

# SEC NEWS DIGEST

Issue 2000-12

January 19, 2000

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## ENFORCEMENT PROCEEDINGS

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### SEC CHARGES HEDGE FUND AND ITS ADVISER WITH FRAUD

On January 18, the Commission filed an emergency enforcement action charging Michael W. Berger, a hedge fund adviser, with securities fraud. Also charged were Manhattan Investment Fund Ltd., a hedge fund organized and managed by Berger, and Manhattan Capital Management Inc., an investment adviser owned by Berger. Berger is an Austrian citizen who lives in New York City, where Manhattan Capital is located. Manhattan Investment Fund is a British Virgin Islands corporation. The fund has approximately 280 investors.

Also on January 18, the Honorable Denise L. Cote, United States District Judge, entered an order freezing the assets of Manhattan Investment Fund and Manhattan Capital Management. The judge's order also included a temporary restraining order barring further violations against Manhattan Investment Fund and preliminary injunctions against Berger and Manhattan Capital Management. Currently pending before the judge is the Commission's request for appointment of a receiver for Manhattan Investment Fund Ltd. and for Manhattan Capital Management. Berger and Manhattan Capital consented to the relief imposed.

The Commission charged that beginning in September 1996, Manhattan Investment Fund began to sustain market losses that ultimately totaled more than \$300 million. At the same time the fund was sustaining these huge losses, Berger was reporting to investors that the fund had returns of between 12 and 27 percent annually. By August 1999, Berger told investors that Manhattan Investment Fund had a net market value of more than \$426 million in assets. In fact, the fund was never that large, and by August, its net value had been reduced to less than \$28 million.

The complaint alleges that Berger organized Manhattan Investment Fund in April 1996. Since then, he has raised more than \$350 million from investors. Berger's investment strategy for the fund was based on the proposition that the stock market generally, and stocks of internet related companies particularly, were overvalued, and that there would be a market correction in which the prices of many internet related stocks would decline sharply. Berger sold these securities short, in order to profit from the anticipated decline. However, because the prices of most internet related

stocks have instead increased dramatically, the Manhattan Investment Fund has consistently suffered losses. Those losses now total in excess of \$300 million.

To hide the fund's losses from investors, beginning in September 1996, Berger created phony account statements that materially overstated the performance and value of Manhattan Investment Fund. The information contained in the false account statements was provided to investors in the fund, and was shared with potential investors. The false account statements were provided to the fund's administrator and to the fund's auditors. Earlier this month, both the administrator and the auditors resigned.

The complaint charges Berger, Manhattan Investment Fund and Manhattan Capital with violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5. Berger and Manhattan Capital are also charged with violations of Section 206(1) and (2) of the Investment Advisers Act.

In addition to the relief already ordered by the Court, the Commission seeks permanent injunctions barring future violations; disgorgement and prejudgment interest; and civil penalties. The Commission's investigation into these matters is continuing. [SEC v. Michael W. Berger, Manhattan Investment Fund Ltd., and Manhattan Capital Management, Inc., Civ. Action No. 00 Civ. 0333, DLC, Southern District of New York, January 18, 1999] (LR-16412)

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGE

The American Stock Exchange has filed a proposed rule change (SR-AMEX-99-21) under Rule 19b-4 of the Exchange Act to amend Section 106 of the Amex Company Guide. Publication of the proposal is expected in the Federal Register during the week of January 24. (Rel. 34-42342)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W.,

Washington, D.C. 20549 or at the following e-mail box address:  
<publicinfo@sec>. In most cases, this information is also available  
on the Commission's website: <www.sec.gov>.

