

# SEC NEWS DIGEST

Issue 99-159

August 18, 1999

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## ENFORCEMENT PROCEEDINGS

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### WILLIAM SHAPIRO AND KENNETH SHAPIRO BARRED FOR VIOLATING ANTIFRAUD PROVISIONS OF THE SECURITIES LAWS

On August 17, the Commission issued an Order Instituting Public Proceedings, Making Findings and Imposing Remedial Sanctions against William Shapiro, Kenneth Shapiro and Welco Securities, Inc. Welco is a broker-dealer registered with the Commission and located in Bala Cynwyd, Pennsylvania. They consented to the entry of the Commission's Order without admitting or denying the findings contained therein. Also on August 17, the Commission filed a complaint in the United States District Court for the Eastern District of Pennsylvania against Welco Securities, Inc. (Welco), William S. Shapiro and Kenneth S. Shapiro (jointly the Shapiros). Welco and the Shapiros have consented, without admitting or denying the allegations in the complaint, to the entry of a permanent injunction and other relief.

The Commission, in its Order, found that Welco, William Shapiro and Kenneth Shapiro consented, without admitting or denying the allegations in the Commission's complaint, to the entry of an order by the District Court for the Eastern District of Pennsylvania enjoining them from engaging in acts which violate the antifraud and broker-dealer registration provisions of the federal securities laws. In addition, Welco and Kenneth Shapiro consented to the entry of an order enjoining them from engaging in acts which violate certain record-keeping provisions. In its complaint, the Commission alleged, among other things, that William Shapiro and Kenneth Shapiro, through Welco, offered and sold approximately \$60 million of securities issued by Walnut Equipment Leasing Company and Equipment Leasing Corporation of America (ELCOA) to their brokerage customers and that these investments, which involved a high degree of risk, were not suitable for all of these customers. The complaint also alleged that they failed to inform investors of material facts regarding Welco's on-going violations of state registration requirements for Walnut and ELCOA securities.

On the basis of its findings and their Offer of Settlement, the Commission ordered that William Shapiro and Kenneth Shapiro be

barred from association with any broker or dealer and that the registration of Welco shall be revoked.

The Commission wishes to acknowledge the assistance provided by the Pennsylvania Securities Commission in this matter. (In the Matter of Welco Securities, Inc., William S. Shapiro and Kenneth S. Shapiro, Rel. 34-41753, File No. 3-9977) [SEC v. Welco Securities, Inc., William S. Shapiro and Kenneth S. Shapiro, E.D. Pa., Civil Action No. 99-4143] (LR-16253)

#### **JOHN MCGARRY BARRED FOR VIOLATING ANTIFRAUD PROVISIONS**

Today the Commission issued an Order Instituting Public Proceedings, Making Findings and Imposing Remedial Sanctions and Cease-and-Desist Order against John J. McGarry. McGarry consented to the entry of the Commission's Order without admitting or denying the findings contained therein.

The Commission, in its Order, found that from 1989 through April 1997 McGarry engaged in fraudulent conduct in connection with the sale of over \$2 million of securities issued by Equipment Leasing Corporation of America (ELCOA) to approximately 100 of his customers. McGarry sold ELCOA securities to his customers without the approval of the broker-dealer, located in San Diego, California, with which he was employed as a registered representative. Instead, McGarry placed his ELCOA trades through Welco Securities, Inc., another broker-dealer, located in Bala Cynwyd, Pennsylvania. Welco then maintained the accounts of these customers.

As part of his sales efforts, McGarry misrepresented material facts and made unsuitable investment recommendations to his customers. In this regard, he characterized ELCOA as a safe, secure investment when, in fact, ELCOA's securities involved a high degree of investment risk. McGarry also failed to disclose to Pennsylvania investors that ELCOA securities were not registered in the state of Pennsylvania. As part of this deception, McGarry falsified certain customer account forms. Finally, McGarry recommended the purchase of ELCOA securities, which entailed significant investment risk, to customers whom he knew or was reckless in not knowing were inexperienced, conservative investors seeking a secure source of income.

On the basis of its findings and McGarry's Offer of Settlement, the Commission ordered that he cease and desist from committing or causing violations of, among other things, the registration and antifraud provisions of the federal securities laws; that he be barred from association with any broker or dealer; and that he shall pay disgorgement of \$134,000, but that payment of such amount be waived based upon his demonstrated financial inability to pay.

The Commission wishes to acknowledge the assistance provided by the Pennsylvania Securities Commission in this matter. (Rels. 33-7726; 34-41754; File No. 3-9978)

**CIVIL INJUNCTIVE ACTION FILED AGAINST VINCENT NAPOLITANO,  
STOCKPLAYER.COM, INC., IRVING STITSKY, JORDAN SHAMAH, ROBERT KESSLER AND  
FIFTEEN RELIEF DEFENDANTS**

A complaint has been filed seeking permanent injunctions, disgorgement, civil penalties and other relief against Vincent Napolitano, Irving J. Stitsky, Jordan I. Shamah, Robert B. Kessler, StockPlayer.com, Inc. and fifteen corporate relief defendants. The complaint alleges the defendants engaged in a complex "pump and dump" scheme in which they (1) obtained large blocks of stock in certain microcap issuers; (2) disseminated buy recommendations through StockPlayer, an Internet newsletter published by StockPlayer.com and controlled by Napolitano; (3) manipulated the prices of those securities through the use of bid and ask quotations and by bidding for and purchasing those securities while engaged in distributions of the blocks of stock held by the defendants; and (4) sold out their shareholdings at profits of millions of dollars. The complaint also alleges Napolitano and StockPlayer.com disclosed only a portion of the compensation they received from issuers for publishing buy recommendations for their securities and alleges Napolitano engaged in "scalping" by selling stock at the same time StockPlayer was recommending to subscribers that they buy those securities.

