

# SEC NEWS DIGEST

Issue 2000-34

February 23, 2000

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## ENFORCEMENT PROCEEDINGS

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### SEC CHARGES HEDGE FUND ADVISER WITH MASSIVE, SEVEN-YEAR FRAUD

The Commission today announced that it filed an emergency enforcement action charging David W. Mobley, Sr., a hedge fund adviser, with defrauding investors of at least \$59 million dollars between 1993 and the present. Also charged with fraud were four hedge funds that Mobley created and manages: Maricopa Investment Fund, Ltd.; Maricopa Index Hedge Fund, Ltd.; Maricopa Financial Corporation and Ensign Trading Corporation. Mobley, age 43, is a resident of Naples, Florida.

The Commission's complaint charges the following: Mobley falsely claimed that his funds averaged a return of 51 percent per year, net of his management fees of 30 percent; in reality, he lost an estimated \$59 million of the more than \$140 million given him to invest between 1993 and the present. Each month, Mobley sent investors monthly account statements that grossly overstated their account balance and rate of return. He failed to disclose to his investors that he had invested their money in a number of his own business ventures-including a mortgage company, a golf and country club development, a research and polling company, a cigar lounge, and a plan to build a stadium on a golf course-most of which failed, or that he had given \$3.5 million of their money to various charities. Recently, he falsely claimed to have \$450 million under management, when he actually had no more than \$33 million of investor funds left.

The complaint further alleges that Mobley diverted millions of dollars of investor funds to pay for a luxurious lifestyle for himself and his family. He is currently paying himself a salary of \$1 million a year, and helped himself to a \$2 million bonus on January 28, 2000-after he knew he was under investigation by the Commodity Futures Trading Commission. He recently purchased a \$98,000 Porsche. He also bought a number of houses using investor funds. In 1994, he bought an \$864,000 home in Naples, Florida. Two years later, he bought a \$1 million lot, also in Naples, on which he planned to build a new home. In 1996 and 1998, he bought two homes for his sister and daughter. In May 1999, he paid nearly \$1.7 million for a new vacation house outside of Vail, Colorado, and spent another \$300,000 finishing and furnishing it. The same month,

he presented his wife with a \$40,000 diamond ring. None of these diversions were disclosed to the investors.

The complaint charges Mobley and the four hedge funds with violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) and Rule 10b-5 of the Securities Exchange Act of 1934. Also charged are two entities owned by Mobley, through which he advises the hedge funds: Maricopa International Investment Corporation d/b/a Maricopa Investment Corporation and IAM Inc. The complaint names as relief defendants six other entities owned by Mobley, some or all of which may hold investor assets: Maricopa Eclipse Partners Ltd.; Maricopa Overseas Ltd.; Epworth Financial, Ltd. Maricopa Capital Management L.C.; Mobley Trading & Investment Corp.; and D. Mobley Incorporated.

Mobley and Maricopa Investment Corporation are also charged with violations of Section 206(1) and (2) of the Investment Advisers Act of 1940.

Mobley has consented to an asset freeze and preliminary injunctions and to cooperate with the Commission in preserving investor assets. In addition, the Commission's complaint seeks the following relief: (i) permanent injunctions barring future violations; (ii) disgorgement and prejudgment interest; and (iii) civil penalties.

The Commission thanks the Commodity Futures Trading Commission for its assistance in this investigation. The Commission's investigation into this matter is continuing. [SEC v. David M. Mobley, Sr.; Maricopa Investment Fund, Ltd.; Maricopa Index Hedge Fund, Ltd.; Maricopa Financial Corporation; Ensign Trading Corporation; Maricopa International Investment Corporation d/b/a Maricopa Investment Corporation; and IAM Incorporated, Defendants, and Maricopa Eclipse Partners Ltd.; Maricopa Overseas Ltd.; Epworth Financial, Ltd.; Maricopa Capital Management L.C.; Mobley Trading & Investment Corp.; and D. Mobley Incorporated, Relief Defendants, Civil Action No. 00 Civ. 1316, SDNY, Casey, J.] (LR-16446)

