

# sec news digest

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November 29, 1976

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## DECISIONS IN ADMINISTRATIVE PROCEEDINGS

U.S. SECURITIES AND  
EXCHANGE COMMISSION

THOMSON & MCKINNON, AUCHINCLOSS, KOHLMeyer,  
INC., OTHERS

The Commission has entered an order censuring Thomson & McKinnon, Auchincloss, Kohlmeier, Inc. and suspending two of the firm's registered representatives, William W. Neighbors and Gene L. Roach. Thomson has been also ordered to comply with its undertaking to institute and maintain certain procedures and to exercise close supervision of its Huntsville, Alabama office for a period of six months. The order suspends Neighbors and Roach from association with any broker or dealer for a period of 30 days. In addition, Roach may not be associated with a broker or dealer in a supervisory capacity for a period of 60 days. Neighbors and Roach have been further ordered to complete 10 hours of training concerning the duties and responsibilities of persons associated with brokers or dealers under the antifraud provisions of the Federal securities laws.

The sanctions were based on findings that Thomson, Neighbors and Roach violated the antifraud provisions of the securities laws in connection with the securities of Shelter Resources Corp. The Commission's action was taken pursuant to offers of settlement of Thomson, Neighbors and Roach in which the respondents, without admitting or denying the charges against the, consented to the above findings and sanctions. (Rel. 34-13012)

## INVESTMENT COMPANY ACT RELEASES

NUVEEN MUNICIPAL BOND FUND, INC.

A notice has been issued giving interested persons until December 16 to request a hearing on an application filed by Nuveen Municipal Bond Fund, Inc., a registered open-end, diversified, management investment company, for an order exempting from Section 22(d) the proposed sale of Fund shares at net asset value to participants in a reinvestment program for certificate holders in two unit investment trusts sponsored by the Fund's principal underwriter, John Nuveen & Co. Incorporated. (Rel. IC-9541 - Nov. 26)

AMERICAN GENERAL MUNICIPAL BOND FUND, INC.

A notice has been issued giving interested persons until December 16 to request a hearing on an application filed by American General Municipal Bond Fund, Inc., a registered open-end, diversified, management investment company, and Maryland Casualty Company, a wholly-owned subsidiary of American General Insurance Company, for an order of the Commission exempting from the provisions of Section 17(a) of the Act, and from Section 17(d) of the Act and Rule 17d-1 thereunder the proposed granting of an option under which the Fund would have the right to purchase specified municipal bonds from Maryland Casualty. (Rel. IC-9542 - Nov. 26)

## SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the Philadelphia Stock Exchange, Inc. The proposed changes (SR-PHLX-76-15) to PHLX rules 213 and 454 would permit PHLX stock specialists to trade listed options on their specialty securities, and would permit other PHLX floor members to trade, on the PHLX equity floor, securities for which they have positions in listed options. (Rel. 34-13016)

The Commission has also approved a proposed rule change filed by the New York Stock Exchange Inc. The rule change (SR-NYSE-76-48) revises age and sponsorship requirements for membership and sponsorship requirements for allied membership. (Rel. 34-13007)

## NOTICE OF PROPOSED RULE CHANGE

The Pacific Stock Exchange, Inc. (PSE) has filed a proposed rule change under Rule 19b-4 (SR-PSE-76-34) to clearly delineate in the context of dual trading and applicability of the terms "Exchange option transaction" and "Exchange transaction." Publication of the proposal is expected to be made in the Federal Register during the week of November 29. (Rel. 34-13008)

The PSE has also filed a proposed rule change under Rule 19b-4 (SR-PSE-76-35) to amend its procedures regarding the registration of Floor Representatives of member organizations. Publication of the proposal is expected to be made in the Federal Register during the week of November 29. (Rel. 34-13009)

The PSE has filed a third proposed rule change under Rule 19b-4 (SR-PSE-76-33) to define two terms, "local security" and "dually traded security", used in its Rule II and III which were previously defined in PSE XIII but were deleted from that Rule when it was amended by PSE rule filing SR-PSE-76-10. Publication of the proposal is expected to be made in the Federal Register during the week of November 29. (Rel. 34-13010)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### LISTING ACTIONS

