

# SEC NEWS DIGEST

Issue 98-13

January 21, 1998

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## COMMISSION ANNOUNCEMENTS

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### ADOPTION OF UPDATED EDGAR FILER MANUAL

The Commission is adopting an updated edition of the EDGAR Filer Manual and is providing for its incorporation by reference into the Code of Federal Regulations. The amendment to 17 CFR part 232 (Regulation S-T) and the new edition of the EDGAR Filer Manual (Release 5.40) will be effective on January 26, 1998. (Rel. Nos. 33-7495; 34-39558; 35-26818; 39-2361; IC-23002)

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## ENFORCEMENT PROCEEDINGS

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### FORMER TRANSFER AGENT BOGUTSKI BARRED

On January 20, the Commission issued an order instituting public administrative proceedings under the Securities Exchange Act of 1934 against Robert Bogutski, and simultaneously accepted Bogutski's Offer of Settlement.

Without admitting or denying the findings, Bogutski consented to the issuance of the order which found that on January 14, 1998, Bogutski was permanently enjoined in United States District Court for the Northern District of Texas (SEC v. EnviroMint Holdings, Inc., et al.). Bogutski consented to the entry of the injunction without admitting or denying the allegations in the Commission's complaint. The complaint alleged that Bogutski violated the antifraud and certain transfer agent recordkeeping provisions of the federal securities laws based on his participation through Idata, Inc., a registered transfer agent, in a scheme relating to the offer and sale of EnviroMint Holdings, Inc. (EHI) securities. The complaint alleged that Bogutski destroyed Idata's securities transfer records for EHI's predecessor company, and created false or misleading transfer records for EHI. By these activities, the principals of EHI converted shares of the predecessor to themselves and their nominees, and concealed their control of the company.

The order provides that Bogutski be barred from association with any broker, dealer, transfer agent, municipal securities dealer, investment adviser or investment company. (Rel. 34-39559; File No. 3-9531)

**BRIAN SCHRAUGER AND QUESTOR GROUP, INC. SETTLE ADMINISTRATIVE AND CEASE AND DESIST PROCEEDINGS AGAINST THEM**

On January 20, the Commission issued an order instituting public administrative and cease and desist proceedings under the Securities Act of 1933 (Securities Act), Securities Exchange Act of 1934 (Exchange Act), Investment Advisers Act and the Investment Company Act against Brian D. Schrauger (Schrauger) and Questor Group, Inc. (Questor), and simultaneously accepted their Offer of Settlement.

The order finds that Questor and Schrauger breached fiduciary duties owed to their advisory clients by failing to disclose conflicts of interest including, among other things, the fact that Schrauger held a financial interest in, and acted as a consultant to, some of the issuers whose securities were recommended to clients. The order also finds that they misrepresented Questor's investment strategy and primary source of income. Additionally, the order finds that Schrauger and Questor recommended unsuitable investments to clients, and violated the books and records and custody provisions of the Advisers Act.

Without admitting or denying the findings, Schrauger and Questor consented to the issuance of the order which provides that: Questor and Schrauger cease and desist from committing or causing any violations or any future violations of Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and Sections 204, 206(1), 206(2), 206(4) and 207 of the Advisers Act and Rules 204-1(b), 204-2, 204-3 and 206(4) -2 thereunder; Schrauger is barred from association with any broker, dealer, municipal securities dealer, investment adviser or investment company with the right to reapply for association after three years from the date of the Order; and Questor's registration as an investment adviser is revoked. (Rel. Nos. 33-7496; 34-39560; IA-1695; IC-23003; File No. 3-9532)

**PRELIMINARY INJUNCTION AND DEFAULTS ISSUED AGAINST NORTH CAROLINA ENTITY AND REPRESENTATIVES IN ACTION INVOLVING SALE OF FICTITIOUS JAPANESE TREASURY BONDS**

The Commission today announced that the U.S. District Court for the Southern District of New York issued a preliminary injunction and entered defaults in a pending action involving fictitious treasury bonds purportedly issued by the Japanese government. Judge Charles Brieant issued the preliminary injunction on Friday, January 16, 1998 against five defendants: Northeast Investment Institution, Inc. (Northeast), a Fayetteville, North Carolina entity, and four representatives of Northeast including Thomas Patrick McGlon, Daniel Watlington, William Muwwakkil and Tyrone P. Jones, III. The Court also entered default as to Northeast, Watlington, Muwwakkil and

Jones as a result of their failure to plead or defend.

