

# SEC NEWS DIGEST

Issue 99-46

March 10, 1999

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## COMMISSION ANNOUNCEMENTS

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### TESTIMONY OF MICHAEL MCALEVEY

Michael R. McAlevey, Deputy Director of the Commission's Division of Corporation Finance, testified before the House Subcommittee on Energy and Power on Wednesday, March 10, at 10:00 a.m. in Room 2322 of the Rayburn House Office Building. The subject matter was concerning the procedures of the Division of Corporation Finance in reviewing merger filings.

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## ENFORCEMENT PROCEEDINGS

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### FINAL JUDGMENT ISSUED IN SEC v. HAHN TRUONG, ET AL.

On February 23, 1999, the Honorable Spencer Williams, Senior United States District Judge for the Northern District of California, entered a final judgment against Nina Vinh (Vinh) permanently enjoining her from violating the antifraud provisions of the federal securities laws. The final judgment also sets disgorgement of Vinh's ill-gotten gains, plus prejudgment interest, at \$18,601.26 and imposes a civil penalty of \$15,250.00.

The Commission's complaint, filed on November 16, 1998, alleges that Hahn Truong used confidential corporate information in selling shares in his then-employer, Molecular Dynamics, Inc. (Molecular), and tipped his brothers Hieu Truong (Hieu) and Hen Truong, and his friend Christopher Nguyen, each of whom sold all of his Molecular shares and sold short additional Molecular shares. The Commission's complaint further alleges that Hieu in turn tipped his sister-in-law, Vinh, who sold all of her Molecular shares, sold short additional Molecular shares and tipped her sister and her friend, Mike Pirbazari, each of whom sold all of her/his Molecular shares. The defendants and Vinh's sister avoided losses totaling \$294,151 and made short selling profits of \$134,985. [SEC v. Hahn Truong, Hieu Truong, Hen Truong, Nina Vinh, Mike Pirbazari and Christopher Nguyen, Civil Action No. C-98-21137 SW, ND Cal.] (LR-16080)

## **SUMMARY JUDGMENT ENTERED AGAINST DAVID JOHNSTON**

On March 2, the United States District Court for the Northern District of Illinois entered summary judgment on all counts against David Johnston (Johnston) and permanently enjoined him from future violations of the registration and antifraud provisions of the federal securities laws. The Court found that Johnston was part of a national sales network which sold over \$16 million in bogus certificates of deposit (CDs) that were not registered with the Commission to over 500 investors. From at least February to December 1992, Johnston and his agents, doing business as Edison Worldwide Capital, sold at least \$1.7 million of these bogus CDs. The Court found that, as part of the sales, Johnston misrepresented and omitted to state material facts concerning the nature, existence, safety and profitability of investing in CTB CDs, facts even more critical because the scheme was directed at elderly retirees looking for a safe investment. At no time was Johnston or Edison Worldwide registered with the Commission as a broker-dealer. As relief, in addition to the injunction, the Court found Johnston liable for \$25,453.81 in disgorgement plus \$12,591 in prejudgment interest and imposed a \$50,000 civil penalty against him. [SEC v. Michael J. Randy, et al., 94-C-5902, ND Ill.] (LR-16081)

## **SEC v. PARAMOUNT CAPITAL MANAGEMENT, INC. AND WILLIAM BOLTON**

The Commission yesterday amended its complaint in this action, which originally was filed on November 18, 1997, to substitute William C. Bolton (Bolton) for the unnamed defendant sued as "John Doe a/k/a Kenneth Bridget" in the original complaint. According to the Commission's amended complaint, between July and November 1997, Paramount Capital Management, Inc. (Paramount) and Bolton defrauded at least 14 investors of more than \$67,000 by inducing them to invest in a fictitious initial public offering of a nonexistent company.

In its amended complaint, the Commission alleges that Paramount and Bolton violated the antifraud provisions of the federal securities laws. Among other relief, the Commission seeks a permanent injunction against future violations of the antifraud provisions, an accounting and disgorgement of Paramount's and Bolton's ill-gotten gains plus prejudgment interest, and civil penalties.

