

# sec news digest

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## RULES AND RELATED MATTERS

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### MISCELLANEOUS AMENDMENTS RELATING TO PARTS OF TITLE 17, CHAPTER II, OF THE CODE OF FEDERAL REGULATIONS

The Commission is amending various Parts of Title 17, Chapter II, of the Code of Federal Regulations which list forms prescribed under the Acts administered by it to remove obsolete forms, eliminate duplications, and make certain editorial changes. These amendments are administrative in nature and are intended to make it easier for the reader to identify the forms currently in use and to indicate the availability of the forms. (Rel. 33-6298)

FOR FURTHER INFORMATION CONTACT: George A. Fitzsimmons at (202) 272-2600

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## CRIMINAL PROCEEDINGS

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### EDWARD M. GILBERT FOUND GUILTY

The New York Regional Office announced that on February 25 Edward M. Gilbert of New York, New York, was convicted by a federal jury after a six week trial of 34 counts of violating the antifraud and antimanipulative provisions of the securities laws in connection with the trading in the common stock of Conrac Corporation, which is listed on the New York Stock Exchange, during 1975. Gilbert was also convicted of conspiracy and with violating the beneficial ownership reporting provisions. Sentencing is scheduled for April 8.

Previously, Gilbert had consented to the entry of a Final Judgment of Permanent Injunction in a related action, SEC v. Gilbert, et al., 76 Civil 366 (MEL) (S.D.N.Y.). (U.S. v. Edward M. Gilbert, S.D.N.Y., 80 Cr. 493). (LR-9323)

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## INVESTMENT COMPANY ACT RELEASES

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### INA TAX-FREE RESERVE FUND, INC.

An order has been issued on an application filed by INA Tax-Free Reserve Fund, Inc. (Applicant), conditionally exempting Applicant from the provisions of Section 2(a)(41) of the Investment Company Act and Rules 2a-4 and 22c-1 thereunder, to the extent necessary to permit Applicant to value its portfolio securities using the amortized cost method of valuation. (Rel. IC-11686 - Mar. 16)

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## HOLDING COMPANY ACT RELEASES

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### MIDDLE SOUTH UTILITIES, INC.

A notice has been issued giving interested persons until April 9 to request a hearing on a proposal by Middle South Utilities, Inc., a registered holding company, to issue up to 8,000,000 shares of authorized but unissued common stock pursuant to a Dividend Reinvestment and Stock Purchase Plan (Plan). Middle South also proposes to amend the Plan to include participation in the Plan by employees of Middle South who are beneficial owners of common stock of Middle South and subsidiaries. (Rel. 35-21964 - Mar. 16)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### UNLISTED TRADING SOUGHT

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Notices have been issued giving interested persons until April 2 to comment on the applications of the following stock exchanges for unlisted trading privileges in the specified securities which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Midwest Stock Exchange - Commodore Intl. Limited, common stock (\$1 par value) and Richardson-Vicks, Inc., common stock (\$1.25 par value). (Rel. 34-17628); and Philadelphia Stock Exchange - Shearson Loeb Rhoades, Inc., common stock (\$.10 par value). (Rel. 34-17629)

### DELISTING GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike the common stock (no par value) of Wabash, Inc. from listing and registration thereon. (Rel. 34-17630)

### UNLISTED TRADING GRANTED

An order has been issued granting the application of the Midwest Stock Exchange for unlisted trading privileges in the common stock (\$.10 par value) of Seagull Pipeline Corp., which is listed and registered on one or more other national securities exchanges and is reported in the consolidated transaction reporting system. (Rel. 34-17636)

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## TRUST INDENTURE ACT RELEASES

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### PUBLIC SERVICE ELECTRIC AND GAS COMPANY

An order has been issued, effective as of May 29, 1980, under the Trust Indenture Act of 1939 on an application of Public Service Electric and Gas Company, exempting its first and refunding mortgage bonds, 12-1/8% Series M, due 2010 from the provisions of Section 316(a)(1) of the Act. (Rel. TI-616)