- F-3 ID BIOMEDICAL CORP, 1177 W HASTINGS ST #707, VANCOUVER BC CANADA, A1 -  
24,473 (\$65,832.37) FOREIGN COMMON STOCK (FILE 333-11312 - JAN 04)  
(BR 1)
- S-B REPUBLIC OF TURKEY, 821 UNITED NATIONS PLZ, NEW YORK, NY 10017 -  
5,000,000,000 (\$4,850,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT (FILE  
333-11314 - JAN 06) (BR 99)
- S-8 NORTEL NETWORKS CORP, 8200 DIXIE ROAD, SUITE 100, BRAMPTON ONT CANA, A6  
00000 (905) 863-1103 - 94,859,020 (\$6,273,485,150 62) FOREIGN COMMON STOCK  
(FILE 333-11342 - DEC 21) (BR 7)
- S-4 EMUSIC COM INC, 1991 BROADWAY, 2ND FLOOR, REDWOOD CITY, CA 94063  
(650) 216-0200 - 9,097,952 (\$14,383,164) COMMON STOCK (FILE 333-94473 -  
JAN 12) (BR 2)
- S-8 EMUSIC COM INC, 1991 BROADWAY, 2ND FLOOR, REDWOOD CITY, CA 94063  
(650) 216-0200 - 290,538 (\$1,517,799 56) COMMON STOCK (FILE 333-94475 -  
JAN 12) (BR 2)
- SB-2 INTEGCOM CORP, 280 MIDLAND AVE, SADDLE BROOK, NJ 07662 201 794-6500 -  
3,450,000 (\$19,952,500) COMMON STOCK 100,000 (\$100)  
WARRANTS, OPTIONS OR RIGHTS 100,000 (\$900,000) COMMON STOCK (FILE  
333-94477 - JAN 12) (BR 9 - NEW ISSUE)
- S-8 CMGI INC, 100 BRICKSTONE SQUARE, STE B110, ANDOVER, MA 01810  
(978) 684-3600 - 1,972,488 (\$255,772,519) COMMON STOCK (FILE 333-94479 -  
JAN 12) (BR 2)
- S-3 TRANS GLOBAL SERVICES INC, 1393 VETERANS MEMORIAL HWY, SUITE 307,  
HAUPPAUGE, NY 11788 (516) 724-0006 - 379,994 (\$213,747) COMMON STOCK  
(FILE 333-94485 - JAN 12) (BR 2)
- S-3 MONY GROUP INC, 1740 BROADWAY, NEW YORK, NY 10019 - 1,000,000,000  
(\$1,000,000,000) STRAIGHT BONDS (FILE 333-94487 - JAN 12) (BR 1)
- S-8 MATEC CORP/DE/, 75 SOUTH ST, HOPKINTON, MA 01748 (508) 435-9039 -  
100,000 (\$587,500) COMMON STOCK (FILE 333-94491 - JAN 12) (BR 6)
- SB-2 INNOFONE COM INC, 241 APPLEWOOD CRESCENT, SUITE 4, VAUGHAN ONTARIO CAN,  
A6 (416) 207-0046 - 2,360,750 (\$944,300) COMMON STOCK (FILE 333-94497 -  
JAN 12) (NEW ISSUE)
- S-3 MICROSOFT CORP, ONE MICROSOFT WAY #BLDG 8, NORTH OFFICE 2211, REDMOND,  
WA 98052 (425) 882-8080 - 163,130 (\$17,908,615 31) COMMON STOCK (FILE  
333-94499 - JAN 12) (BR 3)
- F-1 DELANO TECHNOLOGY CORP, 40 WILMOT STREET,  
RICHMOND HILL ONTARIO CANADA L4B 1H5, ONTARIO CANADA, (905) 764-5499 -  
5,750,000 (\$63,250,000) FOREIGN COMMON STOCK (FILE 333-94505 - JAN 12)  
(NEW ISSUE)
- SB-2 CLEARWORKS NET INC, 2450 FONDREN, SUITE 200, HOUSTON, TX 77063  
(713) 334-2595 - 4,183,334 (\$7,481,000) COMMON STOCK (FILE 333-94507 -  
JAN 12) (BR 9)
- S-3 ACTIVISION INC /NY, 3100 OCEAN PARK BLVD, STE 1000, SANTA MONICA, CA  
90405 (310) 255-2000 - 698,835 (\$11,028,490) COMMON STOCK (FILE  
333-94509 - JAN 12) (BR 3)
- S-3 INTERCEPT GROUP INC, 3150 HOLCOMB BRIDGE ROAD SUITE 200, NORCROSS, GA  
30071 (770) 248-9600 - 3,450,000 (\$78,056,250) COMMON STOCK (FILE  
333-94511 - JAN 12) (BR 7)
- S-3 GENERAL SEMICONDUCTOR INC, 10 MELVILLE PARK ROAD, SUITE 1300, MELVILLE,  
NY 11747 (516) 847-3000 - 172,500,000 (\$172,500,000)  
CONVERTIBLE DEBENTURES AND NOTES (FILE 333-94513 - JAN 12) (BR 3)