On January 20, 1999, a grand jury sitting in the Southern District of New York returned an indictment charging Bolton with conspiracy to commit securities fraud, mail fraud and wire fraud, and with mail fraud, bank fraud and money laundering. The litigation is pending. [SEC v. Paramount Capital Management, Inc. and William C. Bolton, 97 Civ. 8577, SDNY, SHS] (LR-16082)

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**INVESTMENT COMPANY ACT RELEASES**

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**AAL VARIABLE PRODUCT SERIES, INC., ET AL.**

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting AAL Variable Product Series, Inc. (Fund) and Aid Association for Lutherans (Adviser) from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act, and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder, to the extent necessary to permit certain series of the Fund that are designed to fund insurance products and shares of any other investment company that is designed to fund insurance products and for which the Adviser or any of its affiliates may serve as investment adviser, administrator, manager, principal underwriter, or sponsor to be sold to and held by: (1) separate accounts funding variable annuity and variable life insurance contracts of both affiliated and unaffiliated life insurance companies; and (2) qualified pension and retirement plans. (Rel. IC-23729 - March 8)

**AMERICAN SKANDIA LIFE ASSURANCE CORPORATION, ET AL.**

An order has been issued to American Skandia Life Assurance Corporation, American Skandia Life Assurance Corporation Variable Account B (Class 1), American Skandia Life Assurance Corporation Variable Account B (Class 2), American Skandia Life Assurance Corporation Variable Account B (Class 3) and American Skandia Marketing, Incorporated pursuant to Section 26(b) of the Investment Company Act (Act) approving certain substitutions of securities, and pursuant to Section 17(b) of the Act exempting related transactions from Section 17(a) of the Act. (Rel. IC-23730 - March 8)

**SCUDDER KEMPER INVESTMENTS, INC., ET AL.**

An order has been issued on an application filed by Scudder Kemper Investments, Inc., et al. for an exemption from Sections 12(d)(1)(A), 12(d)(1)(B), 12(d)(1)(F), and 17(a) of the Investment Company Act. The order permits applicants to implement a "fund of funds" arrangement. The fund of funds will invest in funds in the same group of investment companies, and in funds that are not part of the same group of investment companies in reliance on Section 12(d)(1)(F) of the Act. The order also permits the fund of funds to offer its shares to the public with a sales load that exceeds the 1.5% limit of Section 12(d)(1)(F)(ii) of the Act. (Rel. IC-23731 - March 8)

**ENDEAVOR SERIES TRUST, ET AL.**

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Endeavor Series Trust (Trust) and Endeavor Management Co. from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act, and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder, to the extent necessary to permit shares of the Trust or

any other current or future series of the Trust for which Endeavor Management Co. or any of its affiliates may serve as investment adviser, administrator, manager, principal underwriter or sponsor to be sold to and held by: (i) variable annuity and variable life insurance company separate accounts of both affiliated and unaffiliated life insurance companies, and (ii) qualified pension and retirement plans outside the separate account context. (Rel. IC-23732 - March 8)

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## SELF-REGULATORY ORGANIZATIONS

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### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-PCX-99-01) filed by the Pacific Exchange defining OptiMark profile and order types has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of March 8. (Rel. 34-41143)

### WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until March 29 to comment on the application of Integrated Security Systems, Inc. to withdraw its Common Stock, \$.01 Par Value, from listing and registration on the Boston Stock Exchange. (Rel. 34-41147)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-10 BARRICK GOLD CORP, ROYAL BK PLZ, SO TOWER, STE 2700, P O BOX 119,  
TORONTO ONTARIO CANADA M5J 2J3, A6 (416) 923-9400 - 7,552,367  
(\$66,385,305 93) FOREIGN COMMON STOCK (FILE 333-10052 - FEB. 26) (BR 4)