### GALAXY OIL COMPANY

A notice has been issued giving interested persons until April 6 to request a hearing on an application by Galaxy Oil Company, pursuant to Section 310(b)(ii) of the Trust Indenture Act of 1939, declaring that the trusteeship of Bank of the Southwest National Association, Houston, under two indentures, is not so likely to involve a material conflict of interest as to make it necessary to disqualify Bank of the Southwest National Association, Houston, from acting as trustee. (Rel. TI-617)

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## SELF-REGULATORY ORGANIZATIONS

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### NOTICE OF PROPOSED RULE CHANGES

The following have filed proposed rule changes pursuant to Rule 19b-4: The New York Stock Exchange, Inc. (SR-NYSE-81-5) to establish a regulatory framework for trading standardized options on U.S. Government securities. (Rel. 34-17631); and The American Stock Exchange, Inc. (SR-Amex-81-1) to amend its options rules to establish a program for trading standardized options on U.S. Treasury securities. (Rel. 34-17632)

Publication of the above proposals are expected to be made in the Federal Register during the week of March 16.

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; File number and date filed; Assigned Branch; and whether the registration statement is a New Issue.

- (S-18) IMAGINAMICS INC., 95 Barber Greene Rd., Suite 111, Don Mills Ontario, Canada M3C2A2 (416) 445-2251 - 700,000 units. Underwriter: S. D. Cohn & Co. The company is engaged in designing, developing, and distributing electronic adult oriented games. (File 2-71216-NY - Mar. 9) (Br. 4 - New Issue)
- (S-11) GROWTH FARM INVESTORS, 515 West Jackson St., Woodstock, IL 60098 - 10,000 units of limited partnership interest. Underwriter: A. G. Edwards & Sons, Inc. (File 2-71235 - Mar. 13) (Br. 6 - New Issue)
- (S-1) ALDEBARAN DRILLING CO., INC., 453 South Webb Rd., P.O. Box 18611, Wichita, KS 67207 (316) 685-5372 - 5,000,000 shares of common stock. Underwriter: EJPittcock & Co. Inc., 610 Guaranty Bank Bldg., 817 Seventeenth St., Denver, CO 80202 (303) 892-6110. The company intends to act as an independent drilling contractor in drilling oil and gas wells. (File 2-71237 - Mar. 13) (Br. 3 - New Issue)
- (S-15) DEPOSIT GUARANTY CORP., 210 East Capitol St., P.O. Box 730, Jackson, MS 39205 (601) 354-8564 - 238,000 shares of common stock. (File 2-71244 - Mar. 13) (Br. 1)
- (S-12) MORGAN GUARANTY TRUST COMPANY OF NEW YORK, 437 Madison Ave., New York, NY 10022 - 100,000 American Depositary Receipts for Class B shares of Novo Industri A/S. (File 2-71246 - Mar. 13) (Br. 99 - New Issue)
- (S-1) ALLEGHENY & WESTERN ENERGY CORPORATION, 240 Capitol St., Charleston, WV 25301 5,850,057 shares of common stock. The company is engaged in gas and oil exploration and development. (File 2-71251 - Mar. 13) (Br. 4 - New Issue)
- (S-6) MUNICIPAL INVESTMENT TRUST FUND, FIRST THREE YEAR SERIES, One Liberty Plaza, 165 Broadway, New York, NY 10080 - an indefinite number of units of beneficial interest. Depositors: Merrill Lynch, Pierce, Fenner & Smith Incorporated, One Liberty Plaza, 165 Broadway, New York, NY 10080, Dean Witter Reynolds Inc., Bache Halsey Stuart Shields Incorporated and Shearson Loeb Rhoades Inc. (File 2-71253 - Mar. 13) (Br. 17 - New Issue)
- (S-1) TOWNER PETROLEUM DRILLING PROGRAM-1981, 5445 Beavercrest Dr., P.O. Box 402, Lorain, OH 44052 - 10,000 units of preformation limited partnership interest. (File 2-71254 - Mar. 13) (Br. 3 - New Issue)
- (S-8) UNIVAR CORPORATION, 1600 Norton Bldg., Seattle, WA 98104 (206) 447-5911 - 50,000 shares of common stock. (File 2-71255 - Mar. 13) (Br. 7)
- (S-8) WRATHER CORPORATION, 270 North Canon Dr., Beverly Hills, CA 90210 (213) 278-8521 - 150,000 shares of common stock. (File 2-71256 - Mar. 13) (Br. 3)
- (S-14) ABC HOLDING COMPANY, 225 South Main St., Moultrie, GA 31768 (912) 985-2222 - 125,000 shares of common stock. (File 2-71257 - Mar. 13) (Br. 2 - New Issue)
- (S-8) THE PACIFIC LUMBER COMPANY, 1111 Columbus Ave., San Francisco, CA 94133 (415) 771-4700 - 1,000,000 shares of capital stock. (File 2-71258 - Mar. 16) (Br. 9)
- (S-16) NORTHERN STATES POWER COMPANY, 414 Nicollet Mall, Minneapolis, MN 55401 (612) 330-5500 - \$75 million of first mortgage bonds, due April 1, 2011. The company is engaged in the generation, transmission, and distribution of electricity. (File 2-71259 - Mar. 16) (Br. 8)
- (S-16) AIRBORNE FREIGHT CORPORATION, 190 Queen Anne Avenue N., P.O. Box 662, Seattle, WA 98111 (206) 285-4600 - 372,524 shares of common stock. The company is engaged in the domestic and international air freight and air express business. (File 2-71260 - Mar. 16) (Br. 4)
- (S-14) NEW ENGLAND MERCHANTS COMPANY, INC., 28 State St., Boston, MA 02109 (617) 742-4000 - 1,179,052 shares of common stock. (File 2-71261 - Mar. 16) (Br. 1)