The Commission alleges that the Northeast defendants have offered for sale as many as 24 treasury bonds purportedly issued by the Japanese government totaling 12 billion yen (approximately [U.S.] \$120 million) in violation of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities and Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission introduced evidence from the Japanese government, the U.S. Secret Service, two securities firms and a bank in support of its contention that the bonds and other documents relating to a chain of custody for the bonds are fraudulent. The relief granted includes a preliminary injunction, an order freezing the bonds, an order requiring the Northeast defendants to tender the bonds to the registry of the Court, and an order requiring accountings from the Northeast defendants. In December 1997 the Commission obtained a temporary restraining order and other relief against the five defendants, and obtained preliminary injunctions and other relief against five other defendants in this action. For additional information, see litigation release numbers 15568 (November 21, 1997) and 15592 (December 12, 1997). [SEC v. Uniglobe Trading Company, Inc., et. al., 97 Civ. 08659, USDC SDNY] (LR-15621)

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#### **HOLDING COMPANY ACT RELEASES**

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#### **NATIONAL FUEL GAS COMPANY, ET AL.**

A notice has been issued giving interested persons until February 9, 1998 to request a hearing on a proposal by National Fuel Gas Company (NFG), a gas registered holding company, and each of its wholly owned subsidiaries (Subsidiaries) to engage in various financing and related transactions through December 31, 2002. These transactions would include external financing by NFG, intrasystem financing by Subsidiaries through an existing money pool arrangement, the formation of financing entities to facilitate issuance of securities, guarantees by NFG of obligations of its Subsidiaries and the use of financing proceeds by National to acquire exempt wholesale generators, foreign utility companies, energy-related companies, gas related companies and related transactions. (Rel. 35-26815)

#### **NORTHEAST UTILITIES, ET AL.**

A supplemental order has been issued authorizing Northeast Utilities, a registered holding company, to issue and sell notes (Notes) up to an aggregate of \$25 million under a supplementary revolving credit facility. (Rel. 35-26816)

#### **ALABAMA POWER COMPANY, ET AL.**

A supplemental order has been issued authorizing a proposal by Alabama Power Company, Georgia Power Company, Gulf Power Company

(Gulf), Mississippi Power Company, and Savannah Electric and Power Company, each an electric public utility subsidiary company of The Southern Company, a registered holding company. It is proposed that Gulf, through the Gulf Power Capital Trust II and the Gulf Power Capital Trust III, issue \$50 million aggregate liquidation amount of preferred securities. In addition, it is proposed that Gulf issue a related series of junior subordinated notes and guarantees. Jurisdiction continues to be reserved over the issuance and sale of preferred securities through December 31, 2005, as follows: \$500 million for Alabama; \$310.75 million for Georgia; \$75 million for Mississippi; and \$40 million for Savannah. (Rel. 35-26817)

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## SELF-REGULATORY ORGANIZATIONS

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### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the National Association of Securities Dealers (SR-NASD-97-98) through its wholly owned subsidiary the Nasdaq Stock Market, Inc. (Nasdaq) extending the temporary 50% fee reduction currently being charged for the execution of a transaction in SelectNet has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of January 19. (Rel. 34-39555)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

F-6 GOLD SHELF ONE LTD/ ADR, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY  
10286 (212) 495-1727 - 25,000,000 (\$1,250,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-8190 - JAN. 09) (BR 99  
- NEW ISSUE)

F-8 NORTHRACK RESOURCES LTD, 3500 700 SECOND ST SW, CALGARY,  
ALBERTA T2P 2S2, A0 (403) 269-3100 - 1,212,124 (\$13,539,425 08)  
FOREIGN COMMON STOCK (FILE 333-8192 - JAN 12) (BR. 4 - NEW ISSUE)

S-3 UNIVISION COMMUNICATIONS INC, 1999 AVENUE OF THE STARS STE 3050,  
LOS ANGELES, CA 90067 (310) 556-7676 - 24,150,000 (\$853,557,600)  
COMMON STOCK (FILE 333-44213 - JAN 14) (BR 3)

S-8 TRICO MARINE SERVICES INC, 250 NORTH AMERICAN COURT, HOUMA, LA 70364  
(504) 851-3833 - 250,000 (\$5,922,500) COMMON STOCK (FILE 333-44221 -  
JAN 14) (BR 4)

