

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-06-01
2. Agency:	015
3. Bureau:	35
4. Name of this Capital Asset:	Public Debt Accounting and Reporting System (PARS)
5. Unique Project Identifier:	015-35-01-14-01-1004-00
6. What kind of investment will this be in FY2009?	
Operations and Maintenance	
7. What was the first budget year this investment was submitted to OMB?	
FY2001 or earlier	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>Summary Debt Accounting (SDA), one of the Bureau's five lines of businesses, includes accounting for and reporting on all financial activity related to the public debt of the United States. The system responsible for this collaboration of data is the Public Debt Accounting and Reporting System (PARS). PARS is a fully integrated, automated accounting system that is capable of receiving data from a variety of sources and responds easily to governmental accounting changes. On a daily basis, summarized data is received from multiple Federal Reserve banks via on-line terminal and bulk data transactions, as well as from internal Public Debt entities acting as fiscal agents for Public Debt. In addition to the aforementioned features, PARS has several other attributes that make it a valuable asset, among these are the following: *Collects debt-related information in one integrated environment *Data can be queried, which allows unique information to be retrieved without programmatic changes *Contains numerous internal controls, increasing the dependability and reliability of data *Reconciles data automatically, which decreases personnel time and likelihood of manual errors *Continues to meet the SDA goals stated in the Bureau's Strategic Plan *Achieves a high customer satisfaction rate. In a recent survey distributed to over 250 internal and external users, 76% of the users were satisfied or very satisfied with PARS *Continues to receive unqualified audit opinions on the Schedules of Federal Debt *Will be capable of producing daily financial statements by the end of FY2007 Prior to the implementation of PARS, all debt-related information was gathered and calculated manually. This process caused performance gaps from both a reliability and timeliness standpoint. PARS closes the reliability and timeliness performance gaps by doing the following: integrating data, allowing for easier reconciliation with other entities, reconciling the FMS accounts quicker, introduced SGL into the reconciliation process, dramatically reduced the staff and resources it takes to complete the MSPD, reduced the number of errors on the MSPD report, and allows financial statements to be produced. As you can see, along with the stated attributes listed, PARS closes the reliability and timeliness performance gaps and facilitates Public Debt's attainment of strategic goals and business objectives by providing timely and accurate financial debt information daily.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
Yes	
9.a. If "Yes," what was the date of this approval?	
2007-08-16	
10. Did the Project Manager review this Exhibit?	
Yes	
11. Project Manager Name:	
Project Manager Phone:	
Project Manager Email:	
11.a. What is the current FAC-P/PM certification level of the project/program manager?	

Senior/Expert-level
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
Yes
12.a. Will this investment include electronic assets (including computers)?
Yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
No
13. Does this investment directly support one of the PMA initiatives?
Yes
If Yes, select the initiatives that apply:
Budget Performance Integration
Expanded E-Government
Financial Performance
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)
B.P.I.--PARS consolidates financial data that results in integrated budgeting at the program level. F.P. -PARS provides the automated system that collects and validates the financial data used to close the books and formulate the fin. statements for FMS and FRBs. E-GOV.--The automation of PARS encourages quick data sharing w/ the Govt. and citizens via the Internet. Fin. stmts. are published daily.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?
Yes
14.a. If Yes, does this investment address a weakness found during the PART review?
No
14.b. If Yes, what is the name of the PARTed program?
Administering the Public Debt
14.c. If Yes, what rating did the PART receive?
Effective
15. Is this investment for information technology?
Yes
16. What is the level of the IT Project (per CIO Council's PM Guidance)?
Level 2
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?
Yes
19. Is this a financial management system?
Yes
19.a. If Yes, does this investment address a FFMA compliance area?
Yes
19.a.1. If Yes, which compliance area:
Financial System Requirements, Federal Accounting Standards, and Standard General Ledger at the transaction level.
19.b. If Yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.
Public Debt Accounting and Reporting System - BPDPARSII
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	8
Software	10
Services	77
Other	5

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

n/a

22. Contact information of individual responsible for privacy related questions.

Name

Send FOIA requests to the following address:

Division of Administrative Services
Avery 4A, Disclosure Officer
Department of the Treasury
Bureau of the Public Debt
200 Third Street
Parkersburg, WV 26106-5312
Fax request to: 304-480-8188

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000
Maintenance Budgetary Resources	1.705	0.435	0.448
Government FTE Cost	6.026	1.479	1.523
# of FTEs	54	13	13

