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# SEC NEWS DIGEST

Issue 2001-121

June 22, 2001

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## ENFORCEMENT PROCEEDINGS

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### SEC SETTLES FEDERAL CHARGES AGAINST MICHAEL BECKER

On June 21, the Commission settled an administrative proceeding against Michael J. Becker of Marietta, Georgia. Becker served as vice president-finance and administration of Vista 2000, Inc., formerly located in Roswell, Georgia and traded on the NASDAQ National Market. Becker consented to the entry of a cease and desist order restraining him from further violations of the antifraud, reporting, books and records, internal accounting control, and beneficial ownership provisions of the federal securities laws. Becker neither admitted or denied the findings made by the Commission.

The Commission found that Becker participated in numerous transactions which resulted in false entries in Vista's books and false documents in its records which were included in Vista's September 1995 Form 10-QSB. Becker participated in and/or directed the recording of sales even though the products had not been shipped, the arbitrary creation of costs of goods sold, the misstatement of EPS, and the improper reporting of two acquisitions. Becker was responsible for ensuring that Vista's records supported recognition of revenue and assets, and that its books recorded all expenses and costs in conformity with GAAP. The Commission also found that Becker failed to file reports on Forms 3, 4 or 5 with the Commission to report transactions related to his ownership of Vista stock.

Based on the foregoing the Commission found that Becker committed violations of Sections 10(b), 13(b)(5) and 16(a) of the Exchange Act and Rules 10b-5, 13b2-1, 16a-2 and 16a-3 thereunder, and that he caused Vista to violate Sections 10(b), 13(a), 13(b)(2)(A) and 13(b)(2)(B) of the Exchange Act and Rules 10b-5, 12b-20 and 13a-13 thereunder, and ordered him to cease and desist from committing or causing any violation and any future violation of those provisions.

In a separate action, the Commission alleges that Becker obtained ill-gotten gains when he engaged in illegal insider trading during 1996 (SEC v. Smyth, et al. Civil Action No. 1:01-CV-1344, USDC, N.D. Ga.] (LR-17044). (Rel. 34-44460; AAE Rel. 1412; File No. 10520)

## **SEC SETTLES FEDERAL CHARGES AGAINST J. ALLEN SEYMOUR**

On June 21, the Commission settled an administrative proceeding against J. Allen Seymour of Athens, Georgia. Seymour, a CPA, conducted the 1994 audit of Vista 2000, Inc., formerly located in Roswell, Georgia and traded on the NASDAQ National Market. Seymour consented to the entry of a cease-and-desist order restraining him from further violations of the reporting provisions of the federal securities laws and requiring him to disgorge \$16,141 of audit fees and prejudgment interest. Seymour neither admitted or denied the findings made by the Commission.

The Commission found that Vista's 1994 financial statements falsely reported revenues of \$1.2 million from the sale of marketing rights and \$635,000 from fictitious sales. Combined, these transactions represented 91% of Vista's reported revenues. Seymour knew or should have known that these transactions had not been recorded by Vista in conformity with GAAP. Seymour also failed to properly conclude that his and his firm's independence was impaired during the audit because Seymour's "partner" owned stock of Vista during the audit in violation of GAAS. As a result, Seymour caused Vista to file financial statements that had not been certified by independent accountants despite his representations to the contrary. Seymour also failed to engage in predecessor-successor auditor communications prior to accepting the Vista audit as required by GAAS.

Based on the foregoing the Commission found that Seymour violated GAAS and that he caused Vista to violate Section 13(a) of the Exchange Act and Rule 13a-1 thereunder, and ordered him to cease and desist from committing or causing any violation and any future violation of those provisions. (Rel. 34-44461, AAE Rel. 1413; File No. 3-10521)

## **CIVIL INJUNCTIVE ACTION FILED AGAINST TRADAMAX GROUP, INC., PATTINSON HAYTON AND CONRAD DIAZ**

The Commission today filed a civil injunctive action against Tradamax Group, Inc., its control person, Pattinson Hayton, and its chief financial officer, Conrad Diaz. The Commission's complaint alleges that since November 2000 the defendants made numerous fraudulent public statements regarding: (1) the control of Tradamax by Hayton, who been found liable for securities law violations and other illegal conduct; (2) the identity of its chief executive officer; (3) the company's product, purportedly an Internet "portal" website designed to facilitate trading in, and track the shipment of, various commodities; (4) claimed business relationships; and (5) projected revenues and income. According to the complaint, these fraudulent statements were made press releases, Internet websites, "spam" e-mail messages, Internet message boards, reports filed with the Commission, and promotional materials distributed to prospective investors.

Also according to the complaint, a Canadian corporation controlled by Hayton sold Tradamax stock into the resulting inflated market for profits of at least \$114,408.

Further, the complaint alleges that Tradamax has failed to maintain adequate books and

records and file reports with the Commission, and that Hayton has sold securities in nonexempt and unregistered transactions and failed to file mandatory reports with the Commission disclosing his beneficial ownership of Tradamax.

The complaint seeks permanent injunctions against Hayton, Diaz, and Tradamax; disgorgement against Tradamax and Hayton; civil money penalties against Hayton and Diaz; and an officer and director bar against Hayton.

On June 21, 2001, United States District Court Judge Gary L. Taylor issued a temporary restraining order prohibiting Tradamax and Hayton from violating the antifraud provisions of the federal securities laws and freezing their assets. [SEC v. Tradamax Group, Inc., Pattinson Hayton and Conrad Diaz, No. 01-589-GLT, USDC, CD Cal.] (LR-17046)

### **TAMPA REAL ESTATE AGENTS ORDERED TO DISGORGE \$269,000 AND PAY \$200,000 IN CIVIL PENALTIES FOR ILLEGAL INSIDER TRADING**

The Commission announced today that on May 23, 2001, United States District Judge Ann Aldrich, sitting by designation in the Middle District of Florida, ordered Donna Yun of Longwood, Florida, and Jerry Burch of Heathrow, Florida, to disgorge ill-gotten gains in the amount \$269,000, plus pre-judgment interest. The Court also ordered Yun and Burch to pay \$100,000 each in civil penalties. The Court denied the Commission's request for permanent injunctions.

