

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-06-15
2. Agency:	015
3. Bureau:	10
4. Name of this Capital Asset:	Pay.gov
5. Unique Project Identifier:	015-10-01-14-01-1210-00
<i>6. What kind of investment will this be in FY2009?</i>	
Mixed Life Cycle	
<i>7. What was the first budget year this investment was submitted to OMB?</i>	
FY2004	
<i>8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.</i>	
<p>Pay.gov is a Government-wide transaction portal managed by the U.S. Treasury Department's Financial Management Service (FMS). Pay.gov offers a suite of electronic financial services to assist Federal agencies with their electronic collections. This suite is based on the following four cornerstones. * Collections: Pay.gov facilitates electronic collections. Through Pay.gov, end-users are able to authorize collections using direct debit (ACH) and plastic card collections (credit card & debit card). * Forms acceptance and direct billing: Pay.gov offers the service of converting an agency's forms into electronic documents that may be submitted by the public to Pay.gov. Pay.gov also offers the service of electronically presenting the bills that an agency sends to end-users. *Access control: Pay.gov provides access control to agencies to ensure that end-users may perform only authorized transactions. *Reporting: Pay.gov provides agencies with a unified reporting mechanism by which agencies are able to obtain detailed information about forms, bills, and collections processed by Pay.gov. Pay.gov directly supports the collection and deposit of funds into the Treasury on behalf of Federal agencies. This is a key component of the multi-faceted government-wide financial management mission of FMS. Moreover, investing in this project is central to achieving the overarching Treasury strategic goal of managing the Government's finances. Pay.gov directly supports two of FMS' six strategic goals: FMS Strategic Goal 3: Provide timely collection of Federal Government receipts, at the lowest cost, through an all-electronic Treasury. FMS Strategic Goal 6: Establish policies and processes to facilitate the integration of e-commerce technologies into the payments and collections infrastructure. Pay.gov meets the Government's business need of providing all Government agencies with a method to collect funds electronically, specifically over the Internet. The primary gaps addressed by the Pay.gov project include (a) Comply with legal requirements to accept forms electronically under the Government Paperwork Elimination Act (GPEA); (b) Comply with legal requirements to provide leadership concerning electronic financial services; (c) Save the public, agencies, and us a considerable amount of time and convenience; and (d) Provide the public with a higher level of service than previously possible. For a more detailed response please see the Pay.gov business plan.</p>	
<i>9. Did the Agency's Executive/Investment Committee approve this request?</i>	
yes	
<i>9.a. If "yes," what was the date of this approval?</i>	
2007-08-16	
<i>10. Did the Project Manager review this Exhibit?</i>	
yes	
<i>11. Project Manager Name:</i>	
<i>Project Manager Phone:</i>	
<i>Project Manager Email:</i>	
<i>11.a. What is the current FAC-P/PM certification level of the project/program manager?</i>	

TBD
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
yes
12.a. Will this investment include electronic assets (including computers)?
yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
no
13. Does this investment directly support one of the PMA initiatives?
yes
If yes, select the initiatives that apply:
Expanded E-Government
Financial Performance
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)
PayGov (PG) supports IFP by improving the timeliness of collections through the use of web-based technologies and by re-engineering reporting processes. PG allows citizens to make payments and agencies to receive reporting over the Internet. PG supports EE-GOV by providing an easy-to-find single point of access to Govt services and is the Govt's Enterprise solution for collecting C/D cards and ACH payments over the internet. For detailed information please see the Pay.gov PMA Analysis document.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?
yes
14.a. If yes, does this investment address a weakness found during the PART review?
yes
14.b. If yes, what is the name of the PARTed program?
Financial Management Service Collections
14.c. If yes, what rating did the PART receive?
Effective
15. Is this investment for information technology?
yes
16. What is the level of the IT Project (per CIO Council's PM Guidance)?
Level 3
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?
yes
19. Is this a financial management system?
yes
19.a. If yes, does this investment address a FFIA compliance area?
yes
19.a.1. If yes, which compliance area:
Financial systems requirements and accounting standards
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.
The system name is Pay.gov, the system acronym is PAY.GOV

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	
Software	
Services	
Other	

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

n/a

22. Contact information of individual responsible for privacy related questions.

Name

Phone Number

Title

FMS Senior Official for Privacy

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources			
Acquisition Budgetary Resources			
Maintenance Budgetary Resources			
Government FTE Cost			
# of FTEs			

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

2.a. If "yes," how many and in what year?

