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SEC NEWS DIGEST

Issue 99-238

December 13, 1999

ENFORCEMENT PROCEEDINGS

RICHARD MICHAEL CONSENTS TO THE ENTRY OF AN ADMINISTRATIVE CEASE-AND-DESIST ORDER FOR SELLING UNREGISTERED SECURITIES AND ACTING AS A BROKER WITHOUT REGISTRATION

On December 10, the Commission announced that it instituted and settled administrative and cease-and-desist proceedings, pursuant to Section 8A of the Securities Act of 1933 and Sections 15(b)(6), 19(h) and 21C of the Securities Exchange Act of 1934, against Richard J. Michael for willfully violating, and committing or causing violations of, Section 5 of the Securities Act and Section 15(a)(1) of the Exchange Act.

The Commission found that Michael, as a consultant to Stratcomm Media Ltd. (Stratcomm) and Corporate Relations Group, Inc. (CRG) its wholly-owned subsidiary, sold more than 310,000 shares of Stratcomm common stock to the public in violation of the registration provision of the federal securities laws, earning commissions from Stratcomm and CRG of over \$36,000. Stratcomm had not filed a registration statement concerning these common stock sales, nor was there a registration statement in effect or an exemption from registration available to Stratcomm. Moreover, Michael was not registered as a broker.

Without admitting or denying the Commission's findings, Michael consented to the entry of an order which requires him to cease and desist from committing or causing any violation, and any future violations, of Section 5 of the Securities Act and Section 15(a)(1) of the Exchange Act, and pay disgorgement and prejudgment interest in the total amount of \$52,594.48, and a civil money penalty in the amount of \$5,000. (Rel. 33-7783; Rel. 34-42220; File No. 3-10114)

MICHAEL PITTS CONSENTS TO THE ENTRY OF AN ADMINISTRATIVE CEASE-AND-DESIST ORDER FOR ACCEPTING BRIBES FROM PUBLIC RELATIONS FIRM CORPORATE RELATIONS GROUP, INC.

On December 10, the Commission announced that it instituted and settled administrative and cease-and-desist proceedings, pursuant to Section 8A of the Securities Act of 1933 and Sections 15(b)(6), 19(h) and 21C of the Securities Exchange Act of 1934, against

Michael L. Pitts for willfully violating and committing or causing violations of Sections 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

The Commission found that Pitts, who was a registered representative during the relevant time, recommended and/or sold shares of common stock of The Tracker Corporation of America (Tracker) to his customers and failed to disclose the material information that he had been or would be compensated by Corporate Relations Group, Inc., a public relations firm located in Winter Park, Florida, which was promoting Tracker at the time, for inducing his clients to buy this stock.

Without admitting or denying the Commission's findings, Pitts consented to the entry of an order which requires him to cease and desist from committing or causing any violation and any future violations of Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder and to pay disgorgement and prejudgment interest in the total amount of \$20,425.03, and a civil money penalty in the amount of \$13,805.50. The order also bars him from association with any broker or dealer with the right to reapply for association after five years to the appropriate self-regulatory organization or, if there is none, to the Commission. (Rel. 33-7784; Rel. 34-42221; File No. 3-10115)

CIVIL ACTION AGAINST SCOTT MESSIER AND LAWRENCE HARVEY

On November 16, 1999, the Honorable Irma E. Gonzalez, United States District Judge for the Southern District of California, entered judgments of permanent injunction and other relief against Scott C. Messier (Messier) and Lawrence C. Harvey (Harvey). The judgments permanently enjoin Messier and Harvey from violating the securities registration and antifraud provisions of the securities laws. Messier and Harvey were directors of SatCom, Inc. (SatCom).