On December 14, 2000, after an eight-day trial, a federal jury found Yun and Burch liable for illegal insider trading in options on the stock of Scholastic Corporation. In its complaint, filed on February 3, 1999, the Commission alleged the following: on or before February 18, 1997, Yun's husband, an officer of Scholastic, told Yun in confidence that Scholastic would announce that it expected a loss for the quarter ending at the end of that month. Yun's husband also told her that he expected Scholastic common stock, then trading at approximately \$65 per share, to decline to \$55 as a result of the announcement. At a cocktail party that evening Yun disclosed this information to Burch. Yun and Burch worked as real estate agents in the same office in Tampa, Florida. During the following two days Burch purchased 130 Scholastic put option contracts, which would rise in value if Scholastic stock went down. After the close of trading on the second day, Scholastic announced the expected loss. The next day the price of Scholastic common stock dropped approximately 40 percent, and Burch exercised his put options, making a net profit of approximately \$269,000.

On December 19, 2000, the Court entered judgments against Yun and Burch for violations of Section 10(b) of the Securities Exchange Act of 1934 and Exchange Act Rule 10b-5. For more information see Litigation Release Nos. 16052 (Feb. 3, 1999) and 16840 (Dec. 21, 2000). [SEC v. Donna Yun and Jerry Burch, Case Number 6:99-cv-117-ORL-22, USDC, MDFL, Tampa Division] (LR-17047)

## **FEDERAL COURT IN LOUISIANA ENJOINS NOLAN WADE FROM SECURITIES VIOLATIONS AND RULES THAT CHARLES CAMPBELL DID NOT VIOLATE THE FEDERAL SECURITIES LAWS**

On February 2, 2000, Nolan W. Wade (Wade), a resident of Moselle, Mississippi, was permanently enjoined by the United States District Court in Shreveport, Louisiana from violating the registration and anti-fraud provisions of the federal securities laws. Wade consented to the entry of the order. Wade was enjoined from violating Section 17(a) of the Securities Act and Sections 10(b) and 15(a) of the Securities Exchange Act, and Rule 10b-5. Wade was subsequently ordered to disgorge \$600,000, but payment was waived and penalties not imposed based upon his demonstrated financial inability to pay.

On August 8, 2000, after a non-jury trial, the same Court ruled that Charles E. Campbell (Campbell), a resident of Flossmoor, Illinois, did not violate the antifraud provisions of the federal securities laws in connection with his efforts to take EarthNet Companies, Inc. and Mississippi Motorplex, Inc. public through a proposed "reverse acquisition" involving International Equity Resources, Inc.

In September 1998, the Commission filed a civil injunctive action seeking permanent injunctions and other relief against Wade, individually and doing business as Capital Financial Consultants, and Campbell. Among other things, defendants were alleged to have defrauded investors by misrepresenting the process known as a "reverse acquisition," in which a company can become publicly traded, and misusing the proceeds of several offerings. For further information, see SEC Litigation Release No. 15923. [SEC v. Nolan W. Wade, individually and d/b/a Capital Financial Consultants, and Charles E. Campbell, Civil Action No. 98-1857-S, W.D. La.] (LR-17048)

## **DANIEL DANKER SENTENCED TO ALMOST SIX YEARS IN PRISON FOR HIS PARTICIPATION IN \$29 MILLION PONZI SCHEME**

On June 15, the Honorable John D. Tinder, United States District Judge for the Southern District of Indiana, sentenced Daniel G. Danker (Danker), a resident of Indianapolis, Indiana, to five years and eleven months in prison, ordered him to pay restitution of more than \$27 million and a fine of \$50,000 for his involvement in a \$29 million Ponzi scheme. In March, Danker, former vice-president and secretary of Heartland Financial Services, Inc. (Heartland), entered a guilty plea to two counts of mail fraud and one count of money laundering based on his fraudulent conduct. Previously, on August 10, 2000, Judge Tinder, who also presides over a lawsuit filed by the U.S. Securities and Exchange Commission (SEC) captioned SEC v. Payne, et al., IP00-1265 C, entered a temporary restraining order in that case against Danker, Heartland, JMS Investment Group, LLC (JMS), Kenneth R. Payne (Payne), Johann M. Smith (Smith) and Constance Brooks-Kiefer (Brooks-Kiefer) for their involvement in the Ponzi scheme.

The SEC's complaint in the civil lawsuit, filed on August 10, 2000, alleged that from at least March 1999 to the present, the defendants defrauded investors, many of whom were

elderly, through the offer and sale of three bogus investment opportunities: (1) initial public offerings of financial institutions and technology companies represented by units of JMS; (2) interests in an offshore bank located in Belize; and (3) units of Heartland as well as stocks, money markets and mutual funds through Heartland. Rather than use investor funds for legitimate securities transactions, the SEC alleged that the defendants commingled investors' funds from all three schemes in a common bank account controlled by Smith and Brooks-Kiefer and used most of the \$29 million raised from investors to repay investors in the Ponzi scheme and for other non-investment related purposes.

Previously, on November 16, 2000, Judge Tinder entered orders of permanent injunction against JMS and Smith, both of whom previously consented to the entry of orders of preliminary injunction. JMS and Smith, without admitting or denying the allegations of the SEC's complaint, except as to jurisdiction, consented to the entry of the orders, which enjoin them from future violations of certain antifraud, registration and broker-dealer provisions of the federal securities laws. Among other things, the orders of permanent injunction require JMS and Smith to disgorge all ill-gotten gains received by them as a result of the conduct alleged in the SEC's complaint, plus prejudgment interest on those amounts. The Court also previously entered orders of preliminary injunction against Brooks-Kiefer, Payne and Heartland on August 21, 2000 by their consent, preliminarily enjoining them from violations of certain antifraud provisions of the federal securities laws and, further, preliminarily enjoining Payne and Heartland from violations of certain registration and broker-dealer provisions of the federal securities laws.

