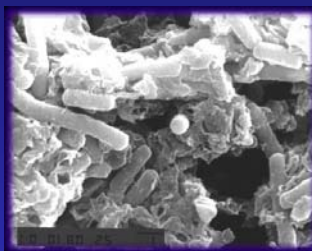
Jeremy Fein, University of Notre Dame

Funding: NSF, DOE

## Science/Engineering Projects

**Mission:** Determine the effects of nano- and micro-particles on heavy metal and radionuclide transport in geologic systems.

- Bacteria
- Natural Organic Matter
- Nanoscale Mineral Aggregates



## National Lab/Industry Partnerships

- Argonne (APS; Actinide Facility)
- Sandia (molecular dynamics modeling)
- Oak Ridge (geomicrobiology)
- DuPont Engineering Technologies



## Education/Outreach Projects

- REU Summer Program
- High School Student Internships
- Active Recruitment of Under-represented Groups with G.E.M.
- National Lab/Industry Internships



# Examples of nano-environmental projects: understanding the implications

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- **Nanoparticles in the environment**

A. Novrotski, UC Davis (IGERT); U. Kortshagen U Minnesota (IGERT)

- **Nano-colloids (metals, actinides) in aquatic systems**

P. Santschi, TAMU (NIRT); JB Fein, Env Molecular Science Institute, UND

- **Surface reactivity of nanostructures in environment**

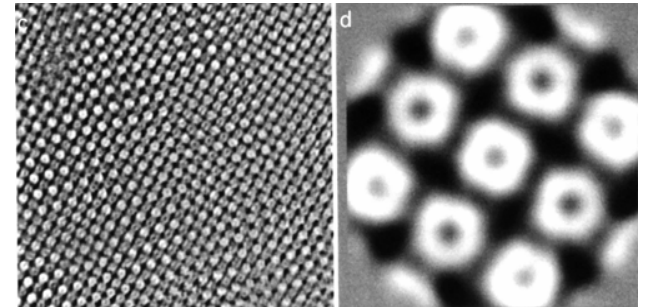
JF Banfield, UCB; PT Cummins, UVB; MK Ridley, TX Tech Univ (NIRT)

- **Application of quantum dots to environment and cell biology,**

AK Sengupta, Lehigh U.

- **Molecular Minerals- Microbial interactions in the environment**

M. Nanny, U. Okla.; MF Hochella, U. VA. (NIRT)



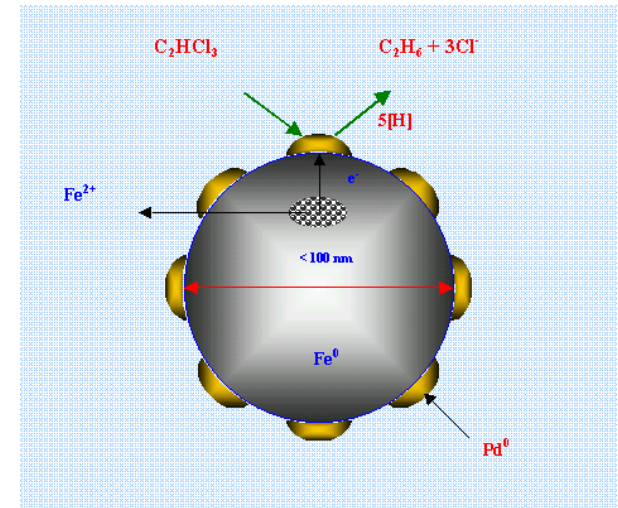
Protein layers of 11 nm diameter by bacteria

- **Biological and environmental nanotechnology**

V. Colvin, Rice U. (NSEC)

# Examples of nano-environmental research: improving the implications

- Sequestration of volatile organic nanocompounds in environment  
EJ Leboeuf, U. Venderbild (CAREER)
- Nanoscale photocatalyst for destruction of environmental pollutants  
JC Crttenden, MTU (NER)
- Environmental friendly processing of metal oxide suspensions  
RM Davis, VPI
- Nanoscale metal particles:  
remediation in groundwater  
W. Zhang, Lehigh U. (CAREER)
- Nanobiosensor using dynamic AFM  
JW Schneider, CMU (NER)
- Magnetic separation for environmentally benign processing  
JA Ritter, USC Columbia



