

---

## 5 References

[DevMIB] St. Johns, M., ed., Cable Device Management Information Base for DOCSIS compliant Cable Modems and Cable Modem Termination Systems, draft-ietf-ipcdn-cable-device-mib-07.txt, May 1998.

[DocsisV1.0] Data-Over-Cable Service Interface Specifications, Radio Frequency Interface Specification, Cable Television Laboratories, ISP-RFI-IO4-980724, July 1998.

[OpenTech] Implementing Open Access Over Cable Systems: A Technical Perspective, Telcordia Technologies, Contract # 41098, Nov 1999.

**AOL(2)001823**

---

## Appendix A - Cable Modem Configuration File Details

### A1 Unknown Modem Configuration

```
// MCNS Configuration file for CM-UnknownCfg
NetworkAccess 1
ClassOfService start
ClassId 1
MaxDownstreamRate 10000000
MaxUpstreamRate 2500000
UpstreamChannelPriority 1
MaxUpstreamBurst 254
PrivacyEnable 1
ClassOfService end
MaxCpeAllowed 1
SnmpMib start

// Filters to permit traffic to selected locations, deny access to
others through the ethernet-side

// Permit access to Network Services systems

1.3.6.1.3.83.1.6.3.0 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.3.5 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.4.5 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.5.5 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.6.5 Integer 2
1.3.6.1.3.83.1.6.4.1.7.5 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.5 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.5 IpAddress 10.2.0.2
1.3.6.1.3.83.1.6.4.1.10.5 IpAddress 255.255.255.255
1.3.6.1.3.83.1.6.4.1.11.5 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.5 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.5 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.5 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.5 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.5 Integer 1

// Deny access to all other network nodes

1.3.6.1.3.83.1.6.4.1.3.17 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.4.17 BoundInteger 2
1.3.6.1.3.83.1.6.4.1.5.17 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.6.17 Integer 2
1.3.6.1.3.83.1.6.4.1.7.17 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.17 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.17 IpAddress 10.0.0.0
1.3.6.1.3.83.1.6.4.1.10.17 IpAddress 255.0.0.0
1.3.6.1.3.83.1.6.4.1.11.17 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.17 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.17 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.17 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.17 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.17 Integer 1

// Permit all packets through the CATV-side interface

1.3.6.1.3.83.1.6.4.1.3.20 EnumSyntax 2
```

AOL(2)001824

```

1.3.6.1.3.83.1.6.4.1.4.20 BoundInteger 2
1.3.6.1.3.83.1.6.4.1.5.20 EnumSyntax 3
1.3.6.1.3.83.1.6.4.1.6.20 Integer 2
1.3.6.1.3.83.1.6.4.1.7.20 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.20 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.20 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.10.20 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.11.20 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.20 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.20 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.20 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.20 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.20 Integer 1
SnmpMib end

```

## **A2 Provisioning Services Configuration**

```

// MCNS Configuration file for CM-ProvisionTest
NetworkAccess 1
ClassOfService start
ClassId 1
MaxDownstreamRate 10000000
MaxUpstreamRate 2500000
UpstreamChannelPriority 1
MaxUpstreamBurst 254
PrivacyEnable 1
ClassOfService end
MaxCpeAllowed 1
SnmpMib start

```

// Filters to allow access to provisioning servers & network services

// Permit access to network services

```

1.3.6.1.3.83.1.6.3.0 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.3.10 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.4.10 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.5.10 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.6.10 Integer 2
1.3.6.1.3.83.1.6.4.1.7.10 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.10 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.10 IpAddress 10.2.0.2
1.3.6.1.3.83.1.6.4.1.10.10 IpAddress 255.255.255.255
1.3.6.1.3.83.1.6.4.1.11.10 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.10 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.10 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.10 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.10 BoundInteger 512
1.3.6.1.3.83.1.6.4.1.2.10 Integer 1

```

// Permit access to provisioning service

```

1.3.6.1.3.83.1.6.4.1.3.15 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.4.15 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.5.15 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.6.15 Integer 2
1.3.6.1.3.83.1.6.4.1.7.15 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.15 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.15 IpAddress 10.2.0.8
1.3.6.1.3.83.1.6.4.1.10.15 IpAddress 255.255.255.255

```

**AOL(2)001825**

```

1.3.6.1.3.83.1.6.4.1.11.15 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.15 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.15 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.15 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.15 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.15 Integer 1

// Deny access to other network locations

1.3.6.1.3.83.1.6.4.1.3.16 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.4.16 BoundInteger 2
1.3.6.1.3.83.1.6.4.1.5.16 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.6.16 Integer 2
1.3.6.1.3.83.1.6.4.1.7.16 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.16 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.16 IPAddress 10.0.0.0
1.3.6.1.3.83.1.6.4.1.10.16 IPAddress 255.0.0.0
1.3.6.1.3.83.1.6.4.1.11.16 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.16 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.16 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.16 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.16 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.16 Integer 1

// Allow any packet to pass the CATV-side interface

1.3.6.1.3.83.1.6.4.1.3.20 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.4.20 BoundInteger 2
1.3.6.1.3.83.1.6.4.1.5.20 EnumSyntax 3
1.3.6.1.3.83.1.6.4.1.6.20 Integer 2
1.3.6.1.3.83.1.6.4.1.7.20 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.20 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.20 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.10.20 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.11.20 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.20 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.20 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.20 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.20 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.20 Integer 1
SnmpMib end
SnmpMib start
1.3.6.1.3.83.1.2.1.2.10 IPAddress 10.2.0.0
1.3.6.1.3.83.1.2.1.3.10 IPAddress 255.255.0.0
1.3.6.1.3.83.1.2.1.4.10 OctetString "private"
1.3.6.1.3.83.1.2.1.5.10 EnumSyntax 3
1.3.6.1.3.83.1.2.1.6.10 OctetString 0xC0
1.3.6.1.3.83.1.2.1.7.10 Integer 1
1.3.6.1.3.83.1.2.1.2.20 IPAddress 10.2.0.0
1.3.6.1.3.83.1.2.1.3.20 IPAddress 255.255.0.0
1.3.6.1.3.83.1.2.1.4.20 OctetString "public"
1.3.6.1.3.83.1.2.1.5.20 EnumSyntax 2
1.3.6.1.3.83.1.2.1.6.20 OctetString 0xC0
1.3.6.1.3.83.1.2.1.7.20 Integer 1
SnmpMib end

A3 Service Provider-Specific Configuration

// MCNS Configuration file for CM-AOLStd
NetworkAccess 1

