
 APPLICATION FOR FEDERAL FISHERIES PERMIT	United States Department of Commerce National Oceanic and Atmospheric Administration National Marine Fisheries Service, Alaska Region Restricted Access Management P.O. Box 21668 Juneau, Alaska 99802-1668	
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NOTICE: Only persons who are U.S. Citizens are authorized to receive or hold a Federal Fisheries Permit.

All applicants who plan to participate in directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear type other than jig gear, must also complete Block D.

If ownership of the vessel listed in Block A has changed or if this is a permit application for a vessel to which a Federal Fisheries Permit has never been issued, **documentation of vessel ownership must be provided with this application.** To demonstrate vessel ownership you must provide:

- For US Coast Guard Documented Vessels, a copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation
- For undocumented vessels, a copy of the State of Alaska vessel license or registration.

Is this application being submitted in order to amend an existing Federal Fisheries Permit? Yes No

If yes, please provide your current Federal Fisheries Permit number _____

If you are amending your application, refer to the "General Information" section of the attached instructions that specifies which sections of the application form must be completed in addition to the amendments being made.

**BLOCK A
OWNER INFORMATION**

1. Owner Name(s):		
2. Business Mailing Address (street or P.O. Box, city, state, zip code):	3. SSN or Tax I.D. (SSN Voluntary):	
4. Business Telephone Number:	5. Business Fax Number:	6. Business E-Mail Address (if any):
7. Managing Company Name (if any):		

**BLOCK B
VESSEL INFORMATION**

1. Vessel Name	2. Home Port (city and state)	3. ADF&G Processor Code
4. Is this vessel a vessel of the United States? <input type="checkbox"/> Yes <input type="checkbox"/> No	5. U.S. Coast Guard Documentation Number: _____	6. ADF&G Vessel Registration Number _____
7. Length Overall (LOA) _____ Ft. Registered Length _____ Ft.	8. Gross Tonnage _____ Net Tonnage _____	9. Shaft Horsepower _____

BLOCK C
PERMIT INFORMATION

1. **AREA OF OPERATION** Check one or both areas of operation, as appropriate.

Gulf of Alaska Bering Sea/Aleutian Islands

2. **TYPE OF VESSEL OPERATION** Indicate below the type(s) of vessel operation you request in the federal groundfish fishery. A Federal Fisheries Permit may be issued for any combination of catcher vessel, catcher-processor, mothership, and/or tender vessel. In addition, a Federal Fisheries Permit may also be issued for a support vessel.

Check one or any combination of the following operation types that apply:

Catcher Vessel

Catcher/processor

Mothership

Tender Vessel (buying station)

A vessel permitted as a catcher vessel, catcher-processor, mothership, and/or tender vessel may conduct all operations authorized for a support vessel.

Or check:

Support Vessel

A vessel permitted as a support vessel may not conduct activities as a catcher vessel, catcher-processor, mothership, and/or tender vessel.

3. **GEAR TYPES** (catcher vessels and catcher/processors only)

Check only the gear types used for groundfish fishing:

Trawl

Hook-and-line

Pots

Jig

Troll

Harvesting vessels (catcher and catcher/processor vessels) must complete Block D if you plan to participate in the directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear other than jig gear.

4. **GOA INSHORE PROCESSING ENDORSEMENT** (vessels under 125' LOA only)

Vessels under 125' LOA that wish to process GOA inshore pollock or GOA inshore Pacific Cod must apply for a GOA inshore processing endorsement by checking the box below. A vessel with a GOA inshore processing endorsement is prohibited from processing more than 126 mt of pollock and GOA Pacific cod, in the aggregate, during any reporting week. **Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.** See page 4 of the application instructions for further explanation.

GOA Inshore Processing Endorsement

**BLOCK D
SPECIES ENDORSEMENTS AND AMENDMENTS**

Indicate below whether you plan to participate in any of the following four directed fisheries with gear other than jig gear: (1) Pacific cod; (2) pollock; (3) Bering Sea/Aleutian Islands (BSAI) Atka mackerel; and (4) Aleutian Islands (AI) Atka mackerel Harvest Limit Areas (HLA). See application instructions for information regarding registration requirements for the directed Atka mackerel fisheries inside the HLA in the AI and participation requirements for these fisheries. Your selections will remain valid until you submit a new Federal Fisheries Permit application removing those endorsements by checking "Remove Endorsement(s)" boxes below. Vessels participating in these fisheries must have a vessel monitoring system (VMS) operable at any time while the directed fishery that the permit is endorsed for is open. See the application instructions for more information on the VMS requirements.

PACIFIC COD	POLLOCK	BSAI ATKA MACKEREL	AI HARVEST LIMIT AREA ATKA MACKEREL VESSELS USING TRAWL GEAR
<input type="checkbox"/> Trawl	<input type="checkbox"/> Trawl	<input type="checkbox"/> Trawl	<input type="checkbox"/> Statistical Area 542
<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Statistical Area 543
<input type="checkbox"/> Pot	<input type="checkbox"/> Pot	<input type="checkbox"/> Pot	<input type="checkbox"/> Both
<input type="checkbox"/> Remove Endorsement(s)	<input type="checkbox"/> Remove Endorsement(s)	<input type="checkbox"/> Remove Endorsement(s)	

**BLOCK E
APPLICANT SIGNATURE**

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application and that the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Applicant Name (please print or type)	Signature	Date

Privacy Act Statement: Federal regulations at 50 CFR part 679 authorize collection of this information. This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal commercial fishery permits. Where the requested information is a Social Security number (SSN), disclosure is voluntary; in the event it is not provided, NMFS will assign a unique code that will identify the records.

