

sec news digest

Issue 92-218

November 10, 1992

CIVIL PROCEEDINGS

ENFORCEMENT PROCEEDINGS INSTITUTED AGAINST THE MIDWEST CLEARING CORPORATION AND THE MIDWEST SECURITIES TRUST COMPANY

The Commission and the Board of Governors of the Federal Reserve System announced enforcement proceedings against the Midwest Clearing Corporation (MCC) and the Midwest Securities Trust Company (MSTC). The Commission announced that on October 29, 1992 it filed a complaint in the U.S. District Court for the Northern District of Illinois seeking an order of permanent injunction and a civil penalty against MCC and MSTC based upon violations of the antifraud, books and records, rule making, customer protection and clearing agency registration requirements of the federal securities laws as well as MCC's and MSTC's own rules. Simultaneously, the Commission filed MCC's and MSTC's Consent and Stipulation to the entry of an order of permanent injunction which enjoins them from violating and/or aiding and abetting violations of the provisions described above and orders MCC and MSTC to jointly pay a \$2 million civil penalty.

On this same day, the Federal Reserve Board announced the issuance of a cease and desist order against MSTC based upon the same conduct underlying the Commission's injunctive action. [SEC v. Midwest Clearing Corporation and Midwest Securities Trust Company, N.D. Ill., Case No. 92-C-7191, N.D. Ill.] (LR-13427)

CRIMINAL PROCEEDINGS

RICHARD STEINBERG SENTENCED

The Commission and the U.S. Attorney for the District of Colorado announced that on October 16, 1992 U.S. District Court Judge Zita Weinshienk sentenced Richard H. Steinberg to 18 months imprisonment and to pay restitution of \$163,126.42 subject to a restitution hearing scheduled for November 30, 1992.

Previously, on July 2, 1992, Steinberg pleaded guilty to conspiracy to violate the securities laws and to structuring transactions to evade reporting requirements. He admitted that he participated in a scheme to manipulate the price of the securities of Corporate Quest, Inc. by using prearranged trades through controlled and nominee accounts, which trades were paid for with worthless checks.

The case was investigated by the Colorado U.S. Attorney's Securities and Commodities Fraud Task Force. Commission staff members rendered technical assistance and support to the Task Force. [U.S. v. Richard Steinberg, Criminal Action No. 92-CR- 174, USDC Colo.] (LR-13428)

INVESTMENT COMPANY ACT RELEASES

DELAWARE GROUP TREND FUND, INC., ET AL.

A conditional order has been issued under Section 6(c) of the Investment Company Act on an application by Delaware Group Trend Fund, Inc. and other members of the Delaware Group of investment companies, Delaware Management Company, Inc., Delaware International Advisers Ltd. and Delaware Distributors, Inc. granting an exemption from Sections 18(f), 18(g) and 18(i) of the Act. The order permits the creation of a multiple class distribution system relating solely to investment companies of the Delaware Group that do not declare a daily dividend. (Rel. IC-19086 - November 6)

HOLDING COMPANY ACT RELEASES

ENTERGY CORPORATION, ET AL.

A notice has been issued to provide all interested persons until November 30 to request a hearing on a proposal by Entergy Corporation (Entergy), a registered holding company, its service company subsidiary, Entergy Services, Inc., its nuclear power plant operations subsidiary, Entergy Operations, Inc., and a new Entergy subsidiary, Entergy-GSU Holdings, Inc., under which Entergy proposes the combination of Entergy and Gulf States Utilities, a publicly owned electric and gas utility company that is engaged in the generation, transmission, distribution and sale of electric power at retail and wholesale and in the purchase of electric power at wholesale. Entergy has been authorized to solicit proxies from holders of its common stock, as is required for approval of the proposal. (Rel. 35-25669)

ALLEGHENY GENERATING COMPANY

A notice has been issued giving interested persons until November 30 to request a hearing on a proposal by Allegheny Generating Company, a subsidiary of Allegheny Power System, a registered holding company, to extend until December 31, 1994 the time in which it may issue and sell its medium-term notes in an aggregate principal amount not exceeding \$112.025 million. (Rel. 35-25670)