S-8 AMERICAN CLASSIC VOYAGES CO, TWO N RIVERSIDE PLZ, 2ND FLOOR, CHICAGO, IL  
60606 (312) 258-1890 - 2,000,000 (\$35,250,000) COMMON STOCK (FILE  
333-44225 - JAN. 14) (BR. 5)

S-8 MAVERICK RESTAURANT CORP, 302 N ROCK RD STE 200, WICHITA, KS 67206  
(316) 685-8281 - 700,000 (\$2,331,000) COMMON STOCK (FILE 333-44227 -  
JAN 14) (BR 2)

S-3 TOPS APPLIANCE CITY INC, 45 BRUNSWICK AVE, EDISON, NJ 08818  
(732) 248-2850 - 7,687,500 (\$7,687,500) CONVERTIBLE DEBENTURES AND NOTES  
(FILE 333-44229 - JAN. 14) (BR. 2)

S-8 XILINK INC, 2100 LOGIC DR, SAN JOSE, CA 95124 (408) 559-7778 -  
4,250,000 (\$153,664,062.50) COMMON STOCK (FILE 333-44233 - JAN. 14)  
(BR 6)

S-8 U S RENTALS INC, 1581 CUMMINS DRIVE SUITE 155, MODESTO, CA 95358  
(209) 544-9000 - 4,600,000 (\$110,687,500) COMMON STOCK. (FILE 333-44235 -  
JAN. 14) (BR. 7)

S-3 ALPHA INDUSTRIES INC, 20 SYLVAN RD, WOBURN, MA 01801 (617) 935-5150 -  
50,000 (\$825,000) COMMON STOCK. (FILE 333-44237 - JAN. 14) (BR 6)

S-3 SEILER POLLUTION CONTROL SYSTEMS INC, 555 METRO PLACE NORTH SUITE 100,  
4TH FLOOR, DUBLIN, OH 43017 (614) 791-3272 - 3,000,000 (\$5,812,500)  
COMMON STOCK. (FILE 333-44239 - JAN 14) (BR 6)

S-8 SPARTA FOODS INC, 2570 KASOTA AVE, ST PAUL, MN 55108 (612) 646-1888 -  
350,000 (\$514,063) COMMON STOCK (FILE 333-44243 - JAN. 14) (BR 2)

S-8 SPINTEK GAMING TECHNOLOGIES INC \CA\, 901 GRIER DRIVE, SUITE B,  
LAS VEGAS, NV 89119 (702) 263-3660 - 2,317,543 (\$1,112,420 64)  
COMMON STOCK (FILE 333-44247 - JAN 14) (BR 6)

S-8 ACI TELECENTRICS INC, 3100 WEST LAKE ST, STE 300, MINNEAPOLIS, MN 55416  
(612) 928-4700 - 150,000 (\$468,750) COMMON STOCK (FILE 333-44249 -  
JAN. 14) (BR 9)

S-1 SHOP AT HOME INC /TN/, 5210 SCHUBERT RD, P O BOX 12600, KNOXVILLE, TN  
37912 (615) 688-0300 - 8,625,000 (\$94,500,000) COMMON STOCK (FILE  
333-44251 - JAN. 14) (BR. 2)

S-8 MIDWEST EXPRESS HOLDINGS INC, 6744 S HOWELL AVE, OAK CREEK, WI 53154  
(414) 747-4000 - 675,000 (\$24,764,063) COMMON STOCK (FILE 333-44253 -  
JAN. 14) (BR 5)

S-3 TIME WARNER INC/, TIME & LIFE BLDG ROCKFELLER CENTER,  
75 ROCKFELLER PLAZA, NEW YORK, NY 10019 (212) 484-8000 - 1,000,000,000  
(\$1,000,000,000) STRAIGHT BONDS. (FILE 333-44255 - JAN 14) (BR 5)

S-3 GILLETTE CO, PRUDENTIAL TOWER BLDG, SUITE 4800, BOSTON, MA 02199  
(617) 421-7000 - 11,500,000 (\$1,142,453,125) COMMON STOCK (FILE  
333-44257 - JAN 14) (BR 6)

S-8 OEA INC /DE/, 34501 E QUINCY AVE, DENVER, CO 80250 (303) 693-1248 -  
100,000 (\$2,693,750) COMMON STOCK (FILE 333-44259 - JAN 14) (BR 5)