**DISTRICT COURT ENTERS FINAL JUDGMENT AGAINST JACK RODRIGUEZ, JR. PERMANENTLY ENJOINING HIM FROM VIOLATING ANTIFRAUD AND REGISTRATION PROVISIONS OF THE SECURITIES LAWS**

The Commission announced today that on February 2 the United States District Court for the Middle District of Florida entered a Final Judgment against Jack Rodriguez, Jr., a.k.a. Jack R. Rodriguez (Rodriguez), a former employee of Corporate Relations Group, Inc. (CRG) and a resident of Florida.

Without admitting or denying the Commission's allegations, Rodriguez consented to the entry of a judgment which permanently enjoins him from violating Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 (Securities Act) and Sections 10(b) and 15(a)(1) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder. The judgment also requires Rodriguez to pay disgorgement in the amount of \$19,432.79, representing his gains

from the conduct alleged in the complaint together with prejudgment interest. However, in light of Rodriguez' demonstrated inability to pay, disgorgement of this amount has been waived and a civil money penalty was not sought.

The Commission alleged that, in late 1994 and early 1995, in connection with the promotion of one of CRG's issuer-clients, Rodriguez paid registered representatives to solicit their clients to purchase this issuer's stock. Rodriguez knew, or was reckless in not knowing, that he was part of CRG's overall fraudulent scheme concerning this stock. As a result, Rodriguez violated the antifraud provisions of the federal securities laws. The Commission also charged that Rodriguez violated the registration provisions of the Securities Act and the broker-dealer registration requirement of the Exchange Act by selling unregistered shares of Stratcomm Media Ltd. common stock and collecting commissions based upon those sales.

The Commission's enforcement action, which alleged a variety of federal securities laws violations, including violations of the antifraud, antitouting and registration provisions, is still pending in the Middle District of Florida. See Litigation Release No. 16294 (September 27, 1999). [SEC v. Corporate Relations Group, Inc., Stratcomm Media Ltd., Gulf Atlantic Publishing, Inc., New Concepts L.L.C., CJL Corporation, Pow Wow, Inc., Fondo De Adquisiciones E Inversiones Internacionales XL, S.A., C.A. Oportunidad, S.A., Ammonia Hold, Inc., Roberto E. Veitia, James W. Spratt III, James A. Skalko, Jack Rodriguez, Jr., Jose Antonio Gomez Cortes, Arnold Zousmer, Charles J. Lidman and Michael Parnell, Civil Action No. 99-1222-CV-22-A, M.D. Fla., Orlando] (LR-16447)

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## HOLDING COMPANY ACT RELEASES

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### ALLIANT ENERGY CORPORATION, ET AL.

A notice has been issued giving interested persons until March 14, 2000, to request a hearing on a proposal by Alliant Energy Corporation (Alliant Energy), a registered holding company, and its public utility subsidiary companies, Wisconsin Power & Light Company, IES Utilities Inc. and Interstate Power Company (together, Operating Companies). The Operating Companies propose to form special purpose subsidiaries to engage in the business of factoring their accounts receivables. Alliant Energy proposes to provide limited credit support for the factoring business. (Rel. 35-27140)

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## SELF-REGULATORY ORGANIZATIONS

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## PROPOSED RULE CHANGES

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-99-60) relating to the maintenance standards for Dow Jones High Yield Select Ten Index. Publication of the proposal is expected in the Federal Register during the week of February 21. (Rel. 34-42439)

The American Stock Exchange filed a proposed rule change (SR-Amex-99-16) to modify procedures applicable to proprietary orders sent by market makers in other Intermarket Trading System (ITS) participant markets to the Amex by means of the Common Message Switch and Amex Order File or through a floor broker before an ITS pre-opening notification or indication of an anticipated opening price range is issued by the Exchange specialist. Publication of the proposal is expected in the Federal Register during the week of February 21. (Rel. 34-42441)