# NNI projects supporting **toxicity research** (examples)

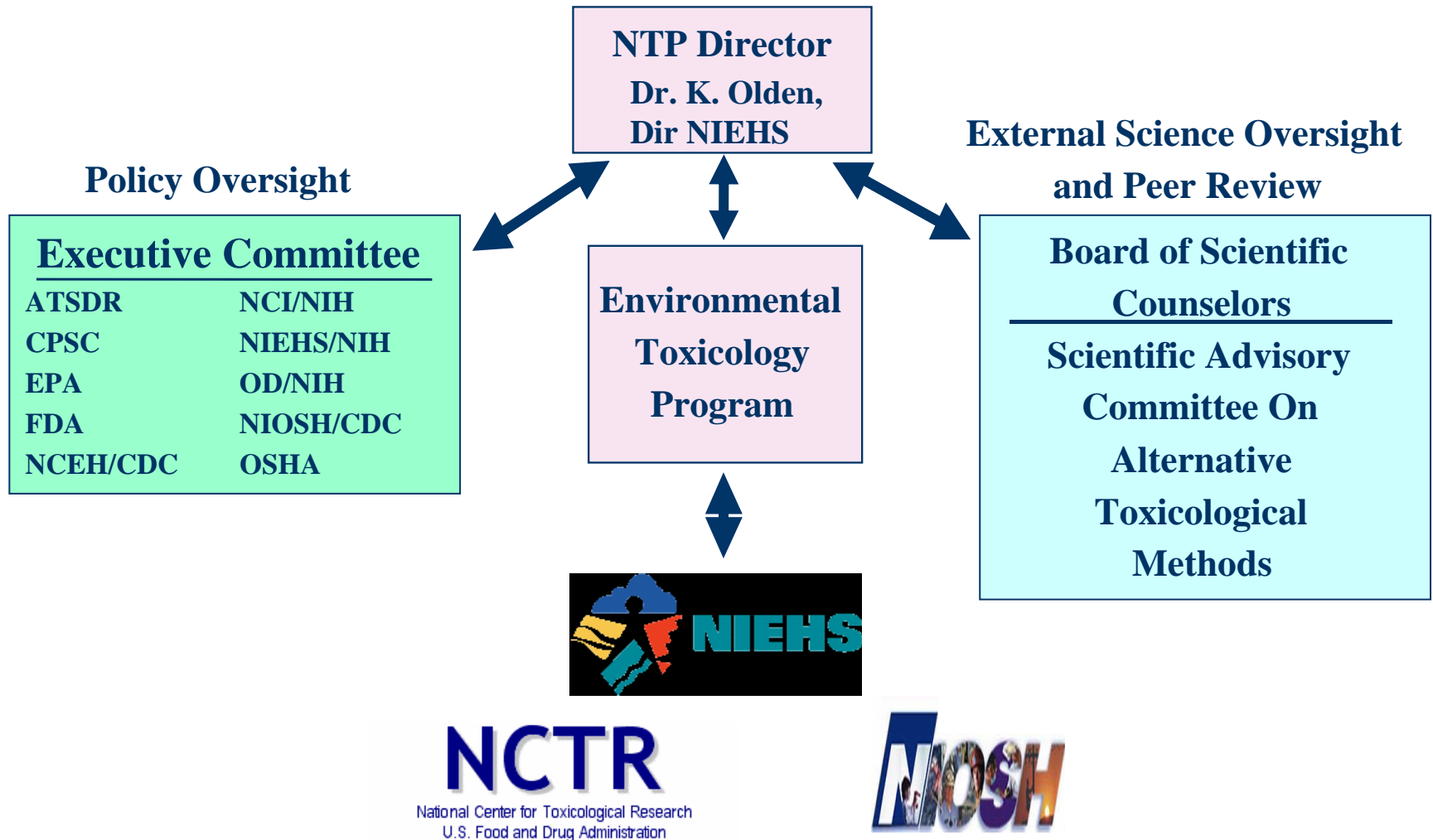
<b>Project</b>	<b>Agency, Institution</b>
<b>National Toxicology Program (\$0.5M in FY 2004 to \$5M in FY 2008)</b>	<b>NIH/NIEHS, FDA/NCTR, NIOSH</b>
<b>Particle characterization for health and safety (\$1.7M in FY 2004 rto \$2.3M in FY 2005)</b>	<b>NIOSH</b>
<b>Nanotechnology Characterization Laboratory (\$5M/yr, part of \$144M/yr NCI for FYs 2004-2008)</b>	<b>National Cancer Institute</b>
<b>Multidisciplinary University Research on Nanoparticle Toxicity</b>	<b>Department of Defense supported center</b>
<b>Molecular function at the Nano-Bio Interface (component on nanostructures and cell behavior)</b>	<b>NSF/NSEC U. Pennsylvania</b>
<b>Nanomanufacturing Center for Enabling Tools (component on safe manufacturing)</b>	<b>NSF/NSEC Northeastern University</b>
<b>Size Dependent Neural Translocation of Nanoparticles</b>	<b>NSF/SGER, Rochester University</b>
<b>Reverse Engineering Cellular Pathways from Human Cells Exposed to Nanomaterials</b>	<b>NSF/SGER</b>