S-8 SOUTHERN CO, 270 PEACHTREE ST, ATLANTA, GA 30303 (404) 506-0540 -  
10,000,000 (\$251,875,000) COMMON STOCK. (FILE 333-44261 - JAN 14)  
(BR. 4)

S-3 AMSOUTH BANCORPORATION, 1900 FIFTH AVENUE NORTH, AMSOUTH SONAT TOWER,  
BIRMINGHAM, AL 35203 (205) 320-7151 (FILE 333-44263 - JAN 14) (BR 7)

S-8 HARMONIC LIGHTWAVES INC, 549 BALTIC WAY, SUNNYVALE, CA 94089  
(408) 542-2500 - 399,953 (\$3,555,849 94) COMMON STOCK (FILE 333-44265 -  
JAN 14) (BR 3)

S-1 HAPPY KIDS INC, 100 WEST 33RD ST, STE 1100, NEW YORK, NY 10001  
(212) 695-1151 - \$25,300,000 COMMON STOCK (FILE 333-44267 - JAN 14)  
(NEW ISSUE)

S-4 UNITED TRUST INC /IL/, 5250 SOUTH SIXTH STREET, PO BOX 5147,

SPRINGFIELD, IL 62703 (217) 241-6300 (FILE 333-44269 - JAN. 14) (BR. 1)

S-3 PHILADELPHIA SUBURBAN CORP, 762 LANCASTER AVE, BRYN MAWR, PA 19010  
(215) 527-8000 - 1,250,000 (\$26,525,000) COMMON STOCK. (FILE 333-44271 -  
JAN. 15) (BR. 4)

S-4 AMERICAN ARCHITECTURAL PRODUCTS CORP, 755 BOARDMAN CANFIELD RD,  
BLDG G WEST, BOARDMAN, OH 44512 (216) 746-1331 - 125,000,000  
(\$125,000,000) STRAIGHT BONDS. (FILE 333-44275 - JAN. 15) (BR. 9)

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#### RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8. Change in Fiscal Year
- Item 9. Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C 20549 or at the following e-mail box address: <public info @ sec> In most cases, this information is also available on the Commission's website <www sec.gov>

| NAME OF ISSUER                              | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|---|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|   |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| AGRIBIOTECH INC                             | CO            | X           |   |   |   |   | X |   |   |   | 01/09/98 |         |
| AGTSPO RTS INC                              | CO            |             |   |   |   | X |   |   |   |   | 01/19/98 |         |
| AMEREN CORP                                 | MO            |             | X |   |   |   |   |   |   |   | 01/20/98 |         |
| AMERICA SERVICE GROUP INC /DE               | DE            |             |   |   |   | X | X |   |   |   | 01/19/98 |         |
| AMERICAN BANKERS INSURANCE GROUP IN<br>C    | FL            |             |   |   |   | X |   |   |   |   | 12/21/97 | AMEND   |
| AMERICAN COMMUNICATIONS SERVICES IN<br>C    | DE            |             |   |   |   | X |   |   |   |   | 01/20/98 |         |
| AMERICAN INDUSTRIAL PROPERTIES REIT<br>INC  | TX            |             | X |   |   |   |   | X |   |   | 01/20/98 |         |
| APPLIED VOICE RECOGNITION INC /DE/          | UT            |             |   |   |   | X | X |   |   |   | 01/20/98 |         |
| ASSOCIATES CORPORATION OF NORTH AME<br>RICA | DE            |             |   |   |   |   |   | X |   |   | 01/20/98 |         |
| ASSOCIATES FIRST CAPITAL CORP               | DE            |             |   |   |   |   |   | X |   |   | 01/20/98 |         |
| AT&T UNIVERSAL CARD MASTER TRUST            | DE            |             |   |   |   | X | X |   |   |   | 01/20/98 |         |
| AT&T UNIVERSAL FUNDING CORP                 | DE            |             |   |   |   | X | X |   |   |   | 01/20/98 |         |
| BA MASTER CREDIT CARD TRUST /               |               |             |   |   |   |   |   | X |   |   | 12/31/97 |         |
| BANK OF NEW YORK CO INC                     | NY            |             |   |   |   | X | X |   |   |   | 01/20/98 |         |
| BAY APARTMENT COMMUNITIES INC               | MD            |             |   |   |   | X | X |   |   |   | 01/14/98 |         |
| BAY VIEW CAPITAL CORP                       | DE            |             | X |   |   |   |   | X |   |   | 01/02/98 |         |
| BIOSEpra INC                                | DE            |             |   |   |   | X | X |   |   |   | 01/15/98 | AMEND   |
| BLCUNT INC                                  | DE            |             |   |   |   |   |   | X |   |   | 11/04/97 | AMEND   |
| BLCUNT INTERNATIONAL INC                    | DE            |             |   |   |   |   |   | X |   |   | 11/04/97 | AMEND   |
| BONNEVILLE PACIFIC CORP                     | DE            |             | X |   |   | X |   |   |   |   | 01/15/98 |         |
| BROCKE GROUP LTD                            | DE            |             |   |   |   | X | X |   |   |   | 01/16/98 |         |
| BTG INC /VA/                                | VA            |             |   |   |   | X | X |   |   |   | 01/09/98 |         |
| BUCYRUS INTERNATIONAL INC                   | DE            |             |   |   |   | X |   |   |   |   | 01/20/98 |         |