```

AOL(2)001826

```

ClassOfService start
ClassId 1
MaxDownstreamRate 1000000
MaxUpstreamRate 512000
UpstreamChannelPriority 1
MinUpstreamRate 256000
MaxUpstreamBurst 254
PrivacyEnable 1
ClassOfService end
MaxCpeAllowed 1

SnmpMib start
1.3.6.1.3.83.1.6.3.0 EnumSyntax 1 // docsDevFilterIpDefault =
discard (1)

// Filters to permit traffic to selected locations, deny access to
others

// Permit access to Network Services systems

1.3.6.1.3.83.1.6.4.1.3.5 EnumSyntax 2 //
docsDevFilterIpControl = accept (2)
1.3.6.1.3.83.1.6.4.1.4.5 BoundInteger 1 // docsDevFilterIpIfIndex = 1
(ethernet)
1.3.6.1.3.83.1.6.4.1.5.5 EnumSyntax 1 //
docsDevFilterIpDirection = inbound (1)
1.3.6.1.3.83.1.6.4.1.6.5 Integer 2 // docsDevFilterIpBroadcast =
false (2)
1.3.6.1.3.83.1.6.4.1.7.5 IpAddress 0.0.0.0 //
docsDevFilterIpSaddr/Smask = any
1.3.6.1.3.83.1.6.4.1.8.5 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.5 IpAddress 10.2.0.2 //
docsDevFilterIpDaddr/Dmask = [network services]
1.3.6.1.3.83.1.6.4.1.10.5 IpAddress 255.255.255.255
1.3.6.1.3.83.1.6.4.1.11.5 EnumSyntax 256 // docsDevFilterIpProtocol =
any (256)
1.3.6.1.3.83.1.6.4.1.12.5 BoundInteger 1 //
docsDevFilterIpSourcePortLow/High = 1..65535
1.3.6.1.3.83.1.6.4.1.13.5 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.5 BoundInteger 1 //
docsDevFilterIpDestPortLow/High = 1..65535
1.3.6.1.3.83.1.6.4.1.15.5 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.5 Integer 1 // docsDevFilterIpStatus =
active (1)

// Permit access to AOL tunnel server

1.3.6.1.3.83.1.6.4.1.3.10 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.4.10 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.5.10 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.6.10 Integer 2
1.3.6.1.3.83.1.6.4.1.7.10 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.10 IpAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.10 IpAddress 10.3.0.1
1.3.6.1.3.83.1.6.4.1.10.10 IpAddress 255.255.255.255
1.3.6.1.3.83.1.6.4.1.11.10 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.10 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.10 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.10 BoundInteger 1

```

AOL(2)001827

---

```
1.3.6.1.3.83.1.6.4.1.15.10 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.10 Integer 1

// Deny access to all other networks

1.3.6.1.3.83.1.6.4.1.3.15 EnumSyntax 1
1.3.6.1.3.83.1.6.4.1.4.15 BoundInteger 2
1.3.6.1.3.83.1.6.4.1.5.15 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.6.15 Integer 2
1.3.6.1.3.83.1.6.4.1.7.15 IPAddress 10.0.0.0
1.3.6.1.3.83.1.6.4.1.8.15 IPAddress 255.0.0.0
1.3.6.1.3.83.1.6.4.1.9.15 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.10.15 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.11.15 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.15 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.15 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.15 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.15 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.15 Integer 1

// Allow any packet to go through the CATV-side interface

1.3.6.1.3.83.1.6.4.1.3.40 EnumSyntax 2
1.3.6.1.3.83.1.6.4.1.4.40 BoundInteger 2
1.3.6.1.3.83.1.6.4.1.5.40 EnumSyntax 3
1.3.6.1.3.83.1.6.4.1.6.40 Integer 2
1.3.6.1.3.83.1.6.4.1.7.40 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.8.40 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.9.40 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.10.40 IPAddress 0.0.0.0
1.3.6.1.3.83.1.6.4.1.11.40 EnumSyntax 256
1.3.6.1.3.83.1.6.4.1.12.40 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.13.40 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.14.40 BoundInteger 1
1.3.6.1.3.83.1.6.4.1.15.40 BoundInteger 65535
1.3.6.1.3.83.1.6.4.1.2.40 Integer 1
SnmpMib end
```