CENTRAL AND SOUTH WEST CORPORATION

A notice has been issued giving interested persons until November 30 to request a hearing on a proposal by Central and South West Corporation (CSW), a registered electric utility holding company. CSW requests an extension of previously granted authority, through December 31, 1993, to purchase up to \$500 million aggregate principal amount of CSW common stock. The common stock would be placed in a leveraged employee stock ownership plan, which is part of CSW's Employees' Thrift Plan. (Rel. 35-25670)

ENTERGY CORPORATION

A notice has been issued giving interested persons until November 30 to request a hearing on a proposal by Entergy Corporation, a registered holding company, to extend its period of authorization from December 31, 1992 to December 31, 1994 to acquire shares of its common stock (Common Stock). In addition, Entergy proposes to acquire, through December 31, 1994, up to an aggregate amount of 17,513,739 additional shares of Common Stock. (Rel. 35-25670)

LOUISIANA POWER & LIGHT COMPANY

A notice has been issued giving interested persons until November 30 to request a hearing on a proposal by Louisiana Power & Light Company (LP&L), an electric public-utility subsidiary of Entergy Corporation, a registered holding company. LP&L proposes to increase by \$125 million the amount of first mortgage bonds (Bonds) it is authorized to issue and sell and to increase by \$85 million the amount of preferred stock (Preferred) it is authorized to issue and sell. LP&L also proposes to extend the date through which the Bonds, Preferred and its tax-exempt revenue bonds, including remaining authority currently outstanding, may be issued and sold to December 31, 1994. Further, LP&L proposes to extend the date through which it may acquire its outstanding first mortgage bonds, preferred stock and tax-exempt bonds to December 31, 1994. LP&L has been granted authorization to begin negotiations for the public offering or private placement of these additional amounts of Bonds and Preferred (Rel. 35-25670)

ENERGY INITIATIVES, INC., ET AL.

A notice has been issued to provide all interested persons until November 30 to request a hearing on a proposal by Energy Initiatives, Inc., an indirect subsidiary company of General Public Utilities Corporation, a registered holding company, and OLS Acquisition Corporation (OLS Acquisition), an indirect subsidiary company of EII, under which OLS Acquisition would declare an \$880,000 dividend which would be paid from unearned surplus. (Rel. 35-25670)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The Depository Trust Company filed a proposed rule change (SR-DTC-92-11) to eliminate short positions remaining in a retired participant's account. Publication of the proposal is expected in the Federal Register during the week of November 9. (Rel. 34-31415)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