The Chicago Stock Exchange filed a proposed rule change (SR-CHX-99-24) relating to price improvement for securities that trade in minimum variations of 1/64th of \$1.00. Publication of the proposal is expected in the Federal Register during the week of February 21. (Rel. 34-42442)

The New York Stock Exchange filed a proposed rule change to rescind Exchange Rule 390, which currently restricts off-board trading by NYSE members. In the release publishing notice of the NYSE's proposed rule change, the Commission also has requested public comment on a broad range of issues relating to market fragmentation. Publication of the NYSE's proposed rule change and the Commission's request for comment is expected in the Federal Register during the week of February 28. (Rel. 34-42450; Press Rel. 2000-14)

## APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the Chicago Board Options Exchange (SR-CBOE-99-27) relating to customer communications. (Rel. 34-42443)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 CRAYFISH CO LTD /ADR/, ONE WALL STREET, NEW YORK, NY 10286 -  
25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE  
333-11446 - FEB 10) (BR 99 - NEW ISSUE)
- F-6 SUNDAY COMMUNICATIONS LTD /ADR/, ONE WALL STREET, NEW YORK, NY 10286 -  
25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE  
333-11464 - FEB 11) (BR 99 - NEW ISSUE)
- S-8 TECNOMATIX TECHNOLOGIES LTD, DELTA HOUSE, 16 HAGALIM AVE,  
HERZILYA 46733 ISRAE, L3 (313) 471-6140 - 5,860,064 (\$83,713,673)  
FOREIGN COMMON STOCK (FILE 333-11466 - FEB 11) (BR 3)
- F-1 SUNDAY COMMUNICATIONS LTD, 13/F NATWEST TOWER,  
TIMES SQUARE CAUSEWAY BAY, HONG KONG 852-2113-8118, K3 00000 - 300,000,000  
(\$300,000,000) FOREIGN COMMON STOCK (FILE 333-11470 - FEB 11) (BR 7  
- NEW ISSUE)
- F-1 RIVERDEEP GROUP LTD, APOLLO HOUSE TARA STREET, 8TH FLOOR DUBLIN 2,  
IRELAND (353-1) 670-7570, L2 00000 - 75,000,000 (\$75,000,000)  
FOREIGN COMMON STOCK (FILE 333-11472 - FEB 14) (BR 3 - NEW ISSUE)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ABN AMRO MORTGAGE CORP SERIES 2000- 1	DE							X	X			02/18/00	
ACCEPTANCE INSURANCE COMPANIES INC	DE							X				02/11/00	
ALLIANCE PHARMACEUTICAL CORP	NY							X	X			02/11/00	
ALLOY ONLINE INC	DE								X			02/18/00	AMEND
ALLSCRIPTS INC /IL	DE							X				02/22/00	
AMERUS LIFE HOLDINGS INC	IA							X				02/21/00	
ARAOVA COMMUNICATIONS INC	DE								X			12/07/99	AMEND
ARI NETWORK SERVICES INC /WI	WI							X				02/18/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ASHWORTH INC	DE				X	X					02/15/00	
ASSOCIATES CORPORATION OF NORTH AME RICA	DE									X	02/18/00	