# NNI projects supporting **social implications** (examples)

Project	Agency, Institution
<b>Nanotechnology and its Publics</b>	<b>NSF, Pennsylvania St. U.</b>
<b>Public Information, and Deliberation in Nanoscience and Nanotechnology Policy (SGER)</b>	<b>Interagency, North Carolina St. U.</b>
<b>Social and Ethical Research and Education in Agrifood Nanotechnology (NIRT)</b>	<b>NSF, Michigan St. U.</b>
<b>From Laboratory to Society: Developing an Informed Approach to NSE (NIRT)</b>	<b>NSF, U. of South Carolina</b>
<b>Social and ethical dimensions of nanotechnology</b>	<b>NSF, U. Of Virginia</b>
<b>Undergraduate Exploration of Nanoscience, Applications and Societal Implications (NUE)</b>	<b>NSF, Michigan Technological U.</b>
<b>Ethics and belief inside the development of nanotechnology (CAREER)</b>	<b>NSF, U. Of Virginia</b>
<b>All centers, NNIN and NCN have a societal implications components</b>	<b>NSF, DOE, DOD and NIH All nano centers and networks</b>

# National Toxicology Program organization

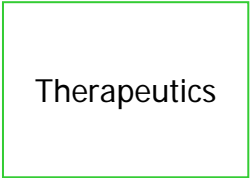
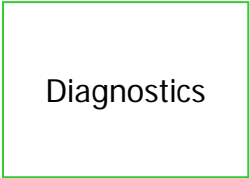
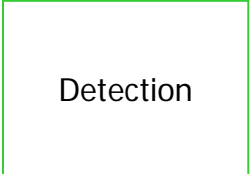
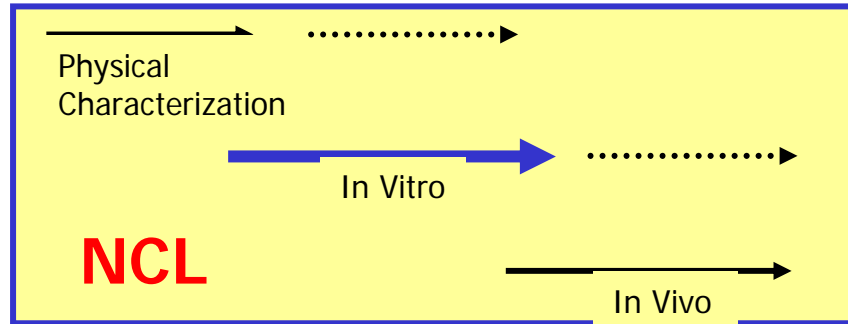
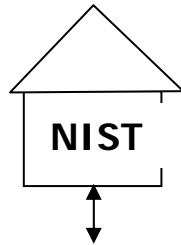


# Nanotechnology Characterization Laboratory (NCL) Concept of Operations

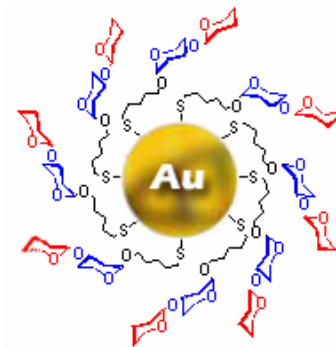
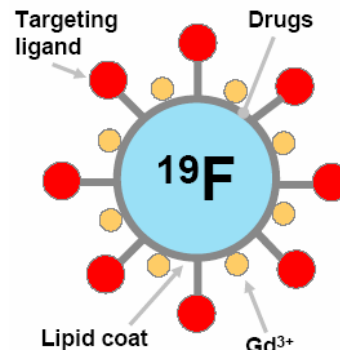
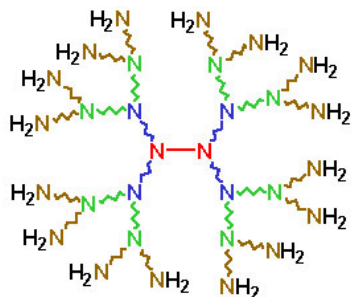
For comparison and characterization of nanomaterials intended for cancer detection, diagnostics, and therapeutics in humans.