Messier and Harvey consented to the entry of judgments permanently enjoining them from future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder; and ordering them to pay disgorgement in the amounts of \$101,881.00 and 128,915.00, plus prejudgment interest, respectively. The judgments also waive Messier and Harvey's payments of all disgorgement and interest based upon their inability to pay. Messier and Harvey consented to the relief without admitting or denying the allegations in the Commission's complaint filed on February 10, 1999. The Commission's complaint alleged that Messier, Harvey and sales agents acting at their direction made material misrepresentations in selling unregistered stock in SatCom. [SEC v. SatCom, Inc., SatCom Marketing and Distribution, Inc., Tricap Ventures, Coastal Enterprises, Lloyd D. Paine, Scott C. Messier and Lawrence C. Harvey, Civil Action No. 99 CV 0234 IEG LAB, S.D. Cal.] (LR-16385)

OIL AND GAS PROMOTER ORDERED TO DISGORGE \$11 MILLION AND PAY \$110,000 PENALTY

The Commission announced that on November 23, 1999, the Honorable Terry Means, United States District Judge for the Northern District of Texas, Ft. Worth Division, granted a summary judgment against defendant Sidney W. Sers, the former President and Chief Executive Officer of Trinity Gas Corporation. The Judgment permanently enjoined Sers from violating Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. Sers was also ordered to pay disgorgement of \$11,607,442, plus prejudgment interest, and a civil penalty of \$110,000. In partial satisfaction of the disgorgement order, the Court directed that the approximately \$4 million of Sers' funds previously frozen by the Court be turned over to the reorganized Trinity for the benefit of its shareholders.

The Court found that between May 1996 and November 1997, in connection with the offer, purchase and sale of Trinity stock, Sers knowingly and intentionally made material misstatements and omitted to state material facts concerning, among other things, Trinity's purported ownership and valuation of a Colombian oil and gas concession. The Court further found that during the period that Sers was publicly disseminating false and misleading information, he directly and indirectly sold at least 49 million unregistered Trinity shares to the public, including 2.68 million shares in the over-the-counter market. Sers was also found to have misappropriated at least \$2.8 million in Trinity funds.

Sers remains the subject of a civil contempt order and arrest warrant as a result of his violations of the Court's January 7, 1998 asset freeze order. Among other things, Sers was found by the Court to have transferred approximately \$800,000 in stock proceeds to his privately-held Cayman corporation the day after the freeze order was entered. Sers is a fugitive and presently resides in Cali, Colombia. [SEC v. Trinity Gas Corporation and Sidney W. Sers, USDC ND/TX, Fort Worth, CA No.4-97CV-1018Y] (LR-15582)

FEDERAL COURT ORDERS DEFENDANTS TO PAY OVER \$20 MILLION IN DISGORGEMENT AND PENALTIES FOR WIRELESS CABLE TV INVESTMENT SCAMS

On December 3, a federal district court entered permanent injunctions against seven defendants and ordered them to disgorge over \$17 million in illicit profits and pay civil penalties totalling \$3 million for their participation in two fraudulent schemes to sell unregistered securities in wireless cable television companies to the public.

Judge Nicholas H. Politan of the United States District Court for the District of New Jersey granted the Commission's motion for summary judgment and entered final judgments of permanent injunction and other relief against defendants Ronald H. Michel, Stephen Regen, William Richard Horne, Daniel Kelly, Joseph Glenski, James Barschow, and Frank Cardell. Michel, Regen, Horne, and Kelly reside in Los Angeles, California and vicinity. Glenski, Barschow, and Cardell

reside in northern New Jersey.

The Court ordered defendants Michel, Regen, Horne, and Kelly to disgorge, jointly and severally, \$13,137,813.11, representing their unlawful profits from the fraudulent sale of investments in both schemes. The Court further ordered Michel, Horne, and Kelly each to pay a \$500,000 civil penalty, and Regen to pay a \$250,000 civil penalty. The Court also barred Michel, Horne, and Kelly from serving as officers or directors of any public company.

The Court ordered defendants Glenski and Barschow to disgorge, jointly and severally with defendant Bruce Schroeder, against whom the Commission previously had obtained a judgment, \$4,209,827.23, representing their unlawful profits from the frauds and ordered Glenski and Barschow each to pay a \$500,000 civil penalty. Cardell was ordered to disgorge \$48,075 in illicit profits and pay a \$250,000 civil penalty.