Orders have been issued pursuant to Section 12(d) of the Securities Exchange Act of 1934 granting the applications of the following companies to list the specified securities on the following exchanges: American Stock Exchange, Inc. - Allegheny Airlines, Inc., 9-1/4% convertible subordinated debentures, due December 31, 1999 (effective as of November 9, 1976). New York Stock Exchange, Inc. - Consumers Power Company, 9% first mortgage bonds, Series due October 1, 2006; Texas Gas Transmission Corporation, 7-7/8% debentures, due October 1, 1986 (both effective as of November 17, 1976); Interstate Power Company, \$2.28 preference stock, \$1 par value; Southern Railway Company, The Atlanta and Charlotte Air Line Railway Co., Georgia Southern & Florida RY Co. & Southern Railway-Carolina Division, 8-1/2% first and general mortgage bonds, Series B, due 2001; The United Illuminating Company, 8.80% cumulative preferred stock, 1976 Series (\$25 par value) (all effective as of November 11, 1976). (Rel. 34-13013)

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## TRUST INDENTURE ACT RELEASES

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### PACIFIC GAS AND ELECTRIC CO.

The SEC has issued an order under the Trust Indenture Act on application of Pacific Gas and Electric Company, exempting its First and Refunding Mortgage Bonds, Series 76A due September 1, 2008, from the provisions, Subsections 316(a)(1), 316(b) and 317(a)(2) of the Act. (Rel. TI-447)

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## SECURITIES ACT REGISTRATIONS

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### (S-2) TURELEC MOTORS CORPORATION

1915-29th Avenue West, Bradenton, Fla. 33505 - 1,500,000 shares of common stock, to be offered to the public at a price of \$5.00 per share. Additionally, 250,000 shares of common stock are to be registered under the Qualified Stock Option Plan and 200,000 shares are to be registered for issuance pursuant to outstanding stock options. Tur-Elec Motors Corporation is engaged in the development, manufacturing and marketing of turbine-electric automobiles. (File 2-57310 - Sept. 30)

### (S-1) 1976 PRUDENTIAL CONSOLIDATION PARTNERSHIP

2840 Entex Bldg., Houston, Tex. 77002. 23,794,580 units of limited partnership interest. It is proposed to offer these units in exchange for oil and gas leasehold interests owned by the participants in certain oil and gas drilling programs sponsored by the Prudential Group, Inc. and its predecessor in 1967, 1968, 1969 and 1970. The offering price of each unit will be \$1.00. Also included in this registration statement are 3,173,550 units of limited partnership interest offered to The Prudential Group, Inc. in exchange for similar oil and gas interests. The 1976 Prudential consolidation partnership will own and operate oil and gas properties. The Prudential Group, Inc. is the general partner. (File 2-57553 - Nov. 1)

(S-7) OKLAHOMA GAS AND ELECTRIC COMPANY

321 North Harvey Ave., Oklahoma City, Oklahoma 73101 - \$75 million of first mortgage bonds, due 2007, to be offered for sale through competitive bidding. The company is an electric public utility which furnishes retail electric service and electric energy at wholesale for resale. (File 2-57730 - Nov. 24)

(S-7) UNION CARBIDE CORPORATION

270 Park Ave., New York, N.Y. 10017 - \$200 million of debentures, due 2006, to be offered for sale through underwriters headed by Morgan Stanley & Co. Inc., 1251 Avenue of the Americas, New York, N.Y. 10020. Union Carbide Corporation is engaged in several different businesses with related technologies and although generally classified as a chemical company, Union Carbide also manufactures and sells plastics, industrial gases and related products, metals, carbon products, and consumer and related products. (File 2-57731 - Nov. 24)

(S-1) LOUISIANA GENERAL SERVICES, INC.