N/A

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

The Summary of spending has changed to reflect a baseline change (approved by the Treasury TIRB and submitted to OMB on 22Jun07) that extends the current OMB-Approved Baseline to the projected end of the project's life cycle (FY12 for SS FY09 for DME) and to add new functionality that we anticipate being requested or required by other Government agencies. The new out-year DME milestones reflect software upgrades and additional functionality for anticipated new users (other Government agencies). This additional functionality is necessary to help encourage electronic collections, which Treasury is committed to as part of the President's Management Agenda, Treasury Strategic goals, and FMS Strategic goals. This BCR also has a few minor changes noted below: This BCR also includes Government FTE milestone costs which had not previously been reported. This BCR also separates security milestones from Operations and Maintenance milestones for FY07 and beyond. Tracking security milestones separately will allow for easier monitoring of security costs and schedules. Also in this BCR, please note after FY08, no milestones for the Verification Service (VS) are included as we do not intend on operating it after this date. Also note that FY09 is the last planned year for DME activities for this investment. The result of this BCR shows a more accurate picture of this investment. Future Pay.gov DME milestones costs are much lower than previous DME milestones because all development has been brought in-house at the FRB- Cleveland, which hosts Pay.gov. This change results in Pay.gov significantly decreasing future DME costs.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars collected per fiscal year	\$29B collected	Increase dollar amount of money collected per fiscal year from \$29 Billion in FY06 to \$30 Billion in FY07	In FY07 Pay.gov processed \$37.9B dollars worth of transactions
2	2007	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of applications hosted	278 cashflow applications hosted	Increase the cumulative number of applications hosted from 278 in FY06 to 303 by end of FY07	As of the end of FY07, Pay.gov hosted 348 applications
3	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of transactions processed	7.8M Transactions processed	Increase the number of transactions processed from 7.8M in FY06 to 8.5M in FY07	In FY07, Pay.gov processed 9.8M transactions
4	2007	Manage the U.S.	Technology	Accessibility	System Availability	The system availability	Maintain system	In FY07 Pay.gov's

		Government's Finances Effectively				goal for Pay.gov is 99.8% not including scheduled maintenance outages.	availability of 99.8% for the FY07	system availability was 99.99%
5	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars collected per fiscal year	\$37B collected	Increase the amount of money collected per fiscal year from \$37 Billion to \$40 Billion in FY08	This will be measured in FY08
6	2008	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of applications hosted	348 cashflow applications hosted	Increase the cumulative number of applications hosted from 348 to 360 by end of FY08	This will be measured in FY08
7	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of transactions processed	9.8M Transactions processed	Increase the number of transactions processed from 9.8M in FY07 to 10M in FY08	This will be measured in FY08
8	2008	Manage the U.S. Government's Finances Effectively	Technology	Accessibility	System Availability	The system availability goal for Pay.gov is 99.8% not including scheduled maintenance outages.	Maintain system availability of 99.8% at the end of FY08	This will be measured in FY08
9	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Collections and Receivables	Amount of money in dollars collected per fiscal year	TBD	Increase the amount of money collected per fiscal year from \$40 Billion to \$43 Billion in FY09	This will be measured in FY09
10	2009	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of applications hosted	TBD	Increase the cumulative number of applications hosted from 360 to 375 by end of FY09	This will be measured in FY09
11	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of transactions processed	TBD	Increase the number of transactions processed from 10M in FY08 to 11.5M in FY09	This will be measured in FY09
12	2009	Manage the U.S. Government's	Technology	Accessibility	System Availability	TBD	Maintain system availability of	This will be measured in FY09

