

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-06-01
2. Agency:	015
3. Bureau:	05
4. Name of this Capital Asset:	Treasury Foreign Intelligence Network (TFIN)
5. Unique Project Identifier:	015-05-01-13-01-7004-00
6. What kind of investment will this be in FY2009?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2007	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>The purpose of the Treasury Foreign Intelligence Network (TFIN) system is to assist Department of the Treasury analysts in their ongoing efforts to provide meaningful intelligence to senior Treasury management as well as to other agencies within the Intelligence Community (IC). Currently, the users of the TFIN utilize information provided via cables as well as from multiple data repositories not readily accessible with a user configurable federated query capability. TFIN operates at the TS/SCI level. Users conduct activities over TFIN in order to execute their operational responsibilities and meet Executive Order, legal, policy and regulatory mandated requirements imposed on the Department of the Treasury. The TFIN environment is being modernized through a two-step process that involves stabilization of the existing system through an upgrade of the current infrastructure, followed by a system enhancement initiative to provide expanded toolsets for the analyst to perform their duties more efficiently and effectively. As part of the Department's expanding role in prosecuting the War on Terror, TFIN has grown well beyond the original user community within the Watch Office. The TFIN redesign will enable the system to take advantage of new technologies and capabilities, and significantly enhance Treasury's ability to exchange information with the IC and other entities. This comprehensive modernization of TFIN will provide an increased analytical toolset, enhanced ability to share data warehouses with other IC organizations, and provide a robust and modular infrastructure capable of growing with the mission.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2007-08-16	
10. Did the Project Manager review this Exhibit?	
yes	
11. Project Manager Name:	
Bishop, Sharon	
Project Manager Phone:	
202-622-2829	
11.a. What is the current FAC-P/PM certification level of the project/program manager?	
TBD	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	
yes	
12.a. Will this investment include electronic assets (including computers)?	
yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	

no	
13. Does this investment directly support one of the PMA initiatives?	
no	
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?	
no	
15. Is this investment for information technology?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?	
yes	
19. Is this a financial management system?	
no	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	4
Software	5
Services	76
Other	15
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
no	
22. Contact information of individual responsible for privacy related questions.	
<i>Name</i>	
Rory Schultz	
<i>Phone Number</i>	
202-622-2829	
<i>Title</i>	
TFIN Program Manager	
<i>Email</i>	
rory.schultz@do.treas.gov	
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	
yes	
24. Does this investment directly support one of the GAO High Risk Areas?	
yes	
SUMMARY OF SPEND	
1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.	
All amounts represent Budget Authority	

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	18.949	20.986	0.000
Maintenance Budgetary Resources	2.509	0.000	2.720
Government FTE Cost	0.160	0.214	0.280
# of FTEs	1	2	2

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

TFIN is currently being developed using DSCIP (DME) funding under a phased development approach. The TFIN Initial Operating Capability (IOC) was established through the delivery of Phase 2A on December 22, 2006, and the Final Operating Capability (FOC) will be rolled out by the end of Phase 2E on April 30, 2008. The development effort has been fully funded through DME funding in FY06 and FY07. During this time, the TFIN Operations and Maintenance (O&M) activity has also been initiated through the issuance of a contract for O&M services that commenced June 1, 2007. The President's FY08 budget has allocated \$3 million for TFIN O&M activities in FY08 through the Salaries & Expenses (S&E) funding account. In FY 2009, the O&M activities will continue to be funded through an S&E account request for \$3.14. In addition, TFIN is also requesting DME funding for FY 2009 for four specific developmental efforts to enhance the capabilities of TFIN beyond the FOC delivery in April 2008. These are: 1. Perform a major upgrade of the hosting environment of the TFIN system from server cluster platforms to blade server architecture to take full advantage of the latest server virtualization technology. This will lower the cost of system operations while increasing operational efficiencies within the TFIN environment allowing higher system availability and improved response. 2. Incorporate two way border guard technology into the TFIN system to enable improved security posture while allowing information transfer among multiple levels of classification. 3. Integrate the latest IC enterprise architecture components expected to be released in FY2009 by the Director of National Intelligence (DNI) for adoption by IC member organizations. This will entail purchase of new COTS hardware and software components, and some level of software development activity for integration of those COTS components into the TFIN environment. 4. Perform additional development of custom software to enhance analytical capabilities, as well as improving the currently installed (Phase 2A & 2B) user interface and information sharing/searching capabilities.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Manage the U.S.	Processes and Activities	Savings and Cost Avoidance	Establish baseline for	0	3.618	3.618