1233 West Bank Expressway, Harvey, La. 70058 - \$4 million of 9-1/2% subordinated investment notes, Series D-1 and D-3, due 1982, and 9-3/4% subordinated investment notes, Series D-2 and D-4, due 1987. \$4 million of the Series D notes will be offered at par for cash on a nonunderwritten basis through LGS Securities, Inc., a wholly-owned subsidiary of the company. Louisiana General Services, Inc. is engaged through subsidiaries principally in natural gas distribution; oil and gas exploration and development; life, accident and health insurance; and real estate ownership and development. (File 2-57733 - Nov. 24)

(S-14) JUSTIN INDUSTRIES, INC.

2821 West Seventh St., Fort Worth, Tex. 76107 - 163,758 shares of common stock. It is proposed to offer these shares pursuant to a merger transaction in exchange for the outstanding minority shares of common stock of Kingstip, Inc., Austin, Texas, at the rate of .38 Justin shares for each share of Kingstip. Justin is a manufacturer of building materials, western and outdoor products and water cooling systems. (File 2-57735 - Nov. 24)

(S-7) SAFECO CORPORATION

SAFECO Plaza, Seattle, Wash. 98185 - \$40 million of notes, due 1987, to be offered for sale through underwriters headed by The First Boston Corp., 20 Exchange Pl., New York, N.Y. 10005. The corporation is a holding company with subsidiaries engaged principally in property - casualty insurance, as well as life insurance, title insurance and other financial businesses. (File 2-57737 - Nov. 24)

(S-7) HARRIS CORPORATION

55 Public Sq., Cleveland, Ohio 44113 - \$50 million of sinking fund debentures, due 2001, to be offered for sale in denominations of \$1,000 and any multiples of \$1,000 through underwriters headed by Kidder, Peabody & Co. Inc., McDonald & Co., and Prescott, Ball & Turben, c/o Kidder, Peabody & Co. Inc., 10 Hanover Square, New York, N.Y. 10005. The company produces communication and information handling systems and equipment. (File 2-57738 - Nov. 24)

(S-7) NEW ENGLAND GAS AND ELECTRIC ASSOCIATION

675 Massachusetts Ave., Cambridge, Mass. 02139 - 100,000 common shares of beneficial interest, to be offered for sale to common shareholders pursuant to the Association's Dividend Reinvestment and Common Share Purchase Plan. The Association is an exempt holding company holding investments principally in common stock of public utility companies engaged in the production, transmission and distribution of electricity and the distribution of natural gas. (File 2-57739 - Nov. 24)

(S-16) LUCKY STORES, INC.

6300 Clark Ave., Dublin, Cal. 94566 - 40,000 common shares, to be offered by the specified shareholder for exchange for a limited partnership interest in an exchange fund. Lucky Stores, Inc., is a diversified, high-volume retailer principally engaged in the retail sale of food and non-food items. (File 2-57740 - Nov. 24)

S-7) NORTHWEST BANCORPORATION

1200 Northwestern Bank Bldg., Minneapolis, Minn. 55480 - 193,292 shares of common stock, proposed to be offered in exchange for shares of common stock of First National

Bank, Fort Dodge, Iowa, at the rate of 2.8 shares for each bank share. The corporation is a bank holding company organized in 1929, which owns in the aggregate approximately 97% of the outstanding capital stock of 81 commercial banks with 128 offices. (File 2-57741 - Nov. 24)

(S-7) SCOTT PAPER COMPANY

Scott Plaza, Philadelphia, Pa. 19113 - 514,705 shares of common stock. It is proposed to offer memberships to employees in stock purchase and stock investment plans, which plans will use both employee deposits and company contributions to acquire common stock of the company at prevailing market prices on the open market during 1977. No underwriter will be used. The company is engaged principally in the manufacturing and sale of paper products and pulp. (File 2-57742 - Nov. 24)

(S-16) RAYMOND INTERNATIONAL INC.