The Court permanently enjoined each of the defendants from future violations of the antifraud provisions of the Securities Act of 1933, Section 17(a), and the Securities Exchange Act of 1934, Section 10(b) and Rule 10b-5 thereunder. In addition, the Court enjoined all of the defendants except Regen from future violations of the securities registration provisions, Securities Act Sections 5(a) and 5(c), and the broker-dealer registration provisions, Exchange Act Section 15(c). [SEC v. Future Vision Direct Marketing, Inc., et al., Civil Action No. 96-2107, NHP, D.N.J., Dec. 3, 1999] (LR-16387)

SEC SUES SOLUCORP INDUSTRIES LTD. AND OFFICERS AND DIRECTORS FOR FRAUDULENT CONDUCT SPANNING FOUR YEARS

On December 13, the Commission filed a civil injunctive action in the United States District Court for the Southern District of New York, alleging that senior officers and directors of Solucorp Industries Ltd., a Canadian company which develops, markets and licenses products used in decontaminating soil, engaged in a deliberate and systematic scheme to defraud investors over a four year period. The scheme involved senior management falsely claiming through press releases and other publicly disseminated materials that Solucorp had contracts worth hundreds of millions of dollars when the contracts either did not exist or were subject to undisclosed material contingencies. Additionally, senior management falsified financial statements filed with the Commission and disseminated to the public during the period December 1997 through April 1999 by improperly recognizing license fees which were subject to material contingencies. Further, senior managers sold Solucorp securities while in possession of non-public inside information and failed to file or timely file insider trading reports with the Commission.

Charged in the action are Joseph S. Kemprowski, a former officer and director of, and currently consultant to, Solucorp; Peter R. Mantia, the president and a director of Solucorp; James G. Spartz, a vice

president and a director of Solucorp; Robert Kuhn, a former vice president of Solucorp; Victor Herman, CPA, the former chief financial officer of Solucorp's two principal operating subsidiaries and the preparer of Solucorp's consolidated financial statements; Arle Pierro, a senior vice president and director of Solucorp; and W. Bryan Fair, a director of Solucorp. [SEC v. Solucorp Industries Ltd., et al., 99 Civ. 11965, WCC, SDNY] (LR-16388)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGES

The National Association of Securities Dealers filed with the Commission a proposed rule change under Rule 19b-4 (SR-NASD-99-70) to establish a fee for historical research and administrative reports provided through Nasdaq's web sites. Publication of the notice in the Federal Register is expected during the week of December 13. (Rel. 34-42207)

The National Association of Securities Dealers has filed a proposed rule change (SR-NASD-99-61) amending its rules governing notification requirements and fees under its Listing Additional Shares Program. Publication of the proposal is expected in the Federal Register during the week of December 13. (Rel. 34-42214)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-MSRB-99-10) filed by the Municipal Securities Rulemaking Board to amend its Rule A-4(d) to allow for the Board to take action on proposed rules and rule amendments without a formal meeting has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of December 13. (Rel. 34-42210)

A proposed rule change filed by the National Association of Securities Dealers deferring until February 7, 2000 the commencement of providing a Nasdaq-generated inside quote from 4:00 p.m. to 6:30 p.m. Eastern Time (SR-NASD-99-71) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of December 13. (Rel. 34-42213)