S-4 INET TECHNOLOGY GROUP INC, 326 GREEN ACRES ROAD SUITE A,  
FT WALTON BEACH, FL 32547 (850) 862-1668 - 2,000,000 (\$25,000,000)  
COMMON STOCK (FILE 333-94515 - JAN 12) (NEW ISSUE)

S-3 VENTURI TECHNOLOGIES INC, 763 NORTH 530 EAST, OREM, UT 84097  
(801) 235-9552 - 10,761,322 (\$26,903,306) COMMON STOCK (FILE 333-94517 -  
JAN 12) (BR 9)

S-8 MANNATECH INC, 600 SOUTH ROYAL LANE, SUITE 200, COPPELL, TX 75019  
(972) 471-7400 - 1,333,152 (\$6,999,048) COMMON STOCK (FILE 333-94519 -  
JAN 12) (BR 1)

S-4 IASIS HEALTHCARE CORP, 113 SEABOARD LANE, SUITE A-200, FRANKLIN, TN  
37067 615) 844-2747 - 230,000,000 (\$230,000,000) STRAIGHT BONDS (FILE  
333-94521 - JAN 12)

S-3 DVI RECEIVABLES CORP VIII, 500 HYDE PARK, LOYLESTOWN, PA 16901  
215) 345-6600 - 1 125,000,000 (\$1,125,000,000)  
EQUIPMENT TRUST CERTIFICATES (FILE 333-94523 - JAN 12) (BR 8)

S-8 CONNECTICUT WATER SERVICE INC / CT, 93 W MAIN ST, CLINTON, CT 06413  
(860) 669-8630 - 250,000 (\$7,718,750) COMMON STOCK (FILE 333-94525 -  
JAN 12) (BR 2)

S-1 CELEBRATEEXPRESS COM INC, 11220 120TH AVENUE NORTHEAST, KIRKLAND, WA  
98033 (426) 250-1057 - \$40,000,000 COMMON STOCK (FILE 333-94527 -  
JAN 12) (NEW ISSUE)

S 1 ADVANCED VIRAL RESEARCH CORP, 1250 E HALLANDALE BEACH BLVD, STE 501,  
HALLANDALE, FL 33009 (954) 458-7636 - 33,104,737 (\$6,249,578) COMMON STOCK  
(FILE 333-94529 - JAN 12) (BR 1)

S-3 ADVANTAGE LEARNING SYSTEMS INC, 2911 PEACH STREET, PO BOX 8036,  
WISCONSIN RAPIDS, WI 54495 (715) 424-3636 - 35,705 (\$485,364 84)  
COMMON STOCK (FILE 333-94533 - JAN 12) (BR 3)

S-3 ELEC COMMUNICATIONS CORP, 37 NORTH STREET, NORWALK, CT 06851  
(203) 750-1000 - 3,287,759 (\$12,740,066) COMMON STOCK (FILE 333-94535 -  
JAN 12) (BR 7)

S-4 YAHOO INC, 3420 CENTRAL EXPRESSWAY, SUITE 201, SANTA CLARA, CA 95051  
(408) 731-3300 - 1,945,525 (\$749,999,887 50) COMMON STOCK (FILE  
333-94537 - JAN 12) (BR 3)

S-1 CARRIER1 INTERNATIONAL S A, CARRIER1 INTL MILITARSTRASSE 36,  
CH-8004 ZURICH SWITZERLAND, (011) 411-2972 - \$200,000,000 COMMON STOCK  
(FILE 333-94541 - JAN 12) (BR 7)

S-8 TRANSOCEAN SEDCO FOREX INC, 4 GREENWAY PLAZA, HOUSTON, TX 77046  
(713) 871-7500 - 7,000,000 (\$210,420,000) FOREIGN COMMON STOCK (FILE  
333-94543 - JAN 12) (BR 4)