2801 South Post Oak Rd., Houston, Tex. 77056 - 776,700 shares of common stock, reserve for issuance upon conversion of the \$20 million of 8-1/2% convertible subordinated guaranteed debentures, due 1983, of Raymond Overseas Finance, Ltd., a wholly-owned subsidiary of Raymond International Inc. The Company is primarily engaged in worldwide construction and related engineering services. (File 2-57744 - Nov. 24)

(S-6) CORPORATE SECURITIES TRUST  
PREFERRED STOCK SERIES 2

\$14 million of units of beneficial interest, to be offered for sale through underwriters headed by Loeb, Rhoades & Co., 42 Wall St., New York, N.Y. 10005; Smith Barney, Harris Upham & Co. Inc., 1345 Avenue of the Americas, New York, N.Y. 10019; Blyth Eastman Dillon & Co. Inc., 1 Chase Manhattan Plaza, New York, N.Y. 10005; Shearson Hayden Stone Inc., 767 Fifth Ave., New York, N.Y. 10022 and Thomson & McKinnon Auchincloss Kohlmeyer Inc., 1 New York Plaza, New York, N.Y. 10004. The Trust, a unit investment trust, is to be created by a trust agreement among Loeb, Rhoades & Co., Smith Barney, Harris Upham & Co. Inc., Blyth Eastman Dillon & Co. Inc., Shearson Hayden Stone Inc. and Thomson & McKinnon Auchincloss Kohlmeyer Inc., as sponsors, New England Merchants National Bank, as trustee, and Interactive Data Services, Inc., as evaluator. The Trust's primary objective is providing a high level of current income and preservation of capital through investment in a diversified portfolio of cumulative preferred stocks. (File 2-57745 - Nov. 24)

(S-1) PETROLEUM RESOURCES COMPANY

2021 East Hennepin Ave., Minneapolis, Minn. 55413 - \$5,500,000 of limited partnership interests divided into 550 units of \$10,000 each. The interests are being offered in two partnerships - the Midland - PRC Petroleum Partnership 1977-A and the Midland - PRC Petroleum Partnership 1977-B, which comprise the Midland - PRC Oil and Gas Program 1977. Sales will be made to financially qualified investors in a minimum amount of one-half unit (\$5,000) and additional amounts in one-quarter units (\$2,500). Minimum sales of \$550,000 will be required for each partnership to commence operations. The offering will be made by selected dealers headed by Midland Management Corp., as dealer-manager, 800 Southgate Office Plaza, Minneapolis, Minn. 55437. PRC, which will be the general partner in the partnerships, is a wholly owned petroleum exploration and development subsidiary of Midland Cooperatives, Inc., Minneapolis, Minn. (File 2-57746 - Nov. 24)

(S-7) BAKER INTERNATIONAL CORPORATION

500 City Parkway West, Orange, Cal. 92667 - 338,256 shares of common stock, to be offered for sale by the selling shareholders through underwriters led by Goldman, Sachs & Co. and Blyth Eastman Dillon & Co. Inc. The company serves the petroleum and mining industries by manufacturing and marketing a wide range of products and services utilized in the extraction, recovery and processing of oil, gas and other minerals. (File 2-57747 - Nov. 24)

STOCK PLANS FILED

The following have filed Form S-8 registration statements with the SEC seeking registration of securities to be offered pursuant to employee stock and related plans:

- Western Publishing Co., Inc., Racine, Wis. (File 2-57691 - Nov. 18) - Options to purchase 150,000 shares and stock equivalents for 150,000 shares
- Malone & Hyde, Inc., Memphis, Tenn. (File 2-57698 - Nov. 19) - 200,000 shares
- Marsh Supermarkets, Inc., Yorktown, Ind. (File 2-57700 - Nov. 19) - 250,000 shares
- Shearson Hayden Stone Inc., New York, N.Y. (File 2-57701 - Nov. 19) - 400,000 shares
- Agway Inc., DeWitt, N.Y. (File 2-57705 - Nov. 22) - \$2 million of 7% subordinated debentures, due 2001, and 20,000 shares of 6% cumulative preferred stock, Series A, (\$100 Par)
- Damon Creations, Inc., New York, N.Y. (File 2-57708 - Nov. 22) - 144,284 shares