ATG INC	CA				X	X					11/09/99	
ATMOS ENERGY CORP	TX				X	X					02/16/00	
AURORA FOODS INC /DE/	DE				X	X					02/18/00	
BAB HOLDINGS INC	IL	X									02/22/00	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY				X	X					02/22/00	
BANK ONE TEXAS NATIONAL ASSOCIATION					X	X					02/22/00	
BAY VIEW SECURITIZATION CORP	DE					X					02/15/00	
BAY VIEW SECURITIZATION CORP	DE								X		02/15/00	
BONE CARE INTERNATIONAL INC	WI				X						02/14/00	
CAMBRIDGE INDUSTRIES INC /DE	DE				X						02/22/00	
CARESIDE INC	DE								X		12/07/99	AMEND
CC VII HOLDINGS LLC	CA				X						02/04/00	
CELGENE CORP /DE/	DE				X	X					02/22/00	
CMGI INC	DE				X						02/09/00	
COCA COLA BOTTLING CO CONSOLIDATED /DE/	DE				X						02/15/00	
COCA COLA ENTERPRISES INC	DE				X	X					02/22/00	
CONNING CORP	MO				X	X					02/02/00	
CONSECO FINANCE CORP	DE				X	X					02/15/00	
CONSECO FINANCE CORP	DE				X	X					02/15/00	
CONSECO FINANCE CORP	DE				X	X					02/15/00	
CONSECO FINANCE SECURITIZATIONS COR P	MN				X	X					02/08/00	
COPPER MOUNTAIN NETWORKS INC	CA				X	X					02/22/00	
CORNING INC /NY	NY	X									02/22/00	
COVAD COMMUNICATIONS GROUP INC	DE				X	X					02/15/00	
COVOL TECHNOLOGIES INC	DE				X						02/16/00	
CPS AUTO GRANTOR TRUST 1998-2	CA					X					02/15/00	
CPS AUTO RECEIVABLES TRUST 1998-3	CA						X				02/15/00	
CPS AUTO RECEIVABLES TRUST 1998-4	CA							X			02/15/00	
CTC COMMUNICATIONS GROUP INC	DE				X						02/22/00	
CTI GROUP HOLDINGS INC	DE				X						02/03/00	
DISCOVER CARD MASTER TRUST I	DE				X	X					01/27/00	
DUPONT E I DE NEMOURS & CO	DE				X						02/22/00	
E SPIRE COMMUNICATIONS INC	DE				X						02/03/00	
EGGHEAD COM INC	WA				X	X					02/17/00	
ELECTRIC CITY CORP	DE								X		01/31/00	
ELECTRONIC BUSINESS SERVICES INC	FL				X	X					02/15/00	
EMBREX INC/NC	NC				X	X					02/14/00	
EMC CORP	MA				X						02/15/00	
ENGELHARD CORP	DE				X	X					02/14/00	
ENVISION DEVELOPMENT CORP /FL/	FL				X	X					02/08/00	
ENZON INC	DE				X	X					02/22/00	
FALCON FUNDING CORP	CA				X						02/04/00	
FAR EAST VENTURES INC	NV				X						02/11/00	
FOOTSTAR INC	DE				X	X					02/16/00	
FOREST OIL CORP	NY				X	X					02/15/00	
FORMAN PETROLEUM CORP	LA				X	X					02/17/00	
FRIEDE GOLDMAN HALTER INC	MS				X	X					02/22/00	
GEERLINGS & WADE INC	MA				X	X					02/22/00	
GEORGIA POWER CO	GA				X	X					02/15/00	
GOODRICH B F CO	NY				X	X					02/22/00	
GRAND PREMIER FINANCIAL INC	DE	X									02/22/00	
GREEN TREE FLOORPLAN RECIEVABLES MA STEP TRUST	MN				X	X					02/15/00	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN				X	X					02/15/00	