AOL(2)001828

## Appendix B - Redback Configuration Details

### B1 Policy network tests

! last updated: MON OCT 25 15:13:35 1999

```
no diagnostics
boot system /flash/redback.bin
boot configuration /flash/newcfg.cfg
boot configuration /flash/28-sept.cfg
boot configuration /flash/8-oct.cfg
system contact pedro-jd-wsm
system location cable_lab
context local
dhcp relay server 10.2.0.2
interface enet20
ip address 10.3.0.2 255.255.0.0
ip arp arpa
interface enet21
ip address 10.2.0.1 255.255.0.0
ip arp arpa
interface enet30
ip address 10.8.0.1 255.255.0.0
ip arp arpa
interface enet31
ip address 10.10.0.1 255.255.0.0
ip arp arpa
```

```
interface enet40
ip address 192.4.15.67 255.255.255.0
ip arp arpa
operator tac encrypted 1 $1$FBILKq6!$hHoNshDcK.kKCTiBhk6de0
administrator root encrypted 1 $1$J0zMm0S5$HcQBbNi9f9MnpP4cW43vN/
ip route 0.0.0.0 0.0.0.0 192.4.15.1 enet40
ip route 10.9.0.0 255.255.0.0 10.8.0.3 enet30
ip route 10.12.0.0 255.255.0.0 10.10.0.2 enet31
ip route 10.14.0.0 255.255.0.0 10.10.0.2 enet31
ip route 10.15.0.0 255.255.0.0 10.10.0.2 enet31
ip route 128.96.46.128 255.255.255.128 10.10.0.2 enet31
ip route 192.4.202.128 255.255.255.128 10.10.0.2 enet31
```

```
port ethernet 0/0
shutdown
port ethernet 2/0
bind interface enet20 local
port ethernet 2/1
bind interface enet21 local
port ethernet 3/0
bind interface enet30 local
port ethernet 3/1
bind interface enet31 local
port ethernet 4/0
bind interface enet40 local
port ethernet 4/1
snmp server
snmp view default system included
snmp community private read-write
snmp community public
line console
line tty 1
line tty 2
line tty 3
line tty 4
end
```

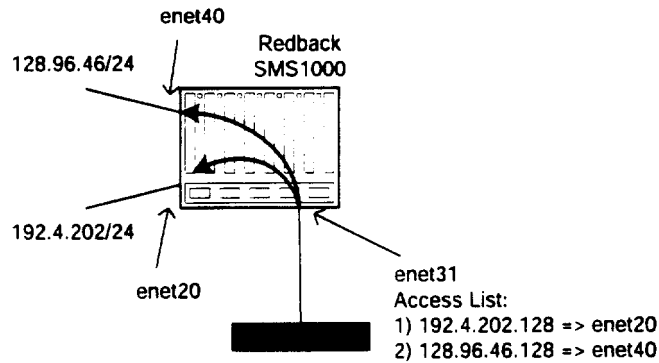


Figure 13 Redback Policy Network Configuration

AOL(2)001829

---

## Appendix C - Selected Packet Traces

### C1 Normal CM DHCP and TFTP Cycle

Packet 1 captured at 10/21/1999 11:28:30 AM; Packet size is 590(0x24e)bytes

Relative time: 000:00:46.532

Delta time: 0.000.000

Ethernet Version II

Address: 00-10-67-00-34-49 --->00-A0-C9-6F-C6-C2

Ethernet II Protocol Type: IP

Internet Protocol

Version(MSB 4 bits): 4

Header length(LSB 4 bits): 5 (32-bit word)

Service type: 0x00

000. .... = 0 - Routine

...0 .... = Normal delay

.... 0... = Normal throughput

.... .0.. = Normal reliability

Total length: 576 (Octets)

Fragment ID: 0

Flags summary: 0x00

0... .... = Reserved

.0.. .... = May be fragmented

..0. .... = Last fragment

Fragment offset(LSB 13 bits): 0 (0x00)

Time to live: 253 seconds/hops

IP protocol type: UDP (0x11)

Checksum: 0xA79C

IP address 10.12.0.1 ->10.2.0.2

No option

User Datagram Protocol

Port Bootp Client ---> Bootp Server

Total length: 556 (Octets)

Checksum: 0x8670

IP Bootstrap Protocol

OP Code: 1 (Request)

Hardware Type: 1 (Ethernet)

Hardware Address Length: 6

Hops: 1

Transaction ID: 1111372823

Seconds: 0

Client IP Address: 0.0.0.0

Your IP Address: 0.0.0.0

Server IP Address: 0.0.0.0

Gateway IP Address:10.12.0.1

Client Hardware Address: 0000CA1418C700000000000000000000

Server Host Name

Boot File Name

Code: DHCP Message Type, Length: 1, Type: Discover

Code: DHCP Parameter Request List, Length: 5, Option

List010204032A

Code: End Option

Data:

```
0000: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....
0010: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....
0020: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....
0030: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....
```

AOL(2)001830



```

0040: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0050: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0060: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0070: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0080: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0090: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00a0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00b0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00c0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00d0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00e0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00f0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0100: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0110: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0120: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....

```

Packet 2 captured at 10/21/1999 11:28:30 AM; Packet size is 342(0x156)bytes

Relative time: 000:00:46.561  
Delta time: 0.028.550

Ethernet Version II

Address: 00-A0-C9-6F-C6-C2 --->00-10-67-00-34-49  
Ethernet II Protocol Type: IP

Internet Protocol

Version(MSB 4 bits): 4  
Header length(LSB 4 bits): 5 (32-bit word)  
Service type: Preced=Routine, Delay=Normal, Thrput=Normal, Reli=Normal  
Flags: May be fragmented, Last fragment, Offset=0 (0x00)

User Datagram Protocol

Port Bootp Server ---> Bootp Server  
Total length: 308 (Octets)  
Checksum: 0xA660

IP Bootstrap Protocol

OP Code: 2 (Reply)  
Hardware Type: 1 (Ethernet)  
Hardware Address Length: 6  
Hops: 0  
Transaction ID: 1111372823  
Seconds: 0  
Client IP Address: 0.0.0.0  
Your IP Address: 10.12.0.66  
Server IP Address: 10.2.0.2  
Gateway IP Address: 10.12.0.1  
Client Hardware Address: 0000CA1418C700000000000000000000  
Server Host Name  
Boot File Name: bronze.cm  
Code: DHCP Message Type, Length: 1, Type: Offer  
Code: Subnet Mask, Length: 4 Address: 255.255.0.0  
Code: DHCP Renewal (T1) Time, Length: 4, Value: 1800  
Code: DHCP Rebinding (T2) Time, Length: 4, Value: 3150  
Code: DHCP IP Address Lease Time, Length: 4, Value: 3600  
Code: DHCP Server ID, Length: 4  
Address: 10.2.0.2  
Code: Time Offset

Intermediate System to Intermediate System

Protocol ID: 0x04  
Header Length: 0  
Version/Protocol ID Extension: 0  
ID Length: 70

AOL(2)001831

: 80  
Version: 4  
Data:  
0000: 02 00 02 03 04 0a 0c 00 01 ff 00 00 00 00 00 00 | .....  
0010: 00 00 | ..