		Government's Finances Effectively			O&M			
2	2007	Promote Stable U.S. and World Economies	Technology	Availability	No unscheduled outages within our control	Number of outages in FY 2006	0	0
3	2007	Promote Stable U.S. and World Economies	Customer Results	Access	Increase number of users to 100 by the end of FY 2007	50	100	100
4	2007	Promote Stable U.S. and World Economies	Mission and Business Results	Intelligence Collection	Number of reports with errors	0	0	0
5	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	O&M planned annual cost reductions over previous year	3.618	5%	
6	2008	Promote Stable U.S. and World Economies	Technology	Availability	System availability	Number of outages in FY 2007	5% less than previous Fiscal Year	
7	2008	Promote Stable U.S. and World Economies	Customer Results	Access	# of user access	100	250	
8	2008	Promote Stable U.S. and World Economies	Mission and Business Results	Intelligence Collection	Number of reports with errors	0	Zero errors	
9	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Productivity	Annual cost reductions through increased speed & efficiency	FY 2008 results	5%	
10	2009	Promote Stable U.S. and World Economies	Technology	Availability	System availability	Number of outages in FY 2008	0	
11	2009	Promote Stable U.S. and World Economies	Customer Results	Access	# of user access	250	500	
12	2009	Promote Stable U.S. and World Economies	Mission and Business Results	Intelligence Collection	Number of reports with errors	0	Zero errors	

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the

agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Treasury Foreign Intelligence Network (TFIN) is an intelligence system, and aligned with the EA developed by the Intelligence Community (IC).

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

no

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Customer feedback	Used to collect, analyze and handle comments and feedback from TFIN customers	Customer Relationship Management	Customer Feedback			No Reuse	1
2	Alerts and Notifications	Allows a customer to be contacted in relation to a subscription or service	Customer Preferences	Alerts and Notifications			No Reuse	4
3	Online Help	Will provide an electronic Interface to customer assistance	Customer Initiated Assistance	Online Help			No Reuse	2
4	Process tracking	Will allow the monitoring of activities within the business cycle	Tracking and Workflow	Process Tracking			No Reuse	5
5	Case Management	Will allow analysts to	Tracking and Workflow	Case Management			No Reuse	10

		manage the life cycle of a claim or investigation within their discrete organization						
6	Inbound Correspondence Management	Manages communications between the organization and its stakeholders	Routing and Scheduling	Inbound Correspondence Management			No Reuse	8
7	Outbound Correspondence Management	Manages communications between the organization and its stakeholders	Routing and Scheduling	Outbound Correspondence Management			No Reuse	8
8	Configuration Management	Will control the HW and SW environments and document them for review	Management of Processes	Configuration Management			No Reuse	3
9	Quality Management	Used to determine the level that services will satisfy discrete requirements as contained in the SRD	Management of Processes	Quality Management			No Reuse	3
10	Risk Management	Used to support the identification and probabilities of hazards as related to the projects tasks, decisions and long term goals	Management of Processes	Risk Management			No Reuse	3
11	Network Management	Used to Monitor and maintain the communications network so as to diagnose problems, collect statistics, and provide access to users	Organizational Management	Network Management			No Reuse	3
12	Performance Management	Used to measure the effectiveness of the project's financial assets	Investment Management	Performance Management			No Reuse	5
13	Content Authoring	Will allow the creation of tutorials, Web sites, and other interactive	Content Management	Content Authoring			No Reuse	10