- (S-16) AMERICAN TELEPHONE AND TELEGRAPH COMPANY, 195 Broadway, New York, NY 10007  
(212) 393-9800 - 24,000,000 common shares. (File 2-71263 - Mar. 16) (Br. 7)
- (S-1) AEL INDUSTRIES, INC., 306 Richardson Rd., Montgomeryville, PA 18936 - 750,000 shares of Class A common stock. Underwriters: Laidlaw Adams & Peck Inc. and E.W. Smith Co. The company is engaged in the electronic defense products and services, and the cable television systems management and operation businesses. (File 2-71264 - Mar. 16) (Br. 8)
- (S-16) THE MONTANA POWER COMPANY, 40 East Broadway, Butte, MT 59701 (406) 723-5421 - 1,500,000 shares of common stock. Underwriters: Kidder, Peabody & Co. Incorporated, Bache Halsey Stuart Shields Incorporated and Dean Witter Reynolds Inc. The company is engaged in the generation, purchase, transmission and distribution of electricity. (File 2-71265 - Mar. 16) (Br. 8)

#### REGISTRATIONS EFFECTIVE

Jan. 21: The Travelers Corp., 2-70457.  
 Mar. 10: Atours, Inc., 2-70418-A; Burton-Hawks, Inc., 2-70877.  
 Mar. 11: Biotech Research Laboratories, Inc., 2-70509-W; National Royalty Corp., 2-70263.  
 Mar. 12: American Medical International, Inc., 2-70892; Anglo Energy Limited, 2-71078; Aurora Bancshares Corp., 2-70975; Benedict Nuclear Pharmaceuticals, Inc., 2-69982; Delta Drilling Co., 2-70584; Emery Energy, Inc., 2-70353; First & Merchants Corp., 2-70836; Gelco Corp., 2-71050; Industrial Financial Corp., 2-70319; Reading & Bates Corp., 2-71097; Streator Bancorp., Inc., 2-70974; Technical Equipment Leasing Corp., 2-70436; Valley Industries, Inc., 2-70900.  
 Mar. 13: Alaska Interstate Co., 2-71053; Cooper Industries, Inc., 2-71223; S.A. Manganese Amcor, 2-71194; Santa Monica Bank Voting Trust, 2-70480; Trans-Natal Coal, 2-71195