## STOCK PLANS CONT.

International Multifoods Corp., Minneapolis, Minn. (File 2-57711 - Nov. 22) - 400,000 shares

Thermo Electron Corp., Waltham, Mass. (File 2-57712 - Nov. 22) - 125,000 shares

American Investment Co., Clayton, Mo. (File 2-57717 - Nov. 22) - \$10 million of Employee Thrift Accounts

Robintech Inc., Fort Worth, Tex. (File 2-57718 - Nov. 22) - 60,144 shares

Iroquois Brands, Ltd., Greenwich, Conn. (File 2-57728 - Nov. 23) - 175,438 shares

United Energy Resources, Inc., Houston, Tex. (File 2-57732 - Nov. 24) - 53,640 shares

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant

Item 2. Acquisition or Disposition of Assets

Item 3. Legal Proceedings

Item 4. Changes in Securities

Item 5. Changes in Security for Registered Securities

Item 6. Defaults upon Senior Securities

Item 7. Increase in Amount of Securities Outstanding

Item 8. Decrease in Amount of Securities Outstanding

Item 9. Options to Purchase Securities

Item 10. Extraordinary items, other material charges and credits and capital restatements

Item 11. Submission of Matters to a Vote of Security Holders

Item 12. Changes in Registrant's Certifying Accountant

Item 13. Other Materially Important Events

Item 14. Financial Statements and Exhibits

The companies listed below have filed 8-K reports for the month indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An invoice will be included with the requested material when mailed.

COMPANY	ITEM NO.	MONTH
ALLIED CHEMICAL CORP	3,10,13,14	10/76
AMERICAN LAND CO	12	10/76
ANALOGIC CORP	13	10/76
ATLANTA & CHARLOTTE AIR LINE RAILWAY CO	4,7,14	10/76
BEKER INDUSTRIES CORP	7,14	10/76
BIO MEDICUS INC	9	10/76
CARLSBERG MOBILE HOME PROPERTIES LTD 73	2	10/76
CENTRAL WISCONSIN BANKSHARES INC	7	10/76
COMMERCIAL ALLIANCE CORP	3,7,14	10/76
DELWOOD FURNITURE CO INC	13	10/76
DILLINGHAM CORP	13	10/76
DREW NATIONAL CORP	13,14	10/76
ELECTRONIC ASSOCIATES INC	10,14	10/76
ENERGY RESOURCES CORP	1,12,13,14	10/76
FOURTH PETRO LEWIS INCCME PROGRAM	2,14	10/76
GABLE INDUSTRIES INC	4,14	10/76
GRAPHIDYNE CORP	13,14	10/76
GREYHOUND COMPUTER CORP	8,10,13,14	10/76
HABANERO CORP	12	10/76
INVESTORS REIT ONE	3,12	10/76
INVESTORS REIT TWO	3,12	10/76
IVY CORP	9,14	10/76
KIMBERLY CLARK CORP	3	10/76
LYNNWEAR CORP	2,10,14	11/76
SCHAEFER F & M CORP	3	10/76
VETCO OFFSHORE INDUSTRIES INC	7,8,13	10/76
WESTERN AIR LINES INC	7	10/76
ZERO CORP	7,13,14	08/76
WARNACO INC	3,6,9,14	10/76
WARNER COMMUNICATIONS INC	13,14	10/76
WASHINGTON GAS LIGHT CC	13	10/76
WEBB DEL E CORP	3,13,14	10/76
WENDYS INTERNATIONAL INC	3	10/76
WEST POINT PEPPERELL INC	13,14	10/76
WESTERN DIGITAL CORP	3,6,13	10/76
WESTERN ELECTRIC CO INC	8	10/76
WESTERN PACIFIC INDUSTRIES INC	8,14	10/76
WESTERN PLASTICS CORP	4	10/76
WESTERN PREFERRED CORP	2,3,12,14	10/76

RECENT 8K FILINGS QONT.

