

PUBLIC HEARING AGENDA
Proposed Amendments to Section 408(b)(2) Regulation
Reasonable Contract or Arrangement—Fee Disclosure

MARCH 31, 2008

OPENING REMARKS – INTRODUCTION OF PANEL

TESTIMONY	TIME
The SPARK Institute, Inc. Larry H. Goldbrum, Esq., SPARK Gen. Counsel Thomas G. Schendt, Esq., Alston & Bird, LLP Washington, DC	9:15 a.m.
Profit Sharing/401k Council of America David L. Wray, President of PSCA, Washington, DC	9:45 a.m.
BREAK	10:15 – 10:30 a.m.
American Benefits Council (ABC) Robert Chambers, Esq., former ABC Chairman; Partner w/ Helms, Mulliss & Wicker PLLC, Charlotte, NC	10:30 a.m.
American Society of Pension Professionals & Actuaries (ASPPA); and Council of Independent 401(k) Recordkeepers (CIKR) Bruce Ashton, Esq., Reish Luftman Reicher & Cohen P.C. Los Angeles, CA	11:00 a.m.
American Council of Life Insurers (ACLI) James Szostek, Director, Pensions Washington, DC	11:30 a.m.
LUNCH	12:00 – 1:00 p.m.
Express Scripts, Inc., for Pharmaceutical Care Management Association Wm. J. Kilberg, Esq., P.C. Gibson, Dunn & Crutcher LLP Washington, DC	1:00 p.m.
WellPoint, Inc. (WellPoint) Judith A. Langer, J.D., Public Policy Manager Milwaukee, WI	1:30 p.m.
America's Health Insurance Plans (AHIP) Thomas J. Wilder, Esq., AHIP Senior Reg. Counsel Washington, DC	2:00 p.m.
BREAK	2:30 – 2:45 p.m.
Self-Insurance Institute of America, Inc. (SIIA) Ashley Gillihan, Esq. Alston & Bird, LLP Washington, DC	2:45 p.m.
U.S. Chamber of Commerce Randel Johnson, Vice Pres., Labor, Immigration & Employee Benefits; Aliya Wong, Director of Pension Policy Washington, DC	3:15 p.m.
Hewitt Associates LLC Allison Borland Lincolnshire, IL	3:45 p.m.
Fiduciary Risk Management, LLC Bert M. Carmody, CPA, CIMC, Director of Fiduciary Consulting Atlanta, GA	4:15 p.m.
Matthew D. Hutcheson LLC Matthew Hutcheson, Independent Pension Fiduciary Portland, OR	4:30 p.m.
Pension Consultants, Inc. Christopher R. Thixton, QPA Director, Vendor Services Springfield, MO	4:45 p.m.

TUESDAY, APRIL 1, 2008

TESTIMONY

TIME

Investment Company Institute (ICI)

Paul Schott Stevens, Esq.
ICI President & CEO
Washington, DC

9:15 a.m.

Fidelity Investments

Douglas O. Kant, Esq., Senior Vice Pres. &
Deputy Gen. Counsel for FMR LLC
Boston, MA

9:45 a.m.

BREAK

10:15 – 10:30 a.m.

The Vanguard Group, Inc.

Barbara Fallon-Walsh, Principal
Institutional Retirement Plan Services
Valley Forge, PA

10:30 a.m.

American Bankers Association (ABA)

Edward Mollahan, Managing Director
JP Morgan Chase
New York, NY

11:00 a.m.

**Securities Industry and Financial Markets
Association (SIFMA)**

Wm. Ryan, Esq., Exe. Director, Legal & Compliance Div.
Morgan Stanley
New York, NY

11:30 p.m.

LUNCH

12:00 – 1:00 p.m.

Council of Insurance Agents & Brokers (CIAB)

Cameron Findlay, Exe. Vice Pres. & Gen. Counsel
Aon Corporation
Chicago, IL

1:00 p.m.

Groom Law Group

Stephen M. Saxon, Esq., and
Jennifer E. Eller, Esq., on behalf of multiple
financial institutions & admin. service-providers

1:30 p.m.

Groom Law Group

Stephen M. Saxon, Esq.
Judith F. Mazo, Senior Vice Pres. &
Director of Research, The Segal Company

2:00 p.m.

BREAK

2:15 – 2:30 p.m.

Investment Adviser Association (IAA)

Karen L. Barr, Esq., IAA General Counsel
Washington DC

2:30 p.m.

Managed Funds Association (MFA)

Benjamin Allensworth, Esq., MFA Sr. Legal Counsel
Erin Cho, Esq., Davis Polk & Wardwell
Washington, DC

3:00 p.m.

Covington & Burling LLP

Katherine Mineka, Esq.
Washington, DC

3:30 p.m.

AARP

David Certner, Legis. Counsel & Director of
Legislative Policy - Govt. Relations & Advocacy
Washington, DC

4:00 p.m.

WAMA Actuarial & Consulting, LLC

Jake Auger, FSA, MAAA

4:30 p.m.

Jackson Kelly PLLC

Kevin A. Wiggins, Esq.
Clarksburg, West Virginia

4:45 p.m.

CLOSING REMARKS