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within forty-five days after the end of the calendar year in which the person became subject to Section 13(d)(1). The following companies or individuals filed Schedule 13D's during the period February 12 - 17, 1981. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner. \*Amended Acquisition Reports.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER &amp; NUMBER OF SHARES</u>	<u>DATE FILED</u>
* Eastover Corporation Citizens Growth Properties Bridget B. Barid, Trustee W. Lincoln Mossop, Jr., Individually and as Trustee	American Realty Trust (Arlington, VA) Shares of Beneficial Interest - 329,459 (14.83%)	2-12-81
* Orton/McCullough Crane Company, Inc.	Athey Products Corp. (Raleigh, NC) Common Stock - 194,710 shs. (30.85%)	2-12-81
* Victor L. Sayyah Sayyah Corporation HCA, Inc. Inter-Region Associates Corporation, et al	Bankers Union Life Insurance Co. (Englewood, CO) Common Stock - 263,598 shs. (78.3%)	2-12-81
Auto Vehicle Parts Co.	CIP Corporation (Cincinnati, OH) Common Stock - 100,101 shs. (15%)	2-12-81
Patrick W. Hopper	Cotton States Life & Health Insurance Co. (Atlanta, GA) Common Stock - 83,000 shs.	2-12-81

ACQUISITION REPORTS CONT.

* Dreyfus Corporation Employee Stock Ownership Plan	Dreyfus Corporation (NYC) Common Stock - 175,267 shs. (10.19%)	2-12-81
James Rufus Buell	Glassrock Medical Services Corp. (Atlanta, GA) Common Stock - 291,500 shs.	2-12-81
John B. Callahan	Heywood-Wakefield Company (Menominee, MI) Common Stock - 15,148 shs. (28.7%) Common Stock - 10,000 shs. on exercise of a warrant	2-12-81
* Hatleigh Corporation (Canada) North Canadian Oils Ltd. (Canada) James F. Kay	Lane Bryant, Inc. (NYC) Common Stock - 700,900 shs. (15.4%)	2-12-81
Savoy Industries, Inc.	Mallory Randall Corporation (NYC) Common Stock - 142,563 shs.	2-12-81
Harris Associates, Inc.	Music Fair Enterprises, Inc. (Bala Cynwyd, PA) Common Stock - 92,829 shs. (25%)	2-12-81
* Updyke Associates by J. Randolph Updyke	National Securities & Research Corp. (NYC) Common Stock - 127,924 shs. (10.8%)	2-12-81
Musex, S. A. (Luxembourg)	Reeves Communications Corp. Common Stock - 544,000 shs. (13.86%) Common Stock - 39,000 shs. on exercise of warrants	2-12-81
* Arthur Ross, Individually and as Trustee Central National Corporation Cenro Corporation Gottesman & Company, Inc., et al	Stokely-Van Camp, Inc. (Indianapolis, IN) Common Stock - 258,784 shs.	2-12-81
* James H. Elder, Jr. Gerald A. Sears Thomas C. Dolson	Anderson, Greenwood & Co. (Houston, TX) Common Stock - 407,729 shs. (12.6%)	2-13-81
* First City Financial Corp. Ltd. (Canada) (Controlled by Samuel, Hyman and William Belzberg (Canada)	Bache Group, Inc. (NYC) Common Stock - 2,279,260 shs. (21.1%)	2-13-81
Texas International Airlines, Inc. (Wholly-owned subsidiary of Texas Air Corp.)	Continental Air Lines, Inc. (Los Angeles, CA) Common Stock - 1,452,200 shs.	2-13-81
Commerce Group Corp.	Continental Recreation Corp. (West Des Moines, IA) Common Stock - 205,900 shs. (26%) Common Stock - 750,000 shs. on exercise of warrant	2-13-81
* William E. Simon	Delta Data Systems Corp. (Cornwells Heights, PA) Common Stock - 100,005 shs. on conversion of Preferred Stock Common Stock - 98,000 shs. on exercise of warrants	2-13-81
* B, C & C Trans American Aeronautical Partnership Jorge Carnicero	Dynallectron Corporation (McLean, VA) Common Stock - 448,125 shs. Common Stock - 490,980 shs. on conversion of Debentures	2-13-81
Duane W. Acklie	First National Lincoln Corp. (Lincoln, NE) Common Stock - 97,040 shs.	2-13-81
Smathers/Carver Group	Flagship Banks Inc. (Miami, FL) Common Stock - 1,293,600 shs. (18.3%)	2-13-81