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of financial statements produced monthly within 3 business days.	100	100	100% through Q4
2	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	% of monthly ledgers closed within 3 business days. Closing within 3 business days aids in receiving an unqualified opinion on the annual schedule of Federal Debt.	100	100	100% through Q4
3	2007	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of application availability during published business hours.	100	100	100% through Q4
4	2007	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Elapsed time (seconds) it takes to run the Monthly Statement of the Public Debt (MSPD) report. Timely running of the MSPD report assists and supports the stated goal by aiding our ability to publish the	190	190	232 seconds through Q4

					report on time and provide accurate data.			
5	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of daily financial statements produced within 3 business days.	100	100	None to report yet
6	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	% of monthly ledgers closed within 2 business days. Closing within 2 business days aids in receiving an unqualified opinion on the annual schedule of Federal Debt.	100	100	None to report yet
7	2008	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of application availability during published business hours.	100	100	None to report yet
8	2008	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Elapsed time (seconds) it takes to run the Monthly Statement of the Public Debt (MSPD) report. Timely running of the MSPD report assists and supports the stated goal by aiding our ability to publish the report on time and provide accurate data.	232	225	None to report yet
9	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of daily financial statements produced within 3 business days.	100	100	None to report yet
10	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	% of monthly ledgers closed within 1 business day. Closing within 1 business day aids in receiving an unqualified opinion on the annual	100	100	None to report yet

					schedule of Federal Debt.			
11	2009	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of application availability during published business hours.	100	100	None to report yet
12	2009	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Elapsed time (seconds) it takes to run the Monthly Statement of the Public Debt (MSPD) report. Timely running of the MSPD report assists and supports the stated goal by aiding our ability to publish the report on time and provide accurate data.	225	225	None to report yet
13	2010	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of daily financial statements produced within 3 business days.	100	100	None to report yet
14	2010	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	% of monthly ledgers closed within 1 business day. Closing within 1 business day aids in receiving an unqualified opinion on the annual schedule of Federal Debt.	100	100	None to report yet
15	2010	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of application availability during published business hours.	100	100	None to report yet
16	2010	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Elapsed time (seconds) it takes to run the Monthly Statement of the Public Debt (MSPD) report. Timely running of the MSPD report assists and supports the stated goal	225	225	None to report yet

					by aiding our ability to publish the report on time and provide accurate data.			
17	2011	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of daily financial statements produced within 3 business days.	100	100	None to report yet
18	2011	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	% of monthly ledgers closed within 1 business day. Closing within 1 business day aids in receiving an unqualified opinion on the annual schedule of Federal Debt.	100	100	None to report yet
19	2011	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of application availability during published business hours.	100	100	None to report yet
20	2011	Manage the U.S. Government's Finances Effectively	Customer Results	Delivery Time	Elapsed time (seconds) it takes to run the Monthly Statement of the Public Debt (MSPD) report. Timely running of the MSPD report assists and supports the stated goal by aiding our ability to publish the report on time and provide accurate data.	225	225	None to report yet

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

Yes

2. Is this investment included in the agency's EA Transition Strategy?

Yes

2.a. If Yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA

Assessment.

The investment name is Public Debt Accounting and Reporting System (PARS)

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

No

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer Yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Billing and Accounting	This service component supports the charging, collection and reporting of an organization's accounts.	Financial Management	Billing and Accounting			No Reuse	70
2	Standardized/Canned	This service component supports the methods and procedures used by the organization to safeguard its assets, produce accurate accounting data and reports, contribute to efficient operations, and encourage staff to adhere to management policies and mission requirements.	Reporting	Standardized / Canned			No Reuse	15
3	Internal Controls	Supports the methods and procedures used by BPD to safeguard its assets, produces accurate	Financial Management	Internal Controls			No Reuse	15

		accounting data and reports, contributes to efficient operations, and encourages staff to adhere to management policies and mission requirements.					
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5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Billing and Accounting	Service Access and Delivery	Service Requirements	Hosting	
2	Billing and Accounting	Service Access and Delivery	Service Transport	Service Transport	
3	Billing and Accounting	Service Platform and Infrastructure	Support Platforms	Platform Independent	
4	Billing and Accounting	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
5	Billing and Accounting	Service Platform and Infrastructure	Database / Storage	Database	
6	Billing and Accounting	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
7	Billing and Accounting	Service Platform and Infrastructure	Software Engineering	Test Management	
8	Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
9	Standardized / Canned	Service Platform and Infrastructure	Support Platforms	Platform Dependent	
10	Internal Controls	Service Access and Delivery	Service Requirements	Legislative / Compliance	
11	Billing and Accounting	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	
12	Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	
13	Billing and Accounting	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
14	Billing and Accounting	Service Interface and Integration	Integration	Middleware	
15	Standardized / Canned	Service Access and Delivery	Service Transport	Supporting Network Services	

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

PART THREE

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

Yes

1.a. If Yes, what is the date of the plan?

2007-09-30

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

COST & SCHEDULE

1. Was operational analysis conducted?

Yes

1.a. If Yes, provide the date the analysis was completed.

2007-09-30

What were the results of your operational analysis?

PARS continues to provide the benefits that it was designed to deliver, and it satisfies the needs of its customers and stakeholders, delivering quality and timely information on the public debt. The system should continue in operation as is.