WESTINGHOUSE ELECTRIC CORP	3	10/76
WHITTAKER CORP	7,8,14	10/76
WILLIAMS COMPANIES	7	10/76
WILLNER INDUSTRIES	13	10/76
WINCORP	13,14	10/76
WISCONSIN ELECTRIC POWER CO	3	10/76
WISCONSIN POWER & LIGHT CO	3,13	10/76
WOODS PETROLEUM CORP	8	10/76
WRATHER CORP	7,14	10/76
AMERICAN MEDICAL AFFILIATES INC	13,14	10/76
ARMSTRONG A J CO INC	7	10/76
ATLANTA NATIONAL REAL ESTATE TRUST	6	10/76
CHART HOUSE INC	7,13,14	10/76
CHICAGO HELICOPTER INDUSTRIES INC	3,6,13	10/76
CCNRAC CORP	7,14	08/76
DUPLAN CORP	3,6	10/76
FISCHEK & PORTER CO	13	11/76
GATEWAY SPORTING GOODS CO	7	10/76
GENSTAR LTD	3	10/76
GOVERNMENT EMPLOYEES INSURANCE CO	13,14	10/76
GULF ENERGY & DEVELOPMENT CORP	7	10/76
HOMESTAKE MINING CO	11	05/76
ILLINI BEEF PACKERS INC	7	10/76
JONES R B CORP	3	09/76
LUNN LAMINATES INC	2	09/76
MADISON REAL ESTATE INVESTMENT FUND	13	10/76
MARGUS LAMODE INC	13	11/76
MERIDIAN INVESTING & DEVELOPMENT CORP	7,10,14	10/76
MISSISSIPPI CHEMICAL CORP	11,13	10/76
NEISNER BROTHERS INC	13	11/76
NORTH PENNSYLVANIA RAILROAD CO	8,11,13,14	10/76
ON GUARD CORP OF AMERICA	13	10/76
QUAKER OATS CO	13,14	11/76
REDLAW ENTERPRISES INC	13	10/76
SAVE WAY BARBER & BEAUTY SUPPLIES INC	12,14	10/76
SUCCESS MOTIVATION INSTITUTE INC	13	10/76
TALCOTT JAMES INC	13	10/76
TRANS WORLD AIRLINES INC	11,14	10/76
TRI SOUTH MORTGAGE INVESTORS	10,14	10/76
VISHAY INTERTECHNOLOGY INC	3	10/76
WHITLOCK CORP	7,8,14	09/76
WILLIAMS & CO INC	13	10/76
AFFILIATED INVESTMENTS INC	2,14	10/76
ALODEX CORP	13	10/76
AMERIBANC INC	13	10/76
AMERICAN CENTENNIAL CORP	13	11/76
AMERICAN CYANAMID CO	3	10/76
AMERICAN EQUITY INVESTMENT TRUST	2,14	10/76
AMERICAN FINANCE SYSTEM INC	6,13	10/76
AMERICAN NUCLEAR CORP	11	10/76
AMERICAN PLAN CORP	3,13	10/76
AMERICAN VANGUARD CORP	13,14	10/76
ANODYNE INC	3,14	10/76
ARTISTS ENTERTAINMENT COMPLEX INC	7,14	10/76
ASKIN SERVICE CORP	2,8,10,14	10/76
ASTRODATA INC	11,14	10/76
ATCHISON TOPEKA & SANTA FE RAILWAY CO	3	10/76
AZCON CORP	13,14	10/76
BANGOR PUNTA CORP	7	10/76
BEAUNIT CORP	13	10/76
BLESSINGS CORP	3	11/76
BOBCAT OIL CO	13	11/76
BOSTON OIL LTD	12	11/76
BULOVA WATCH CO INC	3	10/76
BURKYARNS INC	3	10/76
CALIFORNIA MICROWAVE INC	11	10/76
CAMBRIDGE MEMORIES INC	2,7,14	10/76
CANADIAN INTERNATIONAL POWER CO LTD	2,10,14	10/76
CAROLINA BANCORP INC	7	10/76
CASCADE STEEL ROLLING MILLS INC	2,3	10/76
CATTLEMENS GROUP ASSOCIATES	13	10/76
CENTURY FINANCIAL CORP OF MICHIGAN	13	11/76
CERTAIN TEED CORP	1	10/76

RECENT 8 K FILINGS CONT.