It is alleged that through such conduct (1) Napolitano and StockPlayer.com violated Sections 17(a) and 17(b) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder and Rule 102 of Regulation M; (2) Stitsky and Shamah violated Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder and Rule 102 of Regulation M; and (3) Kessler violated Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder and Rule 101 of Regulation M. [SEC v. Vincent Napolitano, Irving J. Stitsky, Jordan I. Shamah, Robert B. Kessler et al., Civ. No. 99 4807, U.S.D.C., E.D.N.Y.] (LR-16254)

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**SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-6 SHIN CORP PLC /ADR/, 60 WALL STREET, NEW YORK, NY 10260 - 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-10674 - AUG. 06) (BR. 99 - NEW ISSUE)

F-6 ACERALIA CORPORACION SIDERURGICA SA /ADR/, ONE WALL STREET, NEW YORK, NY 10286 - 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-10676 - AUG. 06) (BR. 99 - NEW ISSUE)

F-6 CEMEX SA DE CV /ADR, 60 WALL ST, C/O MORGAN GUARANTY TRUST CO OF NY, NEW YORK, NY 10260 (212) 648-3250 - 250,000,000 (\$12,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-10678 - AUG 10) (BR. 99)

F-3 AREL COMMUNICATIONS & SOFTWARE LTD, 14 HAMAPUACH ST, REHOVOT 76341 ISRAEL, L5 - 4,299,643 (\$33,053,505.60) FOREIGN COMMON STOCK. (FILE 333-10684 - AUG. 10) (BR. 3)

S-8 CRYO CELL INTERNATIONAL INC, 604 PACKARD CT, SAFETY HARBOR, FL 34695 (201) 224-7070 - 50,000 (\$161,500) COMMON STOCK. (FILE 333-84915 - AUG. 11) (BR. 8)

S-8 ITT INDUSTRIES INC, FOUR W RED OAK LANE, WHITE PLAINS, NY 10604 (914) 641-2000 - 100,000 (\$3,718,750) COMMON STOCK. (FILE 333-84917 - AUG. 11) (BR 6)

S-8 SDL INC, 80 ROSE ORCHARD WAY, SAN JOSE, CA 95134 (408) 943-9411 - 2,028,594 (\$138,126,965 46) COMMON STOCK. (FILE 333-84921 - AUG. 11) (BR. 5)

S-8 JENKON INTERNATIONAL INC, 7600 NE 41ST STE 350, VANCOUVER, WA 98662 (360) 256-4400 - 1,000,000 (\$2,000,000) COMMON STOCK. (FILE 333-84923 - AUG 11) (BR 9)

S-3 SDL INC, 80 ROSE ORCHARD WAY, SAN JOSE, CA 95134 (408) 943-9411 - 300,000,000 (\$300,000,000) PREFERRED STOCK. (FILE 333-84925 - AUG. 11) (BR 5)

S-8 POINTE COMMUNICATIONS CORP, 2839 PACEY FERRY ROAD, SUITE 500, ATLANTA, GA 30339 (770) -43-2-68 - 3,500,000 (\$6,125,000) COMMON STOCK. (FILE 333-84927 - AUG. 11) (BR. 7)

S-3 FINANCIAL ASSET SECURITIES CORP, 600 STEAMBOAT ROAD, GREENWICH, CT 06830 (203) 622-2700 - 2,000,000,000 (\$2,000,000,000) EQUIPMENT TRUST CERTIFICATES (FILE 333-84929 - AUG. 11) (BR 8)

S-3 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604 (312) 322-8500 - 350,000,000 (\$350,000,000) STRAIGHT BONDS. (FILE 333-84931 - AUG 11) (BR 5)

S-8 MYLEX CORP, 34551 ARDENWOOD BLVD, FREMONT, CA 94555 (510) 796-6100 - 2,000,000 (\$22,570,217) COMMON STOCK. (FILE 333-84935 - AUG. 11) (BR. 3)

S-8 CATAWBA VALLEY BANCSHARES INC, 1039 SECOND STREET N.E., HICKORY, NC 28601 (828) 431-2300 - 210,070 (\$2,033,900) COMMON STOCK. (FILE 333-84937 - AUG. 11) (BR. 7)

S-3 RESIDENTIAL ASSET SECURITIES CORP, 8400 NORMANDALE LAKE BLVD STE 600, MINNEAPOLIS, MN 55437 (621) 832-7000 - 8,000,000,000 (\$8,000,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-84939 - AUG 11) (BR. 8)

S-8 TADEO HOLDINGS INC, 42705 GRAND RIVER AVENUE, SUITE 101, NOVI, MI 48735 (248) 344-9599 - 800,000 (\$2,600,000) COMMON STOCK. (FILE 333-84941 - AUG. 11) (BR. 2)

S-8 WEIRTON STEEL CORP, 400 THREE SPRINGS DR, WEIRTON, WV 26062 (304) 797-2000 - 8,683,080 (\$18,110,000) COMMON STOCK. (FILE 333-84943 - AUG. 11) (BR. 6)

SB-2 QUEST NET CORP /FL, 2999 NE 191ST ST, PENTHOUSE 8, AVENTURA, FL 33180 (305) 935-1080 - 1,700,000 (\$5,950,000) COMMON STOCK (FILE 333-84945 - AUG. 11) (BR 9)