S-8 AUSPEX SYSTEMS INC, 2300 CENTRAL EXPRESSWAY, SANTA CLARA, CA 95050  
(408) 566-2000 - 3,050,000 (\$22,115,900) COMMON STOCK (FILE 333-94545 -  
JAN 12) (BR 3)

S-8 MOTOROLA INC, 1303 E ALGONQUIN RD, SCHAUMBURG, IL 60196 (847) 576-5000  
- 2,000,000 (\$251,000,000) COMMON STOCK (FILE 333-94547 - JAN 12)  
(BR 7)

S-1 BLAZE SOFTWARE INC, 1310 VILLA ST, MOUNTAIN VIEW, CA 94041  
(650) 528-3450 - \$44,850,000 COMMON STOCK (FILE 333-94549 - JAN 12)  
(NEW ISSUE)

S-8 TRANSOCEAN SEDCO FOREX INC, 4 GREENWAY PLAZA, HOUSTON, TX 77046  
(713) 871-7500 - 500,000 (\$15,030,000) COMMON STOCK (FILE 333-94551 -  
JAN 12) (BR 4)

S-8 INTERDIGITAL COMMUNICATIONS CORP, 781 THIRD AVE, KING OF PRUSSIA, PA  
19406 (610) 278-7800 - 1,500,000 (\$54,921,815.53) COMMON STOCK (FILE  
333-94553 - JAN 12) (BR 4)

S-3 GENE LOGIC INC, 708 QUINCE ORCHARD, ROAD, GAITHERSBURG, MD 20878  
 (301) 987-1700 - 4,025,000 (\$113,706,250) COMMON STOCK (FILE 333-94559 -  
 JAN 13) (BR 1)