Packet 3 captured at 10/21/1999 11:28:30 AM; Packet size is 590(0x24e)bytes

Relative time: 000:00:46.577  
Delta time: 0.016.702

Ethernet Version II  
Address: 00-10-67-00-34-49 --->00-A0-C9-6F-C6-C2  
Ethernet II Protocol Type: IP

Internet Protocol  
Version(MSB 4 bits): 4  
Header length(LSB 4 bits): 5 (32-bit word)  
Service type: 0x00  
000. .... = 0 - Routine  
...0 .... = Normal delay  
.... 0... = Normal throughput  
.... .0.. = Normal reliability  
Total length: 576 (Octets)  
Fragment ID: 1  
Flags summary: 0x00  
0... .... = Reserved  
.0.. .... = May be fragmented  
..0. .... = Last fragment  
Fragment offset(LSB 13 bits): 0 (0x00)  
Time to live: 253 seconds/hops  
IP protocol type: UDP (0x11)  
Checksum: 0xA75A  
IP address 10.12.0.66 ->10.2.0.2  
No option

User Datagram Protocol  
Port Bootp Client ---> Bootp Server  
Total length: 556 (Octets)  
Checksum: 0x321B

IP Bootstrap Protocol  
OP Code: 1 (Request)  
Hardware Type: 1 (Ethernet)  
Hardware Address Length: 6  
Hops: 1  
Transaction ID: 1111372823  
Seconds: 0  
Client IP Address: 0.0.0.0  
Your IP Address: 0.0.0.0  
Server IP Address: 0.0.0.0  
Gateway IP Address: 10.12.0.1  
Client Hardware Address: 0000CA1418C700000000000000000000  
Server Host Name  
Boot File Name  
Code: DHCP Message Type, Length: 1, Type: Request  
Code: DHCP Requested IP Address, Length: 4  
Address: 10.12.0.66  
Code: DHCP Server ID, Length: 4  
Address: 10.2.0.2  
Code: End Option

Data:  
0000: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....

AOL(2)001832

```

0010: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0020: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0030: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0040: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0050: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0060: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0070: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0080: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0090: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00a0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00b0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00c0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00d0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00e0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
00f0: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0100: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0110: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....
0120: 00 00 00 00 .....

```

Packet 4 captured at 10/21/1999 11:28:30 AM; Packet size is 342(0x156)bytes

Relative time: 000:00:46.605  
Delta time: 0.027.928

Ethernet Version II

Address: 00-A0-C9-6F-C6-C2 --->00-10-67-00-34-49  
Ethernet II Protocol Type: IP

Internet Protocol

Version(MSB 4 bits): 4  
Header length(LSB 4 bits): 5 (32-bit word)  
Service type: 0x00  
    000. .... = 0 - Routine  
    ...0 .... = Normal delay  
    .... 0... = Normal throughput  
    .... .0.. = Normal reliability  
Total length: 328 (Octets)  
Fragment ID: 47206  
Flags summary: 0x00  
    0... .... = Reserved  
    .0.. .... = May be fragmented  
    ..0. .... = Last fragment  
Fragment offset(LSB 13 bits): 0 (0x00)  
Time to live: 128 seconds/hops  
IP protocol type: UDP (0x11)  
Checksum: 0x6D2E  
IP address 10.2.0.2 ->10.12.0.1  
No option

User Datagram Protocol

Port Bootp Server ---> Bootp Server  
Total length: 308 (Octets)  
Checksum: 0x10C4

IP Bootstrap Protocol

OP Code: 2 (Reply)  
Hardware Type: 1 (Ethernet)  
Hardware Address Length: 6  
Hops: 0  
Transaction ID: 1111372823  
Seconds: 0  
Client IP Address: 0.0.0.0  
Your IP Address: 10.12.0.66

AOL(2)001833

```

Server IP Address: 10.2.0.2
Gateway IP Address:10.12.0.1
Client Hardware Address: 0000CA1418C700000000000000000000
Server Host Name
Boot File Name: bronze.cm
Code: DHCP Message Type, Length: 1, Type: Ack
Code: DHCP Renewal (T1) Time, Length: 4, Value:1800
Code: DHCP Rebinding (T2) Time, Length: 4, Value:3150
Code: DHCP IP Address Lease Time, Length: 4, Value:3600
Code: DHCP Server ID, Length: 4
      Address: 10.2.0.2
Code: Subnet Mask, Length: 4      Address:255.255.0.0
Code: End Option

```

```

Data:
0000: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....
0010: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....