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 0.35 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA, National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is mandatory and is required to manage commercial fishing effort in the GOA and BSAI under 50 CFR 679 and under 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et. seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et. seq.*). It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.



**INSTRUCTIONS
APPLICATION FOR
FEDERAL FISHERIES PERMIT**



General Information

Federal Fisheries Permits are required for all vessels conducting groundfish operations and/or retaining groundfish while targeting non-groundfish in the 3-200 mile zone off Alaska (see 50 CFR 679.4). This includes vessels fishing for groundfish, vessels processing groundfish, vessels tendering groundfish, and support vessels assisting other groundfish vessels (see 679.4).

Groundfish includes but may not be limited to: pollock, Pacific cod, sablefish, Atka mackerel, rockfish, sharks, skates, sculpins, octopus, squid, and any species of flatfish except Pacific halibut (see 50 CFR 679.20(a)(2)).

Processing or to process means the preparation of, or to prepare, fish or crab to render it suitable for human consumption, industrial uses, or long-term storage, including but not limited to cooking, canning, smoking, salting, drying, freezing, or rendering into meal or oil, but does not mean icing, bleeding, heading, or gutting (see yearly specifications under 50 CFR 679.20(a)(2)).

- Complete a separate application for each vessel. Application forms and instructions are also available on the NMFS, Alaska Region web site at www.alaskafisheries.noaa.gov.
- If ownership of the vessel listed in Block A has changed or if this is a permit application for a vessel to which a Federal Fisheries Permit has never been issued, **documentation of vessel ownership must be provided with this application.** To demonstrate vessel ownership you must provide:
 - For US Coast Guard Documented Vessels, a copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation
 - For undocumented vessels, a copy of the State of Alaska vessel license or registration.
- Type or print legibly in ink.
- Retain a copy of completed application for your records.
- Mail or deliver completed forms to: **NMFS, Alaska Region, Restricted Access Management (RAM) Program, P.O. Box 21668, Juneau, AK 99802** (physical location: Federal Building, 709 W. 9th Street, Suite 713, Juneau, AK 99801). **Applications can be faxed to RAM at (907) 586-7354**; however, permits cannot and will not be faxed back.
- If you have questions about these permits, please call RAM toll free at (800) 304-4846 (select option 2) or (907) 586-7202 (select option 2), check our web site at www.alaskafisheries.noaa.gov; or you may e-mail your questions to RAM.Alaska@noaa.gov.

BLOCK A - OWNER INFORMATION

1. Enter the full name(s) of the owner(s) of the vessel in Block B.

Note: If there is more than one owner, list the principal owner first. The permit will be issued to the first owner listed, with an *et al.* notation. The permit **MUST** be issued to the owner of the vessel, not operators or lessees.

2. Enter your complete **permanent** business mailing address, including street or P.O. Box, state, and zip code. Your permit will be sent to this address. If you need to have your permit sent to a different address, please enter your **permanent** business address on the application and attach a note with your alternate address.
3. Enter Social Security number or tax identification number.

Privacy Act Statement: Federal regulations at 50 CFR part 679 authorize collection of this information. This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal commercial fishery permits. Where the requested information is a Social Security number (SSN),

disclosure is voluntary; in the event it is not provided, NMFS will assign a unique code that will identify the records.

4. Enter a business telephone number, including area code, that is used by the vessel or processor owner. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application and we cannot contact you by phone, issuance of your permit will be delayed.
- 5-6. Enter a business fax number (including area code) and business e-mail address, if any.
7. Enter the name of any company (other than the owner) that manages the operations of your vessel.

BLOCK B - VESSEL INFORMATION

1. Enter the complete vessel name as displayed in official documentation.
2. Enter the home port (city and state) as recorded in official documentation.
3. Enter the ADF&G Processor Code.
4. Answer yes or no if this vessel is a vessel of the United States.
5. Enter U.S. Coast Guard documentation number (example: 566722).
6. Enter 5-digit State of Alaska Department of Fish & Game (ADF&G) vessel registration number (example: 51233).
7. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (a) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (b) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments.

8. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in official documentation.
9. Enter the shaft horsepower.

BLOCK C - PERMIT INFORMATION

1. **Area of operation.** Check one or both areas of operation (GOA or BSAI) to indicate the areas of operation for which you are requesting.
2. **Type of Vessel Operation.** Indicate the type(s) of vessel operation you request in the federal groundfish fishery. A Federal Fisheries Permit may be issued for any combination of catcher vessel, catcher-processor, mothership, and/or tender vessel. In addition, a Federal Fisheries Permit may also be issued for a support vessel.