REGISTRATIONS

- S-18 KALININGRAD FUND, 1919 PENNSYLVANIA AVENUE N W SUITE 600, WASHINGTON, DC 20006
(202) 296-9400 - 750,000 (\$7,500,000) COMMON STOCK. (FILE 33-53740-A - OCT. 26)
(BR. 14 - NEW ISSUE)
- S-1 GOLF RESERVATIONS OF AMERICA INC, 13400 RIVERSIDE DR 108, SHERMAN OAKS, CA 91423
(818) 091-0285 - 2,745,000 (\$15,485,115) COMMON STOCK. UNDERWRITER:
FIRST MADISON SECURITIES INC. (FILE 33-53974 - NOV. 02) (BR. 12 - NEW ISSUE)
- S-1 WORLD OMNI RECEIVABLES CORP, 120 NW 12TH AVE, DEERFIELD BEACH, FL 33442
(305) 429-2200 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-53976 -
NOV. 02) (BR. 12)
- S-1 DIAMOND ENTERTAINMENT CORP, 1395 MANASSER ST, ANAHEIM, CA 92807 (212) 697-7580 -
3,916,319 (\$9,993,654) COMMON STOCK. UNDERWRITER: HIBBARD BROWN & CO INC. (FILE
33-53978 - NOV. 02) (BR. 11)
- S-2 REALTY REFUND TRUST, 1385 EATON CENTER, 1111 SUPERIOR AVE, CLEVELAND, OH 44114
(216) 771-7663 - 1,020,586 (\$9,185,274) COMMON SHARES OF BENEFICIAL INTEREST. (FILE
33-53984 - NOV. 02) (BR. 5)
- S-8 TCF FINANCIAL CORP, 801 MARQUETTE AVE STE 302, MINNEAPOLIS, MN 55402 (612) 370-7000
- 300,000 (\$7,725,000) COMMON STOCK. (FILE 33-53986 - NOV. 02) (BR. 1)
- S-8 AJLT INC, 7300 BOONE AVE NORTH, MINNEAPOLIS, MN 55428 (612) 493-1900 - 200,000
(\$476,000) COMMON STOCK. (FILE 33-53988 - NOV. 02) (BR. 3)
- S-8 PROTOCOL SYSTEMS INC/NEW, 8500 S W CREEKSIDE PLACE, BEAVERTON, OR 97005
(503) 526-8500 - 300,000 (\$1,837,500) COMMON STOCK. (FILE 33-53992 - NOV. 02) (BR. 8)
- S-6 21ST CENTURY TRUST SERIES 7, 201 PROGRESS PKWY, C/O UNISON INVESTMENT TRUSTS LTD,
MARYLAND HEIGHTS, MO 63043 - 50,000 (\$1,050,000) UNIT INVESTMENT TRUST. DEPOSITOR:
UNISON INVESTMENT TRUSTS LTD. (FILE 33-5. 78 - OCT. 30) (BR. 17 - NEW ISSUE)
- N-1A MANOVER INVESTMENT FUNDS INC, 230 PARK AVE, NEW YORK, NY 10169 (800) 821-2371 -
INDEFINITE SHARES. (FILE 33-54012 - OCT. 30) (BR. 17 - NEW ISSUE)
- N-1A GABELLI INVESTOR FUNDS INC, ONE CORPORATE CENTER, REY, NY 10580 (800) 422-3554 -
INDEFINITE SHARES. (FILE 33-54016 - OCT. 30) (BR. 17 - NEW ISSUE)
- F-1 ISG INTERNATIONAL SOFTWARE GROUP LTD, TECHNION CITY, HAIFA, L3 32000 (508) 651-3888
- 1,150,000 (\$8,050,000) FOREIGN COMMON STOCK. UNDERWRITER:
BARINGTON CAPITAL GROUP LP, OSCAR GRUSS & SON INC, PENNSYLVANIA MERCHANT GROUP LTD.
(FILE 33-54020 - OCT. 30) (BR. 9 - NEW ISSUE)
- S-4 NORVEST CORP, NORVEST CTR, SIXTH & MARQUETTE, MINNEAPOLIS, MN 55479 (612) 667-1234
- 363,526 (\$3,989,323) COMMON STOCK. (FILE 33-54050 - NOV. 02) (BR. 2)
- S-3 TRENWICK GROUP INC, ONE STATION PL, METRO CENTER, STAMFORD, CT 06902 (203) 353-5500
- 86,250,000 (\$86,250,000) CONVERTIBLE DEBENTURES AND NOTES. UNDERWRITER:
GOLDMAN SACHS & CO. (FILE 33-54054 - NOV. 02) (BR. 10)
- S-3 INDIANA MICHIGAN POWER CO, ONE SUMMIT SQ, P O BOX 60, FORT WAYNE, IN 46801
(219) 425-2111 - 300,000 (\$30,000,000) PREFERRED STOCK. (FILE 33-54058 - NOV. 02)
(BR. 8)

