I.A.: OVERVIEW BY10

Descriptive Information BY10

Template Name	BY2010
Investment Name	DOL e-Judication [e-Gov Crosscut]
Investment Revision Number	15
Is this investment a consolidated business case?	No
Point of Contact	Harper, Don
Revision Comment	
Date of Submission	4/10/2009
Agency	Department of Labor
Bureau	Departmental Management
Name of this Capital Asset	DOL e-Judication [e-Gov Crosscut]
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Safe and Secure Workplaces
What kind of investment will this be in this Budget Year?	Full Acquisition
OMB Short Description	This initiative is a collaborative effort at DOL that will provide a web-based government-to-citizen portal integrating e-Filing, e-Service and research capabilities which will reduce paper and enhance communications with public stakeholders.

Screening Questions BY10

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

e-Judication began as a consolidated effort of the Department's five partnering legal agencies: Office of the Solicitor (SOL), the Office of Administrative Law Judges (OALJ), the Administrative Review Board (ARB), the Benefits Review Board (BRB), and the Employees' Compensation Appeals Board (ECAB). This project is in line with the Department's mission, specifically Strategic Goal 3 - Safe and Secure Workplaces. This initiative intends to close three agency gaps which will provide for timelier outcomes and enhanced customer service: (1) e-Judication will streamline and integrate the existing business processes of the partnering agencies (totaling over 1000 internal users and 5 separate IT systems) into a consolidated, fully web-enabled system. This will enhance the delivery of services to the general public inclusive of roughly 15,000+ interested parties, significantly reduce costs by consolidating and eliminating/upgrading redundant systems, and create a new level of transparency, accountability and participation for America's citizens in DOL adjudicatory processes; (2) This system will provide access to the same information by all agencies, as necessary and appropriate (with built-in firewalls as necessary) reducing redundancy; (3) e-Judication has consolidated all 5 websites into one, consolidated website. Through the utilization of this common platform citizens will have access to e-Filing, e-Service and online case status checking. Further, citizens have one point of access to and search capability for all DOL administrative decisions and legal information [www.dol.gov/ejudication]. It has become obvious, while working through the issues in standing up the e-Judication System, that the adjudicatory agencies - OALJ, ARB, BRB and ECAB - and the transparency-focused end goal of the initiative have conflicting needs from those of SOL, an agency that litigates. SOL is an internally focused agency whereas the adjudicatory agencies regularly interact with the public. The Executive Committee agreed in March 2009 that the adjudicatory agencies will continue with the e-Judication initiative. SOL will branch out from the e-Judication initiative and begin an independent initiative to customize the core product to better suit its unique needs. All partnering agencies will work together to share lessons-learned and any cost-saving tips and techniques. The CBA will be revisited to reflect these changes as we move forward.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	3/16/2009
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No

If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government
Is this investment for information technology?	Yes
Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?	e-Judication broke down separate IT identities of the adjudicatory entities in Department of Labor into one consolidated IT platform resulting in significant cost reduction and better opportunities for sharing data across agencies, as appropriate; providing a single point of access for the citizen into all DOL legal decisions and FAQs through an interactive, searchable, web portal, serving as a model for a similar e-Gov solution; and providing e-Filing, e-Service and online case status checing.

IT Screening Questions BY10

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

In addition to the Project/Program Manager FAC-P/PM or DAWIA certification level, what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	Yes
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	No

I.B.: SUMMARY OF SPENDING BY10

Summary of Spending BY10

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

SUMMARY OF SPENDING FOR PROJECT STAGES   * Costs in thousands

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Planning									
Budgetary Resources	0	0	804	505	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	363	1300	1500	1108	1850	2700
Subtotal Planning & Acquisition									
Budgetary Resources	0	0	804	868	1300	1500	1108	1850	2700
Operations & Maintenance									
Budgetary Resources	0	0	0	0	0	0	0	0	0
TOTAL									
Budgetary Resources	0	0	804	868	1300	1500	1108	1850	2700
Government FTE Costs									
Budgetary Resources	0	0	500	550	600	650	700	750	800
Planning									
Budgetary Resources	0	0	500	200	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	350	600	650	700	750	800
Maintenance									
Budgetary Resources	0	0	0	0	0	0	0	0	0

Full time Equivalents BY10

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

FTE Table

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Security	0	0	0.5	0.5	0.5	0.5	0.5	0.5	0.5
IT	0	0	2.0	2.0	2.0	2.0	2.0	2.0	2.0
Financial Management	0	0	0	0	0	0	0	0	0
Program Management	0	0	1.5	1.5	1.5	1.5	1.5	1.5	1.5
Other	0	0	0	0	0	0	0	0	0
Total*	0	0	4.0	4.0	4.0	4.0	4.0	4.0	4.0

I.C.: ACQUISITION/CONTRACT STRATEGY BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.D.: PERFORMANCE INFORMATION BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.E.: SECURITY AND PRIVACY BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.F.: ENTERPRISE ARCHITECTURE (EA) BY10

General EA Questions BY10

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture?	Yes

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

Is this investment included in the agency's EA Transition Strategy?	Yes
Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)?	Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

The e-Judication project plans to search for existing GOTS products at other government agencies such as FERC to leverage e-Filing solutions that have been successful and using those components.

The e-Judication project has requested to obtain the Office of Administrative Law Judges' (OALJ) Case Tracking System (OALJ CTS) which is a GOTS (Government off-the-shelf) system. E-Judication will specifically be using the Document Management Module developed to route legal documents for review, approval and issuance and is used by OALJ as part of its Case Tracking System. This Document Review and Approval service component will provide critical functionality when integrated into the e-Judication solution.

The OALJ CTS module has been provided to the e-Judication project at no cost which includes the following software components:
1.   The File Management Database - a Microsoft SQL Server database container comprised of the following:
   a.   The Structure Definition (Database, Tables, Fields, Data Views, Triggers, Stored Procedures, and Jobs)
   b.   The WebClass Database structure
   c.   The QueueApp database structure
   d.   The WebReports database structure
   e.   Application configuration tables populated with data records required for the CTS system to operate
2.   CTS Portal - a web application module providing user login access and CTS module selection functions.
3.   CTS Admin - a web application module providing Application Administration, Case docketing, Case Management, Query, and other application functions.
4.   DMS2 - a web application module that provides Document Issuance automation and functions.
5.   QueueManager - a server application module that performs document processing and conversion functions.
6.   WebFile - a SQL Server Job module that automatically publishes the appropriate case documents to a public web site.

II.A.: ALTERNATIVES ANALYSIS BY10

Analysis Background BY10

Part II is completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in response to Part I above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this investment?   Yes

II.B.: RISK MANAGEMENT BY10

Risk Management Plan BY10

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	Yes
What is the date of the risk management plan?	1/15/2009

II.C.: COST AND SCHEDULE PERFORMANCE BY10

Sensitive Data

 * * * SENSITIVE DATA: This information was omitted completely * * *