S-2 TRANSMEDIA NETWORK INC /DE/, 11900 BISCAYNE BLVD, STE 460, MIAMI, FL  
33181 (305) 892-3300 - 10,000,000 (\$10,000,000) PREFERRED STOCK. (FILE  
333-84947 - AUG. 11) (BR. 8)

S-8 TRIMBLE NAVIGATION LTD /CA/, 645 N MARY AVE, SUNNYVALE, CA 94088  
(408) 481-8000 - 1,800,000 (\$18,409,200) COMMON STOCK. (FILE 333-84949 -  
AUG. 11) (BR. 5)

S-3 POWERTEL INC /DE/, 1233 O G SKINNER DR, WEST POINT, GA 31833  
(706) 645-2000 - 1,764,706 (\$59,669,122) COMMON STOCK (FILE 333-84951 -  
AUG. 11) (BR. 7)

SB-2 WELLINGTON PROPERTIES TRUST, 18650 W CORPORATE DRIVE, BROOKFIELD, WI  
53045 (414) 792-8930 - 1,380,000 (\$13,800,000)  
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-84953 - AUG. 11) (BR. 8)

S-4 FIFTH THIRD BANCORP, 38 FOUNTAIN SQ PLZ, FIFTH THIRD CENTER, CINCINNATI,  
OH 45263 (513) 579-5300 - 40,000,000 (\$2,161,958,209.29) COMMON STOCK  
(FILE 333-84955 - AUG. 11) (BR. 7)

S-8 TIMBERLAND CO, 200 DOMAIN DR, STRATHAM, NH 03885 (603) 772-9500 -  
100,000 (\$7,594,000) COMMON STOCK. (FILE 333-84959 - AUG. 11) (BR. 2)

S-3 NUWAVE TECHNOLOGIES INC, ONE PASSAIC AVE, FAIRFIELD, NJ 07004  
(973) 882-8810 - 150,000 (\$440,625) COMMON STOCK (FILE 333-84961 -  
AUG. 11) (BR. 9)

S-8 TASTY FRIES INC, 650 SENTRY PKWY STE ONE, BLUE BELL, PA 19422  
(610) 941-2109 - 1,050,000 (\$493,500) COMMON STOCK (FILE 333-84963 -  
AUG. 11) (BR. 4)

S-8 ALTERNATIVE RESOURCES CORP, 100 TRI STATE INTERNATIONAL, STE 300,  
LINCOLNSHIRE, IL 60069 (847) 317-1000 - 300,000 (\$2,006,250) COMMON STOCK  
(FILE 333-84967 - AUG. 11) (BR. 8)

S-8 ALTERNATIVE RESOURCES CORP, 100 TRI STATE INTERNATIONAL, STE 300,  
LINCOLNSHIRE, IL 60069 (847) 317-1000 - 700,000 (\$4,681,250) COMMON STOCK  
(FILE 333-84969 - AUG. 11) (BR. 8)

S-3 ENDOCARDIAL SOLUTIONS INC, 1350 ENERGY LANE, STE 110, ST PAUL, MN 55108  
(612) 644-7890 - 1,111,111 (\$11,736,110) COMMON STOCK. (FILE 333-84971 -  
AUG. 11) (BR. 5)

N-2 ALLIED CAPITAL CORP, 1666 K ST NW STE 901, WASHINGTON, DC 20006  
(202) 331-1112 - 3,375,793 (\$70,258,692) COMMON STOCK (FILE 333-84973 -  
AUG. 11) (BR. 16)

S-4 EL PASO ENERGY CORP/DE, 1001 LOUISIANA ST, HOUSTON, TX 77002  
(713) 420-2131 - 600,000,000 (\$600,000,000) STRAIGHT BONDS (FILE  
333-84975 - AUG. 11) (BR. 2)

S-11 CRUSADE MANAGEMENT LTD, LEVEL 4, 4-16 MONTGOMERY STREET,  
KOGARAH, NSW 2217 AUSTRALIA, - 1,000,000 (\$1,000,000)  
PASS-THROUGH MORTGAGE-BACKED CERTIFICATE (FILE 333-84977 - AUG. 11)  
(NEW ISSUE)

S-4 SECURITY FINANCIAL SERVICES CORP, 212 WEST PROSPECT STREET, DURAND, WI  
54736 (715) 672-4237 - 12,000 (\$18,636,840) COMMON STOCK (FILE 333-84979  
- AUG. 11) (NEW ISSUE)

S-8 LUCENT TECHNOLOGIES INC, 600 MOUNTAIN AVE, MURRAY HILL, NJ 07974  
(908) 582-8500 - 374,985 (\$4,073,837.04) COMMON STOCK. (FILE 333-84981 -  
AUG. 11) (BR. 7)

S-8 SEPRACOR INC /DE/, 111 LOCKE DR, MARLBOROUGH, MA 01757 (508) 481-6700 -  
1,500,000 (\$100,125,000) COMMON STOCK. (FILE 333-84983 - AUG. 11) (BR. 1)

S-1 WATERLINK INC, 4100 HOLIDAY ST NW, SUITE 201, CANTON, OH 44718  
(330) 649-4000 - 6,300,000 (\$17,718,750) COMMON STOCK (FILE 333-84985 -  
AUG. 11) (BR. 6)

S-8 VOYAGER NET INC, 4660 S HAGADORN RD, STE 320, EAST LANSING, MI 48823  
(517) 324-8940 - 5,683,688 (\$62,545,095.60) COMMON STOCK. (FILE 333-84987

- AUG. 12) (BR. 3)