```

Packet 5 captured at 10/21/1999 11:28:32 AM; Packet size is 60(0x3c)bytes

```

Relative time: 000:00:48.067
Delta time: 1.461.974

```

```

Ethernet Version II
Address: 00-10-67-00-34-49 --->00-A0-C9-6F-C6-C2
Ethernet II Protocol Type: IP

```

```

Internet Protocol
Version(MSB 4 bits): 4
Header length(LSB 4 bits): 5 (32-bit word)
Service type: 0x00
      000. .... = 0 - Routine
      ...0 .... = Normal delay
      .... 0... = Normal throughput
      .... .0.. = Normal reliability
Total length: 32 (Octets)
Fragment ID: 2
Flags summary: 0x00
      0... .... = Reserved
      .0.. .... = May be fragmented
      ..0. .... = Last fragment
      Fragment offset(LSB 13 bits): 0 (0x00)
Time to live: 253 seconds/hops
IP protocol type: UDP (0x11)
Checksum: 0xA979
IP address 10.12.0.66 ->10.2.0.2
No option

```

```

User Datagram Protocol
Port 2048 ---> Time
Total length: 12 (Octets)
Checksum: 0xE35F

```

```

Time
Data:
0000: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....
0010: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | ..

```

Packet 6 captured at 10/21/1999 11:28:32 AM; Packet size is 46(0x2e)bytes

```

Relative time: 000:00:48.069
Delta time: 0.001.702

```

```

Ethernet Version II
Address: 00-A0-C9-6F-C6-C2 --->00-10-67-00-34-49

```

AOL(2)001834

```
Ethernet II Protocol Type: IP
Internet Protocol
  Version(MSB 4 bits): 4
  Header length(LSB 4 bits): 5 (32-bit word)
  Service type: 0x00
    000. .... = 0 - Routine
    ...0 .... = Normal delay
    .... 0... = Normal throughput
    .... .0.. = Normal reliability
  Total length: 32 (Octets)
  Fragment ID: 47974
  Flags summary: 0x00
    0... .... = Reserved
    .0.. .... = May be fragmented
    ..0. .... = Last fragment
  Fragment offset(LSB 13 bits): 0 (0x00)
  Time to live: 128 seconds/hops
  IP protocol type: UDP (0x11)
  Checksum: 0x6B15
  IP address 10.2.0.2 ->10.12.0.66
  No option
User Datagram Protocol
  Port Time ---> 2048
  Total length: 12 (Octets)
  Checksum: 0x7805
```

Time

Data:

0000: bb b9 af a0

| »¹-

Packet 7 captured at 10/21/1999 11:28:32 AM; Packet size is 70(0x46)bytes

Relative time: 000:00:48.071

Delta time: 0.001.548

Ethernet Version II

Address: 00-10-67-00-34-49 --->00-A0-C9-6F-C6-C2

Ethernet II Protocol Type: IP

Internet Protocol

```
Version(MSB 4 bits): 4
Header length(LSB 4 bits): 5 (32-bit word)
Service type: 0x00
```

```
000. .... = 0 - Routine
...0 .... = Normal delay
.... 0... = Normal throughput
.... .0.. = Normal reliability
```

```
Total length: 56 (Octets)
Fragment ID: 3
Flags summary: 0x00
  0... .... = Reserved
  .0.. .... = May be fragmented
  ..0. .... = Last fragment
Fragment offset(LSB 13 bits): 0 (0x00)
```

```
Time to live: 253 seconds/hops
IP protocol type: UDP (0x11)
Checksum: 0xA960
IP address 10.12.0.66 ->10.2.0.2
No option
```

User Datagram Protocol

```
Port NFS ---> Trivial File Transfer
Total length: 36 (Octets)
```

AOL(2)001835

```

Checksum: 0xAC55
Trivial File Transfer Protocol
  OP Code: 1 - Read Request
  FileName: bronze.cm
  Mode: octet
  Padding: (10 bytes in highligh area)

Packet 8 captured at 10/21/1999 11:28:32 AM; Packet size is
120(0x78)bytes
  Relative time: 000:00:48.088
  Delta time: 0.017.648
Ethernet Version II
  Address: 00-A0-C9-6F-C6-C2 --->00-10-67-00-34-49
  Ethernet II Protocol Type: IP
Internet Protocol
  Version(MSB 4 bits): 4
  Header length(LSB 4 bits): 5 (32-bit word)
  Service type: 0x00
    000. .... = 0 - Routine
    ...0 .... = Normal delay
    .... 0... = Normal throughput
    .... .0.. = Normal reliability
  Total length: 106 (Octets)
  Fragment ID: 48230
  Flags summary: 0x00
    0... .... = Reserved
    .0.. .... = May be fragmented
    ..0. .... = Last fragment
  Fragment offset(LSB 13 bits): 0 (0x00)
  Time to live: 128 seconds/hops
  IP protocol type: UDP (0x11)
  Checksum: 0x69CB
  IP address 10.2.0.2 ->10.12.0.66
  No option
User Datagram Protocol
  Port Trivial File Transfer ---> NFS
  Total length: 86 (Octets)
  Checksum: 0x98B0
Trivial File Transfer Protocol
  OP Code: 3 - Data
  Block Number: 1
  Data: (74 bytes in highligh area)

```

```

Packet 9 captured at 10/21/1999 11:28:32 AM; Packet size is
60(0x3c)bytes
  Relative time: 000:00:48.099
  Delta time: 0.010.317
Ethernet Version II
  Address: 00-10-67-00-34-49 --->00-A0-C9-6F-C6-C2
  Ethernet II Protocol Type: IP
Internet Protocol
  Version(MSB 4 bits): 4
  Header length(LSB 4 bits): 5 (32-bit word)
  Service type: 0x00
    000. .... = 0 - Routine
    ...0 .... = Normal delay
    .... 0... = Normal throughput
    .... .0.. = Normal reliability
  Total length: 32 (Octets)