WITHDRAWAL OF PROPOSED RULE CHANGE

The Philadelphia Stock Exchange withdrew a proposed rule change (SR-Phlx-99-43) under Rule 19b-4 that would have assessed a capital funding fee on each seat owner. Publication of the withdrawal is expected in the Federal Register during the week of December 13. (Rel. 34-42202)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S 8 SIMPLAYER COM LTD, 1 BET HILLEL, TEL AVIV ISRAEL, L3 0000
(972) 695-1652 - 2,500,000 (\$865,673.43) FOREIGN COMMON STOCK. (FILE
333-11174 - NOV. 23) (BR. 3)
- S-4 ASB MANAGEMENT CORP, 100 E VIENNA STREET, ANNA, IL 62906 - 32,000
(\$8,120,960) FOREIGN COMMON STOCK. (FILE 333-11176 - NOV. 24) (BR. 9
- NEW ISSUE)
- F-6 BASF AKTEINGESELLSCHAFT /ADR/, 2 RECTOR ST,
C/O SECURITY PACIFIC NATL TRUST CO, NEW YORK, NY 10006 (212) 978-5009 -
20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE
333-11192 - NOV 30) (BR 99)
- F-6 COMMERZBANK AKTIENGESELLSCHAFT /ADR, ONE WALL ST, C/O IRVING TRUST CO,
NEW YORK, NY 10015 (212) 487-5745 - 10,000,000 (\$500,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-11202 - DEC. 01) (BR. 99)
- N-2 OPPENHEIMER SENIOR FLOATING RATE FUND, TWO WORLD TRADE CENTER, STE 3400,
NEW YORK, NY 10048 (212) 323-0200 - 30,000,000 (\$299,500,000)
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-92123 - DEC. 06) (BR. 16)
- S-8 LABONE INC/, 10101 RENNER BLVD, P O BOX 7568, LENEXA, KS 66219
(913) 648-3600 - 66,691 (\$481,509) COMMON STOCK. (FILE 333-92131 -
DEC 06) (BR 1)
- S-8 LABONE INC/, 10101 RENNER BLVD, P O. BOX 7568, LENEXA, KS 66219
(913) 648-3600 - 1,000,000 (\$7,220,000) COMMON STOCK. (FILE 333-92133 -
DEC 06) (BR 1)
- S-8 LABONE INC/, 10101 RENNER BLVD, P O BOX 7568, LENEXA, KS 66219
(913) 648-3600 - 1,274,252 (\$9,200,099) COMMON STOCK (FILE 333-92135 -
DEC 06) (BR 1)
- S-8 LABONE INC/, 10101 RENNER BLVD, P O. BOX 7568, LENEXA, KS 66219
(913) 648-3600 - 500,000 (\$3,610,000) COMMON STOCK (FILE 333-92137 -
DEC 06) (BR 1)
- S-4 CMGI INC, 100 BRICKSTONE SQUARE, STE B110, ANDOVER, MA 01810
(978) 684-3600 - 6,573,670 (\$981,531,102) COMMON STOCK. (FILE 333-92139 -
DEC 06) (BR. 2)
- S-6 RALSTON PURINA CO, CHECKERBOARD SQ, ST LOUIS, MO 63164 (314) 982-1000 -
100,000 (\$2,915,600) COMMON STOCK (FILE 333-92141 - DEC 06) (BR. 4)
- S 8 SOUTHWEST BANCORP INC, 608 SOUTH MAIN STREET, STILLWATER, OK 74074
(405) 372-2230 - 420,000 (\$8,672,500) COMMON STOCK (FILE 333-92143 -

DEC. 06) (BR. 7)

S-8 FIRST TENNESSEE NATIONAL CORP, PO BOX 84, MEMPHIS, TN 38101
 (901) 523-4638 - 400,000 (\$12,476,000) COMMON STOCK. (FILE 333-92145 -
 DEC. 06) (BR. 7)

S-8 FIRST TENNESSEE NATIONAL CORP, PO BOX 84, MEMPHIS, TN 38101
 (901) 523-4638 - 1,500,000 (\$46,785,000) COMMON STOCK. (FILE 333-92147 -
 DEC. 06) (BR. 7)

S-3 HEALTH CARE PROPERTY INVESTORS INC, 4675 MACARTHUR COURT 9TH FL,
 SUITE 900, NEWPORT BEACH, CA 92660 (949) 221-0600 - 89,452 (\$2,320,161.25)
 COMMON STOCK. (FILE 333-92149 - DEC. 06) (BR. 8)

S-8 LYCOS INC, 400 2 TOTTON POND ROAD, WALTHAM, MA 02451 (781) 370-2700 -
 357,343 (\$141,023.67) COMMON STOCK. (FILE 333-92153 - DEC. 06) (BR. 3)