## Sources of Nanomaterials

- Cancer Centers of Nanotech Excellence (CCNEs)
- Academia
- NNI
- Small Business
- NCI, NIH, NSF
- DoD, DoE
- Unconventional Innovative Program (UIP)



## Candidate Nanoparticles



# *B. Existing Regulatory Standards for Ultrafines*

## **Examples in U.S. (1)**

---

- **Particles released into air, water, or soil**
  - Toxic Substance Control Act (TSCA) of 1976 – administered by EPA
    - Track over 75,000 chemicals produced or imported into US
  - Regulations for particulate matter < 10 mm (PM10) in force
  - Regulations for particulate matter < 2.5 mm (PM2.5)
    - Put into effect in 1997, data gathering from 1999 to 2003, data analysis and deliberations about regulations underway
- **Particles in workplace governed by general aerosols-and colloids- based standards with**
  - Recommended exposure limits (RELs) established by NIOSH
  - Permissible exposure limits (PELs) established by OSHA
  - Threshold limit values (TLVs) established by American Conference of Government Industrial Hygienists (ACGIH)
  - Personal protective equipment to reduce exposures set by OSHA and ASTM
  - Based on existing health risk data
  - Targeted at vulnerable regions of the respiratory system

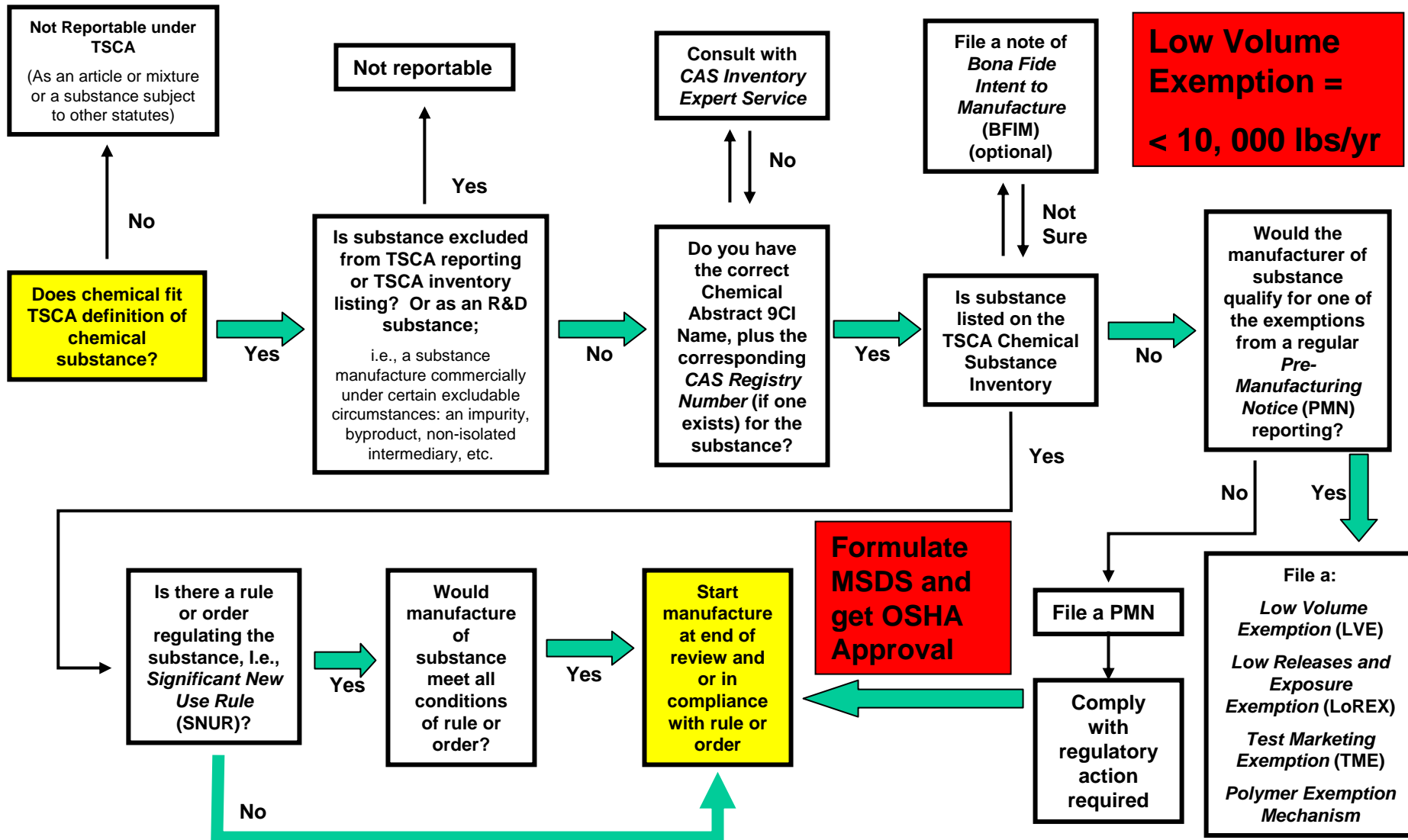
# *B. Existing Regulatory Standards for Ultrafines*