The staff acknowledges the assistance of the U.S. Attorney's Office for the Southern District of Indiana, the Internal Revenue Service-Criminal Investigation Division of Indianapolis, Indiana, and the Federal Bureau of Investigation-Indianapolis, Indiana.  
[SEC v. Payne, et al., S.D. IN, Civil Action No. IP00-1265C, filed August 10, 2000]  
(LR-17049)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **WITHDRAWAL SOUGHT**

A notice has been issued giving interested persons until July 13, 2001, to comment on the application of SFBC International, Inc. to withdraw its Common Stock, par value \$.001 and warrants, from listing and registration on the American Stock Exchange. (Rel. 34-44463)

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## **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 SOPHEON PLC /ADR/, TIMOTHY F KEANEY BANK OF NEW YORK,  
101 BARCLAY STREET 22W, NEW YORK, NY 10286 (212) 815-2129 - 20,000,000  
(\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13616 -  
JUN. 13) (BR. 99 - NEW ISSUE)
- F-6 ALTRAN TECHNOLOGIES S A /ADR/, TIMOTHY F KEANEY BANK OF NEW YORK,  
101 BARCLAY STREET 22W, NEW YORK, NY 10286 (212) 815-2129 - 50,000,000  
(\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13620 -  
JUN. 13) (BR. 99 - NEW ISSUE)
- F-10 TEMBEC INDUSTRIES INC, HIGHWAY 101 W, P O BOX 1100,  
TIMMINS ONTARIO CANADA, A6 P4N 7 (705) 268-1462 - 200,000,000  
(\$250,000,000) STRAIGHT BONDS. (FILE 333-13622 - JUN. 14) (BR. 6)
- S-8 SANDISK CORP, 140 CASPIAN COURT, SUNNYVALE, CA 94089 (408) 562-0500  
-  
2,000,000 (\$51,820,000) COMMON STOCK. (FILE 333-63076 - JUN. 15) (BR.  
3)
- S-8 CRITICAL PATH INC, 320 FIRST STREET, SAN FRANCISCO, CA 94105  
(415) 808-8800 - 16,741,348 (\$21,428,926) COMMON STOCK. (FILE 333-  
63080 -  
JUN. 15) (BR. 8)
- S-3 GOLDMAN SACHS GROUP INC/, 85 BROAD ST, NEW YORK, NY 10004 (212) 902-  
1000  
- 11,450,000,000 (\$1,450,000,000) COMMON STOCK. (FILE 333-63082 - JUN.  
15)  
(BR. 7)
- S-3 CANNONDALE CORP /, 16 TROWBRIDGE DR, BETHEL, CT 06829 (203) 749-7000  
-  
977,777 (\$3,852,441.30) COMMON STOCK. (FILE 333-63088 - JUN. 15) (BR.  
5)
- S-3 COMERICA INC /NEW/, 411 W LAFAYETTE, 500 WOODWARD AVE 31ST FL,  
DETROIT,  
MI 48226 (313) 222-9743 - 350,000,000 (\$350,000,000) STRAIGHT BONDS.  
(FILE 333-63090 - JUN. 15) (BR. 7)

S-4 FELCOR LODGING L P, 545 E. JOHN CARPENTER FREEWAY, SUITE 1300,  
 IRVING,  
 TX 75062 (972) 444-4900 - 100,000,000 (\$100,000,000) STRAIGHT BONDS.  
 (FILE 333-63092 - JUN. 15) (BR. 8)

S-8 HIBBETT SPORTING GOODS INC, 451 INDUSTRIAL LANE, BIRMINGHAM, AL  
 35211  
 (205) 942-4292 - 650,000 (\$22,067,500) COMMON STOCK. (FILE 333-63094 -  
 JUN. 15) (BR. 2)

S-3 WILLBROS GROUP INC, DRESDNER BANK BUILDING,  
 50TH STREET 8TH FLOOR PO BOX 850048, PANAMA 5 REPUBLIC OF PANAMA, R1  
 00000  
 (507) 263-9282 - 200,000,000 (\$200,000,000) COMMON STOCK. (FILE 333-  
 63096  
 - JUN. 15) (BR. 4)

S-8 NEW ROCKWELL COLLINS INC, 400 COLLINS ROAD NE, CEDAR RAPIDS, IA  
 52498  
 (319) 295-1000 - 3,000,000 (\$22,721,310) COMMON STOCK. (FILE 333-63100  
 -  
 JUN. 15) (BR. 7)

S-2 DONEGAL GROUP INC, 1195 RIVER RD PO BOX 302, MARIETTA, PA 17547  
 (717) 426-1931 - 300,000 (\$4,066,500) COMMON STOCK. (FILE 333-63102 -  
 JUN. 15) (BR. 1)

S-3 KEYCORP /NEW/, 127 PUBLIC SQ, CLEVELAND, OH 44114 (216) 689-6300 -  
 \$1  
 STRAIGHT BONDS. (FILE 333-63104 - JUN. 15) (BR. 7)

S-8 STARTEK INC, 111 HAVANA STREET, DENVER, CO 80010 (303) 361-6000 -  
 600,000 (\$11,076,000) COMMON STOCK. (FILE 333-63106 - JUN. 15) (BR. 8)

S-8 COINSTAR INC, 1800 114TH AVENUE SE, SUITE 200, BELLEVUE, WA 98004  
 (206) 644-6789 - 970,000 (\$17,964,400) COMMON STOCK. (FILE 333-63108 -  
 JUN. 15) (BR. 8)

S-8 CELESTICA INC, 12 CONCORD PL, 7TH FL, ONTARIO M3C 1V7, A6 (416) 442-  
 211  
 - 18,992,341 (\$672,633,802) COMMON STOCK. (FILE 333-63112 - JUN. 15)  
 (BR. 5)

S-8 ST PAUL COMPANIES INC /MN/, 385 WASHINGTON ST, SAINT PAUL, MN 55102  
 (612) 310-7911 - 300,000 (\$14,929,500) COMMON STOCK. (FILE 333-63114 -  
 JUN. 15) (BR. 1)

S-8 ARADIGM CORP, 3929 POINT EDEN WAY, HAYWARD, CA 94545 (510) 265-9000  
 -  
 1,403,312 (\$11,156,330.40) COMMON STOCK. (FILE 333-63116 - JUN. 15)  
 (BR. 5)

S-8 ST PAUL COMPANIES INC /MN/, 385 WASHINGTON ST, SAINT PAUL, MN 55102  
 (612) 310-7911 - 25,400,000 (\$1,264,031,000) COMMON STOCK. (FILE  
 333-63118 - JUN. 15) (BR. 1)

S-8 NEW ROCKWELL COLLINS INC, 400 COLLINS ROAD NE, CEDAR RAPIDS, IA  
52498 (319) 295-1000 - 14,325,000 (\$108,494,255.25) COMMON STOCK. (FILE  
333-63120 - JUN. 15) (BR. 7)

S-8 PMI GROUP INC, 601 MONTGOMERY ST, SAN FRANCISCO, CA 94111 (415) 788-  
7878 - 3,500,000 (\$247,187,500) COMMON STOCK. (FILE 333-63122 - JUN. 15)  
(BR. 1)