		Finances Effectively					99.8% at the end of FY09	
13	2010	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars collected per fiscal year	TBD	Increase the amount of money collected per fiscal year from \$43 Billion to \$44 Billion in FY10	This will be measured in FY10
14	2010	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of applications hosted	TBD	Increase the cumulative number of applications hosted from 375 to 381 by end of FY10	This will be measured in FY10
15	2010	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of transactions processed	TBD	Increase the number of transactions processed from 11.5M in FY09 to 13M in FY10	This will be measured in FY10
16	2010	Manage the U.S. Government's Finances Effectively	Technology	Accessibility	System Availability	TBD	Maintain system availability of 99.8% at the end of FY10	This will be measured in FY10
17	2011	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars collected per fiscal year	TBD	Increase the amount of money collected per fiscal year from \$44 Billion to \$45 Billion in FY11	This will be measured in FY11
18	2011	Manage the U.S. Government's Finances Effectively	Customer Results	New Customers and Market Penetration	Number of applications hosted	TBD	Increase the cumulative number of applications hosted from 381 to 412 by end of FY11	This will be measured in FY11
19	2011	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of transactions processed	TBD	Increase the number of transactions processed from 13M in FY10 to 15M in FY11	This will be measured in FY11
20	2011	Manage the U.S. Government's Finances Effectively	Technology	Accessibility	System Availability	TBD	Maintain system availability of 99.8% at the end of FY11	This will be measured in FY11

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and

technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Pay.gov, this investment is included in Treasury's EA Transition Plan. Pay.gov is one of the inter agency solutions/services for the central fiscal operations transition project. Pay.gov is a system in this LoB and this has been recognized by OMB as a Government-wide Enterprise Solution for electronic collections. Pay.gov has been identified as an early success in this area.

2.b. If no, please explain why?

N/A

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

yes

3.a. If yes, provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

Central Fiscal Operations Segment Architecture Roadmap (FMS)

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Alerts and Notifications	The Pay.gov Billing service has the ability to notify users of bill from an agency	Customer Preferences	Alerts and Notifications			No Reuse	1
2	Data Integration	Integrates Pay.gov collection data into single repository.	Development and Integration	Data Integration			No Reuse	1
3	Billing and Accounting	Pay.gov has the capability to notify, bill, collect, report transactions	Financial Management	Billing and Accounting			No Reuse	15
4	Credit / Charge	Pay.gov is capable of charging/crediting users financial	Financial Management	Credit / Charge			No Reuse	15

5	Debt Collection	Pay.gov allows debt collection via ACH Debit and CC	Financial Management	Debt Collection			No Reuse	1
6	Payment / Settlement	Funds collected by Pay.gov are settled and deposited in a Treas Account	Financial Management	Payment / Settlement			No Reuse	1
7	Forms Creation	Agency forms can be recreated in electronic format by Pay.gov.	Forms Management	Forms Creation			No Reuse	15
8	Forms Modification	Pay.gov hosted forms can be modified as needed for the agency.	Forms Management	Forms Modification			No Reuse	5
9	Knowledge Distribution and Delivery	Pay.gov can offer industry info regarding collections and Treasury procedures	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	1
10	Reporting- Ad Hoc	Agencies have the ability to query Pay.gov for specific information as necessary.	Reporting	Ad Hoc			No Reuse	15
11	Reporting - Standardized / Canned	Pay.gov Reports provide agencies reconciliation information	Reporting	Standardized / Canned			No Reuse	5
12	Access Control	Contains security measures to control access.	Security Management	Access Control			No Reuse	3
13	Verification Service	The Verification Service verifies who is using system. This can be used by other agencies.	Security Management	Identification and Authentication			No Reuse	15
14	Audit Trail Capture and Analysis	Management/Internal software audit logs.	Security Management	Audit Trail Capture and Analysis			No Reuse	1
15	Encryption	Encryption: Sensitive data/ secure transmissions	Security Management	Cryptography			No Reuse	1
16	Intrusion Detection	Protecting the application and data	Security Management	Intrusion Detection			No Reuse	1