		programs on the TFIN						
14	Content Review and Approval	Will allow the creation of tutorials, Web sites, and other interactive programs on the TFIN and their subsequent approval	Content Management	Content Review and Approval			No Reuse	10
15	Information Sharing	Will support the use of documents and data in a multi user environment for use by the TFIN users and Stakeholders	Knowledge Management	Information Sharing			No Reuse	7
16	Record Linking & Association	Used to support the correlation between logical data and information sets	Records Management	Record Linking / Association			No Reuse	3
17	Data Mining	Will provide for the efficient discovery of non-obvious and valuable patterns and relationships within the data available on the TFIN databases and data warehouses	Knowledge Discovery	Data Mining			No Reuse	5
18	Data Warehouse	Supports the usage, processing and general administration of unstructured information	Data Management	Data Warehouse			No Reuse	5
19	Data Integration	Supports the usage, processing and general administration of unstructured information	Development and Integration	Data Integration			No Reuse	5

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Risk Management	Component Framework	Data Management	Reporting and Analysis	Oracle
2	Customer Feedback	Component Framework	Data Management	Reporting and Analysis	MS SharePoint
3	Process Tracking	Component Framework	Data Management	Reporting and Analysis	MS SharePoint
4	Data Warehouse	Component Framework	Data Management	Reporting and Analysis	Oracle
5	Information Sharing	Component Framework	Presentation / Interface	Content Rendering	MS SharePoint
6	Inbound Correspondence Management	Service Access and Delivery	Access Channels	Collaboration / Communications	MS SharePoint
7	Outbound Correspondence Management	Service Access and Delivery	Access Channels	Collaboration / Communications	MS SharePoint
8	Content Review and Approval	Service Access and Delivery	Access Channels	Other Electronic Channels	MS SharePoint
9	Content Review and Approval	Service Access and Delivery	Access Channels	Collaboration / Communications	MS SharePoint
10	Information Sharing	Service Access and Delivery	Access Channels	Other Electronic Channels	MS SharePoint
11	Information Sharing	Service Access and Delivery	Access Channels	Web Browser	MS SharePoint
12	Alerts and Notifications	Service Access and Delivery	Delivery Channels	Internet	MS SharePoint
13	Inbound Correspondence Management	Service Access and Delivery	Delivery Channels	Intranet	MS SharePoint
14	Outbound Correspondence Management	Service Access and Delivery	Delivery Channels	Intranet	MS SharePoint
15	Alerts and Notifications	Service Access and Delivery	Service Transport	Service Transport	MS SharePoint
16	Online Help	Service Access and Delivery	Service Transport	Service Transport	MS SharePoint
17	Data Warehouse	Service Interface and Integration	Interoperability	Data Format / Classification	Oracle
18	Data Integration	Service Interface and Integration	Interoperability	Data Transformation	Oracle
19	Configuration Management	Service Interface and Integration	Interoperability	Data Transformation	Oracle
20	Data Warehouse	Service Interface and Integration	Interoperability	Data Types / Validation	Oracle
21	Content Authoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	MS SharePoint