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RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail to: address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ADC TELECOMMUNICATIONS INC	MN				X	X					01/13/00	
AIRCRAFT FINANCE TRUST	DE				X						01/18/00	
ALCOA INC	PA				X						01/18/00	
ALEXION PHARMACEUTICALS INC	DE				X	X					01/16/00	
AMERICAN BINGO & GAMING CORP	DE				X		X				01/13/00	
AMERICAN EXPRESS CENTURION BANK	UT				X	X					01/18/00	
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE				X	X					01/18/00	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE				X	X					01/18/00	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE				X	X					01/18/00	
AMERICAN PAD & PAPER CO	DE				X						01/18/00	
AMERICAN SAFETY INSURANCE GROUP LTD			X								01/13/00	
AMERIVEST PROPERTIES INC	MD				X	X					01/01/00	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X					01/07/00	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X					01/07/00	
ARCADIA RECEIVABLES FINANCE CORP	DE				X	X					01/07/00	
ARIAD PHARMACEUTICALS INC	DE		X		X	X					12/31/99	
ARIAD PHARMACEUTICALS INC	DE				X	X					01/14/00	
ASA INTERNATIONAL LTD	DE					X					01/18/00	AMEND
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-12	DE				X	X					11/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-3	DE				X	X					11/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-4	DE				X	X					11/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-4	DE				X	X					11/25/99	
BAMS MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 1999-5	DE				X	X					11/25/99	
BANC ONE CREDIT CARD MASTER TRUST	NY				X	X					01/18/00	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BANK OF AMERICA 1999-10						X	X				11/25/99	
BANK OF AMERICA 1999-11						X	X				11/25/99	
BANK OF NEW YORK CO INC	NY					X	X				12/31/99	
BEA SYSTEMS INC	DE		X				X				11/19/99	AMEND
BOSTON CHICKEN INC	DE					X	X				01/13/00	
BOSTON LIFE SCIENCES INC /DE	DE					X	X				01/11/00	
BSQUARE COPP /WA	WA		X				X				01/05/00	
C COR NET CORP	PA					X	X				01/12/00	
CAPITAL ONE FINANCIAL CORP	DE					X	X				01/18/00	
CARMAX AUTO RECEIVABLES LLC	VA					X	X				01/18/00	
CAROLINA FIRST BANCSHARES INC	NC					X	X				01/18/00	
CC MASTER CREDIT CARD TRUST	MD						X				01/18/00	
CC MASTER CREDIT CARD TRUST II	MD						X				01/18/00	
CHARTER COMMUNICATIONS HOLDINGS CAP ITAL CORP	DE		X			X	X				01/01/00	
CHARTER COMMUNICATIONS HOLDINGS LLC	DE		X			X	X				01/01/00	
CHAPTER COMMUNICATIONS INC /MO/	DE					X	X				01/06/00	
CHASE MORTGAGE FINANCE CORP	DE					X	X				01/18/00	
CHEVRON CORP	DE						X				01/18/00	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE					X	X				01/18/00	
COASTAL CORP	DE					X	X				01/18/00	
COMPREHENSIVE CARE CORP	DE					X					01/18/00	
COMPX INTERNATIONAL INC	DE					X	X				01/18/00	
CONTINENTAL AIRLINES INC /DE/	DE		X								01/18/00	
CORNERSTONE REALTY INCOME TRUST INC	VA					X					01/18/00	
CORT BUSINESS SERVICES CORP	DE					X	X				01/14/00	
CUMULUS MPDIA INC	IL					X	X				01/10/00	
CWMBS INC MORT PASS THR CERT SER 19 99-5						X	X				11/25/99	
CWMBS INC MORT PASS THR CERT SER 19 99-5						X	X				12/25/99	
CWMBS INC MORT PASS THR CERT SER 19 99-5						X	X				11/25/99	
CWMBS INC RASTA 1999-A6 1999-F						X	X				11/25/99	
CWMBS INC RASTA 1999-A8 1999-H						X	X				11/25/99	
CYPRESS SEMICONDUCTOR CORP /DE/	DE					X	X				01/10/00	
DEERE JOHN RECEIVABLES INC	DE					X	X				01/18/00	
DISCOVER CARD MASTER TRUST I	DE					X	X				01/18/00	
DISCOVER CARD TRUST 1993-B	DE					X	X				12/31/99	
ECONNECT	NV		X								12/03/99	
EL PASO ENERGY CORP/DE	DE					X					01/18/00	
ELAST TECHNOLOGIES INC	NV					X	X				12/30/99	
ELECTRIC FUEL CORP	DE					X	X				01/12/00	
ENGINEERING MEASUREMENTS CO	CO		X								01/31/00	