```

AOL(2)001836

```

Fragment ID: 4
Flags summary: 0x00
  0... .. = Reserved
  .0... .. = May be fragmented
  ..0... .. = Last fragment
  Fragment offset(LSB 13 bits): 0 (0x00)
Time to live: 253 seconds/hops
IP protocol type: UDP (0x11)
Checksum: 0xA977
IP address 10.12.0.66 ->10.2.0.2
No option
User Datagram Protocol
  Port NFS ---> Trivial File Transfer
  Total length: 12 (Octets)
  Checksum: 0xE339
Trivial File Transfer Protocol
  OP Code: 4 - Acknowledge
  Block Number: 1
  Padding: (14 bytes in high area)

```

## ***C2 Typical Consumer PC DHCP***

```

Packet 1 captured at 10/28/1999 11:20:04 AM; Packet size is
342(0x156)bytes
  Relative time: 000:00:04.991
  Delta time: 0.000.000
ETHER-II: 00-10-67-00-34-49 ==> 00-A0-C9-6F-C6-C2
IP: 10.12.0.1->10.2.0.2, ID=59259
  Service type: Precd=Routine, Delay=Normal, Thrput=Normal, Reli=Normal
  Flags: May be fragmented, Last fragment, Offset=0 (0x00)
User Datagram Protocol
  Port Bootp Client ---> Bootp Server
  Total length: 308 (Octets)
  Checksum: 0xA8F2
IP Bootstrap Protocol
  OP Code: 1 (Request)
  Hardware Type: 1 (Ethernet)
  Hardware Address Length: 6
  Hops: 1
  Transaction ID: 1378750256
  Seconds: 0
  Client IP Address: 0.0.0.0
  Your IP Address: 0.0.0.0
  Server IP Address: 0.0.0.0
  Gateway IP Address:10.12.0.1
  Client Hardware Address: 0004ACD5C86E00000000000000000000
  Server Host Name
  Boot File Name
  Code: DHCP Message Type, Length: 1, Type: Discover
  Code: DHCP Client ID, Length: 7, 010004ACD5C86E
  Code: DHCP Requested IP Address, Length: 4
    Address: 10.12.0.68
  Code: Host Name, Length: 11, Name: pruthie-pc
  Code: DHCP Parameter Request List, Length: 8, Option
List0103060F2C2E2F39
  Code: End Option

```

```

Data:
0000: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....

```

**AOL(2)001837**

0010: 00 00

| ..

Packet 2 captured at 10/28/1999 11:20:05 AM; Packet size is 342(0x156)bytes

Relative time: 000:00:05.017  
Delta time: 0.025.486  
ETHER-II: 00-A0-C9-6F-C6-C2 ==> 00-10-67-00-34-49  
IP: 10.2.0.2->10.12.0.1, ID=49724  
Service type: Preced=Routine, Delay=Normal, Thrput=Normal, Reli=Normal  
Flags: May be fragmented, Last fragment, Offset=0 (0x00)

User Datagram Protocol  
Port Bootp Server ---> Bootp Server  
Total length: 308 (Octets)  
Checksum: 0x853F

IP Bootstrap Protocol  
OP Code: 2 (Reply)  
Hardware Type: 1 (Ethernet)  
Hardware Address Length: 6  
Hops: 0  
Transaction ID: 1378750256  
Seconds: 0  
Client IP Address: 0.0.0.0  
Your IP Address: 10.12.0.68  
Server IP Address: 10.2.0.2  
Gateway IP Address: 10.12.0.1  
Client Hardware Address: 0004ACD5C86E00000000000000000000  
Server Host Name  
Boot File Name: bronze.cm  
Code: DHCP Message Type, Length: 1, Type: Offer  
Code: Subnet Mask, Length: 4 Address: 255.255.0.0  
Code: DHCP Renewal (T1) Time, Length: 4, Value: 1800  
Code: DHCP Rebinding (T2) Time, Length: 4, Value: 3150  
Code: DHCP IP Address Lease Time, Length: 4, Value: 3600  
Code: DHCP Server ID, Length: 4  
Address: 10.2.0.2  
Code: Router, Length: 4  
Address: 10.12.0.1  
Code: End Option

Data:  
0000: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....  
0010: 00 00 00 00 | ....

Packet 3 captured at 10/28/1999 11:20:05 AM; Packet size is 342(0x156)bytes

Relative time: 000:00:05.032  
Delta time: 0.015.260  
ETHER-II: 00-10-67-00-34-49 ==> 00-A0-C9-6F-C6-C2  
IP: 10.12.0.1->10.2.0.2, ID=59515  
Service type: Preced=Routine, Delay=Normal, Thrput=Normal, Reli=Normal  
Flags: May be fragmented, Last fragment, Offset=0 (0x00)

User Datagram Protocol  
Port Bootp Client ---> Bootp Server  
Total length: 308 (Octets)  
Checksum: 0x66EA

IP Bootstrap Protocol  
OP Code: 1 (Request)  
Hardware Type: 1 (Ethernet)  
Hardware Address Length: 6  
Hops: 1

AOL(2)001838



Transaction ID: 1378750256  
Seconds: 0  
Client IP Address: 0.0.0.0  
Your IP Address: 0.0.0.0  
Server IP Address: 0.0.0.0  
Gateway IP Address:10.12.0.1  
Client Hardware Address: 0004ACD5C86E00000000000000000000  
Server Host Name  
Boot File Name  
Code: DHCP Message Type, Length: 1, Type: Request  
Code: DHCP Client ID, Length: 7, 010004ACD5C86E  
Code: DHCP Requested IP Address, Length: 4  
Address: 10.12.0.68  
Code: DHCP Server ID, Length: 4  
Address: 10.2.0.2  
Code: Host Name, Length: 11, Name: pruthie-pc  
Code: DHCP Parameter Request List, Length: 8, Option  
List0103060F2C2E2F39  
Code: End Option  
Data:  
0000: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....

