DHS Exhibit 300 Public Release BY08 (Form) / USCG - Direct Access (2008) (Item)

Form Report, printed by: Administrator, System, **Feb 12, 2007**

OVERVIEW

General Information

1. Date of Submission:	Jan 29, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	United States Coast Guard (USCG)
4. Name of this Capital Asset:	USCG - Direct Access (2008)
Investment Portfolio:	USCG Home Portfolio 2008
5. Unique ID:	024-60-02-00-01-6010-00
(For IT investments only, see section 53. For all other, use agency ID system.)	

All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2005

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

Direct Access is a military HR system interfacing with the Joint Uniform Military Payroll System (JUMPS). It uses COTS products from Oracle and PeopleSoft, is hosted through a 3rd Party ASP, and is maintained by government staff and contractors. Direct Access is a highly cost efficient and effective IT investment that continually meets and exceeds customer expectations and is in alignment with the USCG and DHS mission and vision. It provides military assignment processing; posts official positions; schedules training; processes retirements, promotions, and disciplinary actions; maintains all personnel attributes; and provides military payroll. Employee self-service capabilities include: view/update personal information; access to compensation, benefits, leave and insurance information; access to employment and performance and award history information; and update emergency contact information, home address, education, phone numbers, email addresses, licenses and certificates, race/national origin, veterans preference, and disability status. Manager self-service capabilities include: view information on people and positions throughout all of the USCG organizations; initiate personnel transactions in a paperless environment; perform mass transactions such as internal realignments or awards; access to organization specific reports on personnel action status, workforce information, emergency contacts; access to workforce analytical data on employee demographics, retirement eligibility, headcount/salary information, and organization charts; fill vacant positions or establish new positions; access to a position description library containing hundreds of officially pre-classified position descriptions; and the ability to build a job requisition. This investment is required to meet USCG personnel tracking and military payroll requirements documented in Titles 10 and 14 of the US Code since the OMB HR Line of Business does not address these USCG military mission requirements. Direct Access consolidated 3 HR and payroll applications. A primary benefit was staff moves out of HR and payroll functions - the benefit-to-cost ratio was 5 to 1.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Aug 4, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?	
12.b.2. If "yes," will this investment meet sustainable design principles?	
12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment support one of the PMA initiatives?	
Yes	
If "yes," select the initiatives that apply:	

Human Capital	Yes
Budget Performance Integration	
Financial Performance	
Expanded E-Government	Yes
Competitive Sourcing	
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly describe how this asset directly supports the identified initiative(s)?
2 PMA areas as reviewed in PART assessment & operational analysis, and quantified/reported in quarterly PMA & DHS periodic reporting. Staff get quantifiable HR data thru automated COTS HR best practices. USCG analyzes/manages staff across the organization with consolidated HR data (human capital). Staff make personnel actions using self-service web with no intermediate staff layers (eGovernment). Extensive employee use results in accurate & current data for decisions and workforce management.
14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?
Yes
14.a. If "yes," does this investment address a weakness found during the PART review?
Yes
14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?
USCG - Ports Waterways and Coastal Security
14.c. If "yes," what PART rating did it receive?
Moderately Effective
15. Is this investment for information technology (See section 53 for definition)?
Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?
Level 3
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's 'high risk' memo)?
No
19. Is this a financial management system?
No
19.a. If "yes," does this investment address a FFMIA compliance area?
No
19.a.1. If "yes," which compliance area:
19.a.2. If "no," what does it address?
19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A−11 section 52.
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	

Software	10.00	
Services	90.00	
Other	0.00	
Total	100.00	⭐

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier -2005	PY 2006	CY 2007	BY 2008
Planning:				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition:				
Budgetary Resources	18.500	0.000	0.000	0.000
Subtotal:				
Budgetary Resources	18.500	0.000	0.000	0.000
Maintenance:				
Budgetary Resources	23.823	5.941	5.983	9.130
TOTAL, All Stages				
Budgetary Resources	42.323	5.941	5.983	9.130
Government FTE Cost	3.997	2.904	3.007	3.059
# of FTEs	10.00	6.00	6.00	6.00
Total, BR + FTE Cost	46.320	8.845	8.990	12.189

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.