F-1 DELTA GALIL INDUSTRIES LTD, 2 KAUFMAN ST, TEL AVIV 68012 ISRAEL, L5 -  
\$57,528,750 FOREIGN COMMON STOCK (FILE 333-10062 - FEB 26) (BR 2)

F-3 CHEMICAL & MINING CO OF CHILE INC, MIRAFLORES 222, 11TH FL,  
SANTIAGO CHILE, F3 - 14,417,466 (\$41,810,651 40) FOREIGN COMMON STOCK.  
(FILE 333-10068 - MAR. 01) (BR. 4)

S-1 ONESOURCE INFORMATION SERVICES INC, 150 CAMBRIDGE PARK DRIVE, CAMBRIDGE,  
MA 02140 (617) 441-7000 - 40,500,000 (\$40,500,000) COMMON STOCK (FILE  
333-73263 - MAR 03) (BR. 3 - NEW ISSUE)

N-2 EIGHTH AUTOMATIC COMMON EXCHANGE SECURITY TRUST,  
C/O GOLDMAN, SACHS & CO, 85 BROAD STREET, NEW YORK, NY 10004 (212) 902-1000  
- 10,000,000 (\$10,000,000) COMMON STOCK (FILE 333-73265 - MAR 03)  
(BR 18)

S-8 FRIEDMANS INC, 4 WEST STATE ST, SAVANNAH, GA 31401 (912) 233-9333 -  
500,000 (\$5,250,000) COMMON STOCK. (FILE 333-73271 - MAR 03) (BR 2)

S-8 MICROAGE INC /DE/, 2400 S MICROAGE WY MS8, TEMPE, AZ 85282  
(602) 366-2000 - 2,000,000 (\$24,375,000) COMMON STOCK. (FILE 333-73273 -  
MAR. 03) (BR 3)

S-8 WSB HOLDING CO, 807 MIDDLE STREET, PITTSBURGH, PA 15212 (412) 231-7297  
- 33,060 (\$478,545) COMMON STOCK (FILE 333-73279 - MAR 03) (BR 9)

S-3 RTI INTERNATIONAL METALS INC, 1000 WARREN AVE, NILES, OH 44446  
(216) 544-7700 - 155,540 (\$1,638,030 60) COMMON STOCK (FILE 333-73281 -  
MAR. 03) (BR 6)

S-4 FIRST FINANCIAL BANCORP /OH/, THIRD & HIGH ST, HAMILTON, OH 45011  
(513) 867-4700 - 5,115,000 (\$45,000,000) COMMON STOCK (FILE 333-73283 -  
MAR 03) (BR 7)

S-3 DELPHI AUTOMOTIVE SYSTEMS CORP, 5725 DELPHI DRIVE, TROY, MI 48098  
(248) 447-1500 - 2,500,000,000 (\$2,500,000,000) STRAIGHT BONDS. (FILE  
333-73285 - MAR 03) (BR 5)

SB-2 CYBERGUIDE ONLINE INC, 4300 HORIZON N PARKWAY SUITE 915, DALLAS, TX  
75287 (409) 760-2600 - 10,200,000 (\$510,000) COMMON STOCK (FILE  
333-73289 - MAR 03) (NEW ISSUE)

S-4 CAROLINA FIRST CORP, 102 S MAIN ST, GREENVILLE, SC 29601 (803) 255-7900  
- 600,000 (\$4,816,678) COMMON STOCK. (FILE 333-73291 - MAR 03) (BR 7)

S-8 REPUBLIC BANCSHARES INC, 111 SECOND AVE NE, STE 300, ST PETERSBURG, FL  
33701 (813) 823-7300 - 600,000 (\$11,004,000) COMMON STOCK (FILE  
333-73293 - MAR 03) (BR 7)

S-1 SILKNET SOFTWARE INC, 50 PHILLIPPE COTE ST, MANCHESTER, NH 03101  
(603) 625-0070 - 34,500,000 (\$34,500,000) COMMON STOCK (FILE 333-73295 -  
MAR 03) (NEW ISSUE)