- N-2 PREMIER NEW YORK MUNICIPAL INCOME FUND, C/O ROPES & GRAY,  
ONE INTERNATIONAL PLACE, BOSTON, MA 02110 (617) 951-7000 - 66,667  
(\$1,000,005) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-84991 -  
AUG. 11)
- N-2 PREMIER CALIFORNIA MUNICIPAL INCOME FUND, C/O ROPES & GRAY,  
ONE INTERNATIONAL PLACE, BOSTON, MA 02110 (617) 951-7000 - 66,667  
(\$1,000,005) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-84993 -  
AUG. 11)
- N-2 PREMIER NORTH CAROLINA MUNICIPAL INCOME FUND, C/O ROPES & GRAY,  
ONE INTERNATIONAL PLACE, BOSTON, MA 02110 (617) 951-7000 - 66,667  
(\$1,000,005) COMMON SHARES OF BENEFICIAL INTEREST (FILE 333-84995 -  
AUG. 11)
- N-2 PREMIER MUNICIPAL INCOME FUND, C/O ROPES & GRAY,  
ONE INTERNATIONAL PLACE, BOSTON, MA 02110 (617) 951-7000 - 66,667  
(\$1,000,005) COMMON SHARES OF BENEFICIAL INTEREST (FILE 333-84997 -  
AUG 11)
- S-8 ENRON CORP/OR/, 1400 SMITH ST, HOUSTON, TX 77002 (713) 853-6161 -  
10,000,000 (\$850,625,000) COMMON STOCK. (FILE 333-84999 - AUG. 12)  
(BR. 4)
- S-8 ENRON CORP/OR/, 1400 SMITH ST, HOUSTON, TX 77002 (713) 853-6161 -  
15,000,000 (\$1,275,937,500) COMMON STOCK (FILE 333-85001 - AUG. 12)  
(BR. 4)
- S-8 SEPRACOR INC /DE/, 111 LOCKE DR, MARLBOROUGH, MA 01757 (508) 481-6700 -  
900,000 (\$60,075,000) COMMON STOCK. (FILE 333-85003 - AUG 12) (BR. 1)
- S-4 SPECTRASITE HOLDINGS INC, 8000 REGENCY PARKWAY, SUITE 570, CARY, NC  
27511 (919) 468-0112 - \$347,546,477 STRAIGHT BONDS (FILE 333-85005 -  
AUG 12) (BR. 7)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C. 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>.

| NAME OF ISSUER       | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|----------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|                      |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| ACTIVE VOICE CORP    | WA            | X           | X |   |   |   | X |   |   |   | 06/30/99 | AMEND   |
| ACX TECHNOLOGIES INC | CO            |             | X |   |   |   | X |   |   |   | 08/02/99 |         |