| NAME OF ISSUER                             | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| BYL BANCORP                                | CA            |             |   |   | X | X |   |   |   |   | 01/16/97 |         |
| CAPMAC HOLDINGS INC                        | DE            |             |   |   | X | X |   |   |   |   | 01/16/98 |         |
| CATALINA MARKETING CORP/DE                 | DE            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| CBT CORP /KY/                              | KY            | X           |   |   |   |   |   |   |   |   | 01/15/98 |         |
| CENTRAL ILLINOIS PUBLIC SERVICE CO         | IL            | X           |   |   |   |   |   |   |   |   | 01/20/98 |         |
| CENTRAL MAINE POWER CO                     | ME            |             |   |   | X |   |   |   |   |   | 01/14/98 |         |
| CERIDIAN CORP                              | DE            | X           |   |   |   |   |   |   |   |   | 01/19/98 |         |
| CHASE MANHATTAN CORP /DE/                  | DE            |             |   |   | X | X |   |   |   |   | 01/21/98 |         |
| CIAO CUCINA CORP                           | OH            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| COCA COLA ENTERPRISES INC                  | DE            |             | X |   |   | X |   |   |   |   | 01/05/98 |         |
| COLUMBIA ENERGY GROUP                      | DE            |             |   |   | X | X |   |   |   |   | 01/16/98 |         |
| COMPRESSENT CORP                           | FL            |             |   |   | X |   |   |   |   |   | 12/18/97 |         |
| COMPUTER LEARNING CENTERS INC              | DE            |             |   |   | X |   |   |   |   |   | 01/06/98 |         |
| CONSOLIDATED STORES CORP /DE/              | DE            |             |   |   | X |   |   |   |   |   | 01/15/98 |         |
| CORESTAFF INC                              | DE            | X           |   |   |   | X |   |   |   |   | 01/05/98 |         |
| CWBS INC                                   | DE            |             |   |   | X | X |   |   |   |   | 09/01/97 |         |
| CWBS INC                                   | DE            |             |   |   | X | X |   |   |   |   | 09/01/97 |         |
| D H MARKETING & CONSULTING INC             | NV            |             |   |   | X |   |   |   |   |   | 01/12/98 |         |
| DAIMLER BENZ AUTO GRANTOR TRUST 199<br>5-A | DE            |             |   |   | X | X |   |   |   |   | 12/15/97 |         |
| DAIMLER BENZ AUTO GRANTOR TRUST 199<br>7 A | DE            |             |   |   | X | X |   |   |   |   | 12/22/97 |         |
| DAIMLER BENZ VEHICLE TRUST 1996-A          | DE            |             |   |   | X | X |   |   |   |   | 12/22/97 |         |
| DENBURY RESOURCES INC                      |               |             |   | X |   |   |   |   |   |   | 01/20/98 | AMEND   |
| DEVON ENERGY CORP /OK/                     | OK            |             |   |   | X |   |   |   |   |   | 01/20/98 |         |
| DISCOVERY LABORATORIES INC /DE/            | DE            |             |   | X |   | X |   |   |   |   | 01/16/98 |         |
| DRYPERS CORP                               | DE            |             |   |   | X | X |   |   |   |   | 01/19/98 |         |
| EAGLE USA AIRFREIGHT INC                   | TX            |             |   |   | X | X |   |   |   |   | 01/05/98 |         |
| ELCOR CORP                                 | DE            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| FINGERHUT MASTER TRUST                     | DE            |             |   |   |   | X |   |   |   |   | 01/15/98 |         |
| FINGERHUT RECEIVABLES INC                  | DE            |             |   |   |   | X |   |   |   |   | 01/15/98 |         |
| FIRST CENTRAL FINANCIAL CORP               | NY            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| FIRST COMMERCE CORP /LA/                   | LA            |             |   |   | X | X |   |   |   |   | 01/15/98 |         |
| FISERV INC                                 | DE            |             |   |   |   | X |   |   |   |   | 01/20/98 |         |
| FLEET FINANCIAL GROUP INC                  | RI            |             |   |   | X | X |   |   |   |   | 01/15/98 |         |
| FLEET FINANCIAL GROUP INC                  | RI            |             |   |   | X | X |   |   |   |   | 01/15/98 |         |
| FREMONT FUNDING INC                        | DE            |             |   |   |   | X |   |   |   |   | 01/19/98 |         |
| GENSIA SICOR INC                           | DE            |             |   |   | X |   |   |   |   |   | 11/14/97 |         |
| GENTLE DENTAL SERVICE CORP                 | WA            | X           |   |   | X | X |   |   |   |   | 11/04/97 | AMEND   |
| GLOBAL MED TECHNOLOGIES INC                | CO            |             |   |   | X |   |   |   |   |   | 01/20/98 |         |
| GPU INC /PA/                               | PA            |             |   |   | X | X |   |   |   |   | 12/19/97 |         |
| GREEN TREE FINANCIAL CORP                  | DE            |             |   |   | X |   |   |   |   |   | 01/15/98 |         |
| GULF POWER CO                              | ME            |             |   |   |   | X |   |   |   |   | 01/13/98 |         |
| HARVARD SCIENTIFIC CORP                    | NV            |             |   |   | X |   |   |   |   |   | 01/15/98 |         |
| HORIZON HEALTH CORP /DE/                   | DE            |             |   |   | X | X |   |   |   |   | 12/31/97 |         |
| HUNTINGTON BANCSHARES INC/MD               | MD            |             |   |   | X | X |   |   |   |   | 01/14/98 |         |
| IMAGE SOFTWARE INC                         | CO            |             |   |   | X |   |   |   |   |   | 01/15/98 |         |
| INTELLIGENT ELECTRONICS INC                | PA            |             |   |   |   | X |   |   |   |   | 07/18/97 | AMEND   |
| INTERNATIONAL FIRE PREVENTION INC /<br>NV/ | NV            | X           | X |   | X | X | X |   |   |   | 12/30/97 |         |
| INTERNATIONAL PAPER CO /NEW/               | NY            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| ITEQ INC                                   | DE            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| K SWISS INC                                | DE            |             |   |   | X | X |   |   |   |   | 01/15/98 |         |
| KELLY SERVICES INC                         | DE            | X           |   |   |   |   |   |   |   |   | 01/03/98 |         |
| KENNAMETAL INC                             | PA            |             |   |   |   | X |   |   |   |   | 11/17/97 | AMEND   |
| KILROY REALTY CORP                         | MD            | X           |   |   |   | X |   |   |   |   | 01/07/98 |         |
| KIMBALL INTERNATIONAL INC                  | IN            |             |   |   | X |   |   |   |   |   | 01/20/98 |         |
| KOPIN CORP                                 | DE            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| LASERSIGHT INC /DE                         | DE            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| LEVEL BEST GOLF INC /FL/                   | FL            | X           |   |   |   |   |   |   |   |   | 01/20/98 |         |
| LEVITZ FURNITURE CORP /FL/                 | FL            |             |   |   | X | X |   |   |   |   | 01/09/98 |         |

