



DHS Exhibit 300 Public Release BY08 (Form) / TSA - Alien Flight Student Program (AFSP) (2008) (Item)

Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

1. Date of Submission:	Jul 21, 2006
2. Agency:	Department of Homeland Security
3. Bureau:	Security, Enforcement and Investigations (SEI)
4. Name of this Capital Asset:	TSA - Alien Flight Student Program (AFSP) (2008)
Investment Portfolio:	TSA Home Portfolio 2008
5. Unique ID:	024-50-01-03-01-5626-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2006

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

Prior to September 11, 2001, international students seeking U.S. flight training were not screened to determine aviation or national security risk (7 of the 19 September 11th hijackers received U.S.-based FAA-sanctioned flight training). The Aviation Transportation Security Act (ATSA) mandated the Department of Justice (DOJ), Federal Bureau of Investigation (FBI) to conduct security threat assessments (STAs) on non-US persons seeking flight training (Alien Flight Student Program (AFSP)). The AFSP screens and/or enforces program policies for foreign and domestic students seeking flight training in the US, and at U.S. regulated flight training facilities internationally. In October 2004, TSA assumed the AFSP from the DOJ FBI.

Applicants to flight schools provide TSA with biometrics, biographic information, data and a fee for STA. The AFSP uses intelligence databases and fingerprint checks to adjudicate candidates. The AFSP uses biometrics to check the identity of candidates and reviews the results of checks made against various databases and determines final eligibility of candidates. The AFSP then notifies candidates, flight training providers (FTPs) and, as necessary, proper authorities of candidate status.

In addition, TSA conducts assessments of FTPs to ensure compliance with regulation. AFSP continues to improve its capability by hiring effective staff; updating and modernizing equipment and connectivity; receiving feedback from stakeholders and channeling the stakeholders' feedback into user-friendly web-based programs.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

May 16, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	Yes
Financial Performance	
Expanded E-Government	Yes
Competitive Sourcing	Yes
Faith Based and Community	
Real Property Asset Management	
Eliminating Improper Payments	
Privatization of Military Housing	
R and D Investment Criteria	
Housing and Urban Development Management and Performance	
Broadening Health Insurance Coverage through State Initiatives	
Right Sized Overseas Presence	
Coordination of VA and DoD Programs and Systems	

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

AFSP closes a capabilities gap that strongly aligns with PMA initiatives: 1.Human Capital- AFSP has centralized cost effective office of intelligence analysts, adjudicators, program personnel and management vs costly decentralized global offices; 2.Budget Performance Integration- fee-based program cost-effectively leverages existing governmental systems; 3 Expanded E-Government- AFSP applicants use Pay.Gov; and 4.Competitive Sourcing- AFSP contracts all undergo competition for services.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

No

14.a. If "yes," does this investment address a weakness found during the PART review?

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

14.c. If "yes," what PART rating did it receive?

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

No


19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area:

19.a.2. If "no," what does it address?

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	8.00	
Software	8.00	
Services	84.00	
Other	0.00	
Total	100.00	

21. *If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?*

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

No

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning:				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition:				
Budgetary Resources	0.000	0.000	0.000	0.000
Subtotal:				
Budgetary Resources	0.000	0.000	0.000	0.000
Maintenance:				
Budgetary Resources	0.000	2.000	1.170	1.120
TOTAL, All Stages				
Budgetary Resources	0.000	2.000	1.170	1.120
Government FTE Cost	0.000	0.780	0.830	0.880
# of FTEs	0.00	6.00	7.00	8.00
Total, BR + FTE Cost	0.000	2.780	2.000	2.000

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

AFSP was originally envisioned as a fee-only program. Approximately \$5M in Credentialing Enterprise/Admin funds supplements \$2M collected fees. A FY08 Program budget of \$7 million was submitted to supplement the anticipated \$2M in program fees. The program would optimally operate with \$9M budget, however, the department has scaled back AFSP contract services to function with the available funds. In FY2006 and FY2007 AFSP will also access the approximately \$5 million in funding from Credentialing Enterprise/Admin (CE) fund.