CHICAGO & EASTERN ILLINCIS RAILROAD CO	2,14	10/76
CHRYSLER CORP	3	10/76
CINERAMA INC	13,14	10/76
CLC OF AMERICA INC	7,14	08/76
CLC OF AMERICA INC	7,14	10/76
CLEVETRUST CORP	13	10/76
COLONIAL COMMERCIAL CORP	8	10/76
COLONIAL GAS ENERGY SYSTEM	3	10/76
COMMERCIAL BANCORPORATION OF COLORADO	8	08/76
COMMUNICATIONS PROPERTIES INC	2,3,8,14	10/76
COMPUTER SCIENCES CORP	13	10/76
CONGRESS LIFE CORP	13	10/76
CONWED CORP	7	10/76
CRAWFORD CORP	2,3,10,13	10/76
DASA CORP	8	10/76
DATA ACCESS SYSTEMS INC	7,14	10/76
DATA AUTOMATION CO INC	12,14	10/76
DATA DOCUMENTS INC	1,14	10/76
DATASCOPE CORP	7	10/76
DELTCNA CORP	13	10/76
DENVER UNION CORP	6,14	10/76
DEROSE INDUSTRIES INC	13	10/76
DIAMOND INTERNATIONAL CORP	3	10/76
DIPLOMAT ELECTRONICS CORP	7	10/76
DONALDSON LUFKIN & JENRETTE INC	13	10/76
EALING CORP	13,14	10/76
ECONO THERM ENERGY SYSTEMS CORP	3	10/76
EL PASO CO	13	10/76
EL PASO NATURAL GAS CO	13	10/76
ELECTRO NUCLEONICS INC	3,14	11/76
ENTWISTLE CO	2,10	10/76
EXECUTIVE INDUSTRIES INC	7	10/76
EXECUTONE INC	13,14	10/76
FASHION FABRICS INC	2,7,14	10/76
FIDELCOR INC	13	10/76
FIRST ALABAMA BANCSHARES INC	7	10/76
FIRST ARTISTS PRODUCTION CO LTD	13,14	10/76
FIRST MORTGAGE INVESTORS	3,6,8	10/76
FIRST UNION REAL ESTATE EQUITY & MORTGAG	7,8	10/76
FIRSTMARK CORP	1,2,7,8,11,14	10/76
FLAGSHIP BANKS INC	10,13	10/76
FROUGE CORP	11	10/76
GATEWAY INDUSTRIES INC	10,14	10/76
GENERAL FELT INDUSTRIES INC	10,14	10/76
GENERAL TELEPHONE CO OF CALIFCRNIA	3	10/76
GEORGIA SOUTHERN & FLORIDA RAILWAY CO	4,7,14	10/76
GEOSCIENCE TECHNOLOGY SERVICES CORP	12,14	10/76
GEOTEL INC	13	10/76
GLOBAL MARINE INC	13	10/76
GREAT AMERICAN MANAGEMENT & INVESTMENT	3,6,8,14	10/76
HALL W F PRINTING CO	7	10/76
HANDY & HARMAN	4,7,14	10/76
HARVEY GROUP INC	13,14	10/76
HATTIE CARNEGIE JEWELRY ENTERPRISES LTD	3	10/76
HI PORT INDUSTRIES INC	7,14	08/76
HOUSTON OIL & MINERALS CORP	7	10/76
ILLINCIS CENTRAL GULF RAILROAD CO	3,7,14	10/76
INLAND CREDIT CORP	13	10/76
INTERCONTINENTAL DIVERSIFIED CORP	2,10,13,14	10/76
INTERCONTINENTAL INDUSTRIES INC NEVADA	2,12,13,14	10/76
INTERNATIONAL MINERALS & CHEMICAL CORP	11	10/76
INTERNATIONAL MINING CORP	2	10/76
INVESTORS REALTY TRUST	8,13,14	10/76
IOWA SOUTHERN UTILITIES CO	13,14	10/76
ITEL CORP	13	10/76
KEBA OIL & GAS CO	1	10/76
KEENE CORP	8	10/76
KIRK CORP	14	10/76
KUHLMAN CORP	10	10/76
LARIBEE WIRE INC	7,14	10/76
LEHIGH VALLEY INDUSTRIES INC	3,13	10/76
LENOX INC	9,10,13,14	10/76
LIBERTY CORP	1	10/76