S-8 HELMERICH & PAYNE INC, UTICA AT 21ST ST, TULSA, OK 74114 (918) 742-  
5531 - 3,000,000 (\$114,960,000) COMMON STOCK. (FILE 333-63124 - JUN. 15)  
(BR. 4)

S-8 SANDY SPRING BANCORP INC, 17801 GEORGIA AVE, OLNEY, MD 20832  
(301) 774-6400 - 300,000 (\$9,472,500) COMMON STOCK. (FILE 333-63126 -  
JUN. 15) (BR. 7)

S-8 GRACO INC, 4050 OLSON MEMORIAL HIGHWAY, GOLDEN VALLEY, MN 55422  
(612) 623-6000 - 1,500,000 (\$46,972,500) COMMON STOCK. (FILE 333-63128  
- JUN. 15) (BR. 5)

S-8 CERUS CORP, 2411 STANWELL DR, CONCORD, CA 94520 (925) 288-6000 -  
1,000,000 (\$71,660,000) COMMON STOCK. (FILE 333-63132 - JUN. 15) (BR.  
1)

S-8 TOPCLICK INTERNATIONAL INC/DE, 7676 HAZARD CENTER DR,  
OFFICE 10 5TH FLOOR, SAN DIEGO, CA 92108 (604) 737-1127 - 9,200,000  
(\$432,000) COMMON STOCK. (FILE 333-63134 - JUN. 15) (BR. 9)

S-8 GRUBB & ELLIS CO, 2215 SANDERS RD, STE 400, NORTHBROOK, IL 60062  
(415) 956-1990 - 1,825,000 (\$9,033,750) COMMON STOCK. (FILE 333-63136  
- JUN. 15) (BR. 8)

S-8 TECHNISOURCE INC, 1901 W CYPRESS CREEK RD STE 202, FT LAUDERDALE, FL  
33309 (954) 493-8601 - 500,000 (\$760,000) COMMON STOCK. (FILE 333-  
63138 - JUN. 15) (BR. 7)

S-8 LIFEPOINT HOSPITALS INC, 103 POWELL COURT, SUITE 200, BRENTWOOD, TN  
37027 (615) 372-8500 - 1,700,000 (\$59,976,000) COMMON STOCK. (FILE  
333-63140 - JUN. 15) (BR. 1)

S-3 NEW ROCKWELL COLLINS INC, 400 COLLINS ROAD NE, CEDAR RAPIDS, IA  
52498 (319) 295-1000 - 15,000,000 (\$113,606,550) COMMON STOCK. (FILE 333-  
63142 - JUN. 15) (BR. 7)



- S-8 NORTHEAST UTILITIES SYSTEM, 174 BRUSH HILL AVE, WEST SPRINGFIELD, MA 01090 (413) 785-5871 - 582,844 (\$11,374,200) COMMON STOCK. (FILE 333-63144 - JUN. 15) (BR. 2)
- S-8 ON2 TECHNOLOGIES INC, 375 GREENWICH STREET, NEW YORK, NY 10013 (212) 941-2400 - 4,000,000 (\$1,496,000) COMMON STOCK. (FILE 333-63146 JUN. 15) (BR. 7)
- SB-2 TIMELINE INC, 3055 112TH AVE NE #106, BELLEVUE, WA 98004 (206) 822-3140 - 225,000 (\$174,375) COMMON STOCK. (FILE 333-63148 - JUN. 15) (BR. 3)
- S-8 E REX INC, 11645 BISCAYNE BLVD, STE 210, MIAMI, FL 33181 (206) 521-2090 - 818,110 (\$351,787) COMMON STOCK. (FILE 333-63150 - JUN. 15) (BR. 9)
- S-4 CIB MARINE BANCSHARES INC, N27 W24025 PAUL COURT, PEWAUKEE, WI 53072 (414) 695-6010 - 742,416 (\$15,553,615) COMMON STOCK. (FILE 333-63152 - JUN. 15) (BR. 7)
- S-8 PEGASUS COMMUNICATIONS CORP INC, 225 CITY LINE AVE, STE 200, BALA CYNWYD, PA 19004 (888) 438-7488 - 3,000,000 (\$57,975,000) COMMON STOCK. (FILE 333-63154 - JUN. 15) (BR. 9)
- S-8 MATTSON TECHNOLOGY INC, 2800 BAYVIEW DR, FREMONT, CA 94538 (510) 657-5900 - 1,800,000 (\$30,912,000) COMMON STOCK. (FILE 333-63156 JUN. 15) (BR. 5)
- S-8 DAVITA INC, 21250 HAWTHORNE BLVD, SIE 800, TORRANCE, CA 90503 (310) 792-2600 - 2,750,000 (\$49,830,000) COMMON STOCK. (FILE 333-63158 JUN. 15) (BR. 1)
- S-2 MEDIX RESOURCES INC, 305 MADISON AVE, SUITE 2033, NEW YORK, NY 10165 (303) 741-4828 - 10,486,000 (\$9,961,700) COMMON STOCK. (FILE 333-63162 JUN. 15) (BR. 8)
- S-8 MISONIX INC, 1938 NEW HIGHWAY, FARMINGDALE, NY 11747 (516) 694-9555 1,000,000 (\$6,650,000) COMMON STOCK. (FILE 333-63166 - JUN. 15) (BR. 5)
- S-8 BERGEN BRUNSWIG CORP, 4000 METROPOLITAN DR, ORANGE, CA 92668 (714) 385-4000 - 2,000,000 (\$40,600,000) COMMON STOCK. (FILE 333-63168 JUN. 15) (BR. 1)
- S-8 MOTOR CARGO INDUSTRIES INC, 845 W CENTER ST, 845 W CENTER ST, NORTH SALT LAKE, UT 84054 (801) 292-1111 - 100,000 (\$833,325) COMMON STOCK. (FILE 333-63170 - JUN. 15) (BR. 5)