| NAME OF ISSUER  | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE | COMMENT  |       |
|---|---------------|-------------|---|---|---|---|---|---|---|---|------|----------|-------|
|   |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |      |          |       |
| ADVANTA AUTOMOBILE RECEIVABLES TRUS<br>T 1997-1                 | NV            |             |   |   |   |   |   |   | X |   |      | 08/16/99 |       |
| ADVANTA BUSINESS SERVICES CORP                                  | DE            |             |   |   | X | X |   |   |   |   |      | 08/11/99 |       |
| ADVANTA LEASING RECEIVABLES CORP IV                             | NV            |             |   |   | X | X |   |   |   |   |      | 08/11/99 |       |
| ADVANTA LEASING RECEIVABLES CORP V                              | NV            |             |   |   | X | X |   |   |   |   |      | 08/11/99 |       |
| ADVANTA MORTGAGE LOAN TRUST 1999-3                              | NV            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| AIR T INC   | DE            | X           |   |   |   |   |   |   |   |   |      | 08/13/99 |       |
| AIRPLANES LTD   | DE            |             |   |   | X |   |   |   |   |   |      | 08/12/99 |       |
| AIRTOUCH COMMUNICATIONS INC                                     | DE            |             |   |   | X |   |   |   |   |   |      | 08/09/99 |       |
| ALTIVA FINANCIAL CORP   | DE            | X           |   |   |   | X |   |   |   |   |      | 08/17/99 | AMEND |
| AMERICAN EXPRESS CENTURION BANK                                 | UT            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| AMERICAN EXPRESS RECEIVABLES FINANC<br>ING CORP                 | DE            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| AMERICAN EXPRESS RECEIVABLES FINANC<br>ING CORP II              | DE            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| AMERICAN GAMING & ENTERTAINMENT LTD<br>/DE                      | DE            | X           |   |   |   |   |   |   |   |   |      | 08/10/99 |       |
| AMERICREDIT CORP  | TX            |             |   |   | X | X |   |   |   |   |      | 10/16/99 |       |
| AMERICREDIT FINANCIAL SERVICES INC                              | DE            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| AMERINET GROUP COM INC  | DE            | X           |   |   |   |   |   |   |   |   |      | 08/17/99 | AMEND |
| ANCHOR BANCORP WISCONSIN INC                                    | WI            |             |   |   |   | X |   |   |   |   |      | 06/07/99 | AMEND |
| APPAREL AMERICA INC   | DE            | X           |   |   |   |   |   |   |   |   |      | 05/27/99 |       |
| ASYST TECHNOLOGIES INC /CA/                                     | CA            |             |   |   | X | X |   |   |   |   |      | 06/03/99 | AMEND |
| AUDIOGENESIS SYSTEMS INC  | NJ            |             |   |   |   | X |   |   |   |   |      | 06/29/99 | AMEND |
| AUTOMOTIVE PERFORMANCE GROUP INC                                | DE            | X           |   |   |   | X |   |   |   |   |      | 08/04/99 |       |
| AVALON HOLDINGS CORP  | OH            |             |   |   | X |   |   |   |   |   |      | 08/11/99 |       |
| BANCINSURANCE CORP  | OH            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| BANK OF AMERICA MORTGAGE SECURITIES<br>INC                      | DE            |             |   |   | X | X |   |   |   |   |      | 08/17/99 |       |
| BANKILLINOIS FINANCIAL CORP                                     | DE            |             |   |   | X | X |   |   |   |   |      | 12/31/99 | AMEND |
| BEAR STEARNS ASSET BAC SE INC MOR B<br>A LIB NOT CL A SE 1999-1 |               |             |   |   | X | X |   |   |   |   |      | 07/26/99 |       |
| BEAR STEARNS ASSET BACKED SECURITIE<br>S INC                    | DE            |             |   |   | X | X |   |   |   |   |      | 07/30/99 |       |
| BLUE RHINO CORP   | DE            | X           |   |   |   |   |   |   |   |   |      | 07/30/99 |       |
| BORG WARNER AUTOMOTIVE INC                                      | DE            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| BUFFALO CAPITAL IV LTD  | CO            | X           |   |   |   |   |   |   |   |   |      | 05/31/99 | AMEND |
| CARLYLE REAL ESTATE LTD PARTNERSHIP<br>XIV /IL/                 | IL            | X           |   |   |   | X |   |   |   |   |      | 07/30/99 |       |
| CAROLINA FIRST CORP   | SC            |             |   |   | X |   |   |   |   |   |      | 08/16/99 |       |
| CARRAMERICA REALTY CORP   | MD            |             |   |   | X | X |   |   |   |   |      | 08/06/99 |       |
| CASCADE CORP  | OR            |             |   |   | X | X |   |   |   |   |      | 04/29/99 | AMEND |
| CELLEGY PHARMACEUTICALS INC                                     | CA            |             |   |   | X |   |   |   |   |   |      | 07/30/99 |       |
| CENTERPOINT PROPERTIES TRUST                                    | MD            | X           |   |   |   | X |   |   |   |   |      | 06/03/99 | AMEND |
| CHASE COMMERCIAL MORTGAGE SECURITIE<br>S CORP                   | NY            |             |   |   | X | X |   |   |   |   |      | 08/12/99 |       |
| CHESAPEAKE ENERGY CORP  | OK            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| CIRCUIT CITY CREDIT CARD MASTER TRU<br>ST                       | DE            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| CLAIMSNET COM INC   | DE            |             |   |   | X | X |   |   |   |   |      | 08/10/99 |       |
| CLIMACHEM INC   |               | X           |   |   |   | X |   |   |   |   |      | 08/02/99 |       |
| CMI CORP  | OK            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| CNB BANCORP INC /NY/  | NY            | X           |   |   |   |   |   |   |   |   |      | 06/01/99 | AMEND |
| CONCAP INC  | TX            |             |   |   | X |   |   |   |   |   |      | 04/15/99 |       |
| CSX CORP  | VA            |             |   |   | X | X |   |   |   |   |      | 08/10/99 |       |
| CYPROS PHARMACEUTICAL CORP                                      | CA            |             |   |   | X | X |   |   |   |   |      | 08/01/99 |       |
| DALECO RESOURCES CORP   | DE            |             |   |   | X |   |   |   |   |   |      | 08/17/99 | AMEND |
| DEERE & CO  | DE            |             |   |   |   | X |   |   |   |   |      | 08/17/99 |       |
| DEERE JOHN CAPITAL CORP   | DE            |             |   |   | X | X |   |   |   |   |      | 08/17/99 |       |
| DEERE JOHN RECEIVABLES INC                                      | NV            |             |   |   | X | X |   |   |   |   |      | 08/16/99 |       |
| DIGITAL COURIER TECHNOLOGIES INC                                | DE            |             |   |   |   | X |   |   |   |   |      | 06/21/99 | AMEND |