22	Process Tracking	Service Platform and Infrastructure	Software Engineering	Modeling	MS SharePoint
23	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Oracle
24	Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	Oracle
25	Online Help	Service Access and Delivery	Access Channels	Web Browser	Oracle
26	Information Sharing	Service Access and Delivery	Access Channels	Collaboration / Communications	MS SharePoint
27	Network Management	Service Access and Delivery	Delivery Channels	Internet	Oracle
28	Case Management	Service Access and Delivery	Delivery Channels	Intranet	MS SharePoint
29	Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Oracle
30	Case Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	MS SharePoint
31	Information Sharing	Service Platform and Infrastructure	Delivery Servers	Application Servers	MS SharePoint
32	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Oracle
33	Data Mining	Service Platform and Infrastructure	Database / Storage	Database	Oracle
34	Data Warehouse	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Oracle
35	Case Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	MS SharePoint
36	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	MS SharePoint
37	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Video Conferencing	MS SharePoint
38	Data Warehouse	Component Framework	Security	Supporting Security Services	Oracle
39	Case Management	Component Framework	Data Interchange	Data Exchange	MS SharePoint
40	Record Linking / Association	Component Framework	Data Management	Database Connectivity	Oracle
41	Performance Management	Component Framework	Data Management	Reporting and Analysis	Oracle
42	Case Management	Service Interface and Integration	Interoperability	Data Format / Classification	MS SharePoint

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

6.a. If yes, please describe.

The project involves certain applications, processes, and architectures adapted from the Intelligence Community technical architecture.

PART TWO
RISK
<i>You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.</i>
<i>Answer the following questions to describe how you are managing investment risks.</i>
1. Does the investment have a Risk Management Plan?
yes
1.a. If yes, what is the date of the plan?
2007-05-17
1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?
no
3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:
In accordance with the Treasury information systems development life cycle methodology and the project management process, the TFIN project team conducts risk analysis of the project throughout the life cycle process. The cost, schedule, and technical approach used for the investment are reviewed against risks that are identified, and mitigation approaches are developed for each identified risk. These mitigation approaches are then incorporated into the program cost and schedule baselines. Risks are re-evaluated at appropriate control gates such as design reviews or test reviews and adjustments are made if necessary.
COST & SCHEDULE
1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?
no
2. Is the CV% or SV% greater than $\hat{A} \pm 10\%$?
yes
2.a. If yes, was it the?
SV
2.b. If yes, explain the variance.
In August 2006, the TFIN program experienced a schedule delay in delivering MS1 (Phase 2A). Most prominent among these were: (1) staffing delays led to a lack of technically qualified, TS/SCI-cleared personnel, (2) an overly optimistic projection of the work effort, and (3) ineffective communications between the project team leadership and the government representatives. In September 2006, the TFIN PMO developed a Corrective Action Plan (CAP), which was approved by the TFIN Executive Governance Board. The TFIN PMO successfully executed this CAP, the final Action of which was achieved on January 12, 2007. The investment has been on track (according to its re-baselined schedule) since the original schedule slip. However, since the schedule slip of MS#1 effects all subsequent milestones, the TFIN investment will continue to show a schedule slip until completion. It should be noted that the investment budget was not effected from this schedule slip.
2.c. If yes, what corrective actions are being taken?
In September 2006, the TFIN PMO developed a Corrective Action Plan (CAP), which was approved by the TFIN Executive Governance Board. The TFIN PMO successfully executed this CAP, the final Action of which was achieved on January 12, 2007. The milestone (MS) plan was realigned by deleting one milestone, and adding two new ones. The following discussion is offered: 1) MS#2 shows 100% SV because this MS was deleted (never performed), and the work/budget was allocated to several other MS. 2) MS#3 shows 12.23% CV because some of the reallocation from MS#2 above was made to this MS. 3) MS#4 shows 100% SV because the Agency responsible for delivering this capability to Treasury under an Inter-Agency Agreement is behind schedule and will not deliver such capability until January 2008, which pushes this MS out to March 2008. 4) MS#5 shows 204.36% CV and 52% SV. The CV occurred because some of the reallocation from MS#2 above was made to this MS. The SV is due to the schedule slip experienced in MS#1 last year; hence MS#5 has slipped from original due date, and the slippage cannot be recovered. No CAP is required at this time. A BCR was submitted to show these corrections in March 2007 and is still pending OMB approval.
3. Has the investment re-baselined during the past fiscal year?
yes
3.a. If yes, when was it approved by the agency head?

2007-03-23