S-8 COMPUTER SCIENCES CORP, 2100 E GRAND AVE, EL SEGUNDO, CA 90245
 (310) 615-0311 - 606,170 (\$39,666,249) COMMON STOCK. (FILE 333-92155 -
 DEC. 06) (BR. 3)

S-3 PSINET INC, 510 HUNTMAR PARK DR, HERNDON, VA 20170 (703) 904-4100 -
 3,000,000 (\$155,430,000) COMMON STOCK. (FILE 333-92157 - DEC. 06) (BR. 3)

S-8 KANA COMMUNICATIONS INC, 87 ENCINA AVENUE, PALO ALTO, CA 94301
 (650) 325-9850 - 31,085 (\$8,703.80) COMMON STOCK. (FILE 333-92159 -
 DEC. 06) (BR. 8)

S-1 MERRILL LYNCH PIERCE FENNER & SMITH INC,
 NORTH TOWER WORLD FINANCIAL CENTER,
 NORTH TOWER WORLD FINANCIAL CENTER 5TH F, NEW YORK, NY 10281 (212) 449-6202
 - 100,000 (\$10,000,000) COMMON STOCK. (FILE 333-92161 - DEC. 06) (BR. 8)

S-1 MERRILL LYNCH PIERCE FENNER & SMITH INC,
 NORTH TOWER WORLD FINANCIAL CENTER,
 NORTH TOWER WORLD FINANCIAL CENTER 5TH F, NEW YORK, NY 10281 (212) 449-6202
 - 100,000 (\$10,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-92163 -
 DEC 06) (BR 8)

S-8 COSTAR GROUP INC, 7475 WISCONSIN AVE , SUITE 600, BETHESDA, MD 20814
 (301) 215-8300 - 600,000 (\$13,764,000) COMMON STOCK (FILE 333-92165 -
 DEC 06) (BR. 3)

S-8 CUISINE SOLUTIONS INC, 85 SOUTH BRAGG STREET, SUITE 600, ALEXANDRIA, VA
 22312 (703) 442-9600 - 2,600,000 (\$4,265,625) COMMON STOCK. (FILE
 333-92167 - DEC. 06) (BR. 4)

S-8 ARADIGM CORP, 3929 POINT EDEN WAY, HAYWARD, CA 94545 (510) 265-9000 -
 1,900,000 (\$13,017,519) COMMON STOCK (FILE 333-92169 - DEC 06) (BR. 5)

S-8 HIGH SPEED ACCESS CORP, 1000 W ORMSBY AVE, SUITE 210, LOUISVILLE, KY
 40210 - 4,032,099 (\$69,829,114.54) COMMON STOCK. (FILE 333-92171 -
 DEC 06) (BR 3)

S-8 ADAPTEC INC, 691 S MILPITAS BLVD, M/S25, MILPITAS, CA 95035
 (408) 945-8600 - 1,000,000 (\$54,375,000) COMMON STOCK (FILE 333-92173 -
 DEC 06 (BR 3)

S-8 XTRA CORP /DE/, 60 STATE ST, C/O X L CO INC, BOSTON, MA 02109
 (617) 367-5000 - 500,000 (\$22,731,960) COMMON STOCK. (FILE 333-92175 -
 DEC 06) (BR 6)

S-8 STARWOOD FINANCIAL INC, 1114 AVENUE OF THE AMERICAS 27TH FLOOR,
 NEW YORK, NY 10036 (212) 930-9494 - 753,037 (\$13,298,633.42) COMMON STOCK.
 (FILE 333-92177 - DEC 06) (BR 8)

S-3 HOLLIS EDEN PHARMACEUTICALS INC /DE/, 9333 GENESEE AVENUE, SUITE 200,
 SAN DIEGO, CA 92121 (858) 587-9333 - 590,000 (\$11,892,500) COMMON STOCK
 (FILE 333-92179 - DEC 06) (BR 1)