- S-8 R TEC TECHNOLOGIES INC, 37 IRONICA ROAD, FLANDERS, NJ 07836  
(973) 252-5233 - 150,000 (\$75,000) COMMON STOCK. (FILE 333-63172 -  
JUN. 15) (BR. 6)
- S-8 PTN MEDIA INC, 455 E EISENHOWER PKWY, STE 15, ANN ARBOR, MI 48108  
(734) 327-0579 - 1,250,000 (\$3,750,000) COMMON STOCK. (FILE 333-63174  
JUN. 15) (BR. 3)
- S-3 EQUITY RESIDENTIAL PROPERTIES TRUST, TWO N RIVERSIDE PLZ, STE 400,  
CHICAGO, IL 60606 (312) 474-1300 - 869,760 (\$47,749,824) COMMON STOCK.  
(FILE 333-63176 - JUN. 15) (BR. 8)
- S-3 VIACOM INC, 1515 BROADWAY, 51ST FL, NEW YORK, NY 10036 (212) 258-  
6000 -  
3,299,000 (\$179,696,530) COMMON STOCK. (FILE 333-63180 - JUN. 15) (BR.  
7)
- S-8 EOG RESOURCES INC, 1200 SMITH ST, SUITE 300, HOUSTON, TX 77002  
(713) 651-7000 - 1,500,000 (\$61,755,000) COMMON STOCK. (FILE 333-63184  
JUN. 15) (BR. 4)
- S-8 WILLIS GROUP HOLDINGS LTD, TEN TRINITY SQUARE, LONGON ENGLAND,  
(011) 440-2074 - 45,342,500 (\$350,059,305.58) COMMON STOCK. (FILE  
333-63186 - JUN. 15) (BR. 1)
- S-2 MORGAN GROUP INC, 2746 OLD U S 20 W, PO BOX 1168, ELKHART, IN 46514  
(219) 295-2200 - 1,248,157 (\$11,233,413) COMMON STOCK. (FILE 333-63188  
JUN. 15) (BR. 5)
- S-3 SEDONA CORP, 649 NORTH LEWIS ROAD, LIMERICK, PA 19468 (610) 495-3003  
5,162,363 (\$4,646,127) COMMON STOCK. (FILE 333-63190 - JUN. 15) (BR.  
3)
- S-4 TYCO INTERNATIONAL LTD /BER/, 90 PITTS BAY ROAD,  
THE ZURICH CENTRE SECOND FLOOR, PEMROKE HM 08 BERMUDA, D0 (441) 292-  
8674  
- 56,378,221 (\$3,157,744,158) COMMON STOCK. (FILE 333-63192 - JUN. 15)  
(BR. 5)
- S-8 IVILLAGE INC, 500-512 SEVENTH AVE, NEW YORK, NY 10018 (212) 604-0963  
4,182,000 (\$29,914,960) COMMON STOCK. (FILE 333-63194 - JUN. 15) (BR.  
5)
- S-3 SOUTHWEST WATER CO, 225 N BARRANCA AVE, STE 200, WEST COVINA, CA  
91791  
(818) 915-1551 - 16,000,000 (\$16,000,000) COMMON STOCK. (FILE 333-  
63196 -  
JUN. 15) (BR. 2)

S-8 DENBURY RESOURCES INC, 5100 TENNYSON PARKWAY, #3000, STE 200, PLANO,  
TX  
75024 (972) 673-2000 - 600,000 (\$6,309,000) COMMON STOCK. (FILE 333-  
63198  
- JUN. 15) (BR. 4)

N-2 AMERICAN CAPITAL STRATEGIES LTD, 3 BETHESDA METRO CENTER, 14TH FL,  
BETHESDA, MD 20814 (301) 951-6122 - 19,076 (\$348,491,366) COMMON STOCK.  
(FILE 333-63200 - JUN. 15) (BR. 22)

S-4 WILLIAMS COMPANIES INC, ONE WILLIAMS CTR, TULSA, OK 74172 (918) 573-  
2000  
- 17,890,600 (\$1,128,360,142) COMMON STOCK. (FILE 333-63202 - JUN. 15)  
(BR. 2)

S-3 FLORIDA POWER CORP /, 3201 34TH ST SOUTH, ONE PROGRESS PLAZA,  
ST PETERSBURG, FL 33701 (727) 820-5151 - 380,000,000 (\$380,000,000)  
STRAIGHT BONDS. (FILE 333-63204 - JUN. 15) (BR. 2)

S-8 WIT SOUNDVIEW GROUP INC, 826 BROADWAY 6TH FL, NEW YORK, NY 10003  
(212) 253-4400 - 29,850,000 (\$79,102,500) COMMON STOCK. (FILE 333-  
63206 -  
JUN. 15) (BR. 7)

S-3 ASPEN TECHNOLOGY INC /DE/, TEN CANAL PARK, CAMBRIDGE, MA 02141  
(617) 949-1000 - 562,455 (\$13,743,588) COMMON STOCK. (FILE 333-63208 -  
JUN. 15) (BR. 3)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACORN PRODUCTS INC	DE					X					06/15/01	
ADAMS RITE AEROSPACE INC	CA	X						X			05/31/01	
ADVANTA BUSINESS RECIEVABLES CORP								X			06/20/01	
ALLIED PRODUCTS CORP /DE/	DE					X	X				06/15/01	
ALLOY ONLINE INC	DE					X	X				06/20/01	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				05/15/01	
AMERICAN GROUP INC/FL						X					06/18/01	
AMERICAN RESOURCES OFFSHORE INC	DE					X	X				06/20/01	
APARTMENT INVESTMENT & MANAGEMENT C	MD							X	X		06/21/01	
O												
APERIAN INC	DE							X			04/06/01	AMEND
ARGOSY GAMING CO	DE					X	X				04/12/01	
ASD GROUP INC	DE			X							06/05/01	
ASSET BACKED SEC CORP HOME EQUITY L	DE	X									06/15/01	
OAN TR 2001-HE1												
AT HOME CORP	DE					X	X				06/18/01	
ATHEROGENICS INC	GA	X									06/19/01	
AUGUST TECHNOLOGY CORP	MN					X	X				05/30/01	
AVNET INC	NY							X	X		06/20/01	
BALL CORP	IN								X		06/20/01	
BANC OF AMERICA COMMERCIAL MORTGAGE	DE					X	X				06/20/01	
INC												
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X	X				06/20/01	
BANC ONE HELOC TRUST 1998-1	OH					X	X				05/21/01	
BANC ONE HELOC 1999-1	OH					X	X				05/21/01	
BANK ONE AUTO GRANTOR TRUST 1997-A						X	X				06/20/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE	DE	X									06/01/01	
CURITIES INC												
BEAR STEARNS COMMERCIAL MORTGAGE SE	DE	X									06/01/01	
CURITIES INC												
BEAR STEARNS HOME LN OWN TR 2001-A	DE					X	X				06/15/01	
HOME LN BCKD NT SR 2001-A												
BENTON OIL & GAS CO	DE					X					06/19/01	
BETHLEHEM STEEL CORP /DE/	DE								X		06/20/01	
BLUE RHINO CORP	DE					X	X				06/20/01	
BRIGHTPOINT INC	DE					X	X				06/18/01	
CALENERGY MINERALS LLC	DE					X	X				06/20/01	
CALENERGY OPERATING CORP	DE					X	X				06/20/01	
CALLAWAY GOLF CO /CA	DE					X		X			06/15/01	
CAMPBELL RESOURCES INC /NEW/						X	X	X			06/13/01	
CAPITAL AUTO RECEIVABLES INC	DE					X	X				06/21/01	
CASTLE A M & CO	DE					X	X				06/05/01	
CATHAYONE INC	DE			X			X	X			06/15/01	
CCC GLOBALCOM CORP	NV		X					X			06/18/01	AMEND
CE GENERATION LLC	DE					X	X				06/21/01	
CE SALTON SEA INC	DE					X	X				06/20/01	
CE TURBO LLC	DE					v	X				06/20/01	
CHASE MORTGAGE FINANCE CORP	DE					X	X				05/03/01	
CHASE MORTGAGE FINANCE CORP	DE					X	X				05/05/01	
CHIEFTAIN INTERNATIONAL INC	AO					X	X				06/19/01	
CHRISKEN PARTNERS CASH INCOME FUND	DE					X					06/21/01	
L P												