| NAME OF ISSUER                      | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|-------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|                                     |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| LEVITZ FURNITURE INC                | DE            |             |   |   | X | X |   |   |   |   | 01/09/98 |         |
| LIFE USA HOLDING INC /MN/           | MN            |             |   |   | X |   |   |   |   |   | 01/20/98 |         |
| MASTEC INC                          | DE            |             |   |   | X |   |   |   |   |   | 01/16/98 |         |
| MCII HOLDINGS USA INC               | DE            |             |   |   | X | X |   |   |   |   | 12/23/97 |         |
| MEDICAL ACTION INDUSTRIES INC       | DE            |             | X |   |   |   |   | X |   |   | 01/07/90 |         |
| MEDICAL DYNAMICS INC                | CO            |             |   |   |   | X |   |   |   |   | 12/31/97 |         |
| MEDICORE INC                        | FL            |             | X |   |   |   |   |   |   |   | 12/29/97 |         |
| MEDIMMUNE INC /DE                   | DE            |             |   |   | X |   |   |   |   |   | 01/19/98 |         |
| MELLON BANK CORP                    | PA            |             |   |   | X | X |   |   |   |   | 01/16/98 |         |
| MERRILL LYNCH & CO INC              | DE            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| METRIS MASTER TRUST                 | DE            |             |   |   |   |   |   | X |   |   | 01/15/98 |         |
| METRIS RECEIVABLES INC              | DE            |             |   |   |   |   |   | X |   |   | 01/15/98 |         |
| MIRAGE RESORTS INC                  | NV            |             |   |   |   | X |   |   |   |   | 01/08/98 |         |
| MLCC MORT INV INC MORT LOAN ASST BK | DE            |             |   |   |   |   |   | X |   |   | 01/15/98 |         |
| PASS THRU CERT SE 1997-A            |               |             |   |   |   |   |   |   |   |   |          |         |
| MLCC MORT INV INC MORT LOAN ASST BK | DE            |             |   |   |   |   |   | X |   |   | 01/15/98 |         |
| PASS THRU CERT SE 1997-A            |               |             |   |   |   |   |   |   |   |   |          |         |
| MOLECULAR BIOSYSTEMS INC            | DE            |             |   |   | X |   |   |   |   |   | 01/05/98 |         |
| MSC INDUSTRIAL DIRECT CO INC        | NY            |             |   |   | X | X |   |   |   |   | 01/09/98 |         |
| NAL FINANCIAL GROUP INC             | DE            |             |   |   | X |   |   |   |   |   | 01/02/98 |         |
| NEW YORK TELEPHONE CO               | NY            |             |   |   |   |   |   | X |   |   | 01/13/98 |         |
| NTS PROPERTIES IV                   | KY            |             |   |   | X |   |   |   |   |   | 01/20/98 |         |
| NTS PROPERTIES PLUS LTD             | FL            |             |   |   | X |   |   |   |   |   | 01/20/98 |         |
| NTS PROPERTIES V                    | MD            |             |   |   | X |   |   |   |   |   | 01/20/98 |         |
| OLYMPIC RECEIVABLES FINANCE CORP    | DE            |             |   |   | X | X |   |   |   |   | 01/05/98 |         |
| OLYMPIC RECEIVABLES FINANCE CORP    | DE            |             |   |   | X | X |   |   |   |   | 01/05/98 |         |
| OLYMPIC RECEIVABLES FINANCE CORP    | DE            |             |   |   | X | X |   |   |   |   | 01/05/98 |         |
| OLYMPIC RECEIVABLES FINANCE CORP    | DE            |             |   |   | X | X |   |   |   |   | 01/05/98 |         |
| OMNICOM GROUP INC                   | NY            |             |   |   | X | X |   |   |   |   | 01/06/98 |         |