S-8 CONNECTISYS CORP, 24730 AVENUE TIBBITTS #130, VALENCIA, CA 91355
 (661) 295-6763 - 1,000,000 (\$840,000) COMMON STOCK (FILE 333-92181 -
 DEC 06) (BR 7)

- S-3 PREMIER LASER SYSTEMS INC, 3 MORGAN, IRVINE, CA 92660 (949) 859-0656 - 862,667 (\$1,536,625.50) COMMON STOCK. (FILE 333-92183 - DEC. 06) (BR. 5)
- S-8 HOLLIS EDEN PHARMACEUTICALS INC /DE/. 9333 GENESEE AVENUE, SUITE 200, SAN DIEGO, CA 92121 (858) 587-9333 - 2,549,834 (\$31,814,876) COMMON STOCK. (FILE 333-92185 - DEC. 06) (BR. 1)
- S-1 CHORDIANT SOFTWARE INC, 20400 STEVENS CREEK BLVD, SUITE 400, CUPERTINO, CA 95014 (408) 517-6100 - 5,175,000 (\$51,750,000) COMMON STOCK. (FILE 333-92187 - DEC. 06) (BR. 1)
- S-8 HOOVERS INC, 1033 LA POSADA DRIVE, SUITE 250, AUSTIN, TX 78758 (512) 374-4500 - 3,129,645 (\$33,252,479) COMMON STOCK. (FILE 333-92189 - DEC. 06) (BR. 8)
- S-8 SOTHEBYS HOLDINGS INC, 500 NORTH WOODWARD AVENUE, SUITE 100, BLOOMFIELD HILLS, MI 48304 (212) 606-7000 - 4,900,000 (\$152,206,250) COMMON STOCK. (FILE 333-92193 - DEC. 07) (BR. 8)
- S-4 CENTURA BANKS INC, 134 N CHURCH ST, ROCKY MOUNT, NC 27804 (252) 454-4400 - 12,232,714 (\$553,190,534) COMMON STOCK. (FILE 333-92195 - DEC. 06) (BR. 7)
- S-8 LOCKHEED MARTIN CORP, 6801 ROCKLEDGE DR, BETHESDA, MD 20817 (301) 897-6000 - 40,000,000 (\$40,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-92197 - DEC. 06) (BR. 5)
- S-4 CRITICAL PATH INC, 320 FIRST STREET, SAN FRANCISCO, CA 94105 (415) 808-8800 - 5,890,000 (\$340,545,620) COMMON STOCK. (FILE 333-92199 - DEC. 06) (BR. 8)
- S-3 OBJECTSOFT CORP, CONTINENTAL PLAZA III, 433 HACKENSACK, HACKENSACK, NJ 07601 (201) 343-9100 - 488,676 (\$671,929.50) COMMON STOCK. (FILE 333-92201 - DEC 07) (BR 3)
- S-8 BARGO ENERGY CO, 700 LOUISIANA, SUITE 3700, HOUSTON, TX 77002 (713) 236-9792 - 26,333,747 (\$10,699,401) COMMON STOCK. (FILE 333-92203 - DEC 07) (BR 4)
- S-8 NETCENTIVES INC, 690 FIFTH STREET, SAN FRANCISCO, CA 94107 (415) 538-1888 - 7,706,816 (\$42,855,209.87) COMMON STOCK (FILE 333-92205 - DEC 07) (BR 8)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events.