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CITIZENS COMMUNICATIONS CO	DE					X	X				06/19/01	
CLICKACTION INC	DE					X					06/15/01	
COAST FEDERAL LITIGATION CONTINGENT PAYMENT RIGHTS TRUST	DE					X	X				06/19/01	
COMMERCIAL MORTGAGE PASS THROUGH CE RTIFICATES SERIES 1999 C2	DE	X									06/15/01	
CONEJO ENERGY CO	CA					X	X				06/20/01	
CONSECO FINANCE CORP	DE					X	X				06/15/01	
CONSECO FINANCE CORP	DE					X	X				06/15/01	
CONSECO FINANCE SECURITIZATIONS CORP	DE					X	X				06/15/01	
P CONSECO FINANCE SECURITIZATIONS CORP	DE					X	X				06/15/01	
P CONVERGYS CORP	OH					X	X				04/06/01	
COOPER COMPANIES INC	DE					X	X				06/14/01	
COOPER INDUSTRIES INC	OH						X	X			06/21/01	
CORMAX BUSINESS SOLUTIONS INC	UT						X				04/04/01	AMEND
COUNTRYWIDE HOME LOAN TRUST HOME LO AN BAC NO SER 2001-HLV1	NY	X									06/11/01	
CRAFTCLICK COM INC	DE	X	X				X				06/06/01	
CRITICAL PATH INC	CA					X	X				06/18/01	
CROWN PAPER CO	VA		X				X				06/20/01	
CROWN VANTAGE INC	VA		X				X				06/20/01	
CYBERTEL COMMUNICATIONS CORP	NV		X				X				12/30/99	AMEND
D & K HEALTHCARE RESOURCES INC	DE					X	X				06/20/01	
DATA CRITICAL CORP	DE		X				X				06/07/01	
DATAMARINE INTERNATIONAL INC	MA						X				06/21/01	
DEL RANCH LP	CA					X	X				06/20/01	
DELIAS CORP	DE					X	X				06/06/01	
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE					X	X				05/31/01	
DEUTSCHE RECREATIONAL ASSET FUNDING CORP	NV					X	X				05/31/01	
DISTRIBUTION FINANCIAL SERVICES MAR INE TRUST 1999-2	NY					X	X				05/31/01	
DISTRIBUTION FINANCIAL SERVICES RV TRUST 1999-1	NY					X	X				05/31/01	
DISTRIBUTION FINANCIAL SERVICES RV TRUST 1999-3	NY					X	X				05/31/01	
DRUMMOND FINANCIAL CORP	DE	X									06/19/01	
DSTAGE COM INC	DE	X									06/15/01	
DVI RECEIVABLES CORP	DE						X				05/31/01	
DVI RECEIVABLES CORP VIII	DE						X				05/31/01	
EAGLE WIRELESS INTERNATIONAL INC	TX						X	X			06/18/01	
EARTHWATCH INC	DE					X	X				06/14/01	
ECLIPSE SURGICAL TECHNOLOGIES INC	CA					X	X				06/15/01	
ELMORE LP	CA					X	X				06/20/01	
ELOT INC	VA					X					06/18/01	
EMACHINES INC /DE/	DE					X	X				06/20/01	
EMCEE BROADCAST PRODUCTS INC	DE					X					06/18/01	
ENVIRONMENTAL POWER CORP	DE					X	X	X			06/21/01	
ENZON INC	DE					X					06/21/01	
ESB FINANCIAL CORP	PA					X	X				06/20/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
VOILA EUROPEAN CAFES INC	NV	X									02/13/01	
EVERGREEN BANCORP INC	DE	X			X	X					06/20/01	
EXECUTIVE HELP SERVICES INC	DE				X						06/30/01	
FINANCIAL ASSET SE CP SOUNDVIEW HM EQ LN AS BK CER SE 2001-1	DE	X									06/15/01	
FIRST BANK CORPORATE CARD MASTER TR UST	NY				X	X					06/20/01	
FIRST DEARBORN INCOME PROPERTIES LP	DE	X									05/03/01	
FIRST INDIA DIVERSIFIED HOLDINGS IN C	NY				X						05/28/01	
FIRST SIERRA RECEIVABLES III INC	DE	X				X					06/01/01	
FIRST UNION COMM MORT TRUST COMM MO R PAS THR CER SER 1999-C1	NY	X									06/15/01	
FIRST UNION COMM MORT TRUST COMM MO R PAS THR CER SER 1999-C4	NY	X									06/15/01	
FIRST UNION COMM MORT TRUST COMM MO R PAS THR CER SER 2000-C1	NY	X									06/15/01	
FIRST UNION COMM MORT TRUST COMM MO R PAS THR CER SER 2000-C2	NY	X									06/15/01	
FISH LAKE POWER LLC	DE				X	X					06/20/01	
FRANKLIN RECEIVABLES LLC	DE				X	X					05/31/01	
GENERAL EMPLOYMENT ENTERPRISES INC	IL				X						06/06/01	
GENESEE CORP	NY				X	X					06/20/01	
GEXA CORP	TX	X			X	X	X				06/21/01	
GLOBAL ELECTION SYSTEMS INC					X	X					06/21/01	
GOAMERICA INC	DE					X					08/31/00	AMEND
GOLDEN TRIANGLE INDUSTRIES INC/ GREAT LAKES REIT	CO				X						06/16/01	
GREAT LAKES REIT	MD				X	X					06/20/01	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN				X	X					06/15/01	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN				X	X					06/15/01	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN				X	X					06/15/01	
GS MORTGAGE SEC CORP II COMM MORT P A THRO CERT SER 1997-GL1	DE	X									06/01/01	
HARVARD SCIENTIFIC CORP	NV	X	X				X				06/08/01	
HAYES LEMMERZ INTERNATIONAL INC	DE						X	X			06/21/01	
HONDA AUTO LEASE TRUST 1999A	DE						X	X			05/31/01	
HONDA AUTO RECEIVABLES 1999-1 OWNER TRUST	CA						X	X			05/31/01	
HONDA AUTO RECEIVABLES 2000-1 OWNER TRUST	CA						X	X			05/31/01	
HONDA AUTO RECEIVABLES 2001-1 OWNER TRUST							X	X			05/31/01	
HOUSEHOLD CONSUMER LOAN TRUST 1996- 1	DE	X									06/20/01	
HOUSEHOLD CONSUMER LOAN TRUST 1996- 2	DE	X									06/20/01	
HOUSEHOLD CONSUMER LOAN TRUST 1997- 1	DE	X									06/20/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HOUSEHOLD CONSUMER LOAN TRUST 1997- 2	DE	X									06/20/01	
HOUSEHOLD CREDIT CARD MASTER NOTE T RUST I	DE	X									05/21/01	
HOUSEHOLD FINANCE CORP HOUSEHOLD AF F CRE CAR MAS TR I	DE	X									06/20/01	
HOUSEHOLD FINANCE CORP HOUSEHOLD CO NSUMER LN TRUST 1995-1 /	DE	X									06/15/01	
HUNTCO INC	MO		X		X	X					06/08/01	
IGEN INTERNATIONAL INC /DE	DE				X						06/20/01	
INFONAUTICS INC	PA				X	X					06/21/01	
INHALE THERAPEUTIC SYSTEMS INC	DE				X	X					06/20/01	
INHALE THERAPEUTIC SYSTEMS INC	DE				X	X					06/20/01	AMEND
INSPIRE PHARMACEUTICALS INC	DE				X						06/20/01	
INTERMEDIA COMMUNICATIONS INC	DE				X	X					06/20/01	
INTERNATIONAL COSMETICS MARKETING C O	FL				X						06/21/01	
INTERNET CAPITAL VENTURES & ASSOC I NC	DE	X	X			X	X				06/04/01	
ITC DELTACOM INC	DE				X	X					06/20/01	
JANEX INTERNATIONAL INC	CO					X					02/15/01	AMEND
JCC HOLDING CO	DE				X	X					06/15/01	
JONES APPAREL GROUP INC	PA				X	X					06/19/01	
KIDSTOYSPLUS COM INC	NV				X	X					06/20/01	
KINETIC CONCEPTS INC /TX/	TX				X	X					06/18/01	
KOPPERS INDUSTRIES INC	PA				X	X					05/31/01	
LEATHERS L P	CA				X	X					06/20/01	
LEHMAN ABS CORP	DE				X	X					06/14/01	
LEVI STRAUSS & CO	DE				X	X					05/27/01	
LIFEPOINT HOSPITALS INC	DE					X	X	X			06/19/01	
LTV CORP	DE				X	X					06/20/01	
LUMENON INNOVATIVE LIGHTWAVE TECHNO LOGY INC	DE				X	X					06/20/01	
LUMINEX CORP	DE				X	X					06/20/01	
M WAVE INC	DE				X	X					06/18/01	
MARGATE INDUSTRIES INC	DE				X	X					06/12/01	
MAVERICK TUBE CORPORATION	DE					X					06/01/01	
MAYTAG CORP	DE				X	X					06/21/01	
MEDIFAST INC	DE				X						06/14/01	
MELLON AUTO GRANTOR TRUST 2000-2					X	X					06/15/01	
MELLON RESIDENTIAL FUNDING CORP	DE				X	X					06/19/01	
MERCANTILE BANKSHARES CORP	MD				X	X					06/20/01	
MEREDITH CORP	IA					X					06/19/01	
MERIDIAN BIOSCIENCE INC	OH				X						06/13/01	
MERRILL LYNCH DEPOSITOR INC	DE				X	X					06/01/01	
MERRILL LYNCH DEPOSITOR INC	DE				X	X					06/01/01	
MERRILL LYNCH DEPOSITOR INC	DE				X	X					06/15/01	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES BLS-1	DE				X	X					06/01/01	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES CCR-1	NY				X	X					06/15/01	
META GROUP INC	DE					X					06/15/01	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
MICREL INC	CA					X						06/21/01	
MICROSTRATEGY INC	DE					X						06/14/01	
MMCA AUTO OWNER TRUST 1999-2	DE					X	X					06/15/01	
MMCA AUTO OWNER TRUST 2000-1	DE					X	X					06/15/01	
MMCA AUTO OWNER TRUST 2000-2	DE					X	X					06/15/01	
MMCA AUTO OWNER TRUST 2001-1	DE					X	X					06/15/01	
MONARCH SERVICES INC	MD		X									06/14/01	
MORGAN STANLEY DEAN WITTER & CO	DE					X	X					06/21/01	
MSC SOFTWARE CORP	DE						X					06/15/01	
MYLAN LABORATORIES INC	PA	X										06/20/01	
NATIONSLINK FUNDING CORP COMM MORT PASS THR CER SER 1999 SL	DE	X										06/01/01	
NETSOL INTERNATIONAL INC	NV			X				X				06/20/01	
NET2PHONE INC	DE					X	X					06/11/01	
NEW WORLD COFFEE MANHATTAN BAGEL IN C	DE					X						06/19/01	
NIGUEL ENERGY CO	CA					X	X					06/20/01	
NORTHWEST AIRLINES CORP	DE						X					06/20/01	
NOVA NATURAL RESOURCES CORP	CO						X					03/31/01	AMEND
NT MEDIA CORP OF CALIFORNIA INC	DE	X			X	X	X	X				04/17/01	AMEND
NUVEEN JOHN COMPANY	DE					X	X					06/15/01	
OAKWOOD MORTGAGE INVESTORS INC OMI TRUST 2001-C	NV					X	X					06/15/01	
OFFICE DEPOT INC	DE					X	X					06/20/01	
OVERSEAS SHIPHOLDING GROUP INC	DE					X						06/19/01	
PAPER COMPUTER CORP	NV	X										06/15/01	
PARADISE MUSIC & ENTERTAINMENT INC	DE		X					X				06/22/01	
PARAGON TRADE BRANDS INC	DE					X	X					06/20/01	
PARKWAY PROPERTIES INC	MD					X	X					06/30/01	
PENTON MEDIA INC	DE					X	X					06/21/01	
PEOPLES BANK CREDIT CARD MASTER TRU ST	CT					X	X					05/31/01	
PEOPLESWAY COM INC	NV					X						06/15/01	
PER SE TECHNOLOGIES INC	DE					X		X				06/14/01	
PETROCORP INC	TX		X					X				06/06/00	
PHARMACEUTICAL FORMULATIONS INC	DE					X						06/12/01	
PHOENIX WASTE SERVICES CO INC	NJ					X						06/21/01	
POLAROID CORP	DE					X	X					06/20/01	
PRANDIUM INC	DE					X	X					06/15/01	
PROVELL INC	MN					X						06/19/01	
PRUDENTIAL SECURITIES SEC FIN CORP MOR PAS THR CER 2001-C1	DE	X										06/01/01	
PS FINANCIAL INC	DE					X	X					06/13/01	
PULASKI BANCORP INC						X	X					05/25/01	
QWEST COMMUNICATIONS INTERNATIONAL INC	DE							X	X			06/19/01	
QWEST COMMUNICATIONS INTERNATIONAL INC	DE					X	X					06/20/01	
RAINING DATA CORP	DE							X				12/01/00	AMEND
RAYOVAC CORP	WI					X	X					06/20/01	
RBC CENTURA BANKS INC	NC	X						X				06/05/01	
REAL ESTATE OPPORTUNITIES INC	CO		X									06/21/01	



NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
RELIANT ENERGY INC	TX							X	X		06/20/01	
RELIANT RESOURCES INC	DE							X	X		06/20/01	
RES CARE INC /KY/	KY					X					06/19/01	
RESEARCH INC /MN/	MN					X	X				06/13/01	
RESIDENTIAL ACCREDIT LOANS INC	DE					X	X				06/21/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X	X				06/21/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X				06/19/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X	X				06/20/01	
RITE AID CORP	DE					X					06/12/01	
ROWE COMPANIES	NV					X					06/21/01	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST KEPSCO SERIES 1997	NY	X									12/31/00	
SALTON SEA BRINE PROCESSING L P	CA					X	X				06/20/01	
SALTON SEA FUNDING CORP	DE					X	X				06/20/01	
SALTON SEA MINERALS CORP	DE					X	X				06/20/01	
SALTON SEA POWER GENERATION L P	CA					X	X				06/20/01	
SALTON SEA POWER LLC	DE					X	X				06/20/01	
SALTON SEA ROYALTY CO	DE					X	X				06/20/01	
SAN FELIPE ENERGY CO						X	X				06/20/01	
SANGSTAT MEDICAL CORP	DE					X	X				06/20/01	
SCOTIA PACIFIC CO LLC	DE								X		06/20/01	
SE GLOBAL EQUITIES CORP	MN					X					06/07/01	AMEND
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X	X				06/15/01	
SEMCO ENERGY INC	MI							X			06/18/01	
SENIOR HOUSING PROPERTIES TRUST	MD					X	X				06/18/01	
SIERRA BANCORP	CA					X	X				06/14/01	
SILICON IMAGE INC	DE	X					X				06/07/01	
SOFTTECH INC	MA					X	X				06/21/01	
SOLITRON DEVICES INC	DE					X	X				06/20/01	
SONIC SOLUTIONS/CA/	CA					X	X				06/19/01	
SONUS NETWORKS INC	DE					X	X				05/11/01	
STATEFED FINANCIAL CORP	DE	X									06/19/01	
STEWART ENTERPRISES INC	LA					X					06/21/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	