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| DISCOVER CARD MASTER TRUST I                              | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| DISCOVER CARD TRUST 1993-B                                | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| DUPONT E I DE NEMOURS & CO                                | DE            |             | X |   | X |   |   |   |   |   | 08/16/99 |         |
| EDISON BROTHERS STORES INC                                | DE            |             |   |   | X | X |   |   |   |   | 08/13/99 |         |
| ELCOR CORP  | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| ELECTRO CATHETER CORP                                     | NJ            |             | X |   |   |   |   |   |   |   | 06/01/99 |         |
| EQCC RECEIVABLES CORP                                     | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| EQUITY ONE ABS INC  | DE            |             |   |   | X | X |   |   |   |   | 08/12/99 |         |
| FAVORITE BRANDS INTERNATIONAL INC                         | DE            |             |   |   | X |   |   |   |   |   | 06/26/99 |         |
| FAVORITE BRANDS INTERNATIONAL INC                         | DE            |             |   |   | X |   |   |   |   |   | 06/26/99 | AMEND   |
| FCC NATIONAL BANK   |               |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| FIRST CHICAGO MASTER TRUST II                             | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| FIRST DECATUR BANCSHARES INC                              | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| FIRST UNION REAL ESTATE EQUITY & MO<br>RTGAGE INVESTMENTS | OH            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| FNANB CREDIT CARD MASTER TRUST                            |               |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| FORD CREDIT AUTO LOAN MASTER TRUST                        | MI            |             |   |   | X | X |   |   |   |   | 07/31/99 |         |
| FORD CREDIT AUTO RECEIVABLES TWO L<br>P                   | DE            |             |   |   | X | X |   |   |   |   | 07/31/99 |         |
| FREMONT FUNDING INC                                       | DE            |             |   |   |   | X |   |   |   |   | 08/16/99 |         |
| GENERAL DYNAMICS CORP                                     | DE            |             |   |   | X | X |   |   |   |   | 07/30/99 |         |
| GERALD STEVENS INC/                                       | DE            |             | X |   |   | X |   |   |   |   | 06/30/99 |         |
| GLOBAL VACATION GROUP INC                                 | NY            |             |   |   |   | X |   |   |   |   | 06/01/99 | AMEND   |
| GMAC COMMERCIAL MORTGAGE SECURITIES<br>INC                | DE            |             |   |   | X | X |   |   |   |   | 08/12/99 |         |
| GMAC COMMERCIAL MORTGAGE SECURITIES<br>INC                | DE            |             |   |   | X | X |   |   |   |   | 08/13/99 |         |
| GMAC MORTGAGE LOAN TRUST 1999-J1                          |               |             |   |   | X | X |   |   |   |   | 07/30/99 |         |
| GORAN CAPITAL INC   |               |             |   |   | X |   |   |   |   |   | 06/30/99 |         |
| GPU INC /PA/  | PA            |             |   |   | X |   |   |   |   |   | 08/12/99 |         |
| GRACE DEVELOPMENT INC                                     | CO            |             | X |   |   |   |   |   |   |   | 07/15/99 | AMEND   |
| GREASE MONKEY HOLDING CORP                                | CO            |             |   |   | X | X |   |   |   |   | 08/05/99 |         |
| GREEN TREE FINANCIAL CORP                                 | DE            |             |   |   |   | X |   |   |   |   | 08/16/99 |         |
| H&R BLOCK INC   | MO            |             | X |   |   | X |   |   |   |   | 08/02/99 |         |
| HEALTH BUILDERS INTERNATIONAL INC                         | DE            | X           | X |   | X | X |   |   |   |   | 08/02/99 |         |
| HECTOR COMMUNICATIONS CORP                                | MN            |             |   |   | X | X |   |   |   |   | 07/27/99 |         |
| HICKORY TECH CORP   | MN            |             |   |   |   | X |   |   |   |   | 06/15/99 | AMEND   |
| HORIZON PHARMACIES INC                                    | DE            |             |   |   |   | X |   |   |   |   | 06/02/99 | AMEND   |
| HYDRON TECHNOLOGIES INC                                   | NY            |             |   |   | X |   | X |   |   |   | 08/10/99 |         |
| IDG BOOKS WORLDWIDE INC                                   | DE            |             | X |   |   | X |   |   |   |   | 08/02/99 |         |
| IMPERIAL CREDIT COMMERCIAL MORTGAGE<br>INVESTMENT CORP    | MD            |             |   |   | X | X |   |   |   |   | 08/13/99 |         |
| INDEPENDENCE COMMUNITY BANK CORP                          | DE            |             |   |   | X | X |   |   |   |   | 07/31/99 |         |
| INDIANA GAS CO INC  | IN            |             |   |   | X | X |   |   |   |   | 08/13/99 |         |
| INDUSTRIAL HOLDINGS INC                                   | TX            |             |   |   | X |   |   |   |   |   | 08/16/99 |         |
| INTERLEAF INC /MA/  | MA            |             |   |   | X |   |   |   |   |   | 08/13/99 |         |
| INTERNATIONAL INTEGRATION INC                             | DE            |             |   |   | X |   |   |   |   |   | 08/10/99 |         |
| INTERNATIONAL NETWORK SERVICES                            | DE            |             |   |   | X |   |   |   |   |   | 08/09/99 |         |
| IRIDIUM CAPITAL CORP                                      | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| IRIDIUM FACILITIES CORP                                   | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| IRIDIUM IP LLC  | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| IRIDIUM LLC   | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| IRIDIUM OPERATING LLC                                     | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| IRIDIUM ROAMING LLC                                       | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| IRIDIUM WORLD COMMUNICATIONS LTD                          |               |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| JCP RECEIVABLES INC                                       | DE            |             |   |   |   | X |   |   |   |   | 08/16/99 |         |
| JONES CABLE INCOME FUND 1-B LTD                           | CO            |             | X |   |   | X |   |   |   |   | 07/30/99 |         |
| JONES CABLE INCOME FUND 1-C LTD                           | CO            |             | X |   |   | X |   |   |   |   | 07/30/99 |         |
| JUDGE GROUP INC   | PA            |             | X |   |   | X |   |   |   |   | 08/02/99 |         |
| LAKE ARIEL BANCORP INC                                    | PA            |             |   |   |   |   | X |   |   |   | 08/17/99 |         |