Catcher Vessel - A vessel that is used for catching fish and that does not process fish onboard.

Catcher-Processor - A vessel that is used for catching fish and processing that fish.

Mothership - A vessel that receives and processes groundfish from other vessels.

Tender Vessel (buying station) - A vessel that is used to transport unprocessed fish received from another vessel to a shoreside processor, stationary floating processor, or mothership.

A vessel permitted as a catcher vessel, catcher-processor, mothership, and/or tender vessel may conduct all operations authorized for a support vessel.

Or check:

Support Vessel - Any vessel that is used in support of a permitted vessel, including, but not limited to, supplying a fishing vessel with water, fuel, provisions, fishing equipment, fish processing equipment or other supplies, or transporting processed fish.

A vessel permitted as a support vessel may not conduct activities as a catcher vessel, catcher-processor, mothership, and/or tender vessel.

Recordkeeping and reporting requirements stipulated at 50 CFR part 679.5 must be followed. A logbook and appropriate forms will be sent each year to the owner of each catcher vessel greater than 60 ft LOA, mothership, and catcher-processor, that is issued a Federal Fisheries Permit for that year. A support vessel is not required to maintain recordkeeping and reporting.

3. **Gear Type.** If permit request is for a catcher vessel or catcher-processor, indicate the gear type(s) used for groundfish operations.
4. **GOA Inshore Processing Endorsement.** Vessels under 125' LOA that wish to process GOA inshore pollock or GOA inshore Pacific Cod must apply for a GOA inshore processing endorsement. A vessel with a GOA inshore processing endorsement is prohibited from processing more than 126 mt of pollock and GOA Pacific cod, in the aggregate, during any reporting week. **Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.** For more information on the inshore/offshore regulations, contact Sustainable Fisheries Division toll free at (800) 304-4846 (select option #3) or (907) 586-7228.

BLOCK D - PACIFIC COD, POLLOCK, ATKA MACKEREL FISHERIES

If you plan to participate in the directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear other than jig gear, your Federal Fisheries Permit must be endorsed for these species in the management area(s) in which you plan to participate.

Vessels participating in these directed fisheries in the EEZ off Alaska are required to have on board and use a Vessel Monitoring System (VMS) while the directed fisheries are open, regardless of where the vessel is fishing at the time or what the vessel is targeting.

NMFS has implemented a system of "platoon" management for vessels using trawl gear for Atka mackerel fishing in Steller sea lion critical habitat, as set forth in 50 CFR 679.22(a)(12) and 50 CFR 223.202, in statistical areas 542 and 543 (central and western AI). A semi-annual lottery performed by NMFS, Alaska Region will determine placement in either 542 or 543 in "platoons" in each of two seasons (A season and B season). Once an endorsement is elected, it remains valid until amended (or the permit expires or is revoked, suspended, etc.). If registered to fish Atka mackerel in critical habitat in statistical areas 542 or 543, the vessel may not participate in any other groundfish fishery in the BSAI or GOA until their first assigned Atka mackerel directed fishery in critical habitat is closed to directed fishing. Changes to the Atka mackerel fisheries registration must be received, in writing, by NMFS, RAM no later 30 days prior to the start of the A or B Atka mackerel seasons.

BLOCK E - SIGNATURE

The applicant must sign and date the application certifying that all information is true, correct, and complete to the best of the his/her knowledge and belief. The application will be considered incomplete without this signature.

Special Handling of Permits

Please allow at least 10 days for processing your permit. **Do not wait until right before an opening to apply for your permit,** as you may not receive it on time. You may FAX your permit application to us at (907) 586-7354, but a permit cannot and will not be faxed back to you. Items will be sent by first class mail, unless you provide alternate instructions **and** include a prepaid mailer with appropriate postage or corporate account number for express delivery.

If you would like to have your permit sent by a method other than regular mail, please attach a note indicating a method, and follow the appropriate procedure below.

Express Mail. If you would like to have your permit sent to you by U.S. Postal Express Mail, determine from the table below the weight and dimensions of the appropriate documents. Send us an express mail envelope with the correct amount of postage prepaid or send express mail stamps UNATTACHED to an envelope. **NOTE:** If the express mail envelope you send is too small or the postage attached is less than the amount required, your permit and logbook will be sent to you by regular U.S. mail.

Other Express Carriers. If you would like to have your permit sent to you by a private express carrier, e.g., Federal Express, UPS, DHL, etc., submit your account number and name of carrier or a prepaid envelope with the permit application.

LOGBOOKS

Logbooks may be requested:

By mail: Sustainable Fisheries Division
NMFS, AKR
P.O. Box 21668
Juneau, Alaska 99802-1668

By telephone: 800-304-4846 (select option 3) or 907-586-7228

By fax: 907-586-7465.

	Dimensions Weight	
	(inches)	(lb,oz)
Catcher vessel, trawl gear logbook	11 x 12	3.4
Catcher vessel, longline and pot gear logbook	12 x 17	4.0
Catcher/processor, trawl gear logbook	11 x 12	3.0
Catcher/processor, longline and pot gear logbook	12 x 17	3.6
Mothership logbook	11 x 12	3.0

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