## **Examples in U.S. (2)**

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- “Particles” or particle containing materials for drugs to be metabolized by human body – regulated by FDA
  - All “drugs” must have pre-market approval by FDA – called a New Drug Application (NDA); typically approvals take 6-12 months; approval process may require up to several years depending on knowledge level, perceived risk, and clinical trial
  - Burden of proof on FDA to demonstrate health hazard
- “Particles” to be used as diagnostic or therapeutic medical devices – regulated by FDA: Quantum dot – drug if metabolized; device if for diagnostics
- “Particles/substances” to be incorporated into food – FDA and USDA/FSIS share in regulations for food safety:
  - Food additives, food coloring
  - Must have pre-market certification and approval
- Substances incorporated into consumer products – regulated by CPSC under Federal Hazardous Substance Act
  - No pre-market certification or approval
  - Control of use of substance in product determined by risk of exposure

# Processing New Chemical Through TSCA



## *C. Current NNI coordinated measures for EHS*

- Develop statement on “Best practices” for research laboratories and industry units (NIOSH, NSF, DOE, NASA, DOD), and identify gaps
- Map of EHS responsibilities and contacts in each NNI agency
- Establish response approach to an unexpected event or an emergency
- Identify protective equipment suitable for nanoparticles and other nanostructured materials (OSHA, NIOSH, other agencies)
- Support development of instrumentation and metrology (NSF, NIST)
- Develop a unified, explicit nomenclature (NSF, ANSI, agencies)
- Develop standards for nanotechnology (ANSI, NIST, IEEE, ASME)
- Collaborative activities with industry (SRC, CCR, Phrma, IRI)
- Identify research and educational needs (Fundamental, GCs)
- NSET Group: “Nanomaterials Environmental and Health Implications”
- OSTP Group: “Risk Assessment of Nanotechnology” task force