REGISTRATIONS CONTINUED

- S-B NEWPARK RESOURCES INC, 3850 W CAUSEWAY BLVD STE 1770, METAIRIE, LA 70002
(504) 838-8222 - 350,000 (\$3,237,500) COMMON STOCK. (FILE 33-54060 - NOV. 02) (BR. 8)
- S-B TELEVIDEO SYSTEMS INC, 550 E BROKAW RD, PO BOX 49048, SAN JOSE, CA 95161
(408) 954-8333 - 4,600,000 (\$2,012,500) COMMON STOCK. (FILE 33-54062 - NOV. 02)
(BR. 10)
- S-B HEALTHDYNE INC, 1850 PKWY PL 12TH FL, MARIETTA, GA 30067 (404) 423-4500 - 100,000
(\$875,000) COMMON STOCK. (FILE 33-54070 - NOV. 02) (BR. 6)
- S-B DURACELL INTERNATIONAL INC, BERKSHIRE INDUSTRIAL PARK, BETHEL, CT 06801
(203) 796-4000 - 8,000,000 (\$267,500,000) COMMON STOCK. (FILE 33-54072 - NOV. 02)
(BR. 3)
- S-3 EXCALIBUR TECHNOLOGIES CORP, 2000 CORPORATE RIDGE STE 1095, MCLEAN, VA 22102
(703) 790-2110 - 65,000 (\$780,000) COMMON STOCK. (FILE 33-54074 - NOV. 02) (BR. 10)
- S-B FLOW INTERNATIONAL CORP, 21440 68TH AVE S, KENT, WA 98032 (206) 850-3500 - 36,363
(\$199,996) COMMON STOCK. (FILE 33-54076 - NOV. 02) (BR. 10)
- S-B NATIONAL REHABILITATION CENTERS INC /TN, 111 WESTWOOD STE 210, BRENTWOOD, TN 37027
(615) 377-2937 - 100,000 (\$1,131,000) COMMON STOCK. (FILE 33-54078 - NOV. 02) (BR. 6)
- S-B VERTEX INDUSTRIES INC, 23 CAROL ST, CLIFTON, NJ 07014 (201) 777-3500 - 70,000
(\$481,250) COMMON STOCK. (FILE 33-54080 - NOV. 02) (BR. 10)
- S-B NATIONAL REHABILITATION CENTERS INC /TN, 111 WESTWOOD STE 210, BRENTWOOD, TN 37027
(615) 377-2937 - 126,000 (\$1,447,680) COMMON STOCK. (FILE 33-54082 - NOV. 02) (BR. 6)
- S-B NOBLE AFFILIATES INC, 110 W BROADWAY, ARDMORE, OK 73401 (405) 223-4110 - 2,000,000
(\$36,629,000) COMMON STOCK. (FILE 33-54084 - NOV. 02) (BR. 3)
- S-B HEALTHDYNE INC, 1850 PKWY PL 12TH FL, MARIETTA, GA 30067 (404) 423-4500 - 400,000
(\$3,500,000) COMMON STOCK. (FILE 33-54086 - NOV. 02) (BR. 6)
- S-B INFORMATION INTERNATIONAL INC, 5933 SLAUSON AVE, CULVER CITY, CA 90230
(213) 390-8611 - 250,000 (\$2,687,500) COMMON STOCK. (FILE 33-54090 - NOV. 02) (BR. 10)
- S-B SOUTHWEST BANCSHARES INC /NEW/, 4062 SOUTHWEST HWY, HOMETOWN, IL 60456
(708) 636-2700 - 214,400 (\$2,144,000) COMMON STOCK. (FILE 33-54112 - NOV. 02) (BR. 2)
- S-B SOUTHWEST BANCSHARES INC /NEW/, 4062 SOUTHWEST HWY, HOMETOWN, IL 60456
(708) 636-2700 - 65,600 (\$656,000) COMMON STOCK. (FILE 33-54114 - NOV. 02) (BR. 2)
- S-3 RESOURCE MORTGAGE CAPITAL INC, 11500 LITTLE PATUXENT PKWY, COLUMBIA, MD 21044
(410) 715-2000 - 201,032 (\$4,435,268) COMMON STOCK. (FILE 33-54118 - NOV. 02) (BR. 5)
- S-3 WAL MART STORES INC, 702 SW 8TH ST, BENTONVILLE, AR 72716 (501) 273-4000 -
274,281,000 (\$274,281,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. UNDERWRITER:
GOLDMAN SACHS & CO. (FILE 33-54130 - NOV. 02) (BR. 2)
- S-3 ENRON CORP, 1400 SMITH ST, HOUSTON, TX 77002 (713) 853-6161 - 395,935 (\$19,549,290)
COMMON STOCK. (FILE 33-54132 - NOV. 02) (BR. 7)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ OWNED	CUSIP/ PRIOR%	FILING STATUS
AEP INDS INC ENRMAN WILLIAM ET AL	COM 13D	10/27/92	469 9.7	00103110 8.4	UPDATE
AMERN CTY BUSINESS JRNL INC SHAW PUBLISHING ET AL	COM 13D	10/31/92	1,850 57.6	02514310 31.1	UPDATE
AMPHENOL CORP DEGEORGE LAWRENCE J ET AL	CL A 13D	10/28/92	11,532 38.4	03209420 38.4	UPDATE
ARCHIVE CORP ALPINE ASSOCIATES ET AL	COM 13D	10/30/92	794 6.0	03957510 0.0	NEW
AUDIO KING CORP THORNE HENRY GARFIELD	COM 13D	4/22/92	128 4.7	05099510 4.6	UPDATE