Packet 4 captured at 10/28/1999 11:20:05 AM; Packet size is 342(0x156)bytes  
Relative time: 000:00:05.062  
Delta time: 0.030.240  
ETHER-II: 00-A0-C9-6F-C6-C2 ==> 00-10-67-00-34-49  
IP: 10.2.0.2->10.12.0.1, ID=49980  
Service type: Precd=Routine, Delay=Normal, Thrput=Normal, Reli=Normal  
Flags: May be fragmented, Last fragment, Offset=0 (0x00)  
User Datagram Protocol  
Port Bootp Server ---> Bootp Server  
Total length: 308 (Octets)  
Checksum: 0x823F  
IP Bootstrap Protocol  
OP Code: 2 (Reply)  
Hardware Type: 1 (Ethernet)  
Hardware Address Length: 6  
Hops: 0  
Transaction ID: 1378750256  
Seconds: 0  
Client IP Address: 0.0.0.0  
Your IP Address: 10.12.0.68  
Server IP Address: 10.2.0.2  
Gateway IP Address:10.12.0.1  
Client Hardware Address: 0004ACD5C86E00000000000000000000  
Server Host Name  
Boot File Name: bronze.cm  
Code: DHCP Message Type, Length: 1, Type: Ack  
Code: DHCP Renewal (T1) Time, Length: 4, Value:1800  
Code: DHCP Rebinding (T2) Time, Length: 4, Value:3150  
Code: DHCP IP Address Lease Time, Length: 4, Value:3600  
Code: DHCP Server ID, Length: 4  
Address: 10.2.0.2  
Code: Subnet Mask, Length: 4 Address255.255.0.0  
Code: Router, Length: 4  
Address: 10.12.0.1  
Code: End Option  
Data:

AOL(2)001839

---

0000: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 | .....  
0010: 00 00 00 00 | .....

AOL(2)001840



**Telcordia.  
Technologies**

*Formerly Bellcore...  
Performance From Experience*

---

**Telcordia Technologies Document**

**Contract Number: 41098**

**Revision 7, 11/30/99 10:20 AM**

# **Implementing Open Access Over Cable Systems**

## **A Technical Perspective**

**AOL(2)001841**

---

## Table of Contents

<b>1</b>	<b>Executive Summary</b> .....	<b>1</b>
<b>2</b>	<b>Introduction</b> .....	<b>4</b>
2.1	Generic End-to-end High-speed Data Service.....	4
2.2	Who the players are.....	5
<b>3</b>	<b>Definition of Equal Access</b> .....	<b>8</b>
3.1	Consumer-Oriented View.....	8
3.2	Technology-Oriented View.....	9
3.3	Consumer Business Relationships between the Parties.....	10
3.3.1	User deals with ISP.....	10
3.3.2	Consumer deals with Cable Operator or AM.....	11
3.3.3	Consumer deals with AM and ISP.....	11
3.4	AM - ISP Relationships.....	11
<b>4</b>	<b>Requirements for System Architecture</b> .....	<b>13</b>
4.1	Routed and Bridged Network Designs.....	14
4.2	Access Network Design Options for Equal Access.....	15
4.2.1	RF Overlays or Channeling.....	15
4.2.2	Policy-based Routing.....	16
4.2.3	VPNs and IP Tunnels.....	17
4.2.4	PPPoE encapsulation and Policy-based Routers.....	18
4.3	Establishing IP Connectivity in DOCSIS Networks.....	18
4.3.1	Establish physical and MAC connectivity.....	19
4.3.2	Establish IP connectivity.....	19
4.3.3	Transfer configuration file (operational parameters).....	20
4.3.4	Register with CMTS.....	20
4.3.5	Pass consumer traffic.....	21
4.3.6	Modem Software Upgrades.....	21
4.4	Requirements for Tunneling Through Routed Networks.....	21
4.5	Requirements for Bridged Distribution Networks.....	22
4.6	Requirements for Policy-based Router Implementations.....	22
<b>5</b>	<b>Requirements for Service Definition and Delivery</b> .....	<b>24</b>
5.1	Service Provisioning Flows.....	24
5.1.1	Basic Service Provisioning Model.....	25
5.1.1.1	Service Creation Environment.....	25
5.1.1.2	User Manager.....	25
5.1.1.3	Service Manager.....	26
5.1.1.4	Provisioning Agent.....	26
5.1.1.5	Admin.....	26
5.1.2	Service Request and Activation.....	26
5.1.2.1	Service Ordering.....	27
5.1.2.2	Capacity Activation and Provisioning.....	27
5.1.2.3	Service Activation.....	29
5.2	DOCSIS Configuration Files.....	29
5.2.1	Differential Access-Blocking Theorem.....	30
5.2.2	DOCSIS Configuration Requirements.....	30
5.2.2.1	LLC Filters.....	31
5.2.2.2	IP Filters.....	31
5.2.2.3	QoS or CoS Definitions.....	31
5.2.2.4	Miscellaneous network configuration.....	32
5.2.3	DOCSIS CM Configuration File Example.....	32

AOL(2)001842

---

<b>6</b>	<b>Example Implementations</b> .....	<b>34</b>
6.1	Small Cable Operator .....	34
6.2	Bridged Network Reference Model Design .....	34
6.3	Routed Network Reference Model Design.....	35
<b>7</b>	<b>Management and Operations Requirements</b> .....	<b>37</b>
7.1	SLA Considerations .....	37
7.2	ISP visibility into AM management systems.....	37
<b>8</b>	<b>Scalability and Performance Considerations</b> .....	<b>39</b>
8.1	Traffic .....	39
8.2	Total Number of Customers .....	39
8.3	Total Number of ISPs .....	40
<b>9</b>	<b>Open Issues</b> .....	<b>41</b>
9.1	Multicast .....	41
9.2	Multiple Service Providers to a Single Consumer.....	41
<b>10</b>	<b>References</b> .....	<b>42</b>
<b>Appendix A.</b>	<b>The Routing Fish</b> .....	<b>43</b>
<b>Appendix B.</b>	<b>List of Acronyms</b> .....	<b>44</b>