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		1	2	3	4	5	6	7	8	9		
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				04/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				05/02/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X	X				05/30/01	
SUN BANCORP INC	PA					X	X				06/01/01	
SUNSOURCE INC	DE					X	X				06/18/01	
SUSQUEHANNA BANCSHARES INC	PA					X	X				06/15/01	
TAB PRODUCTS CO	DE					X	X	X			06/20/01	
TALK VISUAL CORP	NV	X					X				06/06/01	
TELLABS INC	DE					X					06/21/01	
THAON COMMUNICATIONS INC	NV						X				04/06/01	AMEND
TRIAD INDUSTRIES INC	NV	X									06/30/01	
TRICORD SYSTEMS INC /DE/	DE					X					06/21/01	
UAL CORP /DE/	DE					X					06/20/01	
UNB CORP/OH	OH	X									06/15/01	
UNIVERSAL BANK NA	DE					X					05/31/01	
UNIVERSAL BANK NA	DE					X					05/31/01	
UNIVERSAL EXPRESS INC/	NV	X									05/22/01	
VPC GEOTHERMAL LLC	DE					X	X				06/20/01	
VULCAN POWER CO /NV	NV					X	X				06/20/01	
VULCAN/BN GEOTHERMAL POWER CO	NV					X	X				06/20/01	
WASHINGTON GAS LIGHT CO	DC	X									06/21/01	
WASTEMASTERS INC	MD	X									06/20/01	
WEINERS STORES INC	DE						X	X			06/20/01	
WEINGARTEN REALTY INVESTORS /TX/	TX					X					01/29/01	AMEND
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-13	DE					Y	X				05/30/01	
WELLS FARGO ASSET SEC CORP MOR PS T HR CER SER 2001-10	NY					X	X				05/30/01	
WGL HOLDINGS INC	VA	X									06/21/01	
WHITEWING LABS INC	CA	X					X				06/05/01	
WORLD COMMERCE ONLINE INC	DE	X									06/06/01	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH						X				06/15/01	
XCARE NET INC	DE	X					X				06/07/01	
XTO ENERGY INC	DE					X					06/11/01	
YOUNETWORK CORP	DE					X					06/15/01	
ZMP INC	CA	X					X	X			05/31/01	
4-D NEUROIMAGING	CA					X	X				04/26/01	AMEND