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| LAMAR ADVERTISING CO/NEW            | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| LSB INDUSTRIES INC                  | DE            | X           |   |   |   | X |   |   |   |   | 08/02/99 |         |
| LYNCH CORP                          | IN            | X           |   |   |   | X |   |   |   |   | 07/30/99 |         |
| MACE SECURITY INTERNATIONAL INC     | DE            | X           |   |   |   | X |   |   |   |   | 06/01/99 | AMEND   |
| MACKIE DESIGNS INC                  | WA            |             |   | X |   | X |   |   |   |   | 08/12/99 |         |
| MAGELLAN PETROLEUM CORP /DE/        | DE            |             |   |   | X |   |   |   |   |   | 08/13/99 |         |
| MANUGISTICS GROUP INC               | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| MEDIMMUNE INC /DE                   | DE            | X           |   |   |   |   |   |   |   |   | 08/13/99 |         |
| MERIT SECURITIES CORP               | VA            |             |   |   | X | X |   |   |   |   | 07/28/99 |         |
| MERIT SECURITIES CORP               | VA            |             |   |   | X | X |   |   |   |   | 08/28/99 |         |
| MERIT SECURITIES CORP               | VA            |             |   |   | X | X |   |   |   |   | 08/28/99 |         |
| MERKERT AMERICAN CORP               | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| METROPOLITAN EDISON CO              | PA            |             |   |   | X |   |   |   |   |   | 08/12/99 |         |
| MFB CORP                            | IN            |             |   |   | X | X |   |   |   |   | 07/21/99 | AMEND   |
| MICHAEL FOODS INC /MN               | MN            |             |   |   | X | X |   |   |   |   | 08/09/99 |         |
| MILLS CORP                          | DE            |             |   |   | X | X |   |   |   |   | 06/30/99 |         |
| MONARCH SERVICES INC                | DE            |             |   |   | X |   |   |   |   |   | 08/17/99 |         |
| NATIONAL EQUIPMENT SERVICES INC     | DE            | X           |   |   |   | X |   |   |   |   | 08/02/99 |         |
| NEWFIELD EXPLORATION CO /DE/        | DE            |             |   |   | X |   |   |   |   |   | 08/09/99 |         |
| NEWPORT NEWS SHIPBUILDING INC       | DE            |             |   |   | X |   |   |   |   |   | 08/11/99 |         |
| NEWSOUTH BANCORP INC                | VA            |             |   |   | X | X |   |   |   |   | 08/09/99 |         |
| NORTH FACE INC                      | DE            |             |   |   | X | X |   |   |   |   | 07/31/99 |         |
| NORTH FORK BANCORPORATION INC       | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| NORWEST ASSET SECURITIES CORP MOR P | NY            |             |   |   | X | X |   |   |   |   | 07/29/99 |         |
| AS THR CER SER 1999-20 TR           |               |             |   |   |   |   |   |   |   |   |          |         |
| NTL INC/NY/                         | DE            |             |   |   | X | X |   |   |   |   | 08/13/99 |         |
| NUCLEUS INC                         | NV            |             |   |   |   | X |   |   |   |   | 05/21/99 | AMEND   |
| NUCLEUS INC                         | NV            | X           |   |   |   | X |   |   |   |   | 06/15/99 |         |
| OCCIDENTAL PETROLEUM CORP /DE/      | DE            |             |   |   | X |   |   |   |   |   | 08/17/99 |         |
| OCG TECHNOLOGY INC                  | DE            | X           |   |   |   | X |   |   |   |   | 08/02/99 |         |
| ONE WORLD ONLINE COM INC            | NV            |             |   | X |   |   |   |   |   |   | 07/29/99 | AMEND   |
| ONELINK COMMUNICATIONS INC          | MN            |             |   |   | X | X |   |   |   |   | 07/29/99 |         |
| ONEOK INC /NEW/                     | OK            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| PALLET MANAGEMENT SYSTEMS INC       | FL            |             |   | X |   | X |   |   |   |   | 08/16/99 |         |
| PARK BANCORP INC                    | DE            |             |   |   | X | X |   |   |   |   | 08/12/99 |         |
| PENNSYLVANIA ELECTRIC CO            | PA            |             |   |   | X |   |   |   |   |   | 08/12/99 |         |
| PENNZENERGY CO                      | DE            |             |   |   | X | X |   |   |   |   | 08/13/99 |         |
| PEOPLES BANCORP INC                 | OH            | X           |   |   |   |   |   |   |   |   | 08/16/99 |         |
| PER SE TECHNOLOGIES INC             | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| PERFORMANCE TECHNOLOGIES INC \DE\   | DE            |             |   |   | X |   |   |   |   |   | 08/16/99 |         |
| PERMA FIX ENVIRONMENTAL SERVICES IN | DE            |             |   |   |   | X |   |   |   |   | 06/01/99 | AMEND   |
| C                                   |               |             |   |   |   |   |   |   |   |   |          |         |
| PHARMACIA & UPJOHN INC              | DE            |             |   |   | X | X |   |   |   |   | 08/13/99 |         |
| PICO PRODUCTS INC                   | NY            | X           |   |   | X | X |   |   |   |   | 08/05/99 | AMEND   |
| PLANET ENTERTAINMENT CORP           | FL            | X           |   |   | X | X |   |   |   |   | 08/13/99 |         |
| POPULAR INC                         | PR            |             |   |   | X | X |   |   |   |   | 08/05/99 |         |
| POWER ONE INC                       | DE            |             |   |   |   | X |   |   |   |   | 01/29/99 | AMEND   |
| PP&L TRANSITION BOND CO INC         | DE            |             |   |   | X | X |   |   |   |   | 08/10/99 |         |
| PRECISION OPTICS CORPORATION INC    | MA            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| PRIME COMPANIES INC                 | DE            | X           | X |   |   | X | X |   |   |   | 08/11/99 |         |
| PROTEIN POLYMER TECHNOLOGIES INC    | DE            |             |   |   | X |   |   |   |   |   | 08/17/99 |         |
| PROVINCE HEALTHCARE CO              | DE            |             |   |   | X |   |   |   |   |   | 08/16/99 | AMEND   |
| PS PARTNERS III LTD                 | CA            |             |   |   | X | X |   |   |   |   | 08/06/99 |         |
| RADIANCE MEDICAL SYSTEMS INC /DE/   | DE            |             |   | X |   | X |   |   |   |   | 08/10/99 |         |
| RCN CORP /DE/                       | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| RENT WAY INC                        | PA            |             |   |   | X |   |   |   |   |   | 08/16/99 |         |
| REPUBLIC ENGINEERED STEELS INC      | DE            |             |   |   | X |   |   |   |   |   | 08/13/99 |         |
| ROBERTS REALTY INVESTORS INC        | GA            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| ROCK BOTTOM RESTAURANTS INC         | DE            | X           |   |   |   | X |   |   |   |   | 08/13/99 |         |
| SAKS CREDIT CARD MASTER TRUST       | NV            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| SALES ONLINE DIRECT INC             | DE            |             |   |   |   | X |   |   |   |   | 02/25/99 | AMEND   |