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Data Integration	Service Interface and Integration	Interoperability	Data Transformation	
2	Credit / Charge	Service Interface and Integration	Interoperability	Data Types / Validation	
3	Payment / Settlement	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
4	Data Integration	Component Framework	Business Logic	Platform Independent	
5	Data Integration	Component Framework	Data Interchange	Data Exchange	
6	Knowledge Distribution and Delivery	Component Framework	Data Management	Reporting and Analysis	
7	Knowledge Distribution and Delivery	Service Interface and Integration	Integration	Enterprise Application Integration	
8	Data Integration	Service Platform and Infrastructure	Database / Storage	Database	
9	Data Integration	Service Platform and Infrastructure	Support Platforms	Platform Independent	
10	Knowledge Distribution and Delivery	Service Access and Delivery	Access Channels	Web Browser	
11	Forms Creation	Component Framework	Presentation / Interface	Content Rendering	
12	Forms Modification	Component Framework	Presentation / Interface	Content Rendering	
13	Forms Creation	Component Framework	Presentation / Interface	Static Display	
14	Access Control	Component Framework	Security	Certificates / Digital Signatures	
15	Access Control	Component Framework	Security	Supporting Security Services	
16	Access Control	Service Access and Delivery	Access Channels	Other Electronic Channels	
17	Access Control	Service Access and Delivery	Delivery Channels	Internet	
18	Access Control	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	
19	Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	
20	Access Control	Service Platform and Infrastructure	Support Platforms	Platform Independent	
21	Audit Trail Capture and Analysis	Service Access and Delivery	Service Transport	Supporting Network Services	
22	Credit / Charge	Service Interface and Integration	Integration	Middleware	

23	Knowledge Distribution and Delivery	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
24	Knowledge Distribution and Delivery	Service Platform and Infrastructure	Software Engineering	Test Management	
25	Credit / Charge	Service Platform and Infrastructure	Delivery Servers	Application Servers	
26	Credit / Charge	Service Platform and Infrastructure	Delivery Servers	Web Servers	
27	Knowledge Distribution and Delivery	Service Platform and Infrastructure	Software Engineering	Test Management	
28	Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
29	Knowledge Distribution and Delivery	Service Platform and Infrastructure	Support Platforms	Platform Independent	
30	Alerts and Notifications	Service Access and Delivery	Delivery Channels	Internet	
31	Billing and Accounting	Service Access and Delivery	Delivery Channels	Internet	
32	Debt Collection	Service Interface and Integration	Interoperability	Data Types / Validation	
33	Ad Hoc	Component Framework	Data Management	Reporting and Analysis	
34	Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	
35	Identification and Authentication	Component Framework	Security	Supporting Security Services	
36	Intrusion Detection	Component Framework	Security	Supporting Security Services	
37	Access Control	Component Framework	Security	Supporting Security Services	

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

6.a. If yes, please describe.

Pay.gov is listed as an example of an application that can be used across the government and it is rapidly being adopted by Federal agencies. Pay.gov is a government-wide transaction portal that offers a suite of electronic financial services to assist Federal program agencies. Pay.gov is currently working with over 98 unique federal agencies with 306 cashflows enabled for online collections. The Pay.gov Verification Service supports the E-Authentication initiative created as part of the E-government strategy under the President's Management Agenda. The Pay.gov Verification Service provides for on-line real time identity proofing for citizens and business that have no prior relationship with a Federal on-line system. In addition to supporting transaction on Pay.gov, the Verification Engine will link to the E-Authentication gateway to allow use by other agencies and systems. The Pay.gov team is working with NIST and the GSA E-Authentication team to add a knowledge based authentication service for Federal use. In this way, Pay.gov helps to address one of the key barriers to E-government success identified by the Quicksilver task force.

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-

adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2007-08-19

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

For more detailed Risk information please see the Paygov BusRiskAssessment 2007-08-19.doc.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

yes

2. Is the CV% or SV% greater than $\pm 10\%$?

no

3. Has the investment re-baselined during the past fiscal year?

yes

3.a. If yes, when was it approved by the agency head?

2007-06-21