BAROID CORP NEW SIMMONS HAROLD C. ET AL	COM 13D	11/ 5/92	13,675 18.4	06827710 19.2	UPDATE
CALLAWAY GOLF CO GENERAL ELEC PENS TR ET AL	COM 13D	11/ 5/92	997 14.0	13119310 39.0	UPDATE
CALNETICS CORP EDWARD FRED E & EVELYN P	COM 13D	8/20/92	202 7.2	13133010 14.3	UPDATE
CARDIAC SCIENCE INC CYTOCARE INC	COM 13D	10/ 1/92	3,451 34.7	14141010 27.1	UPDATE
CARDIAC SCIENCE INC PAYNE ERROL G	COM 13D	10/ 1/92	503 6.9	14141010 6.9	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
CLIFFS DRILLING CO	COM		142	18699010	
UNITED CAPITOL INS CO ET AL	13D	11/ 4/92	4.7	6.3	UPDATE
DELTA COMPUTEC INC	COM		1,000	24750910	
WILLCOX GIBBS DATA NET ET AL	13D	10/31/92	24.5	0.0	NEW
EVRO FINANCIAL CORP	COM		14,100	26927020	
AMERICAN CLINICAL LABS INC	13D	10/19/92	88.0	0.0	NEW
GALACTIC RESOURCES LTD	COM		14,125	36290310	
HOMESTAKE MNG CO	13D	10/26/92	19.5	20.9	UPDATE
GENERAL MTRS CORP	CL M		32,904	37044250	
MUGHES HOWARD MEDICAL INST	13D	10/15/92	54.7	56.2	UPDATE
HYDE ATHLETIC INDS INC	COM		239	44863210	
FIDELITY INTL LTD	13D	11/ 2/92	9.0	7.1	UPDATE
HYDE ATHLETIC INDS INC	COM		239	44863210	
FMR CORP	13D	11/ 2/92	9.0	7.1	UPDATE
KEMET CORP	COM		158	48836010	
BOST EDWIN H	13D	10/28/92	1.0	0.0	NEW
KEMET CORP	COM		261	48836010	
CASH D RAY	13D	10/28/92	1.6	0.0	NEW
KEMET CORP	COM		158	48836010	
CROWLEY HARRIS L JR	13D	10/28/92	1.0	0.0	NEW
KEMET CORP	COM		261	48836010	
HAWTHORNTHWAITE BRIAN G	13D	10/28/92	1.6	0.0	NEW
KEMET CORP	COM		261	48836010	
JEROZAL JAMES J	13D	10/28/92	1.6	0.0	NEW
KEMET CORP	COM		1,044	48836010	
MAGUIRE DAVID E	13D	10/28/92	6.5	0.0	NEW
KEMET CORP	COM		261	48836010	
MARTIN KENNETH L	13D	10/28/92	1.6	0.0	NEW
KEMET CORP	COM		261	48836010	
PIPER JOHN	13D	10/28/92	1.6	0.0	NEW
KEMET CORP	COM		158	48836010	
POINSETTE DONALD J	13D	10/28/92	1.0	0.0	NEW
KEMET CORP	COM		158	48836010	
SCHEUMANN BERND K	13D	10/28/92	1.0	0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
KEMET CORP	COM		261	48836010	
SPEARS GLENN H	13D	10/28/92	1.6	0.0	NEW
KEMET CORP	COM		158	48836010	
TAYLOR ROBERT A JR	13D	10/28/92	1.0	0.0	NEW
KEMET CORP	COM		522	48836010	
VOLPE CHARLES E	13D	10/28/92	3.3	0.0	NEW
MARIETTA CORP	COM		299	56763410	
FIDELITY INTL LTD	13D	11/ 2/92	8.4	7.2	UPDATE
MARIETTA CORP	COM		299	56763410	
FMR CORP	13D	11/ 2/92	8.4	7.2	UPDATE
MARINE DRILLING CO INC	COM		2,278	56824020	
KEYES WILLIAM O	13D	10/29/92	8.0	0.0	NEW
MEDALIST INDS INC	COM		103	58402010	
KATZ MICHAEL DAVID ET AL	13D	11/ 2/92	2.8	3.4	UPDATE
NATIONAL CAP MGMT CORP	SH BEN INT		543	63518220	
DOSKOCIL LARRY	13D	10/14/92	9.6	9.6	UPDATE
NOSTALGIA NETWORK INC	COM NEW		3,952	66975230	
CROWN COMMUNICATIONS CRP ET AL	13D	10/30/92	27.4	27.5	UPDATE
ORBOTECH LTD	ORDINARY		5,998	68569910	
ORMAT INDUSTRIES LTD ET AL	13D	10/27/92	49.6	0.0	NEW
PDA ENGINEERING	COM		430	69326810	
FMR CORP	13D	10/27/92	10.0	8.4	UPDATE
PAINÉ WEBBER GROUP INC	COM		7,881	69562910	
YASUDA MUTUAL LIFE INS CO	13D	11/ 5/92	18.2	27.2	UPDATE
PRESIDIO OIL CO	CL A		1,805	74101630	
FIDELITY INTL LTD	13D	10/30/92	7.2	4.7	UPDATE
PRESIDIO OIL CO	CL A		1,805	74101630	
FMR CORP	13D	10/30/92	7.2	4.7	UPDATE
RIO HOTEL & CASINO INC	COM		1,004	76714710	
BOYD WILLIAM S ET AL	13D	10/26/92	7.2	7.3	UPDATE
SCIGENICS INC	CALL COM		794	80890410	
GERHARD LANG H ET AL	13D	10/28/92	38.0	32.3	UPDATE
STANDARD LOGIC INC	COM		2,500	85360810	
RENAISSANCE CAP PRTNRS II	13D	9/ 4/92	22.0	0.0	NEW