ACQUISITION REPORTS CONT.

* LTV Corporation	Jones & Laughlin Steel Corp. (Pittsburgh, PA) 5% Preferred Stock - 83,540 shs. (73.2%)	2-13-81
Peter B. Garsoe Richard Henderson John Farhad Karubian Richard H. Miller, et al	Midland Capital Corporation (NYC) Common Stock - 105,500 shs.	2-13-81
S & F Trading Company Rama Investment Co. Mollie R. Dolin Lawrence S. Dolin, et al	Morgan's Restaurants, Inc. (North Canton, OH) Preferred Stock - 280,000 shs. (100%) Common Stock - 1,495,000 shs. (49.9%) (Group has subscriptions to acquire above stocks.)	2-13-81
* Adams Affiliated, Inc. Central States Investment Co. Kenneth G. Adams Stephen S. Adams, et al	OKC Corp. (Dallas, TX) Common Stock - 572,075 shs. (13.7%)	2-13-81
* Telvest, Inc. Telco Leasing, Inc. Technical Equipment Leasing Corp. Libco Corporation Clyde Wm. Engle	Opelika Manufacturing Corp. (Chicago, IL) Common Stock - 93,600 shs.	2-13-81
* Tiger International, Inc.	Purolator, Inc. (Piscataway, NJ) Common Stock - 357,600 shs.	2-13-81
* Koppers Company, Inc.	Richmond Tank Car Company (Houston, TX) Common Stock - 1,550,000 shs. (23.2%)	2-13-81
* Robert E. Lowder Edward L. Lowder	Southland Bancorporation (Mobile, AL) Common Stock - 184,527 shs. (10.63%)	2-13-81
* Bob Magness	Tele-Communications, Inc. (Englewood, CO) Class A Common Stock - 485,123 shs. Class B Common Stock - 1,075,717 shs. (14.4%)	2-13-81
* Freehold Investments N.V. (Netherlands Antilles) Morgens, Waterfall & Co., Inc. Edwin Morgens Bruce Waterfall	Towermarc (Memphis, TN) Shares of Beneficial Interest - 317,280 (27.5%)	2-13-81
Teledyne, Inc. Argonaut Insurance Company Financial Indemnity Company MIC Investments, Inc.	United States Fidelity & Guaranty Co. (Baltimore, MD) Common Stock - 2,150,000 shs.	2-13-81
* Telvest, Inc. Telco Leasing, Inc. Technical Equipment Leasing Corp. Libco Corporation Clyde Wm. Engle	Wisconsin Real Estate Investment Trust (Milwaukee, WI) Common Stock - 395,300 shs. (26.1%)	2-13-81
Herbert Hezlep, Jr. Gertrude A. Hezlep Herbert Hezlep III R. Bruce Hezlep	Acme General Corporation (San Dimas, CA) Common Stock - 1,024,080 shs. (70.8%)	2-17-81
Robert F. Bacigalupo	Amford Industries, Inc. (Arlington Heights, IL) Common Stock - 49,000 shs.	2-17-81
Federated Reinsurance Corp. Federated Development Co., Parent	Aneco Reinsurance Co. Ltd. (Hamilton, Bermuda) Capital Stock - 200,000 shs.	2-17-81
* Barry W. Florescuc Eric D. Rosenfeld Donald Schupak Robert S. Bernstein, et al	Bancshares of New Jersey (Moorestown, NJ) Common Stock - 258,436 shs.	2-17-81