## RECENT 8K FILINGS CONT.

LINCOLN NATIONAL CO	2,14	10/76
LMI INVESTORS	3,13	10/76
MAUL BROTHERS INC	11	10/76
MCNEIL REAL ESTATE FUNC VI LTD	2,7,14	10/76
MED GENERAL INC	7	10/76
MEDSERCO INC	7,9,13,14	10/76
MET PRO CORP	3	10/76
MID PLAINS TELEPHONE INC	13	10/76
MORLAN INTERNATIONAL INC	7,14	09/76
MULTI BENEFIT REALTY FLND I	11,14	10/76
NARRAGANSETT ELECTRIC CO	3	10/76
NATIONAL HEALTH ENTERPRISES INC	10,14	10/76
NATIONAL INVESTMENT CORP INC	13	10/76
NATIONAL MINE SERVICE CO	13,14	10/76
NATIONAL PATENT DEVELOPMENT CORP	10,13,14	10/76
NATIONAL RECREATION PRODUCTS INC	13	10/76
NATIONAL RURAL UTILITIES COOPERATIVE FIN	7,13	10/76
NBS FINANCIAL CORP	13	10/76
NEW ENGLAND POWER CO	3,13	10/76
NEW JERSEY NATURAL GAS CO	13	10/76
NEW YORK STATE ELECTRIC & GAS CORP	7,13,14	10/76
NEW YORK TELEPHONE CO	7,14	10/76
NIBCO INC	13	10/76
NORFOLK & WESTERN RAILWAY CO	3,7,14	10/76
NORTH CANADIAN OILS LTD	13	10/76
NORTHWEST TELEPRODUCTIONS INC	9	10/76
OMNIMEDICAL SERVICES INC	2	10/76
ORBIT INSTRUMENT CORP	13,14	10/76
PALOMAR FINANCIAL	11,14	10/76
PANELFAB INTERNATIONAL CORP	10,14	07/76
PANELFAB INTERNATIONAL CORP	10,14	09/76
PENNSYLVANIA ELECTRIC CO	11,14	10/76
PENTAIR INDUSTRIES INC	8,13	10/76
PEOPLES GAS LIGHT & COKE CO	13	10/76
PETRO LEWIS CORP	2,7,8,9,13	10/76
PHOENIX LEASING PERFORMANCE FUND 1976	2,7	10/76
PIC N SAVE CORP	11	10/76
PIEDMONT CORP	13	10/76
PIONEER INSURANCE CO	13,14	10/76
PLAYBOY ENTERPRISES INC	9	10/76
POLY INDUSTRIES INC	7,14	10/76
PROVIDENCE & WORCESTER CO	13	10/76
PRUDENT REAL ESTATE TRUST	3,7,14	09/76
RACON INC	3	10/76
RATH PACKING CO	13,14	10/76
RAYCHEM CORP	11	10/76
RAYCHEM CORP	13,14	11/76
REPUBLIC FRANKLIN INC	3,14	10/76
REPUBLIC FRANKLIN LIFE INSURANCE CO	3,9,14	10/76
REXHAM CORP	3	10/76
RLC CORP	3	10/76
ROADWAY EXPRESS INC	11,13	10/76
ROYAL OPERATING CORP	2,8,14	10/76
SANTA FE INDUSTRIES INC	3,13	10/76
SCOTTISH INNS OF AMERICA INC	3,13,14	10/76
SEARS ROEBUCK & CO	11,14	10/76

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