ACQUISITIONS CONT

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
SUNRISE ENERGY SVCS INC	COM		1,556	86899710	
JEFFERSON SUNRISE PRTNRS ET AL	130	10/23/92	53.5	47.1	UPDATE
TSL INC	COM		1,898	87288110	
PREMIUM STK INVMTS LTD	130	10/30/92	25.4	100.0	UPDATE
TIERCO GROUP INC	COM		4,510	88650610	
GELLERT ROBERT J ET AL	130	10/30/92	34.0	14.5	UPDATE
TIERCO GROUP INC	COM		1,000	88650610	
JONES DAVID A	130	10/30/92	7.5	0.0	NEW
TIERCO GROUP INC	COM		1,000	88650610	
LAWRENCE, TYRRELL, ORTALE & SMITH	130	10/30/92	7.5	0.0	NEW
TREMONT CORP DEL	COM PAR \$1.00		757	89474520	
TWEEDY BROWNE CO L P ET AL	130	11/ 3/92	10.3	9.2	UPDATE
W-W CAPITAL CORP	COM		492	92936330	
WESTERN FIRE MARINE INS CO	130	10/20/92	11.7	0.0	NEW
XIOX CORP	COM		900	98390510	
WELLING WILLIAM H ET AL	130	10/23/92	56.9	52.8	UPDATE