ENVIRONMENTAL REMEDIATION HOLDING C ORF	CO					X					01/12/00	
EXCO RESOURCES INC	TX		X			X					12/31/99	
FINANTRA CAPITAL INC	DE					X	X				11/05/99	AMEND
FINET COM INC	DE					X	X				01/19/00	
FINGERHUT MASTER TRUST	DE						X				01/18/00	
FINGERHUT RECEIVABLES INC	DE						X				01/18/00	
FIRST AMERICAN FINANCIAL CORP	CA					X	X				01/18/00	
FIRST CHARTER CORP /NC/	NC					X	X				01/18/00	
FIRST CHICAGO MASTER TRUST II	DE					X	X				01/18/00	
FIRST NBC CREDIT CARD MASTER TRUST							X				01/12/00	
FIRST SIERRA RECEIVABLES II INC	DE		X				X				01/01/00	
FIRST SIERRA RECEIVABLES III INC	DE		X				X				01/01/00	
FIRST USA BANK NATIONAL ASSOCIATION						X	X				01/18/00	
FIRST USA CREDIT CARD MASTER TRUST	DE					X	X				01/10/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FLEET CREDIT CARD MASTER TRUST II	NY		X								01/15/99	
FNANB CREDIT CARD MASTER TRUST					X	X					01/18/00	
FORLINK SOFTWARE CORP INC	NV	X				X					11/03/99	AMEND
FRIEDMAN BILLINGS RAMSEY GROUP INC	VA	X									01/13/00	
GOLDEN SKY DBS INC	DE				X	X					01/10/00	
GOLDEN SKY SYSTEMS INC	DE				X	X					01/10/00	
GREAT LAKES AVIATION LTD	IA				X	X					01/11/00	
GREYSTONE DIGITAL TLCHNOLOGY INC	DE	X	X		X	X					01/03/00	
HEALTHGRADES COM INC	DE		X			X					12/31/99	
HOMESTAKE MINING CO /DE/	DE				X	X					01/18/00	
HONDA AUTO LEASE TRUST 1999A	DE				X	X					12/31/99	
HUDSONS GRILL OF AMERICA INC	CA		X								01/17/00	
IMPAC GROUP INC /DE/	DE		X			X					11/02/99	AMEND
INDYMAC ABS INC HOME EQUITY LN AST	DE				X	X					11/25/99	
BK CERT SE SPMD 1999-A												
INFORMIX CORP	DE				X	X					01/11/00	
INTERNATIONAL BRANDS INC	NV	X	Y			X	Y				01/18/00	AMEND
INTERNET ADVISORY CORP	UT	X	X								12/30/99	AMEND
INTERNET HOLDINGS INC	UT				Y						01/10/00	
INTERNET STOCK MARKET RESOURCES INC	DE				X						05/04/99	
IPARTY CORP					X		X				12/31/99	
JDS UNIPHASE CORP /CA/	DE				X	X					01/18/00	
KANSAS CITY SOUTHERN INDUSTRIES INC	DE				X	X					01/10/00	
KANSAS CITY SOUTHERN INDUSTRIES INC	DE				X	X					01/10/00	
KENTUCKY INVESTORS INC	KY		X			Y					12/31/99	
KEYSTONE FINANCIAL INC	PA				X						01/18/00	
LAHAINA ACQUISITIONS INC	CO		X			X					01/18/00	
LAKOTA TECHNOLOGIES INC	NV	X	X		X	X					01/18/00	
LAKOTA TECHNOLOGIES INC/CO	CO	X	X		X	X					01/18/00	
LIBERTY GROUP OPERATING INC	DE		X			X					01/18/00	
LIGHTPATH TECHNOLOGIES INC	DE				X	X					01/07/00	
LONE STAR TECHNOLOGIES INC	DE		X			X					01/03/00	
LTC HEALTHCARE INC	NV				X	X					10/01/99	AMEND
MAS ACQUISITION XII CORP			X								11/18/99	
MCLEODUSA INC	DE				X	X					01/06/00	
MEDICAL TECHNOLOGY SYSTEMS INC /DE/	DE		X			X					03/31/00	
MEDIMMUNE INC /DE	DE				Y						01/14/00	AMEND
MEDIX RESOURCES INC	CO				Y						01/14/00	
METRIS MASTER TRUST	DE					X					01/18/00	
METRIS RECEIVABLES INC	DE					Y					01/18/00	
MIDLAND COGENERATION VENTURE LIMITE	MI				X						01/05/00	
D PARTNERSHIP												
MORGAN J P & CO INC	DE				X	X					01/18/00	
MORGAN J P COMMERCIAL MORTGAGE FINA	DE				X	X					01/13/00	
NCE CORP												
MORTON INDUSTRIAL GROUP INC	GA		X			X					12/31/99	
NATIONAL CITY CORP	DE				X	X					01/14/00	
NORTHERN TRUST CORP	DE				X	X					01/18/00	
NORTHWEST AIRLINES INC /MN	MN					X					12/09/99	
ON TECHNOLOGY CORP	DE				X						01/06/00	
OPTA FOOD INGREDIENTS INC /DE	DE		X			X					12/31/99	
PEOPLES BANCORP INC	OH		X								01/18/00	
PFIZER INC	DE				X	X					01/18/00	
PNC MORT ACCEPT CORP COMMERC MORT P	MO				X	X					01/10/00	
ASS THR CERT SER 1999 CM1												
PREMIER RESEARCH WORLDWIDE LTD	DE		X			X					12/31/99	
PROTECTION ONE ALARM MONITORING INC	DE				X	X					01/18/00	
PROTECTION ONE INC	DE				X	X					01/18/00	
PRUDENTIAL SECURITIES SECURED FINAN	DE		X			X					01/01/00	
CING CORP												
PSINET INC	NY				X	X					01/16/00	