AOL(2)001843

---

## List of Figures

Figure 1 - Generic HSD System.....	5
Figure 2 - Relationships among providers in a HSD system.....	6
Figure 3 - ISP-AM relationships.....	12
Figure 4 - Routed network model.....	14
Figure 5 - Bridged distribution network model.....	15
Figure 6 - Policy-based router model.....	16
Figure 7 - Tunnel terminology.....	17
Figure 8 - Elements of modem provisioning services.....	25
Figure 9 - New user service provisioning flows.....	27
Figure 10 - Capacity activation and provisioning to a consumer.....	28
Figure 11 - Service activation for a consumer.....	29
Figure 12 - Model for DOCSIS LLC & IP filter processing.....	30
Figure 13 - Small Cable Operator system design.....	34
Figure 14 - Bridged System Design.....	35
Figure 15 - Design of a Routed distribution network system.....	36
Figure 16 - Routing fish.....	43

AOL(2)001844

---

# 1 Executive Summary

This paper describes a variety of methods by which multiple ISPs can serve consumers over the hybrid fiber-coaxial (HFC) facilities of cable operators. The work focuses on the technical and administrative steps that would readily allow multiple ISPs to serve customers on an HFC network using standard DOCSIS equipment. This report is the first of two papers, dealing with requirements, design and analysis. A second paper presents experimental laboratory measurements that validate the concepts presented here using equipment from several vendors in a variety of network configurations.

The report demonstrates the technical and administrative viability of an *open access* policy, whereby the following goals would be achieved: (1) equal opportunity for consumers to choose their service provider; (2) equal opportunity for multiple service providers to reach all consumers with differentiated service offerings; and (3) no cost, service, or performance discrimination against the consumer for exercising its choice of service provider.

The report identifies the respective roles of three separate players in the provision of high-speed Internet access over HFC cable facilities: (1) the cable operator; (2) the access manager; and (3) the ISPs. The cable operator and the ISPs are familiar entities; the access manager is the entity responsible for the management of the shared Internet Protocol networking infrastructure between the end-user and the ISP access point. The access manager and the cable operator could be the same entity, the functional separation being typical of current business practices. The role of the access manager is probably best explained by review of some technical concepts.

The HFC plant is the physical path along which signals pass. In the downstream direction (towards the end-user) the radio frequency (RF) spectrum is divided into many 6 MHz channels, most of which are used to transport the broadcasts of television programs. The multiple channels from the cable company head end, after traveling over the HFC plant, arrive at the customer's home, whereupon they are delivered to the television set. The design and management of the HFC physical infrastructure is the domain of the cable operator.

Internet access over HFC plant works basically the same way, except that, instead of television programs, it is Internet Protocol (IP) packets that are being delivered to a cable modem (CM) in the home. In the upstream direction (from the end-user) the signals are sent in the RF frequency range between 10 MHz and 40 MHz, and thus two-way IP connectivity is established. At the cable head end a cable modem termination system (CMTS) routes the IP traffic to and from the HFC network to the wide area network. The IP network is a combination of network elements (and associated software) that is overlaid on the physical HFC plant. The access manager is responsible for the deployment and operation of the IP network that has been built over the HFC plant.

AOL(2)001845

---

The solutions to support multiple ISP over HFC cable broadband networks must account for the following factors: (1) the cable HFC plant is a shared medium, meaning that the traffic from several end-users share the RF spectrum (6 MHz channel down and the return RF); and (2) the IP traffic must be directed appropriately to each one of the multiple ISPs sharing RF spectrum. So when the upstream IP traffic from an end-user's cable reaches the cable head end, the IP packets must be sent to the corresponding ISP depending on the originating customer. Similarly, the IP traffic coming from the Internet at large must be delivered to the correct cable modem.

This report first identifies the technical requirements that must be fulfilled in order to enable multiple ISPs on a broadband HFC cable network. The study then presents several solutions that meet the requirements, corresponding to a variety of possible architectures that are found in the industry. Further, because the cable operator, access manager, and ISPs are each responsible for different aspects of the provision of Internet access, the report describes several approaches to ensuring successful interaction between them.

The study focuses primarily on two technical issues: network architecture, and service definition and provisioning. The report describes three different possible network designs that allow for open access. These include:

- Policy-based routing, this option routes packets to the appropriate ISP using the source IP address as the unique identifier;
- Virtual private networks (VPNs) and IP tunnels, creating virtual dedicated connections over the HFC network between the customer and the ISP. This is a solution appropriate to routed (layer 3) access networks; and
- Point-to-Point Protocol over Ethernet (PPPoE) encapsulation which is a protocol analogous to commonly employed protocols for dial-up. This is a solution appropriate to bridged (layer 2) access networks.

Each of these options has its own unique set of advantages and disadvantages; as such, the appropriateness of each option varies depending on the type of cable system (*i.e.*, large or small, multiple nodes vs. single node), and the networking architecture, being addressed.

Service definition and provisioning involves introducing a new subscriber's hardware (computer, network interface card, and cable modem) and billing and service information to the access manager and the ISP so that the subscriber is able to access the provider of choice. The report describes in technical detail how this could readily be accomplished.

The report provides several implementation examples (one appropriate for a small cable system, one for a bridged network, and one for a routed network), and discusses other management and operational issues (including what topics SLAs should address and ISP access to management

**AOL(2)001846**



---

systems for troubleshooting and problem resolution). Finally, the paper addresses scalability and performance considerations - all of which may be effectively resolved in a manner consistent with open access to the cable platform.

AOL(2)001847