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
AASTROM BIOSCIENCES INC	MI				X	X					12/08/99	
ADELPHIA COMMUNICATIONS CORP	DE				X	X					12/08/99	
ADVANCED REMOTE COMMUNICATION SOLUTIONS	CA	X									09/28/99	AMEND
AMERICAN CAREER CENTERS INC	NV	X	X		X	X					12/03/99	
AMERICAN RESIDENTIAL EAGLE BOND TRUST 1999-2 COLLATERALIZED	DE				X	X					11/25/99	
AMERICAN RIVERS OIL CO /DE/	DE	X	X		X	X					12/08/99	
AMRESCO RESIDENTIAL SECURITIES CORP MORT LOAN TRUST 1999-1	DE		X			X					12/08/99	
APACHE CORP	DE		X		X	X					11/30/99	
BALDWIN PIANO & ORGAN CO /DE/	DE		X			X					12/08/99	
BAY VIEW SECURITIZATION CORP	DE				X	X					12/08/99	
BEAR STEARNS ASSET BACK SEC INC MORT B A LIB NOT CL A SE 1999-1	DE				X	X					11/25/99	
BELLSOUTH CORP	GA				X						12/09/99	
BLYTH INDUSTRIES INC	DE				X	X					12/09/99	
CAPITAL RE CORP	DE				X	X					12/09/99	
CASMYN CORP	CO		X		X						12/07/99	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								11/25/99	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								11/25/99	
CEC PROPERTIES INC	DE				X						12/05/99	
CHIREX INC	DE				X	X					12/06/99	
CIBER INC	DE				X	X					12/10/99	
CIT GROUP INC	DE				X	X					11/23/99	
CITADEL BROADCASTING CO	NV				X	X					12/10/99	
CITADEL COMMUNICATIONS CORP	NV				X	X					12/10/99	
CITATION CORP /AL/	DE		X			X					12/01/99	
CITIBANK SOUTH DAKOTA N A	DE		X								11/30/99	
COCA COLA BOTTLING CO CONSOLIDATED /DE/	DE				X						12/06/99	
COMMUNITY INVESTMENT PARTNERS LP	MO		X								09/30/99	
CONTIMORTGAGE HOME EQUITY TRUST 1999-1	NY				X	X					11/25/99	
CONTIMORTGAGE HOME EQUITY TRUST 1999-2	NY				X	X					11/25/99	
CONTIMORTGAGE HOME EQUITY TRUST 1999-3	NY				X	X					11/25/99	
COURTYARD BY MARRIOTT LIMITED PARTNERSHIP	DE		X								12/06/99	
COX COMMUNICATIONS INC /DE/	DE				X	X					11/29/99	
CRIMI MAE FINANCIAL CORP	MD				X						12/10/99	
DELTA PETROLEUM CORP/CO	CO		X		X	X					12/01/99	
EGLOBE INC	DE					X					09/20/99	AMEND
EICO ELECTRONIC INSTRUMENT CO INC	NY				X						12/08/99	
EPLUS INC	DE						X				12/13/99	
FORTUNE BRANDS INC	DE				X	X					11/02/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ				X	X					11/26/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ		X			X					11/29/99	
GENCOR INDUSTRIES INC	DE				X	X					12/03/99	
GHS INC	DE				X	X					12/03/99	
GLEN BURNIE BANCORP	MD				X	X					12/09/99	
HEALTHCON WEBMD CORP	DE				X	X					12/06/99	
HUNTCCO INC	MO				X						12/10/99	
HUNTSMAN PACKAGING CORP	UT				X	X					12/01/99	
IAT MULTIMEDIA INC	DE				X	X					11/23/99	
INDUSTRIAL TECHNOLOGIES INC	DE				X						11/23/99	
INSITUFORM EAST INC	DE				X						12/03/99	
INTELEFILM CORP	MN				X	X					12/08/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
INTERNET COMMERCE CORP	DE				X						11/23/99	
JABIL CIRCUIT INC	DE					X	X				09/13/99	AMEND
KING PHARMACEUTICALS INC	TN				X	X					11/11/99	
KING PHARMACEUTICALS INC	TN				X	X					11/30/99	
KINNARD INVESTMENTS INC	MN				X						12/08/99	
KNOLL INC	DE				X						12/31/99	
KRUG INTERNATIONAL CORP	OH		X				X				11/30/99	
LAROCHE INDUSTRIES INC	DE						X				12/10/99	
LUFKIN INDUSTRIES INC	TX				X	X					11/30/99	
MARRIOTT RESIDENCE INN II LIMITED P ARTNERSHIP	DE		X								12/08/99	
MECH FINANCIAL INC	CT	X									12/10/99	
MIDCOAST ENERGY RESOURCES INC	NV				X	X					12/08/99	
MORROW SNOWBOARDS INC	OR				X						11/29/99	
NATHANS FAMOUS INC	DE						X				09/10/99	
NETOPTIX CORP	DE				X						11/17/99	AMEND