S-1 WESCO INTERNATIONAL INC, COMMERCE COURT 4 STATION SQUARE, STE 700,  
PITTSBURGH, PA 15219 (412) 454-2200 - 201,250,000 (\$201,250,000)  
COMMON STOCK. (FILE 333-73299 - MAR 03) (BR 5)

S-3 TRUE NORTH COMMUNICATIONS INC, 101 E ERIE ST, CHICAGO, IL 60611  
(415) 425-6500 - 1,201,154 (\$28,263,154) COMMON STOCK (FILE 333-73301 -  
MAR 04) (BR 2)

S-3 TRUE NORTH COMMUNICATIONS INC, 101 E ERIE ST, CHICAGO, IL 60611  
(415) 425-6500 - 158,038 (\$3,718,635) COMMON STOCK (FILE 333-73303 -  
MAR 04) (BR 2)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ADVANCED FINANCIAL INC	DE	X	X					X			02/19/99	
AEROVOX INC	DE					X					02/18/99	
AJAY SPORTS INC	DE	X									03/08/99	
ALLEGHENY ENERGY INC	MD					X	X				03/04/99	
AMERICAN ARTISTS ENTERTAINMENT CORP	MO	X						X			02/19/99	
AMERICAN BANKERS INSURANCE GROUP IN C	FL					X	X				03/05/99	
ANALYSIS & TECHNOLOGY INC	CT					X	X				03/07/99	
ANGELES INCOME PROPERTIES LTD 6	CA		X					X			02/19/99	
APPLE RESIDENTIAL INCOME TRUST INC	VA							X			01/05/99	AMEND
ARCADIA FINANCIAL LTD	MN					X	X				03/05/99	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				03/08/99	
ARCH COAL INC	DE					X	X				03/08/99	
ASSOCIATES FIRST CAPITAL CORP	DE					X					03/09/99	
AT&T CORP	NY					X	X				03/09/99	
AVAX TECHNOLOGIES INC	DE					X	X				03/05/99	
AVIVA PETROLEUM INC /TX/	TX					X	X				03/04/99	
BJS WHOLESALE CLUB INC	DE					X	X				02/04/99	
BLUE FISH CLOTHING INC	PA					X	X				01/26/99	
BREED TECHNOLOGIES INC	DE					X					03/08/99	
BROOKDALE LIVING COMMUNITIES INC	DE							X			12/22/98	AMEND
BRYN MAWR BANK CORP	PA					X					03/03/99	
BRYN MAWR BANK CORP	PA					X					03/04/99	
BT FINANCIAL CORP	PA		X					X			02/23/99	
BURLINGTON NORTHERN SANTA FE CORP	DE					X	X				02/08/99	AMEND
CAMERA PLATFORMS INTERNATIONAL INC	DE					X					03/03/99	
CEC INDUSTRIES CORP	NV							X			09/02/98	
CHASE MORTGAGE FINANCE CORP	DE		X					X			02/24/99	
CHASE MORTGAGE FINANCE CORP	DE		X					X			02/25/99	
CHEVRON CORP	DE	X									03/08/99	
CHILDRENS BROADCASTING CORP	MN					X	X				03/04/99	
CIRCUIT RESEARCH LABS INC	AZ	X									03/08/99	
CNB FINANCIAL CORP/PA	PA					X	X				02/23/99	
COLONIAL INDUSTRIES INC	CO					X					02/15/99	
COMMODORE APPLIED TECHNOLOGIES INC	DE							X			12/25/98	AMEND
COMPLETE WELLNESS CENTERS INC	DE	X						X			12/31/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
COMPU DAWN INC	DE	X									03/04/99	
COMPUTER TASK GROUP INC	NY	X				X					02/23/99	
COPLEY REALTY INCOME PARTNERS 2	MA	X				X					02/26/99	
CROWN CASTLE INTERNATIONAL CORP	DE				X	X					03/08/99	
DANKA BUSINESS SYSTEMS PLC					X						02/26/99	
DATA PROCESSING RESOURCES CORP	CA	X				X					12/21/98	AMEND