NAME OF ISSUER	STATE		BK ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	X					02/15/00	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	X					02/15/00	
GTS DURATEK INC	DE		X				X					02/07/00	
HANNAFORD BROTHERS CO	ME						X	X				02/10/00	
HEALTH & LEISURE INC /DE/	DE		X									02/22/00	AMEND
HEALTHON WEBMD CORP	DE							X				02/16/00	AMEND
HERITAGE BANCORP INC /VA/	VA						X	X				02/14/00	
HONDA AUTO RECEIVABLES 1997-A GRANT OR TRUST	CA						X					01/31/00	
HONDA AUTO RECEIVABLES 1997-B GRANT OR TRUST	CA						X					01/31/00	
HONDA AUTO RECEIVABLES 1999-1 OWNER TRUST	CA						X					01/31/00	
HOUSEHOLD AUTOMOBILE REVOLVING TRUS T I	DE							X				02/22/00	
HOUSEHOLD AUTOMOTIVE TRUST III SERI ES 1999-1	DE							X				02/22/00	
HOUSEHOLD CREDIT CARD MASTER TRUST I	DE							X				02/22/00	
HOUSEHOLD FINANCE CORP HOUSEHOLD AF F CRE CAR MAS TR I	DE							X				02/22/00	
HOUSEHOLD HOME EQUITY LOAN TRUST 19 99-1	DE							X				02/22/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-1	DE							X				02/22/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1995-2	DE							X				02/22/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-1	DE							X				02/22/00	
HOUSEHOLD REVOLVING HOME EQUITY LOA N TRUST 1996-2	DE							X				02/22/00	
HUDSONS GRILL OF AMERICA INC	CA		X									02/22/00	
I STORM INC	NV						X					02/21/00	
IMPERIAL CREDIT COMMERCIAL MORTGAGE INVESTMENT CORP	MD						X	X				02/22/00	
INCYTE PHARMACEUTICALS INC	DE		X									02/18/00	
INSILCO CORP/DE/	DE						X	X				02/21/00	
INSILCO HOLDING CO	DE						X	X				02/21/00	
INTERNATIONAL MICROCOMPUTER SOFTWAF E INC /CA/	CA						X	X				02/21/00	
IPALCO ENTERPRISES INC	IN		X									02/22/00	
ISA INTERNATIONALE INC	DE					X		X				02/16/00	
KIMBELL DECAR CORP	CO							X				02/22/00	
LANGUAGEWARE NET CO LTD						X		X				02/14/00	
LANVISION SYSTEMS INC	DE						X	X				02/22/00	
LEARN2 COM INC	DE						X	X				11/09/99	AMEND
LJL BIOSYSTEMS INC	DE						X	X				02/07/00	
MELLON BANK CREDIT CARD MASTER TRUS T							X	X				07/09/99	
MELLON BANK CREDIT CARD MASTER TRUS T							X	X				08/09/99	
MELLON BANK CREDIT CARD MASTER TRUS T							X	X				09/09/99	
MELLON BANK CREDIT CARD MASTER TRUS T							X	X				10/11/99	
MELLON BANK CREDIT CARD MASTER TRUS T							X	X				11/10/99	
MELLON BANK CREDIT CARD MASTER TRUS T							X	X				12/11/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
MELLON BANK CREDIT CARD MASTER TRUS T						X	X					01/11/00	
MELLON RESIDENTIAL FUNDING CORP	DE					X	X					02/22/00	
MESA LABORATORIES INC /CO	CO									X		12/07/99	
METRETEK TECHNOLOGIES INC	DE	X				X	X					02/04/00	
MICROLOG CORP	VA					X	X					02/16/00	
NBT BANCORP INC	DE					X	X					02/17/00	
NET PERCEPTIONS INC	DE		X						X			02/14/00	
NETRIX CORP	DE					X	X					02/22/00	
NEXTEL COMMUNICATIONS INC	DE					X	X					02/22/00	
NEXTEL PARTNERS INC						X	X					02/22/00	
NISSAN AUTO RECEIVABLES CORP /DE	DE	X										12/18/99	