NEWPORT NEWS SHIPBUILDING INC	DE				X	X					12/06/99	
NEXTLINK COMMUNICATIONS INC / DE	DE				X	X					12/07/99	
NORTHWEST ASSET SECURITIES CORP 199 9-21 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999 1 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999 2 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999 3 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-11 TRUST	DE						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-12 TRUST	DE						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-13 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-14 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-15 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-16 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-17 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-19 TRUST	DE						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-22 TRUST	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-23	DE						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-25	NY						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-4 TRUST	DE						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-5	DE						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-6	DE						X				11/26/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-7	DE						X				11/26/99	
NORWEST ASSET SECURITIES CORP MOR P AS TH CERT SER 1999-9	NY						X				11/26/99	
NORWEST ASSET SECURITIES CORP MOR P AS TR CERT SER 1999-10 TR	DE						X				11/26/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NORWEST ASSET SECURITIES CORP MOR P NY AS THR CER SER 1999-20 TR	NY								X		11/26/99	
NORWEST ASSET SECURITIES CORP MOR P DE AS THR CERT SERS 1999-18	DE								X		11/26/99	
NORWEST ASSET SECURITIES CORP MOR P NY ASS THR CER SER 1999-8 TR	NY								X		11/26/99	
NUTRICEUTICALS COM CORP	FL	X						X			11/26/99	
ON2COM INC	CO							X			09/27/99	AMEND
PAINWEBBER MORT ACCEPT CORP IV NEW DE SOUTH 1999 2	DE			X				X			12/02/99	
PAPA JOHNS INTERNATIONAL INC	DE					X		X			11/29/99	
PAPA JOHNS INTERNATIONAL INC	DE					X		X			12/09/99	
PETROQUEST ENERGY INC								X			09/01/98	AMEND
PHYSICIAN COMPUTER NETWORK INC /NJ	NJ					X		X			12/07/99	
PITNEY BOWES INC /DE/	DE	X									12/10/99	
PRIMEDEX HEALTH SYSTEMS INC	NY			X							12/01/99	
PUMA TECHNOLOGY INC	DE					X		X			12/08/99	
QUESTAR GAS CO	UT					X		X			12/08/99	
RAZORFISH INC	DE							X			12/01/99	AMEND
RBID COM INC	FL		X								12/07/99	
REDBACK NETWORKS INC	DE					X		X			11/28/99	
RITE AID CORP	DE			X							12/06/99	
RUBY TUESDAY INC	GA							X			12/06/99	
RWD TECHNOLOGIES INC	MD					X		X			12/10/99	
SAPIENT CORP	DE					X		X			12/09/99	
SETO HOLDINGS INC	NV		X					X			11/27/99	
SMART CHOICE AUTOMOTIVE GROUP INC	FL			X				X			12/01/99	AMEND
SPSS INC	DE		X					X			11/29/99	
STAGE STORES INC	DE					X		X			12/10/99	
STARNET FINANCIAL INC	DE							X			10/13/99	AMEND
STRATESEC INC	DE					X					12/09/99	
STRUCTURED ASSET SEC CORP MORT PASS DE THR CERT SER 1999 1	DE					X		X			11/30/99	
TRW INC	OH					X		X			12/10/99	
TYCO INTERNATIONAL LTD /BER/						X					10/09/99	
UICI	DE					X		X			12/09/99	
UNIT CORP	DE							X			09/30/99	AMEND
UNOCAL CORP	DE					X					11/30/99	
US MICROBICS INC	CO					X					12/06/99	
VACU DRY CO	CA					X		X			10/09/99	
VANTAS INC	NV					X					12/03/99	
VISTA GOLD CORP						X					12/09/99	
W-J INTERNATIONAL LTD /DE/	DE					X		X			12/03/99	
WEBSTER FINANCIAL CORP	DE					X		X			12/01/99	