## ACQUISITIONS REPORTS CONT.

* Stephen Adams Burke Beverage Co., Inc. First National Inc. of Springfield, Colorado, et al	Bank of Montana System (Great Falls, MT) Common Stock - 137,714 shs. (18.2%) Common Stock - 182,860 shs. (24.2%) on exercise of option	2-17-81
* Shamrock Associates	C. I. Mortgage Group (NYC) Shares of Beneficial Interest - 371,200	2-17-81
* Goldman, Sachs & Co.	Coca-Cola Bottling Co. New York (Hackensack, NJ) Common Stock - 1,168,500 shs.	2-17-81
* Henry L. Hillman Hillman Company Wilmington Securities, Inc. Wilmington Investments, Inc., et al	Copeland Corporation (Sidney, OH) Common Stock - 2,022,600 shs. (36%)	2-17-81
Cooper Industries, Inc.	Crouse-Hinds Company (Syracuse, NY) Preferred Stock - 13,084 shs. (22.2%) Common Stock - 7,324,765 shs. (44.9%) (Above shares were acquired pursuant to the Exchange Offer.)	2-17-81
* William Belzberg (Canada) Hyman Belzberg (Canada) Samuel Belzberg (Canada)	Far West Financial Corp. (Newport Beach, CA) Common Stock - 1,293,860 shs. (64%)	2-17-81
* Bridget B. Baird Brent D. Baird, Individually and Trustees Cameron Baird Foundation Jane D. Baird, et al	First Carolina Investors (Charlotte, NC) Shares of Beneficial Interest - 318,600 (22.28%)	2-17-81
M & G Recovery Fund (U.K.)	First Pennsylvania Mortgage Trust (Cherry Hill, NJ) Shares of Beneficial Interest - 1,581,759	2-17-81
M & G Recovery Fund (U.K.)	First Pennsylvania Mortgage Trust (Cherry Hill, NJ) Shares of Beneficial Interest - 303,417 on exercise of Series B Warrants	2-17-81
* Goldman, Sachs & Co.	Franklyn Realty Group (Rydal, PA) Certificate of Beneficial Interest - 135,000	2-17-81
* Patrick J. Frawley, Jr., Individually and Co-Administrator	Frawley Corporation (Los Angeles, CA) Common Stock - 567,856 shs. (47.5%) Common Stock - 89,166 shs. on conversion of Debentures	2-17-81
Bass Equity Enterprises	Georgia-Pacific Corp. (Portland, OR) \$2.24 Conv. Preferred Stock - 52,100 shs.	2-17-81
* John B. Callahan Enrique Foster Gittes John Heywood George H. Heywood, Jr., Trustees	Heywood-Wakefield Company (Menominee, MI) Preferred Stock - 4,662 shs. Common Stock - 38,059 shs. (49.1%)	2-17-81

NOTICE

Many requests for copies of documents referred to in the "SEC News Digest" have erroneously been directed to the Government Printing Office. Copies of such documents, registration statements, and other filings may be ordered by writing to the Public Reference Branch, Securities and Exchange Commission, Washington, D.C. 20549. When you are ordering more than one, we prefer that the documents be listed in alphabetical order by registrant name to expedite service. Cost estimates are given on request. Two types of service are available through the Public Reference Branch, one of which (priority service) is also available directly from the SEC's service contractor.

**REGULAR SERVICE** — The regular service reproduction cost is 10¢ per page, including applicable sales taxes, plus postage (\$5.00 minimum order). Regular service orders will be shipped within seven calendar days of the receipt by the SEC's service contractor of your request, forwarded from the Public Reference Branch. Slight delays may occur if the materials to be copied are not already in the contractor's master files.

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All other reference material is available in the SEC Docket.

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