NISSAN AUTO RECEIVABLES CORP /DE	DE	X										12/18/99	
NOBLE ROMANS INC	IN					X	X					02/08/00	
NORTH VALLEY BANCORP	CA					X	X					02/22/00	
NORWEST INTEGRATED STRUCTURED ASSET S INC	DE					X	X					02/22/00	
NUWAVE TECHNOLOGIES INC	DE					X	X					02/09/00	
OLD KENT FINANCIAL CORP /MI/	MI					X	X					02/17/00	
ON POINT TECHNOLOGY SYSTEMS INC	NE					X						02/18/00	
ONEOK INC /NEW/	OK					X	X					02/22/00	
ONHEALTH NETWORK CO	WA					X						02/15/00	
PFIZER INC	DE								X			02/22/00	
PHOTRONICS INC	CT					X						02/22/00	
PIEDMONT NATURAL GAS CO INC	NC					X	X					02/16/00	
PROVIDENTIAL SECURITIES INC /NV/	NV	X										02/18/00	
RENAISSANCE MEDIA CAPITAL CORP	DE					X	X					02/10/00	AMEND
RENAISSANCE MEDIA GROUP LLC	DE					X	X					02/10/00	AMEND
RENAISSANCE MEDIA LOUISIANA LLC	DE					X	X					02/10/00	AMEND
RENAISSANCE MEDIA TENNESSEE LLC	DE					X	X					02/10/00	AMEND
RENTECH INC /CO/	CO					X						02/14/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X						02/22/00	
SALIENT CYBERTECH INC	DE	X										03/31/00	
SBC COMMUNICATIONS INC	DE					X	X					02/22/00	
SCHWAB CHARLES CORP	DE					X	X					02/22/00	
SEMPRA ENERGY	CA					X	X					02/17/00	
SEPRACOR INC /DE/	DE					X						02/08/00	
SHAMAN PHARMACEUTICALS INC	DE					X						02/15/00	
SOUTH JERSEY FINANCIAL CORP INC	DE					X	X					02/16/00	
SOUTHBANC SHARES INC	DE					X	X					12/14/00	
SOUTHWEST GAS CORP	CA					X	X					02/22/00	
SPLITROCK SERVICES INC	DE					X	X					02/11/00	AMEND
SPORTSLINE USA INC	DE								X			12/07/99	AMEND
SPS TECHNOLOGIES INC	PA	X										02/03/00	
STERLING COMMERCE INC	DE					X	X					02/22/00	
SYNAGRO TECHNOLOGIES INC	DE	X							X			02/22/00	
TAL WIRELESS NETWORKS INC	DE		X						X			01/31/00	
TANDYCRAFTS INC	DE					X	X					02/22/00	
TERRA NOVA BERMUDA HOLDING LTD						X	X					02/18/00	
TEXAS BIOTECHNOLOGY CORP /DE/	DE					X						02/22/00	
THERMATRIX INC	DE						X	X				12/20/99	
TRANSFINANCIAL HOLDINGS INC	DE					X						02/18/00	
TRAVEL SERVICES INTERNATIONAL INC	FL					X	X					02/22/00	
TRUSTCO BANK CORP N Y	NY					X						02/22/00	
U S TRUCKING INC	CO					X	X					02/07/00	
U S TRUST CORP /NY	NY					X						02/22/00	
UGLY DUCKLING CORP	DE					X						02/22/00	
VENTRO CORP	DE					X						02/17/00	
VERTICALNET INC	PA					X	X					02/22/00	



NAME OF ISSUER	STATE	8K ITEM NO									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
VINTAGE PETROLEUM INC	DE				X	X					02/17/00	
VIZACOM INC	DE	X			X	X					02/15/00	
VOLKSWAGEN CREDIT AUTO RECEIVABLES CORP	DE				X	X					02/15/00	
WARNER LAMBERT CO	DE						X				02/22/00	
WASHINGTON REAL ESTATE INVESTMENT T RUST	MD				X	X					02/22/00	
WESTERN GAS RESOURCES INC	DE	X			X						02/04/00	
WHITEHALL JEWELLERS INC	DE				X	X					02/22/00	
WOMEN COM NETWORKS INC	DE	X					X				12/23/99	AMEND
WORLD ACCESS INC /NEW/	DE	X					X				12/07/99	AMEND
3 D SYSTEMS CORP	DE						X				09/09/99	
9278 COMMUNICATIONS INC	NV	X	X		X	X					12/10/99	AMEND