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| SAS CO OCWEN HOME EQ TR 1999 OFS1 A<br>SS BKD CERT SER 1999 OFS | DE            |             |   |   | X | X |   |   |   |   | 07/26/99 | AMEND   |
| SCPIE HOLDINGS INC  | DE            |             |   |   | X | X |   |   |   |   | 08/04/99 |         |
| SI HANDLING SYSTEMS INC   | PA            |             |   |   | X | X |   |   |   |   | 08/29/99 |         |
| SIMON PROPERTY GROUP INC /DE/                                   | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| SMITH A O CORP  | DE            | X           |   |   |   | X |   |   |   |   | 08/02/99 |         |
| SOUTHERN MINERAL CORP   | NV            | X           |   | X | X |   |   |   |   |   | 07/30/99 |         |
| SPINNAKER INDUSTRIES INC  | DE            | X           |   |   |   | X |   |   |   |   | 07/30/99 | AMEND   |
| SPINNAKER INDUSTRIES INC  | DE            | X           |   |   |   | X |   |   |   |   | 07/31/99 |         |
| STERLING COMMERCE INC   | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 05/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/25/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/27/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/28/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/28/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 07/28/99 |         |
| STRUCTURED ASSET MORTGAGE INVESTMEN<br>TS INC                   | DE            |             |   |   | X |   |   |   |   |   | 08/01/99 |         |
| STUART ENTERTAINMENT INC  | DE            |             |   |   |   | X |   |   |   |   | 08/13/99 |         |
| SUNDERLAND CORP   | DE            |             |   |   |   | X |   |   |   |   | 04/27/99 | AMEND   |
| SVI HOLDINGS INC  | NV            | X           |   |   |   | X |   |   |   |   | 06/03/99 | AMEND   |
| TAMBORIL CIGAR CO   | DE            |             |   |   | X |   |   |   |   |   | 07/30/99 |         |
| TANDYCRAFTS INC   | DE            |             |   |   | X | X |   |   |   |   | 08/17/99 |         |
| TCA CABLE TV INC  | TX            | X           |   |   |   |   |   |   |   |   | 08/12/99 |         |
| TELXON CORP   | DE            |             |   |   | X | X |   |   |   |   | 07/19/99 | AMEND   |
| THINKING TOOLS INC  | DE            |             |   |   | X |   |   |   |   |   | 08/11/99 |         |
| TRAVELCENTERS OF AMERICA INC                                    | DE            | X           |   | X | X |   |   |   |   |   | 06/03/99 | AMEND   |
| TRIDON ENTERPRISES INC  | CO            |             |   |   | X |   |   |   |   |   | 08/16/99 | AMEND   |
| TRUSTCO BANK CORP N Y   | NY            |             |   |   | X |   |   |   |   |   | 08/17/99 |         |
| TULTEX CORP   | VA            |             |   |   | X |   |   |   |   |   | 08/13/99 |         |
| UNITED GROCERS INC /OR/   | OR            | X           |   |   |   | X |   |   |   |   | 05/15/98 |         |
| UNITED GROCERS INC /OR/   | OR            | X           |   |   |   | X |   |   |   |   | 07/08/98 |         |
| UNITED PAN EUROPE COMMUNICATIONS NV                             |               | X           |   | X | X |   |   |   |   |   | 07/30/99 |         |
| UNITED VENTURE CAPITAL FUND INC                                 | CO            |             |   | X | X |   |   |   |   |   | 06/12/99 |         |
| UNITEDGLOBALCOM INC   | DE            | X           |   |   | X |   |   |   |   |   | 07/30/99 |         |
| UNIVERSAL STANDARD MEDICAL LABORATO<br>RIES INC                 | MI            |             | X |   |   |   |   |   |   |   | 08/09/99 |         |
| US ONCOLOGY INC   | DE            |             |   |   | X | X |   |   |   |   | 12/11/98 | AMEND   |

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| US ONCOLOGY INC                     | DE            |             |   |   | X | X |   |   |   |   | 12/11/98 | AMEND   |
| USP REAL ESTATE INVESTMENT TRUST    | IA            | X           |   |   |   |   |   |   |   |   | 08/17/99 |         |
| VIALOG CORP                         | MA            |             |   |   | X |   |   |   |   |   | 08/13/99 |         |
| VISTA GOLD CORP                     |               |             |   |   | X | X |   |   |   |   | 08/13/99 |         |
| VLASIC FOODS INTERNATIONAL INC      |               |             |   |   | X | X |   |   |   |   | 07/30/99 |         |
| WAVETECH INTERNATIONAL INC          | NV            |             |   | X |   | X |   |   |   |   | 08/16/99 |         |
| WEATHERFORD INTERNATIONAL INC /NEW/ | DE            |             |   |   | X | X |   |   |   |   | 08/16/99 |         |
| WEITZER HOMEBUILDERS INC            | FL            | X           |   |   |   | X |   |   |   |   | 08/02/99 |         |
| WHITE MOUNTAINS INSURANCE GROUP INC | DE            |             |   |   |   | X |   |   |   |   | 06/17/99 | AMEND   |
| WILD OATS MARKETS INC               | DE            |             | X |   |   | X |   |   |   |   | 08/16/99 | AMEND   |