NAME OF ISSUER	STATE	BK ITEM NO									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
PYF ENERGY CORP	DE				X	X					01/18/00	
RARE MEDIUM GROUP INC	DE				X	X					01/18/00	
RASTA 1999-A7 1999-G					X	X					11/25/99	
REYNOLDS METALS CO	DE				X	X					12/30/99	
RISK CAPITAL HOLDINGS INC	DE				X	X					01/17/00	
ROLLINS TRUCK LEASING CORP	DE		X								12/17/99	
SAKS CREDIT CARD MASTER TRUST	NV				X	Y					01/18/00	
SALOMON SMITH BARNEY HOLDINGS INC	DE				X						01/18/00	
SEABOARD CORP /DE/	DE	X				X					01/03/00	
SEARS CREDIT ACCOUNT MASTER TRUST I	IL				X	X					01/18/00	
I												
SENESCO TECHNOLOGIES INC	DE				X						01/10/00	
SHANEY INC	DE				X		X				12/20/99	
SILVERTHORNE PRODUCTION CO	CO	X				X					12/27/99	AMEND
SITI-SITES COM INC	DE	X			X	X					12/14/99	
SMITH CHARLES E RESIDENTIAL REALTY	MD					X					09/08/99	AMEND
INC												
SMITH CHARLES E RESIDENTIAL REALTY	DE					X					09/08/99	AMEND
LP												
SOFTLOCK COM INC	DE				X	X					01/10/00	
SONIC AUTOMOTIVE INC	DE					X					11/04/99	AMEND
SOUTHERN FOODS GROUP L P	DE	X			X	X					01/01/00	
SOUTHWEST ROYALTIES HOLDINGS INC	DE	X									01/18/00	
SOUTHWEST ROYALTIES INC	DE	X									01/18/00	
SPARTA SURGICAL CORP	DE				X						01/18/00	
SPATIALIZER AUDIO LABORATORIES INC	DE				X						12/27/99	
SPLITROCK SERVICES INC	DE				X						01/06/00	
STUDENT LOAN FUNDING LLC	DE				X	X					01/03/00	
SUBMICRON SYSTEMS CORP	DE				X	X					12/28/99	
SUNQUEST HOLDINGS INC	NV	X			X						01/14/98	
SUNQUEST HOLDINGS INC	NV	X	X	X			X				11/26/99	
SUNQUEST HOLDINGS INC	NV	X	X	X	X	X	X	X			01/08/00	
SYSTEMSOFT CORP	DE				X	X					01/07/00	
TELEPAD CORP	DE	X									12/30/99	
TELIGENT INC	DE	X				X					01/18/00	
TELOS CORP	MD	X				X					01/18/00	
TITAN CORP	DE					X					01/05/00	AMEND
TOUCHTUNES MUSIC CORP	NV	X									01/01/00	
TRANSMONTAIGNE INC	DE	X				X					12/31/99	
TRUSTCO BANK CORP N Y	NY				X						01/18/00	
TYLER TECHNOLOGIES INC	DE					X					11/04/99	AMEND
UACSC AUTO TRUSTS UACSC 1999-C OWNE	DE				X	X					01/10/00	
R TRUST AUTO REC BAC NOTE												
UACSC AUTO TRUSTS UACSC 1999-D OWNE	DE				X	X					01/10/00	
R TRUST AUTO REC BAC NOTE												
UNITED DOMINION INDUSTRIES LIMITED					X	X					01/18/00	
VENUS EXPLORATION INC	DE	X			X	X					12/31/99	
VIANET TECHNOLOGIES INC	NV	X				X					12/31/99	
VIB CORP	CA	X				X					01/07/00	
VIXEL CORP	DE				X	X					01/04/00	
WARNACO GROUP INC /DE/	DE	X				X					12/16/99	
WASTE SYSTEMS INTERNATIONAL INC	DE	X									12/31/99	
WELLS FARGO & CO/MN	DE				X	X					01/18/00	
WILLIAM LYON HOMES	DE	X			X	X					01/18/00	AMEND
WLR FOODS INC	VA				X						01/10/00	
YONKERS FINANCIAL CORP	DE				X	X					01/14/00	
ZOLL MEDICAL CORPORATION	MA					X					10/15/99	AMEND
4FRONT TECHNOLOGIES INC	DE	X				X					11/02/99	AMEND