DAYTON HUDSON RECEIVABLES CORP	MN					X					03/09/99	
DEVELOPERS DIVERSIFIED REALTY CORP	OH					X	X				02/24/99	
DIALYSIS CORP OF AMERICA	FL		X								03/08/99	
DISCOVER CARD MASTER TRUST I	DE				X	X					03/08/99	
DIXON TICONDEROGA CO	DE		X								03/02/99	
DUKE ENERGY CORP	NC						X				12/31/98	
EASTBROKERS INTERNATIONAL INC	DE			X							02/22/99	AMEND
ELECTRONIC CLEARING HOUSE INC	NV	X									02/22/99	
ENERGY RESEARCH CORP /NY/	NY	X				X					02/22/99	
ENGINEERED SUPPORT SYSTEMS INC	MO				X	X					02/22/99	
EQCC RECEIVABLES CORP	DE				X	X					03/04/99	
EXPERT SOFTWARE INC	DE				X	X					03/03/99	
FAIR ISAAC & COMPANY INC	DE				X						03/08/99	
FINANCIAL ASSET SECS CORP ASSET BAC KED CERTS SRS 1999-1	DE						X				02/25/99	
FINANCIAL ASSET SECURITIES CORP	DE	X									03/08/99	
FIRST CITIZENS BANCORPORATION OF SO UTH CAROLINA INC	SC				X	X					02/16/99	
FIRST SIERRA RECEIVABLES II INC	DE	X	X			X					11/01/98	
FIRST SIERRA RECEIVABLES II INC	DE	X	X			X					12/01/98	
FIRST SIERRA RECEIVABLES II INC	DE	X	X			X					01/01/99	
FIRST SIERRA RECEIVABLES II INC	DE	X	X			X					02/01/99	
FIRST SIERRA RECEIVABLES III INC	DE	X	X			X					01/01/99	
FIRST SIERRA RECEIVABLES III INC	DE	X	X			X					02/01/99	
FRANKLIN FINANCIAL SERVICES CORP /P A/	PA	X									03/08/99	
GABLES REALTY LIMITED PARTNERSHIP	DE		X			X					12/31/97	AMEND
GABLES REALTY LIMITED PARTNERSHIP	DE				X	X					09/30/98	
GABLES RESIDENTIAL TRUST	MD	X				X					12/31/97	AMEND
GABLES RESIDENTIAL TRUST	MD				X	X					09/30/98	
GENEMEDICINE INC	DE				X						03/08/99	
GEORGIA POWER CO	GA				X	X					03/03/99	
GILEAD SCIENCES INC	DE				X	X					02/28/99	
GLOBAL INDUSTRIAL TECHNOLOGIES INC	DE				X	X					03/08/99	
GLOBAL TELESYSTEMS GROUP INC	DE		X								03/09/99	
GLOBE MANUFACTURING CORP	AL				X	X					01/01/98	
HBANCORPORATION INC	DE				X	X					03/08/99	
HEALTH CARE PROPERTY INVESTORS INC	MD	X									01/07/99	AMEND
HI RISE RECYCLING SYSTEMS INC	FL	X				X					02/23/99	
HOCKEY CO	DE				X	X					11/19/98	
HOMESTEAD VILLAGE INC	MD	X				X					02/23/99	
IDX SYSTEMS CORP	VT				X						03/05/99	
IMPERIAL CREDIT COMMERCIAL MORTGAGE ACCEPTANCE CORP					X	X					02/23/99	
INTEGRATED TECHNOLOGY USA INC	DE				X	X					02/22/99	
INTELECT COMMUNICATIONS INC	DE				X	X					03/05/99	
INTERACTIVE GAMING & COMMUNICATIONS CORP	DE		X								03/08/99	
INTERIORS INC	DE	X				X					02/22/99	
INTERIORS INC	DE				X	X					02/26/99	
JACKPOT ENTERPRISES INC	NV				X	X					02/08/99	
JAMES RIVER BANKSHARES INC	VA			X							01/28/99	AMEND
JAMESON INNS INC	GA				X	X					03/09/99	
JB OXFORD HOLDINGS INC	UT	X	X								02/22/99	
JUST LIKE HOME INC	FL				X	X					02/19/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