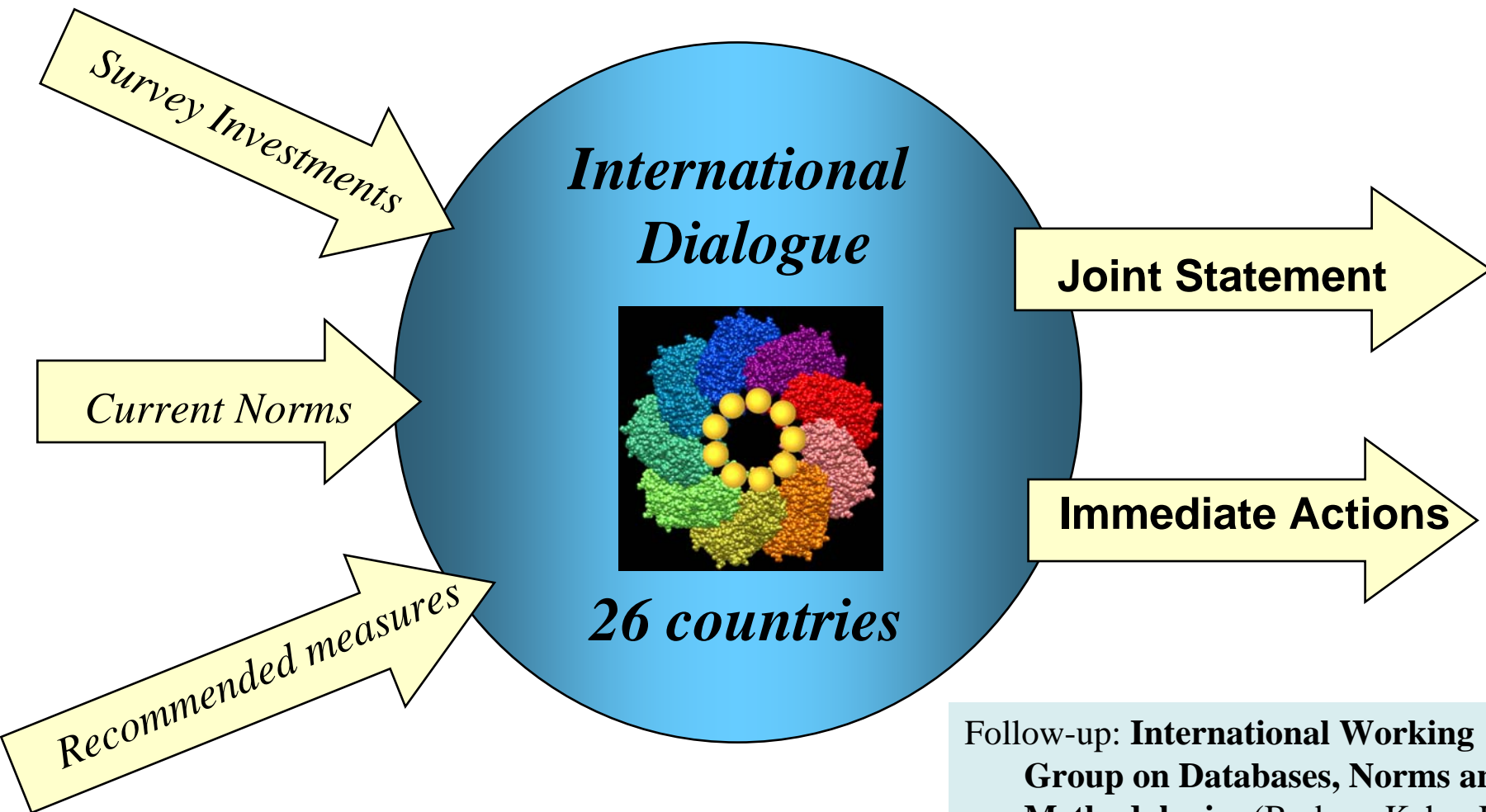
## *D. NNI workshops on nano-environmental research examples*

- NSF, 9/2000: "Societal Implications of Nanoscience and Nanotechnology"
- NSF, 6/2002: "Nanoparticles and the environment"  
(grantees meeting, book)
- EPA, 11/2003: "Nanotechnology and the environment applications and implications" (grantees meeting, brochure)
- ACS, 3/2003: "Symposium on nanotechnology implications in the environment", New Orleans
- NNI, 5/2003: "Vision for environmental implications and improvement"  
(interagency, report)
- NSET/NNCO, 8/2003: Review of Federal Regulations (report)
- NNI, 9/2003: Interagency : grantees meeting (report);
- Wilson Center, 10/2003: EPA and FDA regulatory functions (report)
- NSET, 12/03 "Societal Implications of Nanoscience and Nanotechnology (II)"

## *E. International Dialog for Responsible R&D of Nanotechnology*

- **First meeting on June 17-18, 2004 in Alexandria, VA, US**
- **Objective: Share information and discuss international collaboration to address responsible development of nanotechnology**
- **Participants: leaders of the national nanotechnology programs in 26 countries (responding over 30 countries)**
- **Outcomes:**
  - **Establish an international consultative board, and a preparatory group to define its future activities.**  
**Target: set of principles, priorities, and mechanisms**
  - **Establish immediate exchanges on nomenclature, R&D databases for EHS, standards, and risk assessment methodologies (see link from [www.nsf.gov/nano](http://www.nsf.gov/nano))**

# International Dialogue on Responsible Nanotechnology R&D



*June 2004, Virginia*

<http://www.nsf.gov/home/crssprgm/nano/dialog.htm>

Follow-up: **International Working Group on Databases, Norms and Methodologies** (Barbara Kahn, U.S.; C.K. Lee, Taiwan; Francoise Roure, France; Kasuharu Shimizu, Japan)