| OPINION RESEARCH CORP               | DE            |             | X |   |   |   |   | X |   |   | 01/06/98 |         |
| PACIFIC SECURITY COMPANIES          | WA            |             | X |   |   |   |   |   |   |   | 01/05/98 |         |
| PAINWEBBER GROWTH PARTNERS THREE L  | DE            |             | X |   |   |   |   | X |   |   | 01/08/98 |         |
| P                                   |               |             |   |   |   |   |   |   |   |   |          |         |
| PANAMSAT CORP /NEW/                 | DE            |             |   |   | X | X |   |   |   |   | 01/16/98 |         |
| PARAGON HEALTH NETWORK INC          | DE            |             |   |   |   |   |   | X |   |   | 11/04/97 | AMEND   |
| PARAGON TRADE BRANDS INC            | DE            |             | X |   | X |   |   |   |   |   | 01/06/98 |         |
| PETRO UNION INC                     | CO            |             |   |   |   |   |   | X |   |   | 01/15/98 |         |
| PETRO UNION INC                     | CO            |             |   |   |   |   |   | X |   |   | 01/15/98 |         |
| PONTOTOC PRODUCTION INC             | NV            |             |   |   | X |   |   | X |   |   | 01/16/98 |         |
| PROLOGIC MANAGEMENT SYSTEMS INC     | AZ            |             |   |   | X | X |   |   |   |   | 01/16/98 | AMEND   |
| PYR ENERGY CORP                     | DE            |             |   |   | X | X |   |   |   |   | 01/19/98 |         |
| QUALITY SEMICONDUCTOR INC           | CA            |             | X |   |   |   |   |   |   |   | 01/19/98 |         |
| REGAL CINEMAS INC                   | TN            |             |   |   | X | X |   |   |   |   | 01/19/98 |         |
| RELIANCE BANCORP INC                | DE            |             |   |   | X |   |   |   |   |   | 01/12/98 |         |
| RENT WAY INC                        | PA            |             | X |   |   |   |   | X |   |   | 01/20/98 |         |
| ROCKWELL INTERNATIONAL CORP         | DE            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| SEPRAGEN CORP                       | CA            |             |   |   | X |   |   |   |   |   | 01/13/98 |         |
| SEPRAGEN CORP                       | CA            |             |   |   | X |   |   |   |   |   | 01/13/98 |         |
| SEQUOIA MORTGAGE FUNDING CORP       | MD            |             |   |   | X | X |   |   |   |   | 12/04/97 |         |
| SI DIAMOND TECHNOLOGY INC           | TX            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| SIGNATURE RESORTS INC               | MD            |             |   |   | X | X |   |   |   |   | 01/16/98 |         |
| SPARTAN MOTORS INC                  | MI            |             |   |   | X | X |   |   |   |   | 01/07/98 |         |
| SPECIALIZED HEALTH PRODUCTS INTERNA | DE            |             |   |   | X |   |   |   |   |   | 01/15/98 |         |
| TIONAL INC                          |               |             |   |   |   |   |   |   |   |   |          |         |
| SPIEKER PROPERTIES INC              | MD            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| SPIEKER PROPERTIES L P              | CA            |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| STORAGE USA INC                     | TN            |             | X |   | X | X |   |   |   |   | 01/20/98 | AMEND   |
| SUSA PARTNERSHIP LP                 | TN            |             | X |   | X | X |   |   |   |   | 01/20/98 | AMEND   |
| TECHDYNE INC                        | FL            |             | X |   |   |   |   |   |   |   | 12/29/97 |         |
| THERMO POWER CORP                   | MA            |             | X |   |   |   |   | X |   |   | 11/06/97 | AMEND   |