KANEB PIPE LINE PARTNERS L P	DE				X						10/30/98	AMEND
KANEB SERVICES INC	DE				X						10/30/98	AMEND
LAB HOLDINGS INC	MO				X						03/07/99	
LABONE INC	DE				X						03/08/99	
LAIDLAW INC					X	X					03/01/99	
LANDRYS SEAFOOD RESTAURANTS INC	DE				X	X					03/02/99	
LEHMAN ABS CORP	DE				X	X					02/28/99	
LOEWEN GROUP INC					X	X					03/08/99	
LUND INTERNATIONAL HOLDINGS INC	DE					X					12/23/98	AMEND
MAIL WELL INC	CO				X	X					03/03/99	
MALLON RESOURCES CORP	CO	X									03/04/99	
MATHY CORP	CO		X			X					03/09/99	
MEDICAL DYNAMICS INC	CO				X	X					03/04/99	
MERRIMAC INDUSTRIES INC	NJ				X	X					03/09/99	
MIAMI SUBS CORP	FL	X									03/02/99	
MORGAN STANLEY CAPITAL I INC	DE					X					02/25/99	
MORROW SNOWBOARDS INC	OR				X	X					03/02/99	
MOUNTAIN STATES GUARANTY MORTGAGE C	CO				X	X					02/23/99	AMEND
O												
MOVADO GROUP INC	NY		X			X					02/22/99	
MRV COMMUNICATIONS INC	DE				X	X					02/16/99	AMEND
MRV COMMUNICATIONS INC	DE					X					03/09/99	AMEND
NATIONAL FINANCIAL AUTO FUNDING TRU	DE				X	X					06/30/98	
ST												
NATIONAL PENN BANCSHARES INC	PA				X	X					02/28/99	
NAVIDEC INC	CO				X	X					03/01/99	
NEOPHARM INC	DE				X	X					02/19/98	
NEW CENTURY FINANCIAL CORP	DE				X						03/04/99	
NEXSTAR PHARMACEUTICALS INC	DE				X						02/28/99	
OHSL FINANCIAL CORP	DE	X									03/02/99	
OMI TRUST 1999-A	NC				X	X					02/15/99	
ON MARINE SERVICES CO	DE				X	X					03/05/99	
PARTNERRE LTD			X			X					12/23/98	AMEND
PECO ENERGY CO	PA				X						03/08/99	
PENNEY J C CO INC	DE				X	X					03/01/99	
PENNSYLVANIA REAL ESTATE INVESTMENT	PA					X					12/23/98	AMEND
TRUST												
PLAYBOY ENTERPRISES INC	DE				X	X					03/08/99	
PROFESSIONAL BENEFITS INSURANCE CO	TX					X					02/17/99	
PRUDENTIAL SECURITIES SECURED FINAN	DE	X	X			X					11/01/98	
CING CORP												
PRUDENTIAL SECURITIES SECURED FINAN	DE	X	X			X					12/01/98	
CING CORP												
PRUDENTIAL SECURITIES SECURED FINAN	DE	X	X			X					01/01/99	
CING CORP												
PRUDENTIAL SECURITIES SECURED FINAN	DE	X	X			X					02/01/99	
CING CORP												
PUBLICARD INC	PA	X									02/22/99	
QUIPP INC	FL				X						03/08/99	
RAILAMERICA INC /DE	DE				X	X					03/03/99	
RANDALLS FOOD MARKETS INC					X						02/22/99	
RASTER GRAPHICS INC	DE				X	X					03/08/99	
REGAL INTERNATIONAL INC	DE				X						02/19/96	AMEND
REGENT TECHNOLOGIES INC	CO	X									03/09/99	
RESIDENTIAL FUNDING MORTGAGE SECURI	DE					X					03/02/99	
TIES I INC												
RESIDENTIAL FUNDING MORTGAGE SECURI	DE					X					03/04/99	
TIES I INC												
RESIDENTIAL FUNDING MORTGAGE SECURI	DE					X					03/04/99	
TIES I INC												



NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE									X	03/05/99	
RESORT INCOME INVESTORS INC	DE									X	03/09/99	
RESTAURANT TEAMS INTERNATIONAL INC	TX			X						X	03/09/99	
REYNOLDS METALS CO	DE					X					03/08/99	
RICHMOND COUNTY FINANCIAL CORP	DE		X							X	03/05/99	
ROGUE WAVE SOFTWARE INC /OR/	DE		X							X	03/01/99	
ROSS TECHNOLOGY INC	DE		X		X					X	12/15/98	AMEND
RYANS FAMILY STEAKHOUSES INC	SC		X								03/03/99	
SAFE TECHNOLOGIES INTERNATIONAL INC	DE						X				03/09/99	
SB PARTNERS	NY									X	12/23/98	AMEND
SEILER POLLUTION CONTROL SYSTEMS IN C	DE	X									03/09/99	AMEND
SELKIRK COGEN FUNDING CORP	DE				X						03/09/99	
SELKIRK COGEN PARTNERS LP	DE				X						03/09/99	
SEMX CORP	DE		X					X			02/19/99	
SERVICE EXPERTS INC	DE					X					03/09/99	
SHELDAHL INC	MN									X	02/26/99	
SIGNATURE INNS INC/IN	IN				X		X				03/09/99	
SPECIALTY RETAIL GROUP INC	FL			X	X		X	X			02/23/99	
ST JOSEPH LIGHT & POWER CO	MO					X					03/31/99	
STERLING SUGARS INC	DE				X						03/08/99	
STIRLING COOKE BROWN HOLDINGS LTD						X		X			03/08/99	
STRUCTURED ASSET SEC CORP MORT PAS THR CERT SER 1999-BC1	DE									X	02/25/99	
STYLING TECHNOLOGY CORP	DE					X					02/26/99	
TANDYCRAFTS INC	DE					X		X			03/08/99	
TELESERVICES INTERNATIONAL GROUP IN C	FL					X					03/05/99	
THERMC ELECTRON CORP	DE				X		X				02/22/99	
THERMO INSTRUMENT SYSTEMS INC	DE					X		X			02/22/99	
TIG HOLDINGS INC	DE							X			03/08/99	
TOP AIR MANUFACTURING INC	IA		X					X			03/05/99	
TRANSCEND SERVICES INC	DE									X	12/23/98	AMEND
TRANSWESTERN HOLDINGS LP	DE		X								12/31/98	AMEND
TRANSWESTERN PUBLISHING CO LLC	DE		X								12/31/98	AMEND
UNIFLEX INC	DE					X		X			03/05/99	
UNION ACCEPTANCE CORP	IN					X					02/25/99	
UNITED COMPANIES FINANCIAL CORP	LA					X		X			03/04/99	
VALLEY FINANCIAL CORP /VA/	VA	X									02/05/99	AMEND
VARIAN ASSOCIATES INC /DE/	DE					X		X			03/08/99	
VLSI TECHNOLOGY INC	DE					X		X			03/07/99	
WADE COOK FINANCIAL CORP	UT	X									02/24/99	
WAM NET INC	MN					X		X			03/03/99	
WESTOWER CORP	WA		X					X			02/25/99	
WMC SECURED ASSETS CORP	DE					X		X			02/28/99	
WNC HOUSING TAX CREDIT FUND VI LP S ERIES 6	CA		X								12/28/98	AMEND
ZENITH NATIONAL INSURANCE CORP	DE					X		X			02/22/99	
3D IMAGE TECHNOLOGY INC /GA/	DE	X									03/05/99	