RECENT 8-K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8-K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT								X		09/15/92	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE							X		09/15/92	
CITIBANK SOUTH DAKOTA NA STANDARD CREDIT	DE							X		09/15/92	
CITICORP INVESTMENT BANK LTD			X		X	X				10/28/92	
CLEVELAND ELECTRIC ILLUMINATING CO	OH				X	X				11/05/92	
CORPORATE PROPERTY ASSOCIATES	CA				X					11/04/92	
CRYSTAL BRANDS INC	DE		X			X				10/20/92	
EAGLE FINANCIAL CORP	DE				X					11/14/92	
EVRO FINANCIAL CORP	SD	X	X			X	X			10/19/92	
EXCEL TECHNOLOGY INC	DE							NO ITEMS		11/02/92	AMEND
FIRST UNION CORP	NC					X	X			09/20/92	
FIRSTCORP INC	DE					X				09/30/92	
GENERAL MOTORS ACCEPTANCE CORP	NY							NO ITEMS		11/06/92	
GLATFELTER P H CO	PA					X				10/29/92	
HEALTHTRUST INC THE HOSPITAL CO	DE				X	X				09/30/92	
HOTEL INVESTORS CORP	MD				X	X				09/25/92	
HOTEL INVESTORS TRUST	MD				X	X				09/25/92	
KEYCORP	NY				X	X				11/04/92	
MID STATE RACEWAY INC	NY	X								10/20/92	
MIP PROPERTIES INC	MD				X					11/04/92	
NERCO INC	OR				X	X				11/04/92	
NORTH FORK BANCORPORATION INC	DE					X				10/23/92	
ORION PICTURES CORP	DE			X			X			10/21/92	
OVERSEAS SHIPHOLDING GROUP INC	DE		X				X			10/21/92	
PHH CORP	MD				X					11/02/92	
PHILADELPHIA SUBURBAN CORP	PA				X					11/04/92	
PROFFITTS INC	TN		X				X			10/23/92	
PRUDENTIAL BANK & TRUST CO						X	X			09/15/92	
PRUDENTIAL BANK & TRUST CO						X	X			10/14/92	
PURFLOW INC	DE					X				10/28/92	
REGENT PETROLEUM CORP	CO	X								10/29/92	

REPORTS CONT.

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	NO ITEMS								10/26/92	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	NO ITEMS								10/26/92	
RYLAND MORTGAGE SECURITIES CORP /VA/	VA				X		X			10/29/92	
SCRIPPS E W CO /DE	DE				X		X			10/15/92	
TECHNICLONE INTERNATIONAL CORP	CA	NO ITEMS								10/28/92	
TELEPHONE & DATA SYSTEMS INC	IA				X		X			11/04/92	
TOLEDO EDISON CO	OH				X		X			11/05/92	
UNITED ASSET MANAGEMENT CORP	DE		X				X			10/21/92	
UNITED HEALTHCARE CORP	MN				X		X			11/05/92	
VELCO 1988 A GRANTOR TRUST					X		X			10/15/92	
VELCO 1989 A GRANTOR TRUST	PR				X		X			10/15/92	
VELCO 1991-A GRANTOR TRUST	PR				X		X			10/15/92	

SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

Consumer Affairs (202-272-7440): Investor inquiries and complaint processing information.

Freedom of Information Branch (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

Personnel Locator (202-272-2550): Requests for names and phone numbers of Commission personnel.

Public Affairs (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

Public Reference (202-272-7450): Requests for information on whether or not a document has been filed, etc.

Publications Unit (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

SEC Information Line (202-272-3100/5624): General information about SEC operations and activities through a series of recorded messages.