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| TIERS ASSET BACKED SECURITIES SER C<br>HMT TR 1997-7 | DE    |  |             |   |   |   |   |   |   |   | X | 01/15/98 |         |
| TREND LINES INC                                      | MA    |  |             |   |   |   |   |   |   |   | X | 01/19/98 |         |
| TRUSTCO BANK CORP N Y                                | NY    |  |             |   |   |   |   |   |   |   | X | 01/20/98 |         |
| UNION ELECTRIC CO                                    | MC    |  | X           |   |   |   |   |   |   |   |   | 01/20/98 |         |
| UNIQUE MOBILITY INC                                  | CO    |  |             | X |   |   |   |   |   | X |   | 01/16/98 |         |
| UNITED AMERICAN HEALTHCARE CORP                      | MI    |  |             |   | X | X |   | X |   |   |   | 01/12/98 |         |
| UNITED SHIELDS CORP/OH/                              | CO    |  | X           |   |   |   |   |   |   |   |   | 01/20/98 |         |
| VINCAM GROUP INC                                     | FL    |  |             |   |   | X | X |   |   |   |   | 12/01/97 | AMEND   |
| WELLS FARGO & CO                                     | DE    |  |             |   |   | X | X |   |   |   |   | 01/20/98 |         |
| WESTBRIDGE RESEARCH GROUP                            | CA    |  |             |   | X |   |   |   |   |   |   | 01/13/98 |         |
| WESTIN HOTELS LTD PARTNERSHIP                        | DE    |  | X           |   |   |   |   |   |   | X |   | 01/02/98 |         |
| XEROX CORP   | NY    |  |             |   |   |   |   | X |   |   |   | 01/16/98 |         |